

# Measurement of strong-phase difference between $D^0$ and $\overline{D}^0 \rightarrow K_S^0 K^+ K^-$ decay at BESIII



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**BES III**



# Outline

## Flavour Physics

### CKM Matrix

- Measurement of angle  $\gamma$

- BPGGSZ method- model-dependent and model-independent methods

- Strong-phase coefficients

## BEPCII and BESIII

### Dalitz plots

- Dalitz plot binning

- Bin yields, corrections, backgrounds etc.

## Extraction of strong-phase parameters

- Fitter

- Systematic uncertainties

## Impact of strong-phase measurements on $\gamma$

- A sneak-peek into LHCb result

## Summary

# Flavour Physics

**Standard Model** is an QFT that describes the fundamental particles and its interaction (Weak , em and strong)

All test made on SM has been successful. Not full story!

Flavour sector is less well known (more open questions)

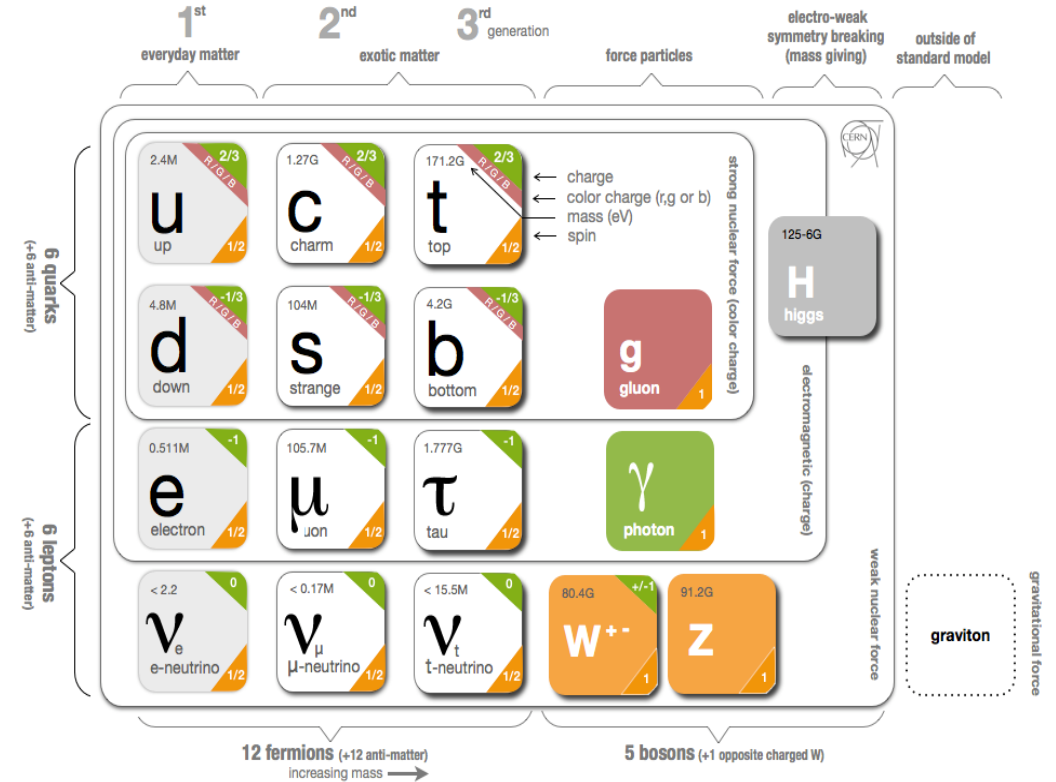
*Flavour relates the existence of family of quarks and how they couple to each other*

## Why flavour physics is interesting?

- Why 3 generations of quarks? Why only 3?
- Extreme hierarchy of masses (2.4 to  $1.75 \times 10^5$ ) MeV/c<sup>2</sup>
- CP violation explained in SM; but not enough to explain matter –antimatter asymmetry
- ....

These mysteries makes flavour physics of SM of great interest

Note: Discussion will be only on quark flavours



**Charm Factories:** BESIII, CLEO-c

**B- Factories:** BELLE, BELLEII, BaBar, LHCb

# Cabbibo-Kobayashi-Maskawa (CKM) matrix

Mixing between weak eigenstates and flavor eigenstates in three generations.

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix} \quad V_{CKM} V_{CKM}^\dagger = I = V_{CKM}^\dagger V_{CKM}$$



2008

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3 angles and 1 phase required to write it down



2008

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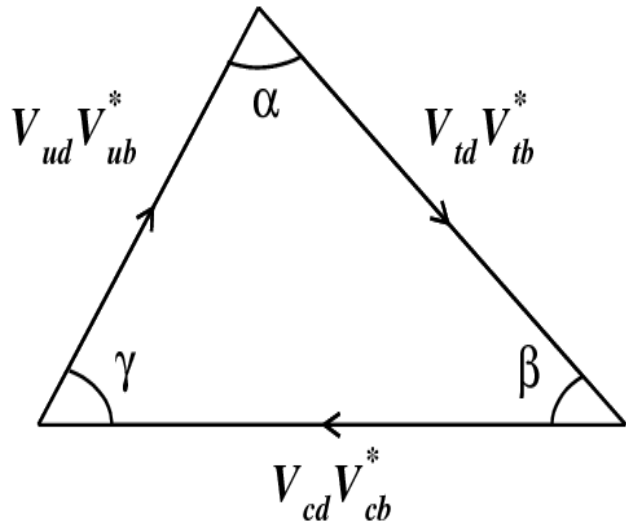
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$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0 \quad (\text{b-d unitary triangle})$$



$$\alpha/\phi_2 = (84.9_{-4.5}^{+5.1})^\circ$$

$$\beta/\phi_1 = (22.2 \pm 0.7)^\circ$$

$$\gamma/\phi_3 = (71.1_{-5.3}^{+4.6})^\circ$$

<http://ckmfitter.in2p3.fr>

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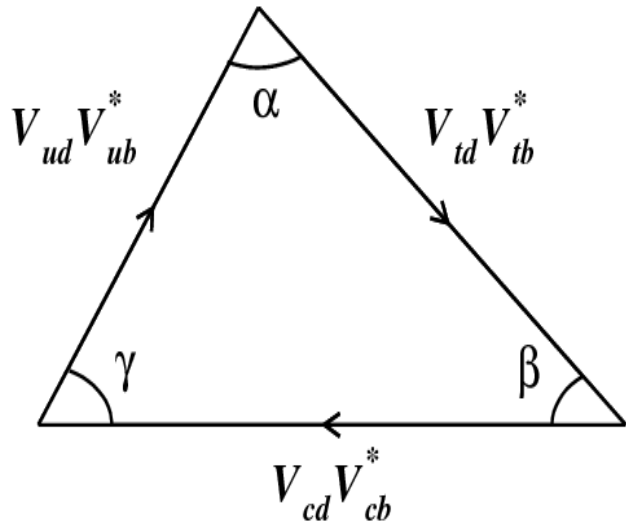


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One aim of flavor physics experiments is to measure CKM parameters precisely

# CKM angle $\gamma$

**Direct measurements**

**Indirect measurements**

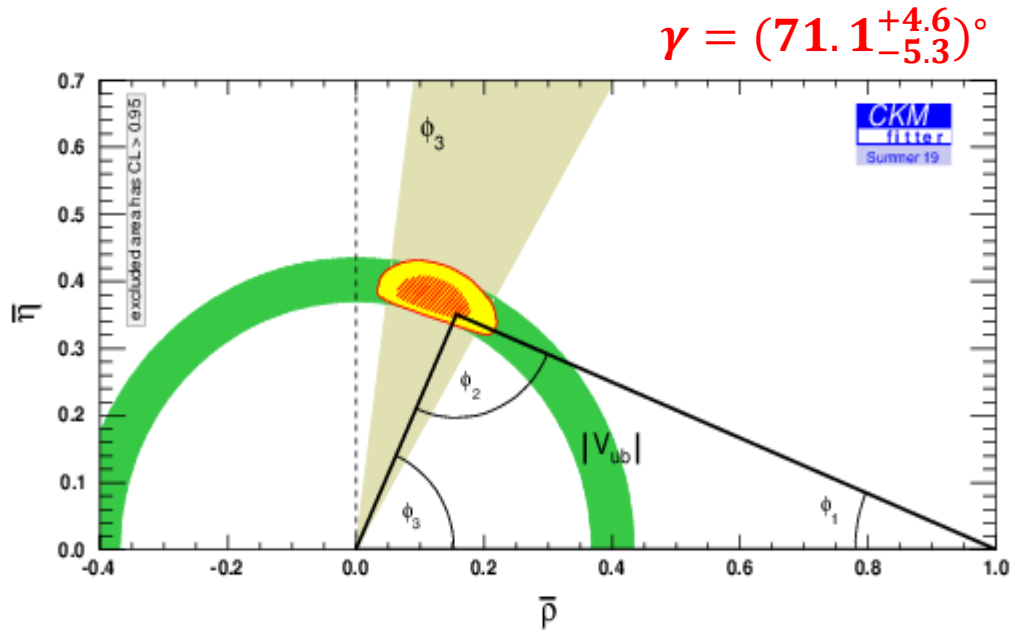


# CKM angle $\gamma$

## Direct measurements

- Measure it using tree level decays
- Theoretical uncertainty  $\mathcal{O}(10^{-7})$

