



## CP violation and related issues

### Part 16: CKM matrix, summary and outlook

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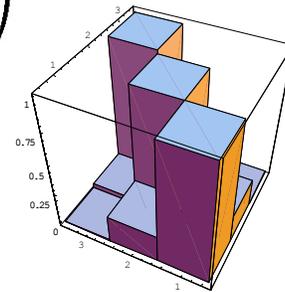
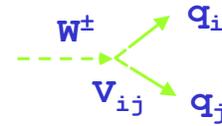
## CP violation in SM

CP violation: consequence of the Cabibbo-Kobayashi-Maskawa (CKM) quark mixing matrix

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Transitions between members of the same family more probable than others

-> CKM: almost a diagonal matrix, but not completely



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## CKM matrix

If  $V_{ij} = V_{ij}^*$   $\rightarrow \mathcal{L} = \mathcal{L}_{CP}$   $\rightarrow$  CP is conserved, otherwise not

3x3 unitary matrix: 3 real parameters and 1 phase  
-> the matrix is in general complex

$$V_{CKM} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{13} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$$s_{12} = \sin\theta_{12}, c_{12} = \cos\theta_{12} \text{ etc.}$$

CKM matrix can accommodate the CP violation, and does it well!

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## Unitary triangles

(a)

$$V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0,$$

$$V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0, \rightarrow$$

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0.$$

(b)

(c)

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All triangles have the same area  $J/2$  (about  $4 \times 10^{-5}$ )

$$J = c_{12}c_{23}c_{13}^2 s_{12}s_{23}s_{13} \sin \delta$$

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## Unitarity triangle

THE unitarity triangle:

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

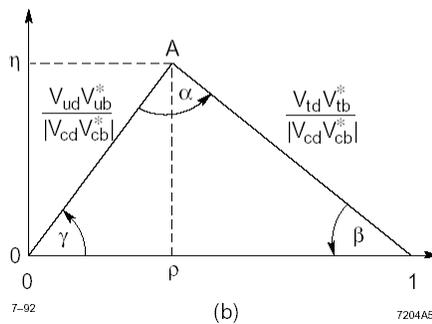
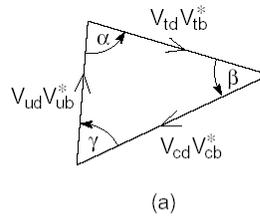
Measure angles and sides:

$$\phi_1 = \beta$$

$$\phi_2 = \alpha$$

$$\phi_3 = \gamma$$

$$\mathbf{V}_{ub}$$



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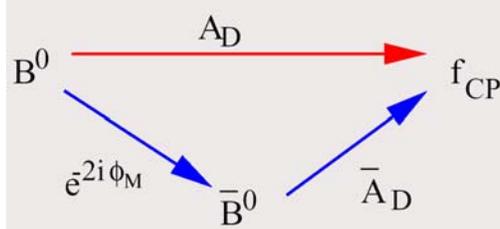
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## CP violation measurements: the main tool to study the unitarity triangle

CP violation in the interference between mixing and decay to a state accessible in both  $B^0$  and anti- $B^0$  decays

For example: a CP eigenstate  $f_{CP}$  like  $\pi^+ \pi^-$



$$a_{f_{CP}} = \frac{P(\bar{B}^0 \rightarrow f_{CP}, t) - P(B^0 \rightarrow f_{CP}, t)}{P(\bar{B}^0 \rightarrow f_{CP}, t) + P(B^0 \rightarrow f_{CP}, t)} = \lambda = \frac{q}{p} \frac{\bar{A}_f}{A_f}$$

$$= \frac{(1 - |\lambda_{f_{CP}}|^2) \cos(\Delta mt) - 2 \operatorname{Im}(\lambda_{f_{CP}}) \sin(\Delta mt)}{1 + |\lambda_{f_{CP}}|^2}$$

If  $|\lambda| = 1 \rightarrow a_{f_{CP}} = -\operatorname{Im}(\lambda_{f_{CP}}) \sin(\Delta mt)$

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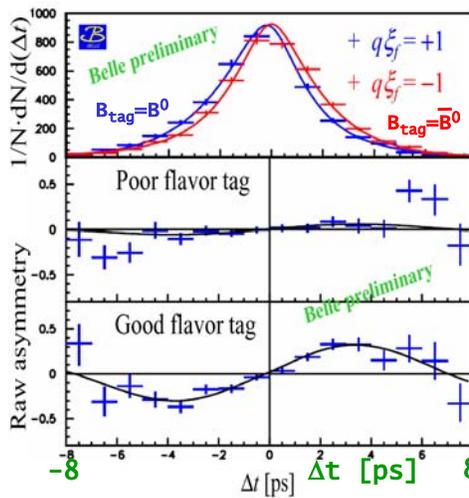
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## Angle $\beta$ ( $\phi_1$ ) as $\sin 2\phi_1$ from CP asymmetry measurement