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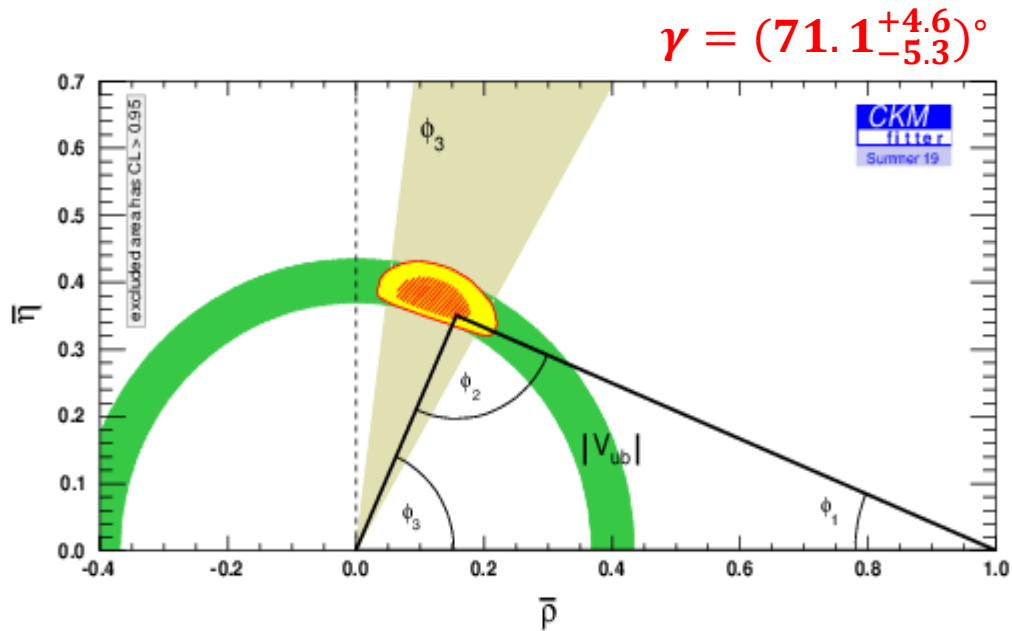


Large experimental uncertainties, potential for further improvement in coming years

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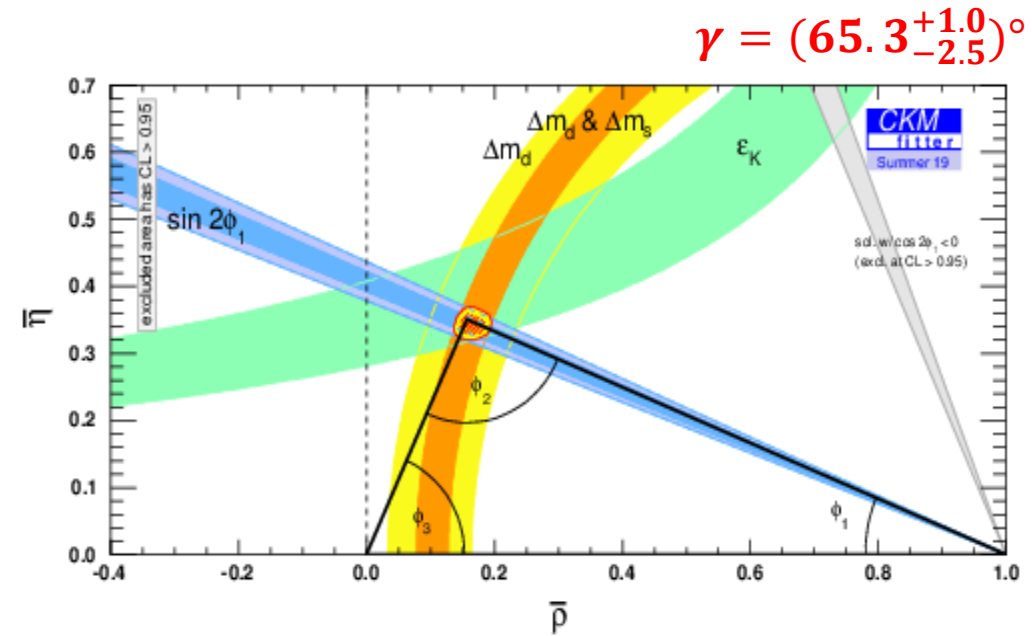
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- Infer the value of  $\gamma$  using other sides of triangle, assuming the triangle is closed
- NP effects can play – potential for different central value

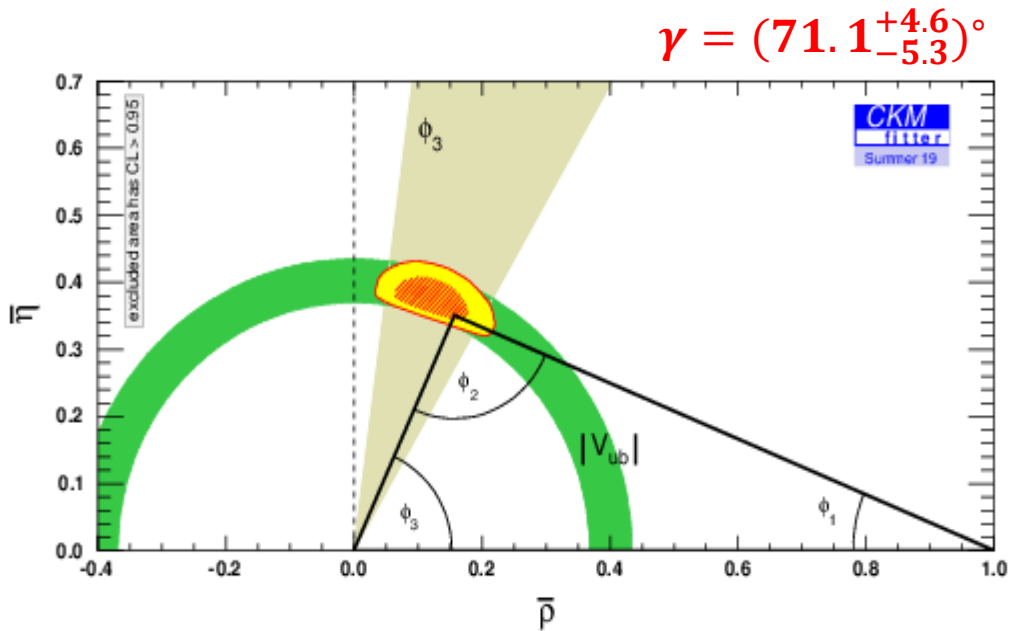


Uncertainties from LQCD

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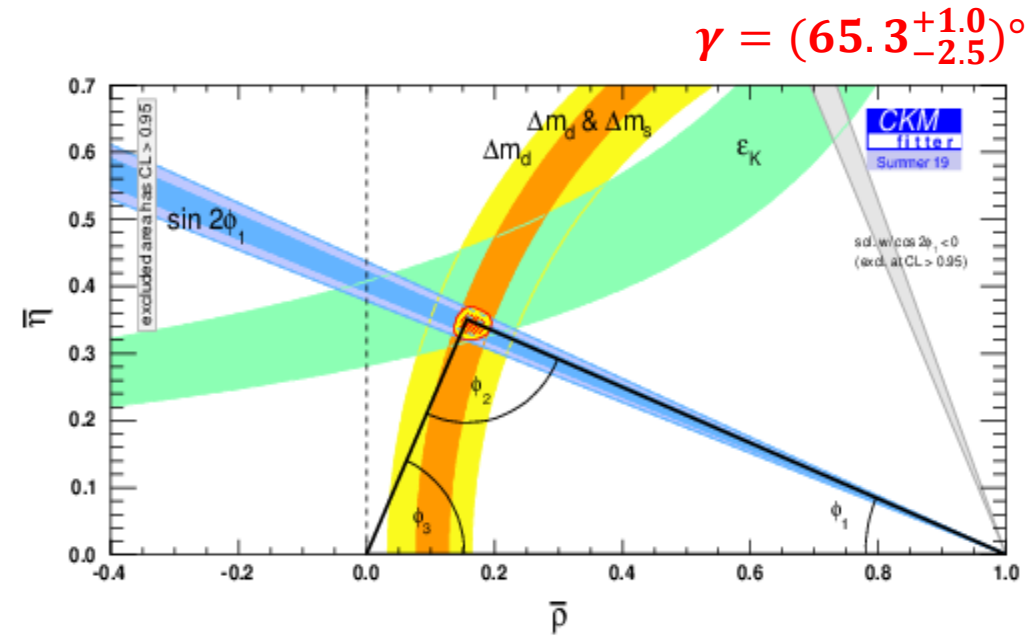
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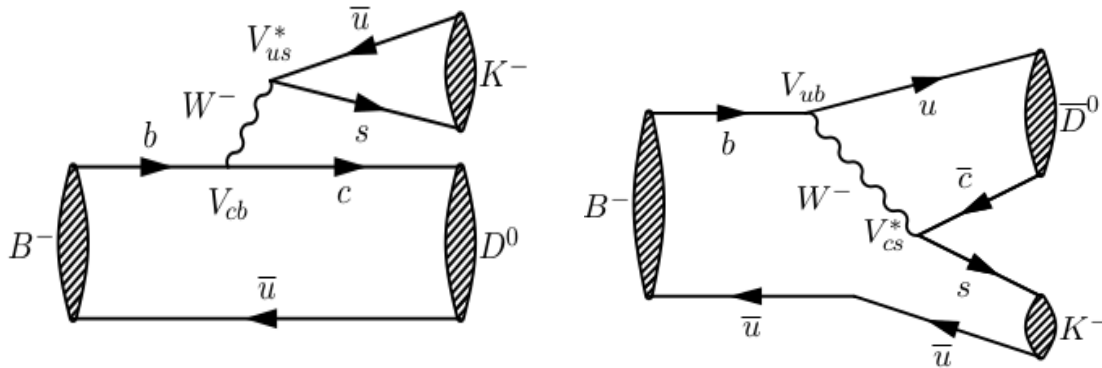
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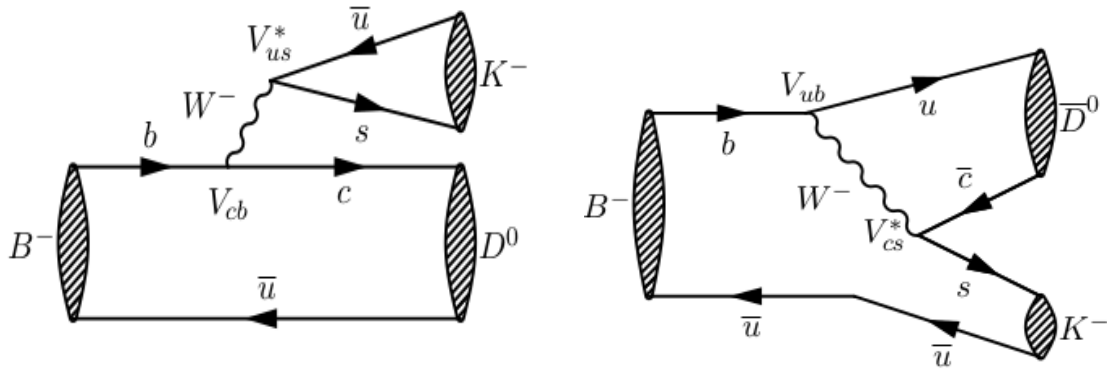
**Precise measurement required for meaningful comparison**

# Measurement of $\gamma/\phi_3$



$B^\pm \rightarrow DK^\pm$  where  $D = D^0$  or  $\bar{D}^0$  (PLB 265, 172 (1991))

# Measurement of $\gamma/\phi_3$



Common final states-possibility of interference – access to phase term

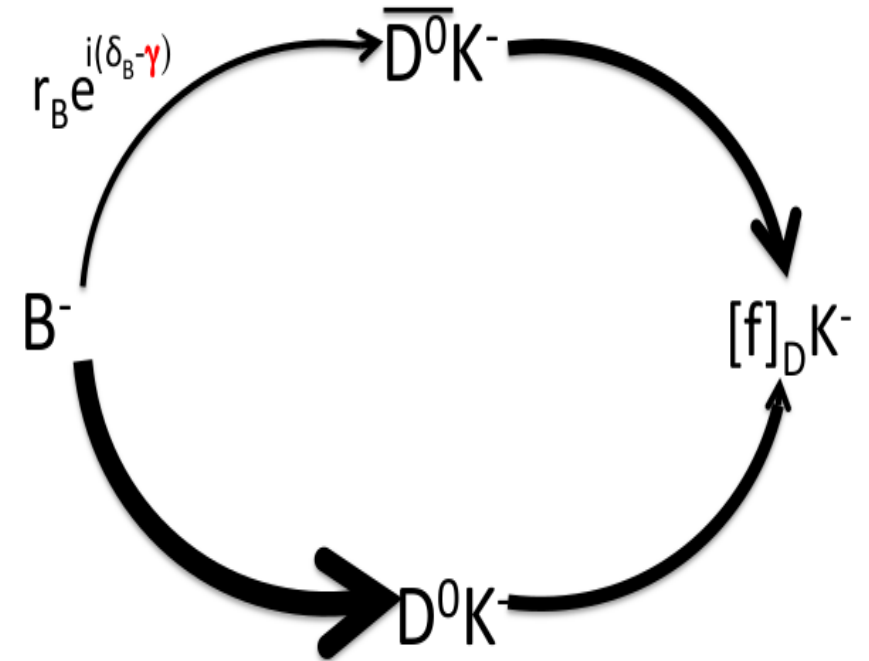
$$\Gamma \propto |f(B^- \rightarrow DK^-)|^2 = A_B^2 + A_B^2 r_B^2 + 2A_B^2 r_B^2 \cos(\delta_B - \gamma)$$

$$r_B = \left| \frac{f(B^- \rightarrow \overline{D^0}K^-)}{f(B^- \rightarrow D^0K^-)} \right|$$

Sensitivity to  $\gamma$  comes from interference

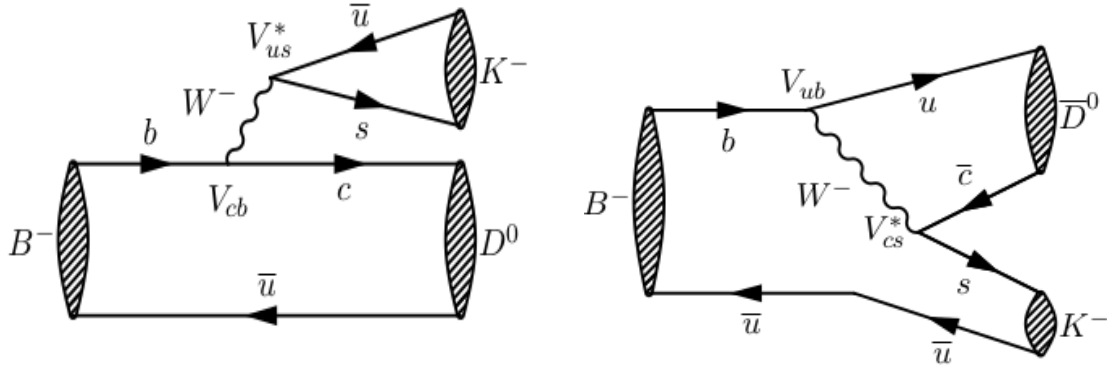
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Other related modes with  $D^*$  or  $K^*$  in final states can also be used

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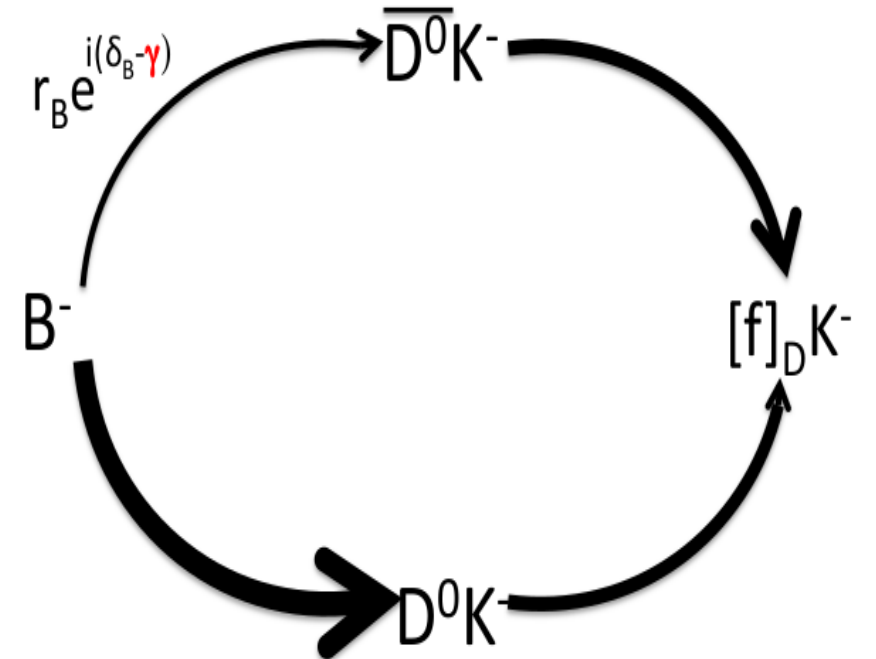
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Sensitivity to  $\gamma$  comes from interference

$B^\pm \rightarrow D\pi^\pm$  modes has larger BF but small value of  $r_B$  less sensitive to  $\gamma$

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## Methods to measure $\gamma$ from $B^\pm \rightarrow DK^\pm$

- ❑ **Gronau, London and Wyler method (GLW):**  $D$  decay to CP eigenstate,  $K^-K^+$ ,  $K_S^0\pi^0$  ... PLB 265, 172 (1991)
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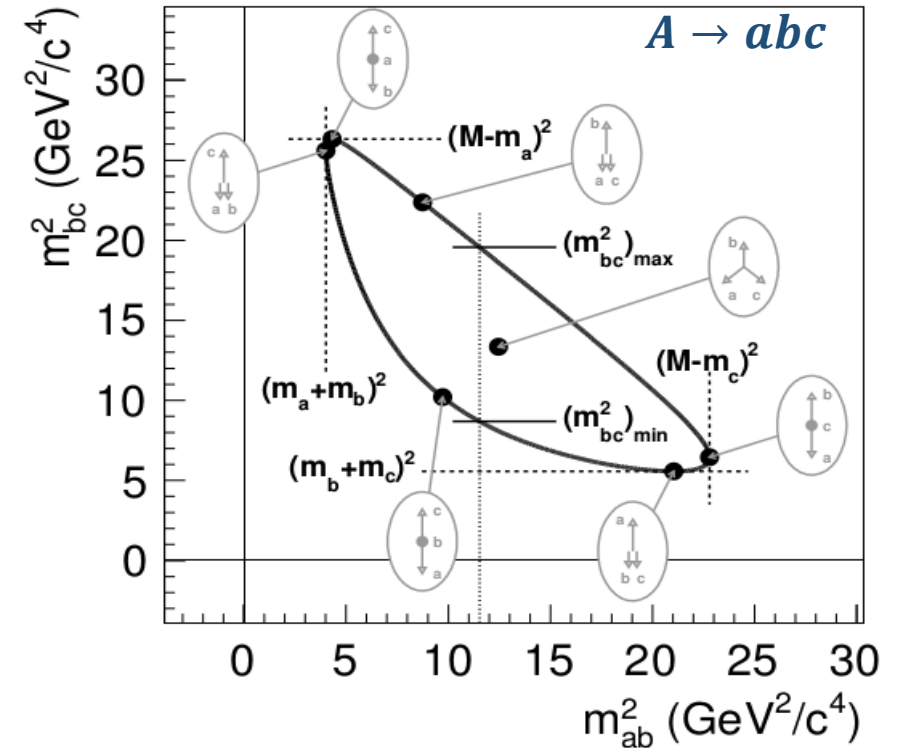
## BPGGSZ method

Dalitz plot analysis of multibody final states.