$J/\psi K_S + J/\psi K_L$  (6872 signal events used in fit)

Asymmetry between B and anti-B decaying to the same final CP eigenstate  $J/\psi K_S$



$\sin 2\phi_1 = 0.666 \pm 0.046$   
Belle

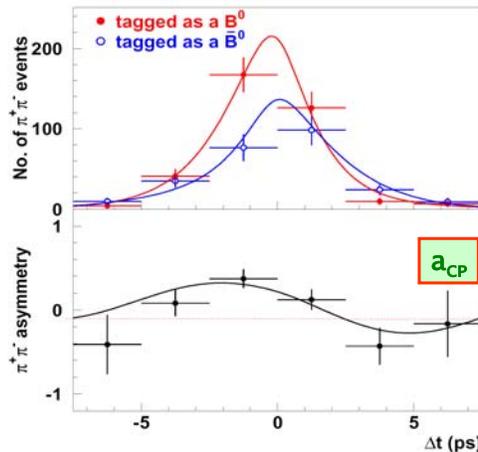
$\sin 2\phi_1 = 0.722 \pm 0.040 \pm 0.023$   
BaBar

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## Angle $\alpha$ ( $\phi_2$ ) from CP asymmetry measurement



Asymmetry between B and anti-B decaying to the same final CP eigenstate  $\pi^+\pi^-$ , complicated analysis because of penguin diagrams

$$S_{\pi\pi} = -0.67 \pm 0.16 \pm 0.06$$

$$A_{\pi\pi} = 0.56 \pm 0.12 \pm 0.06$$

-> direct CP violation!  
Evident on this plot:  
Number of anti-B events  
< Number of B events

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## Angle $\alpha$ ( $\phi_2$ ) from CP asymmetry measurements

Use measured BRs and asymmetries in all three  $B \rightarrow \pi\pi$  decays  $\rightarrow$  extract  $\phi_2$

similar analysis as for  $B \rightarrow \pi\pi$  also for  $B \rightarrow \rho\rho$   
( $\phi_2^{\text{eff}}$  closer to  $\phi_2$ )

... and for  $B \rightarrow \rho\pi$

	<b>BaBar/Belle</b>	<b>BaBar</b>	
$S_{+-}$	$\text{Br}(B^0 \rightarrow \pi^0 \pi^0)$	similar from $B \rightarrow \rho\rho$	$\phi_2 = 106^\circ \pm 80_{11}^\circ$
$A_{+-}$	$\text{Br}(B^0 \rightarrow \pi^+ \pi^-)$	<b>BaBar/Belle</b>	
$A_{CP}$	$\text{Br}(B^+ \rightarrow \pi^+ \pi^0)$	similar from $B \rightarrow \rho\pi$	

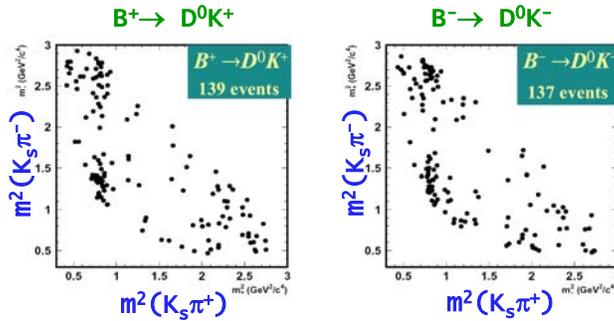
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## Angle $\gamma$ ( $\phi_3$ ) from a Dalitz plot analysis of the interference of two decay channels



➔ visible asymmetry  
Fit with  $\phi_3, \delta, r_B$  free

$$\phi_3 = (68 \pm 14_{15} \pm 13 \pm 11)^\circ$$

$$22^\circ < \phi_3 < 113^\circ \text{ @ 95\% C.L.}$$

$$r_B = 0.21 \pm 0.08 \pm 0.03 \pm 0.04$$

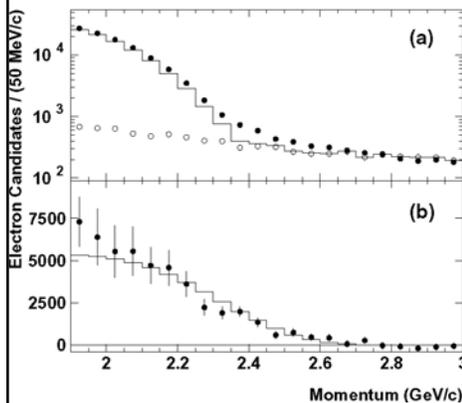
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## $|V_{ub}|$ from inclusive measurements