**Dalitz plot:** A scatter plot of decay in terms of Lorentz invariant quantities Phil. Mag. 44, 1068 (1953)

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Multibody final states: decays proceeds through various intermediate final states.





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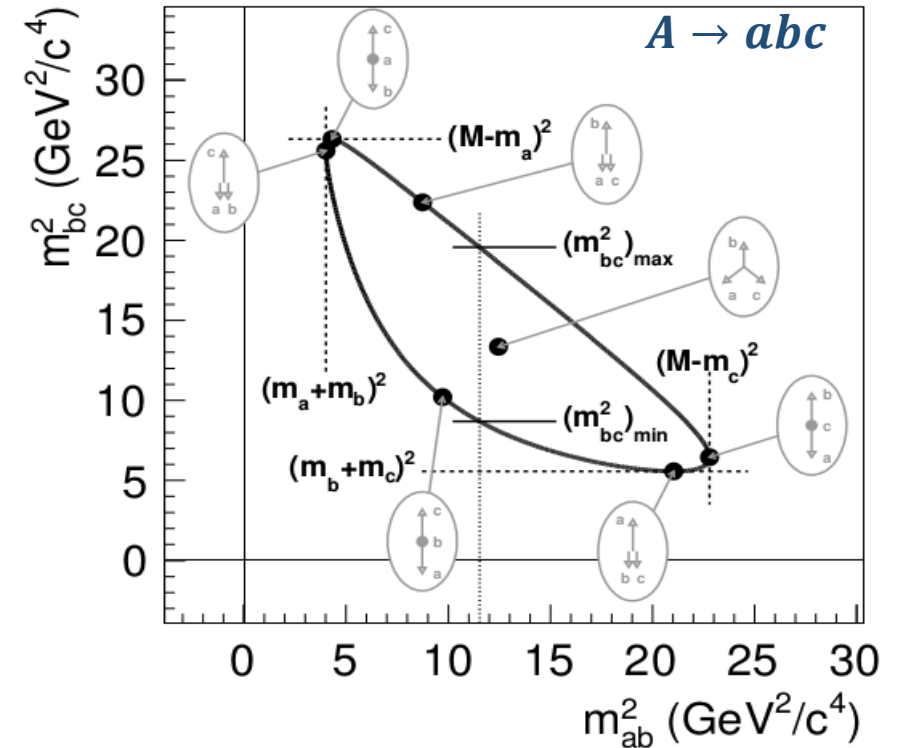
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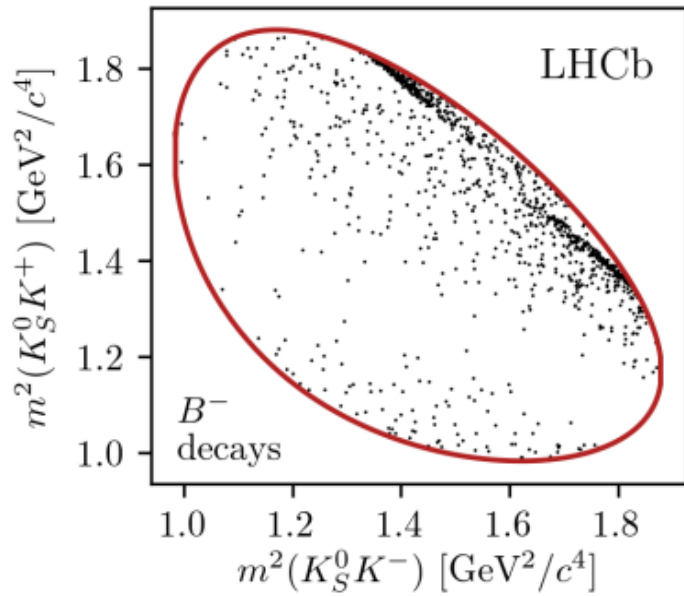
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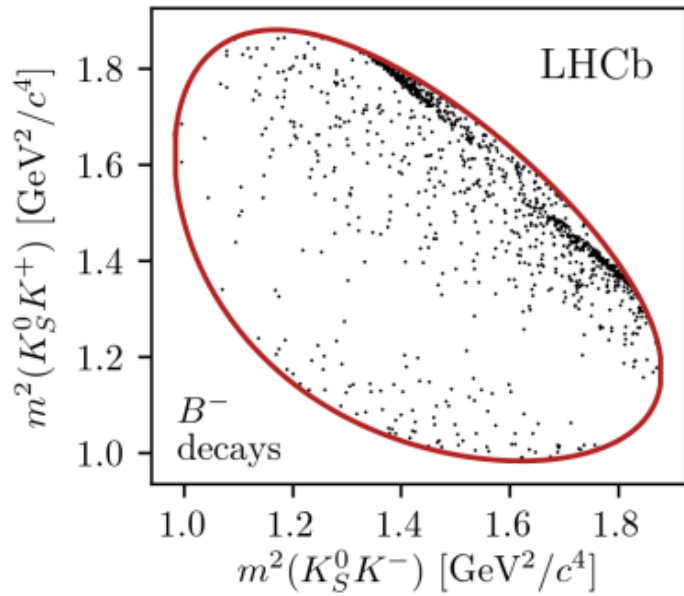
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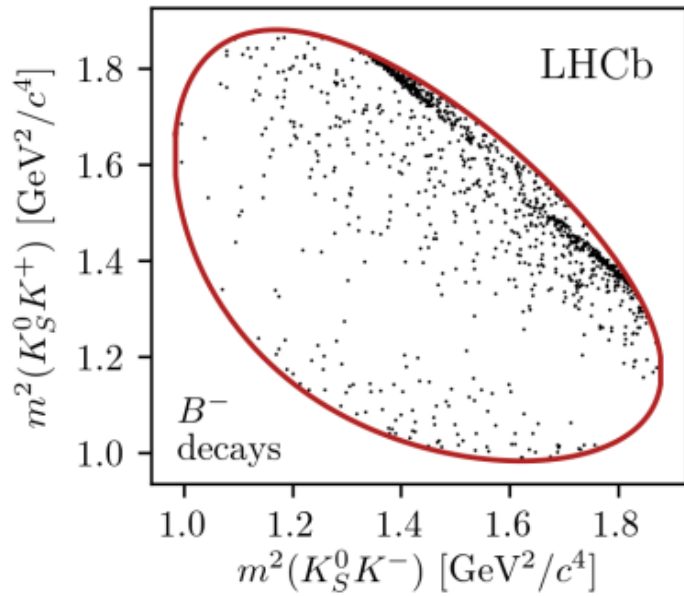
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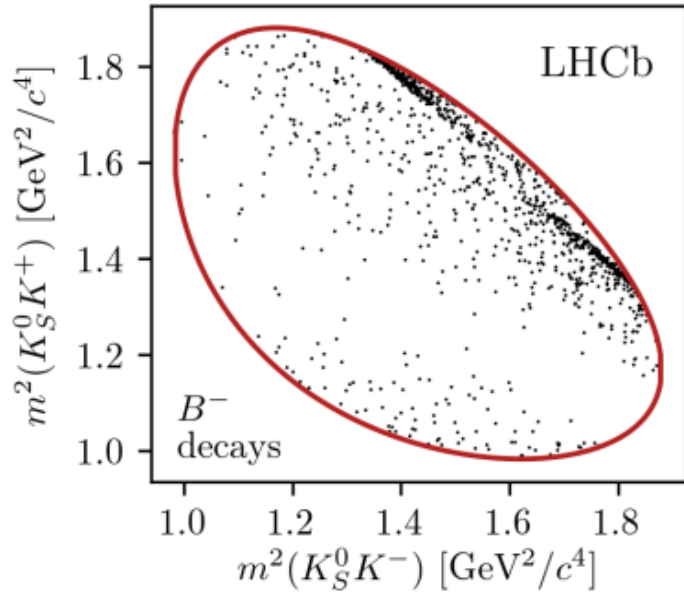
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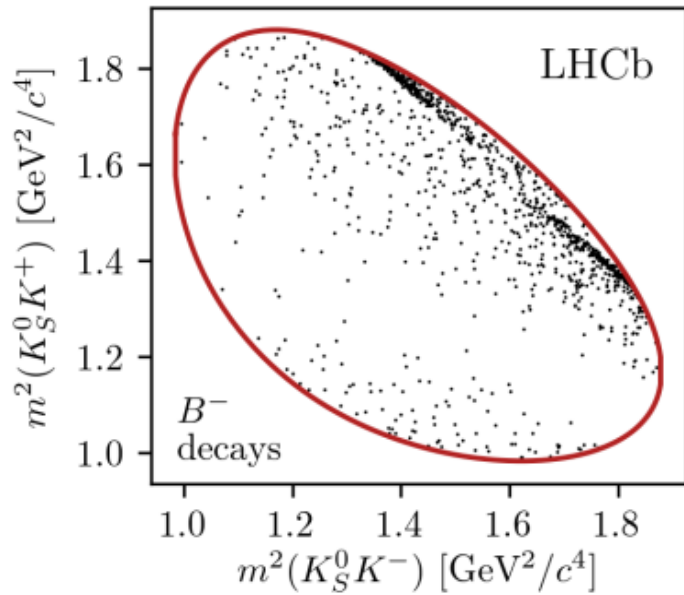


$$d\Gamma(B^- \rightarrow D(K_S^0 K^+ K^-)K^-) \propto (|f_D(m_+^2, m_-^2)|^2 + r_B^2 |f_D(m_-^2, m_+^2)|^2 + 2r_B \Re[f_D(m_+^2, m_-^2) f_D^*(m_-^2, m_+^2) e^{-i(\delta_B - \gamma)}]) dm_+^2 dm_-^2$$

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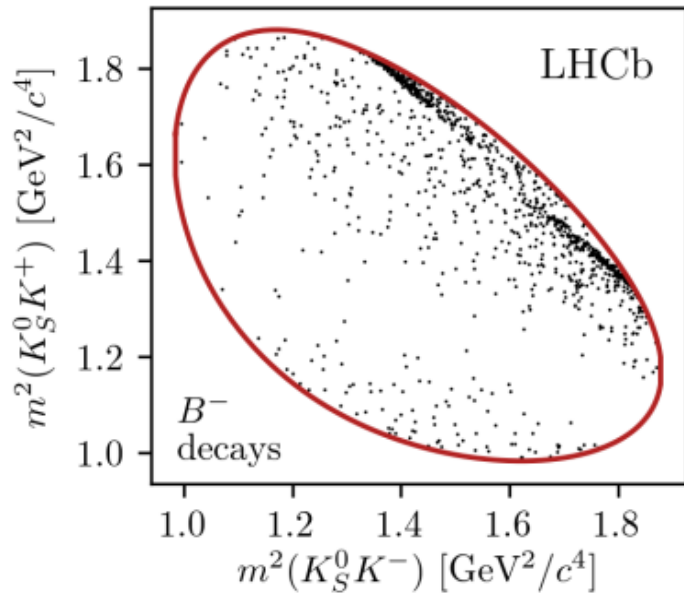
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Knowledge of D decay dynamics crucial

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## Knowledge of D decay dynamics crucial

Multibody decays proceeds through various intermediate resonant state hence large Strong-phase variations expected over Dalitz plot.

$$\mathcal{BF}(D^0 \rightarrow K_S^0 K^+ K^-) = (4.45 \pm 0.19) \times 10^{-3}$$

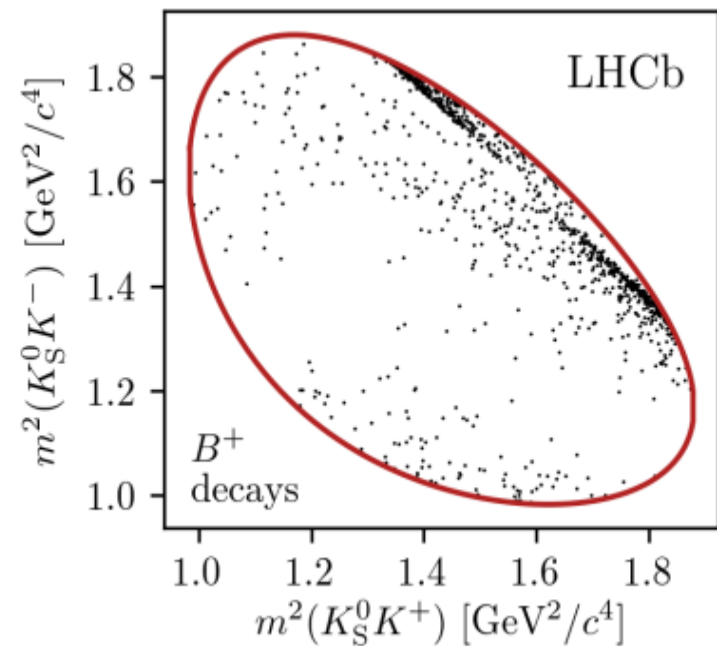
(PDG) PTEP 2020, 083C01 (2020) 24



# BPGGSZ method

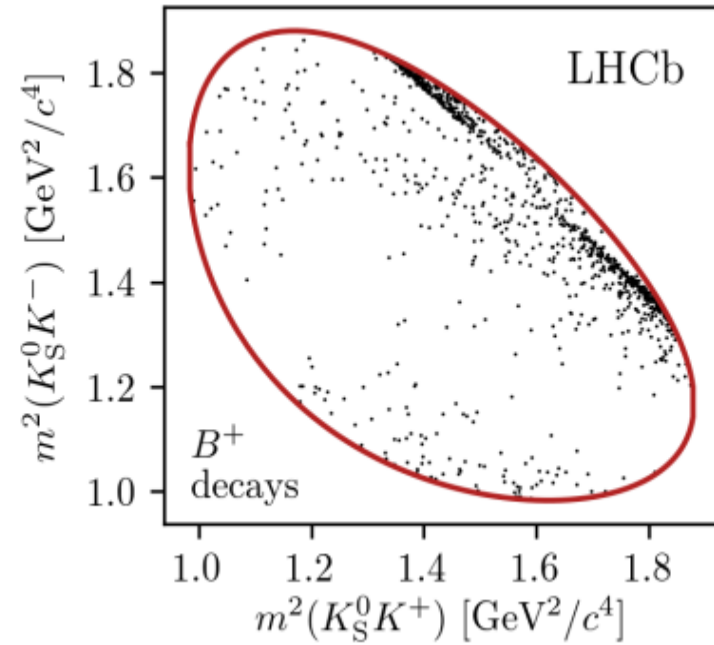
## Model-dependent measurements

□  $f_D(m_+^2, m_-^2)$  from an amplitude model for  $D \rightarrow K_S^0 K^+ K^-$



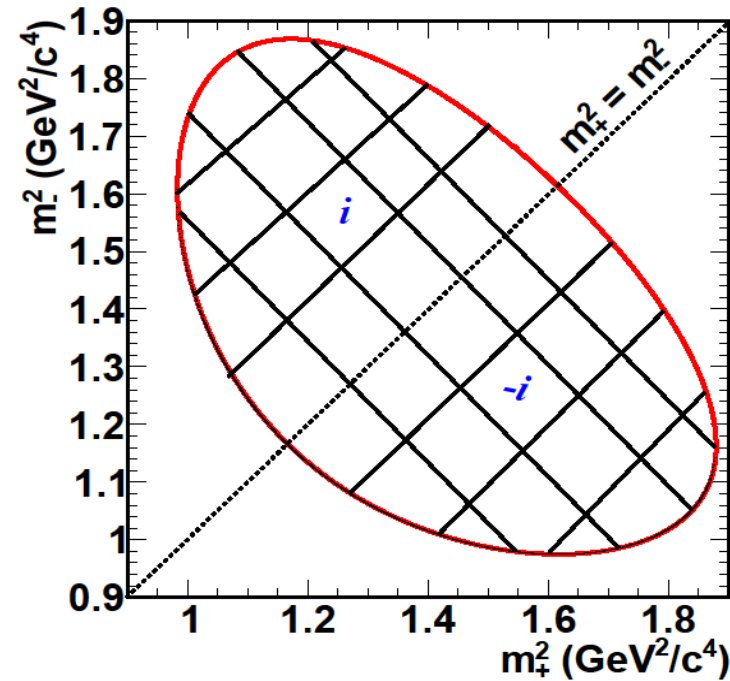
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## Model-independent measurements

- Binned Dalitz plot
- Requires amplitude weighted average values of  $\Delta\delta_D(m_+^2, m_-^2)$  in each bins