Electron spectrum,  
extended momentum range

$$|V_{ub}| = (4.50 \pm 0.42 \pm 0.32 \pm 0.21) \times 10^{-3}$$

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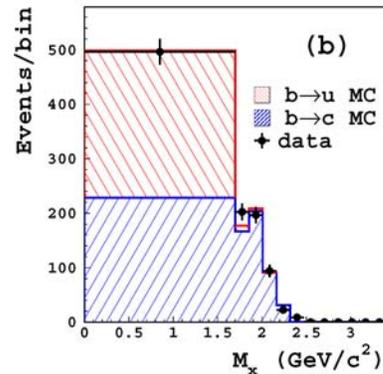
## Inclusive $|V_{ub}|$ measurements 2

New method: fully reconstruct one of the B mesons, check the properties of the other (semileptonic decay, low mass of the hadronic system)

- Very good signal to noise
- Low yield (full reconstruction efficiency is 0.3-0.4%)

$$M_x < 1.7 \text{ GeV}/c^2 \quad / \quad q^2 > 8 \text{ GeV}^2/c^2$$

Total error on  $|V_{ub}|$  ..... 12%



253 fb<sup>-1</sup>

$$|V_{ub}| = (4.34 \pm 0.22 \pm 0.19 \pm 0.13 \pm 0.12 \pm 0.33 \pm 0.20) \times 10^{-3}$$

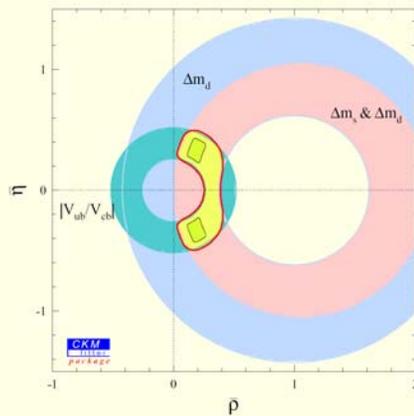
stat syst b → u b → c SF theo

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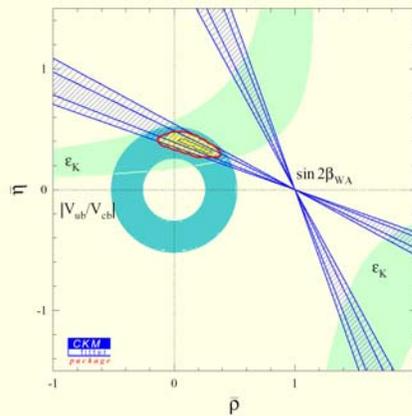


## Unitarity triangle with different sets of data



Tree level + CPC observables

$$\Delta m_B, \Delta m_{B_s}$$



Tree level + CPV observables

$$\epsilon, S_{\psi K_S}$$

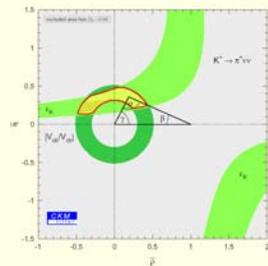
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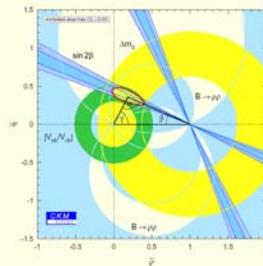


## Unitarity triangle with different sets of data 2004



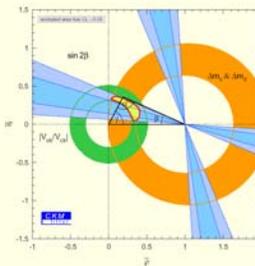
$s \rightarrow d$

$\epsilon, \mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$



$b \rightarrow d$

$\Delta m_{B_d}, S_{\psi K}, S_{\rho\rho}$



$b \rightarrow s$

$\Delta m_{B_s}, S_{\phi K, \eta' K, K K K}$

-> CKM matrix can accomodate the CP violation, and does it very well!

... apart from some interesting hints...