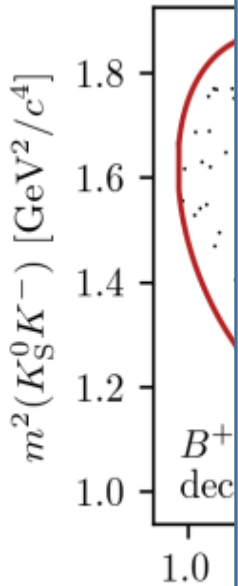


# BPGGSZ method

Model-dependent measurements

Model-independent measurements

$f_D(m_+^2)$



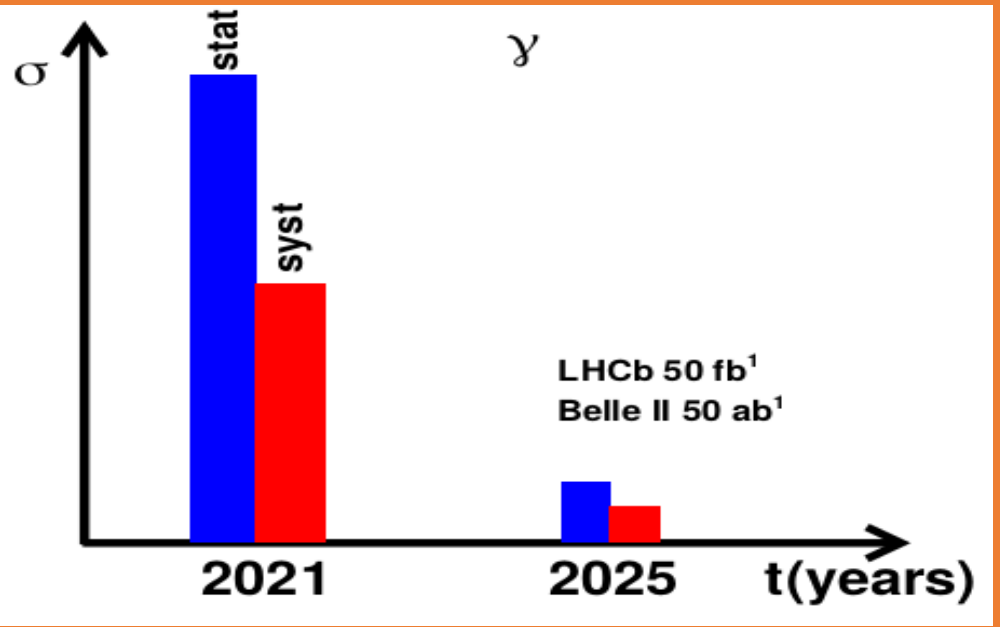
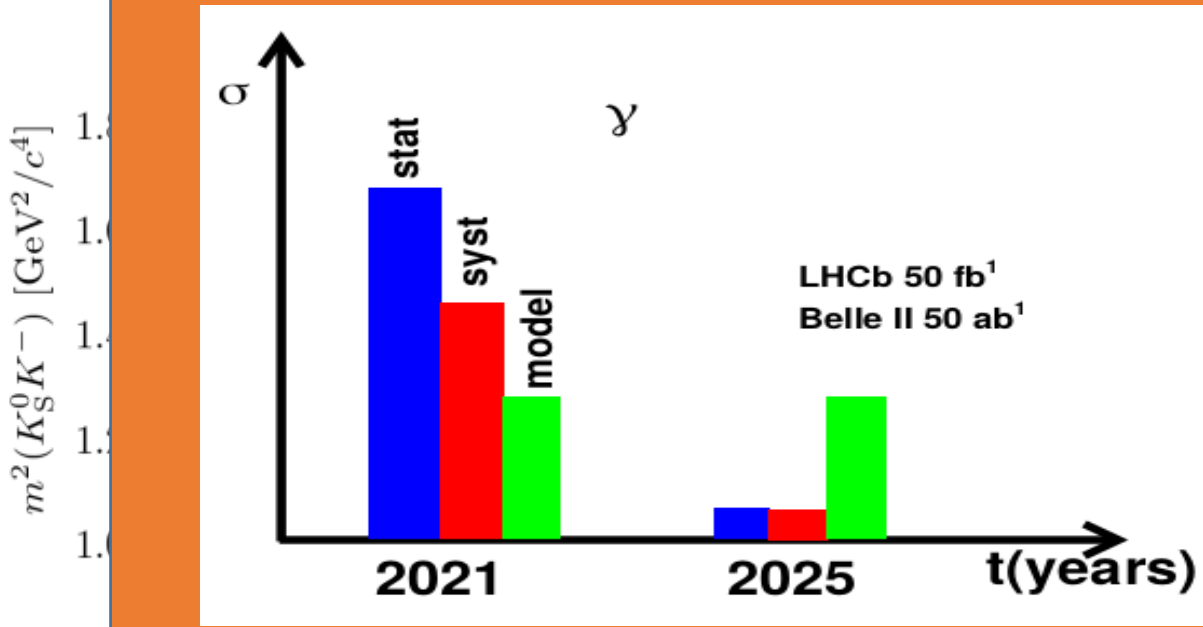
## Which one?

BPGGSZ method

Model-dependent measurements

Model-independent measurements

Which one?



Better statistical sensitivity  
Model uncertainty of 3 – 9 degrees

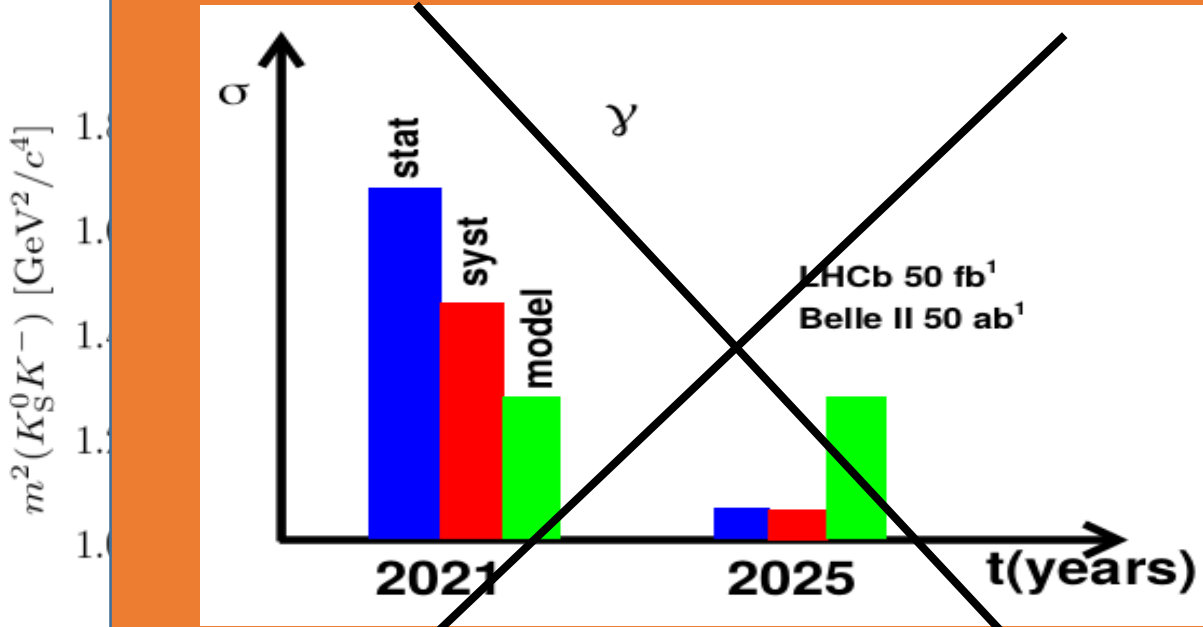
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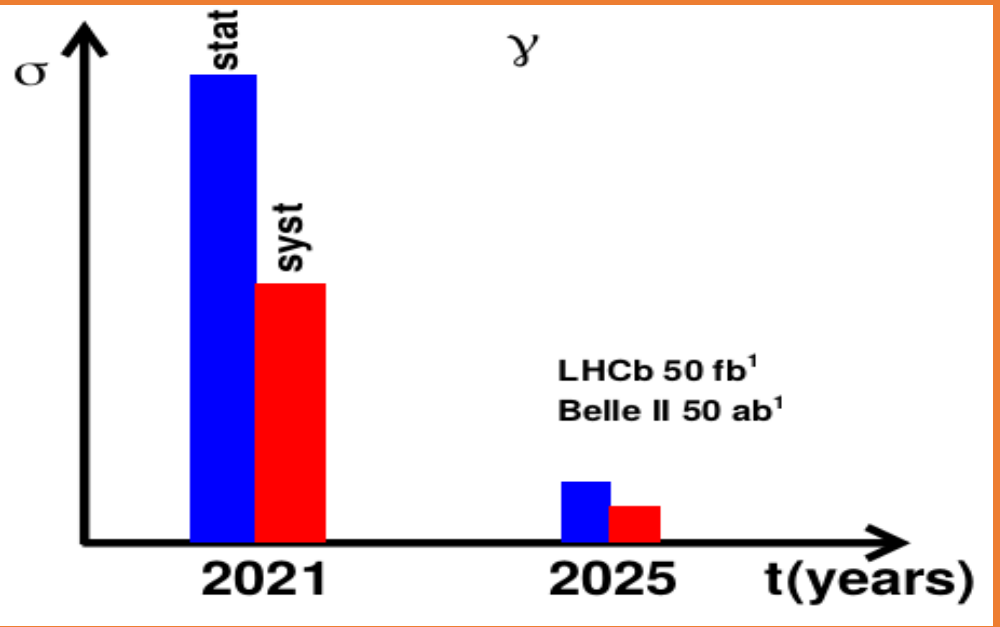
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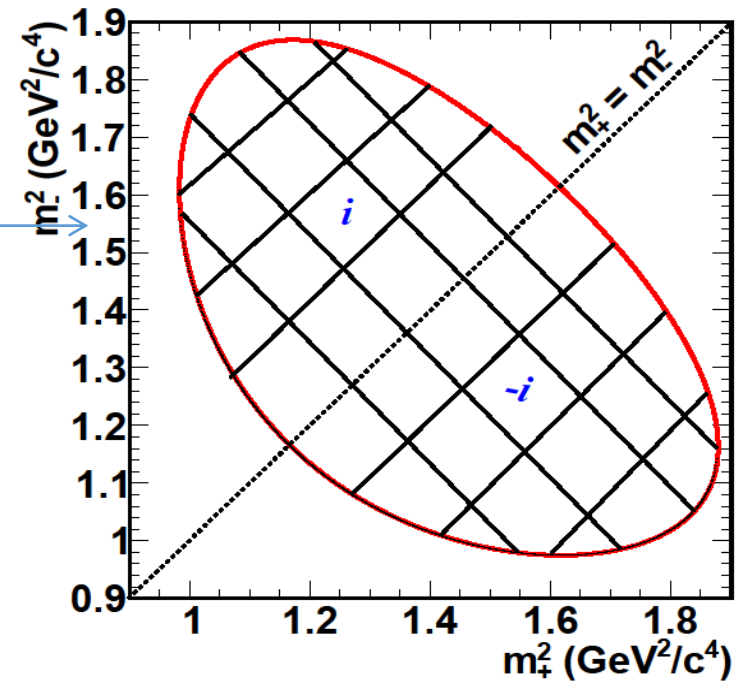
# Model-independent measurement of $\gamma$