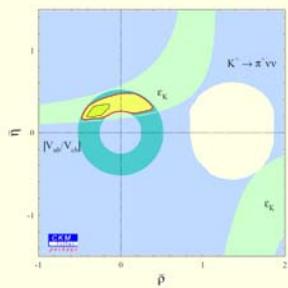
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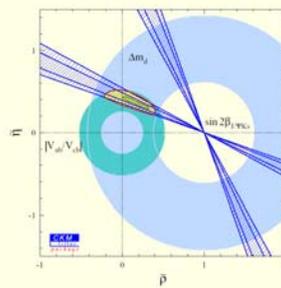


## Unitarity triangle with different sets of data status 2002



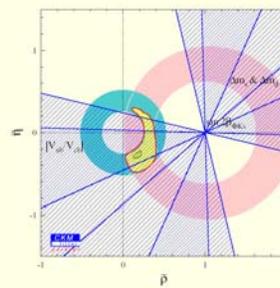
$s \rightarrow d$

$\epsilon, \mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$



$b \rightarrow d$

$\Delta m_{B_d}, S_{\psi K_S}$



$b \rightarrow s$

$\Delta m_{B_s}, S_{\phi K_S}$

Measurements help...

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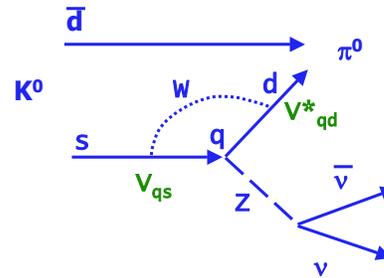
## $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ decay

CP violating process (Littenberg PRD 39 (1989) 3322).

In SM dominated by EW penguin and box diagrams.

Calculable with little theoretical uncertainties (for details see A. Buras, hep-ph/0101336)

$$Br(K_L \rightarrow \pi^0 \nu \bar{\nu}) = CA^4 \eta^2$$



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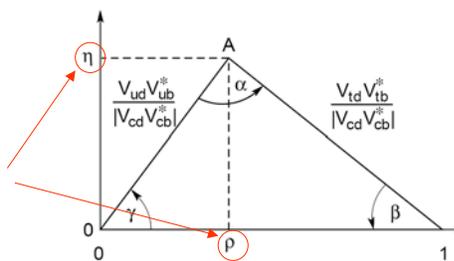
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## $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ decay

$$Br(K_L \rightarrow \pi^0 \nu \bar{\nu}) = CA^4 \eta^2$$

-> measurement of this BR and of the related  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decay could provide excellent constraints on the parameters  $\eta$  and  $\rho$ , can also be used to extract  $\sin 2\beta$ .



Experimentally very challenging: very rare decay (SM expectation  $2.5 \cdot 10^{-11}$ ) of the type "nothing" to "nothing".

Measured  $Br(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.6^{+1.8}_{-0.8}) \cdot 10^{-10}$  with 3 events...

Experiments: KOPIO ( $K^0 \rightarrow \pi^0 \nu \bar{\nu}$ ), BNL787/949, CKM ( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ )

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## Back to the motivation: CP

Initial condition of the universe  $N_B - N_{\bar{B}} = 0$

Today our vicinity (at least up to  $\sim 10$  Mpc) is made of matter and not of anti-matter

$$\text{nb. baryons (matter)} \leftarrow \frac{N_B - N_{\bar{B}}}{N_\gamma} = 10^{-10} - 10^{-9} \rightarrow \text{Nb of photons (microwave backg)}$$

In the early universe  $B + \bar{B} \rightarrow \gamma \leftrightarrow N_\gamma = N_B + N_{\bar{B}}$

How did we get from

$$\frac{N_B - N_{\bar{B}}}{N_B + N_{\bar{B}}} = 0 \quad \text{to} \quad \frac{N_B - N_{\bar{B}}}{N_B + N_{\bar{B}}} = 10^{-10} - 10^{-9} ?$$

(one out of  $10^{10}$  baryons did not annihilate)

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## Three conditions

Three conditions (A.Saharov, 1967):

- baryon number violation
- violation of CP and C symmetries
- non-equilibrium state

$$\begin{array}{ll} X \rightarrow f_a (N_B^a, r) & X \rightarrow f_b (N_B^b, 1-r) \\ \bar{X} \rightarrow \bar{f}_a (-N_B^a, \bar{r}) & \bar{X} \rightarrow \bar{f}_b (-N_B^b, 1-\bar{r}) \end{array}$$

baryon number  $f_b$   
decay probability

Change in baryon number in the decay of X:

$$\begin{aligned} \Delta B &= rN_B^a + (1-r)N_B^b + \bar{r}(-N_B^a) + (1-\bar{r})(-N_B^b) = \\ &= (r - \bar{r})(N_B^a - N_B^b) \end{aligned}$$

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## Three conditions

$$N_B - N_{\bar{B}} = \Delta B n_X =$$

$$= (r - \bar{r})(N_B^a - N_B^b) n_X$$

$\rightarrow$  X decays to states with  $N_B^a \neq N_B^b$   
 $\rightarrow$  baryon number violation  
 $r \neq \bar{r} \rightarrow$   
 violation of CP in C

In the thermal equilibrium reverse processes would cause  $\Delta B=0 \rightarrow$  need an out-of-equilibrium state

For example: X lives long enough  $\rightarrow$  Universe cools down  $\rightarrow$  no X production possible

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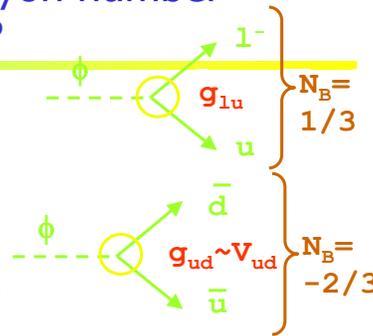
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## How to get CP + baryon number violation?

Let  $\phi$  be the particle, whose decay violates the baryon number conservation

e.g. in GUT SU(5) the Higgs boson with charge  $-1/3$



- ▶ In SM the CKM matrix rotates the flavour eigenstates into the mass eigenstates.  $H^0$  (neutral Higgs boson of SM) couples only to mass eigenstates, coupling constants do not involve  $V_{ij}$ ;
- ▶  $\phi$  couples only to u and d quarks, coupling constants are proportional to  $V_{ij} \rightarrow$  complex

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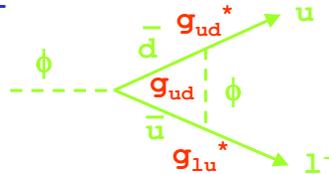
# How to get CP + baryon number violation?



Interference with the next order

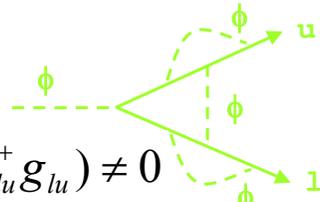
$$\Delta B \propto \text{Im} \text{Tr}(g_{ud}^+ g_{ud} g_{lu}^+ g_{lu}) = 0$$

=trace over all fermions



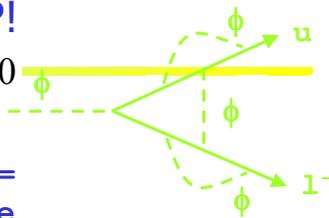
Only in three-loop processes

$$\Delta B \propto \text{Im} \text{Tr}(g_{ud}^+ g_{lu} g_{lu}^+ g_{ud} g_{ud}^+ g_{ud} g_{lu}^+ g_{lu}) \neq 0$$



# Not enough CP!

$$\Delta B \propto \text{Im} \text{Tr}(g_{ud}^+ g_{lu} g_{lu}^+ g_{ud} g_{ud}^+ g_{ud} g_{lu}^+ g_{lu}) \neq 0$$



The contribution from the CKM matrix to the above expression =  $J = 2 \times$  area of the uni. triangle

$$\text{Im}(V_{11}V_{22}V_{12}^*V_{21}^*) = J$$

$$J_{\text{max}} = 1/6\sqrt{3}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$$J = c_{12}c_{23}c_{13}^2s_{12}s_{23}s_{13} \sin \delta \leq 4 \cdot 10^{-5}$$

$$(N_B - N_{\bar{B}}) / N_\gamma = \Delta B n_x / N_\gamma \sim \Delta B \sim 10^{-16}$$

$$\ll (N_B - N_{\bar{B}}) / N_\gamma = 10^{-10} - 10^{-9}$$



## Not enough CP!

---

Looking for more CP violating effects that would not fit into the Standard Model:

- Precise determination of unitarity triangle parameters in various processes
- Look for effects in new systems (e.g.  $B_s$ )

-> need the next generation of B factories:

- LHCb at the pp collider
- Super B-factory, asymmetric  $e^+e^-$  collider at  $Y(4s)$ .  
with present luminosity  $\times 20++$
- Look for CP in the neutrino sector