Steps:

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## Steps:

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Square binning scheme



# Model-independent measurement of $\gamma$

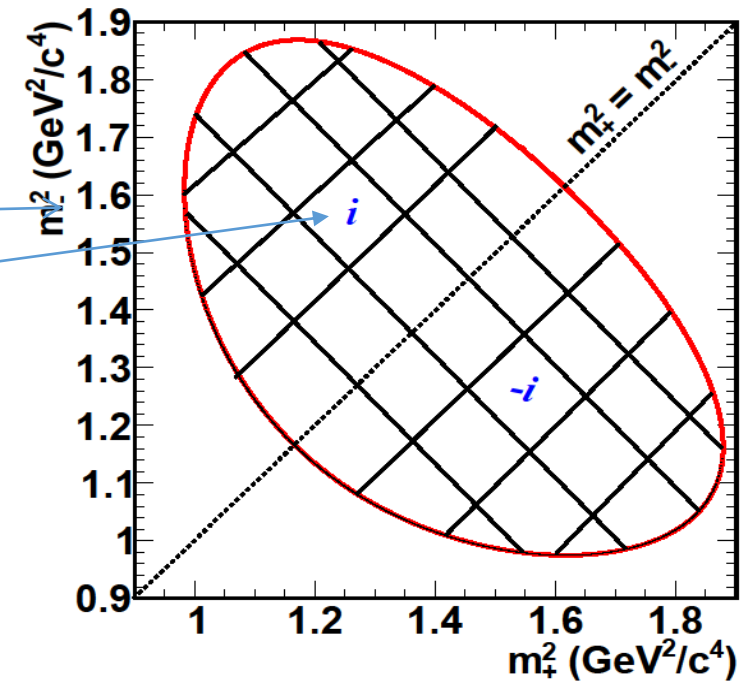
## Steps:

- Divide Dalitz plot into  $2\mathcal{N}$  bins (indexed  $i$ ), symmetrically around  $m_+^2 = m_-^2$  line (**Note**: no assumptions on bin shapes) PRD 68, 054018 (2003)
- Yield of  $B^\pm \rightarrow D(K_S^0 K^+ K^-) K^\pm$  decay in  $i^{\text{th}}$  bin

$$N_i^\mp \propto (K_{\pm i} + r_B^2 K_{\mp i} + 2\sqrt{K_i K_{-i}} (x_{B^\mp} c_i + y_{B^\mp} s_i))$$

$$x_{B^\pm} = r_B \cos(\delta_B \pm \gamma) \quad y_{B^\pm} = r_B \sin(\delta_B \pm \gamma) \quad r_B^2 = x_{B^\pm}^2 + y_{B^\pm}^2$$

$K_i$ : Flavor-tagged  $D^0 \rightarrow K_S^0 K^+ K^-$  events



Square binning scheme

# Model-independent measurement of $\gamma$

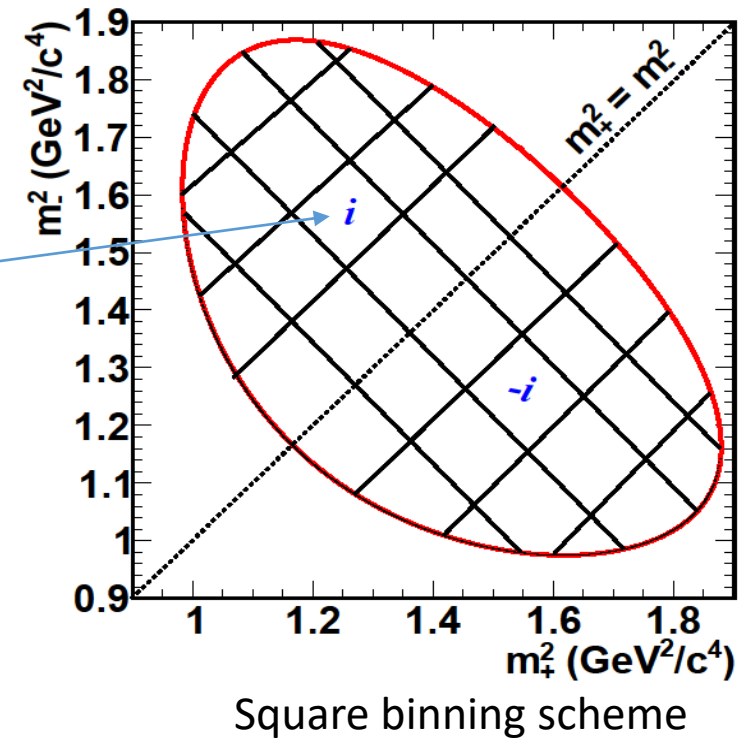
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$K_i$ : Flavor-tagged  $D^0 \rightarrow K_S^0 K^+ K^-$  events



$$c_i = \frac{\int_i |f_D(m_+^2, m_-^2)| |f_D(m_-^2, m_+^2)| \times \cos(\Delta\delta_D(m_+^2, m_-^2)) dm_+^2, dm_-^2}{\sqrt{F_i F_{-i}}}$$

$$s_i = \frac{\int_i |f_D(m_+^2, m_-^2)| |f_D(m_-^2, m_+^2)| \times \sin(\Delta\delta_D(m_+^2, m_-^2)) dm_+^2, dm_-^2}{\sqrt{F_i F_{-i}}}$$

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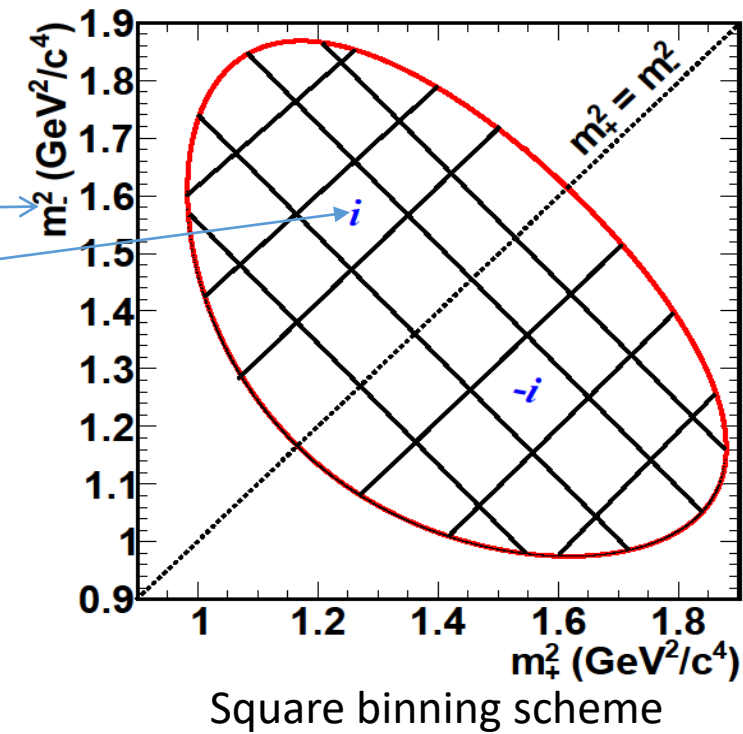
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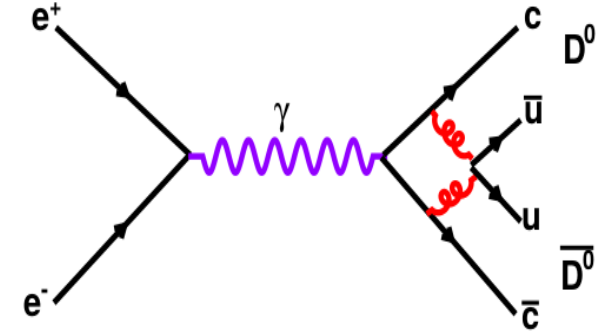
$$s_i = \frac{\int_i |f_D(m_+^2, m_-^2)| |f_D(m_-^2, m_+^2)| \times \sin(\Delta\delta_D(m_+^2, m_-^2)) dm_+^2, dm_-^2}{\sqrt{F_i F_{-i}}}$$

Needs to be measured first.

# Determination of strong-phase difference from $D^0\overline{D}^0$ sample

$c_i$  and  $s_i$  can be measured using  $e^+e^- \rightarrow \psi(3770) \rightarrow D^0\overline{D}^0$

Data from charm factories collected at  $\sqrt{s} = 3.773$  GeV



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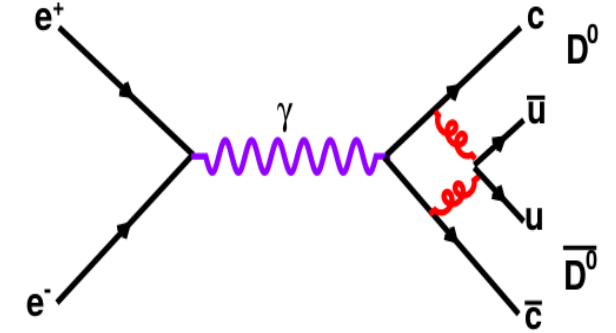
Data from charm factories collected at  $\sqrt{s} = 3.773$  GeV

$D^0\overline{D}^0$  are in quantum correlated ( $C = -1$  state)

$$e^+e^- \rightarrow \psi(3770) \rightarrow \frac{1}{\sqrt{2}} [D^0\overline{D}^0 - \overline{D}^0D^0]$$

$$e^+e^- \rightarrow \psi(3770) \rightarrow \frac{1}{\sqrt{2}} [D_{CP-}D_{CP+} - D_{CP+}D_{CP-}]$$

$$D_{CP\pm} = \frac{(D^0 \pm \overline{D}^0)}{\sqrt{2}}$$



Both  $D$  has opposite  $CP$  to each other: reconstructing one  $D$  in  $CP$  eigenstates gives the  $CP$  of other  $D$

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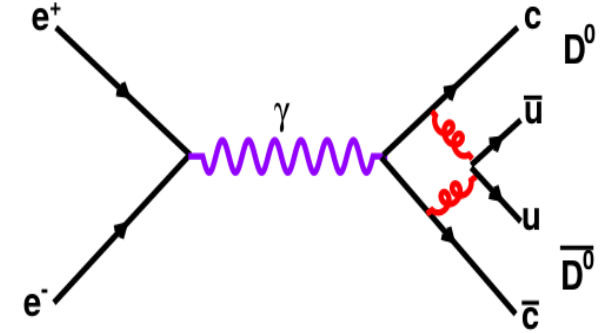
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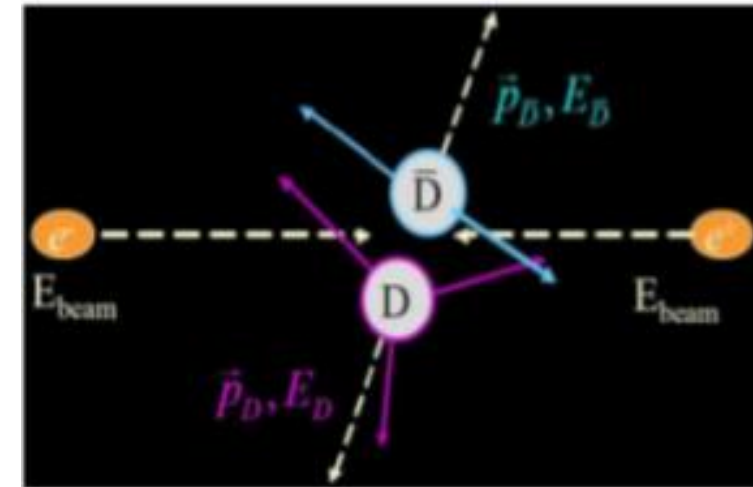
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## Tagging



# Determination of strong-phase difference from $D^0\bar{D}^0$ sample

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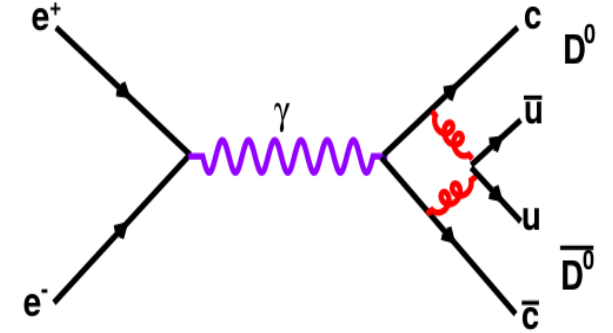
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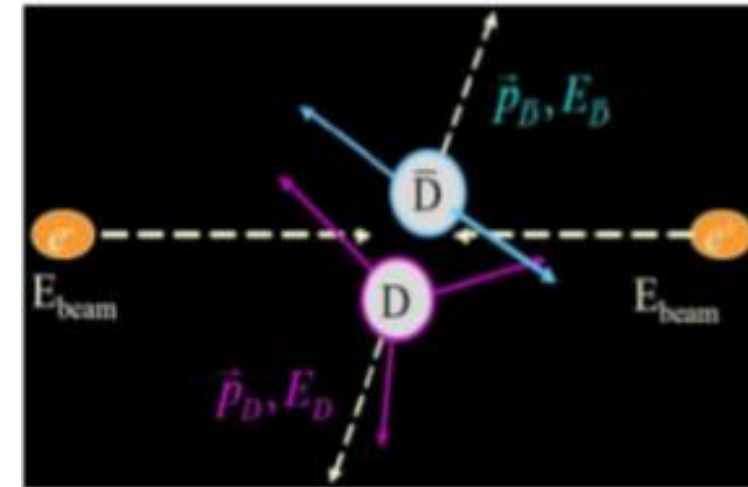
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## Tagging

**Singletag (ST):** Only one  $D$  meson is reconstructed in an event

For eg:  $D^0 \rightarrow K_S^0 K^+ K^-$  vs  $\bar{D}^0 \rightarrow \text{anything}$

or  $D^0 \rightarrow \text{anything}$  vs  $\bar{D}^0 \rightarrow K_S^0 K^+ K^-$



# Determination of strong-phase difference from $D^0\bar{D}^0$ sample

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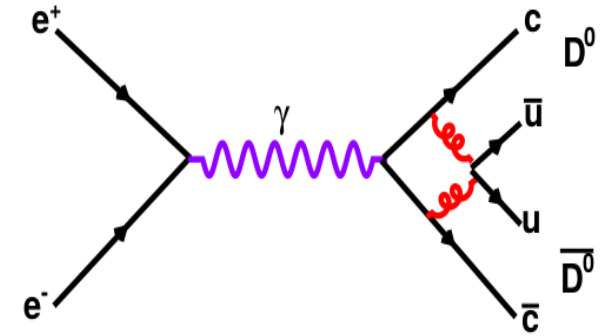
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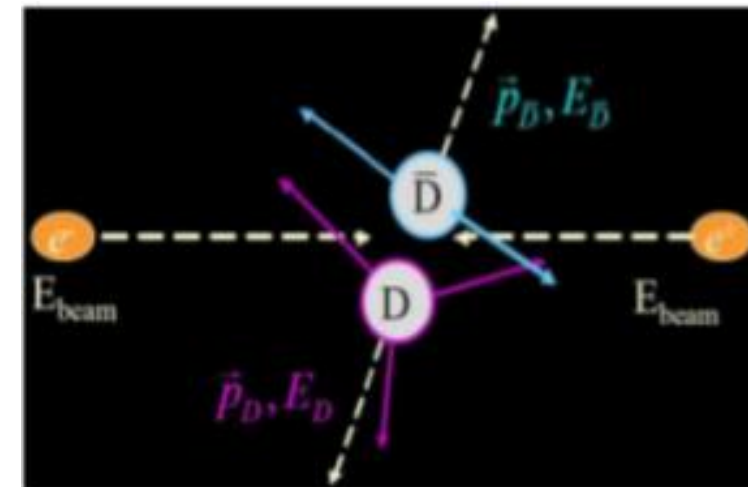
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**Double tag (DT):** Both  $D$  mesons reconstructed in an event

For eg:  $D^0 \rightarrow K_S^0 K^+ K^-$  vs  $\bar{D}^0 \rightarrow K^+ K^-$   
 or  $D^0 \rightarrow K^+ K^-$  vs  $\bar{D}^0 \rightarrow K_S^0 K^+ K^-$



Flavor identification not possible





$c_i$  can be determined from  $CP$ -tagged  $K_S^0 K^+ K^-$  events (DT  $K_S^0 K^+ K^-$  vs  $CP_{\pm}$  tag modes)

$$\langle M_i^{\pm} \rangle = \frac{S_{\pm}}{S_f} \left( K_i - 2c_i(2F_+ - 1)\sqrt{K_i K_{-i}} + K_{-i} \right) \times \epsilon_{\text{DT},i}$$

$F_+ = 1$  (0) for pure  $CP+$  ( $CP-$ ) states

$c_i$  can be determined from  $CP$ -tagged  $K_S^0 K^+ K^-$  events (DT  $K_S^0 K^+ K^-$  vs  $CP_{\pm}$  tag modes)

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$c_i$  and  $s_i$  can be determined from  $K_S^0 K^+ K^-$  vs  $K_S^0 h^+ h^-$  ( $h = K, \pi$ )

$$\langle M_{ij} \rangle = \frac{N_{D^0 \bar{D}^0}}{2s_f^2} (K_i K_{-j} + K_{-i} K_j - 2\sqrt{K_i K_{-j} K_{-i} K_j} (c_i c_j + s_i s_j)) \times \epsilon_{DT,ij}$$

**Done !**

$F_+ = 1$  (0) for pure  $CP_+$  ( $CP_-$ ) states

For  $K_S^0 K^+ K^-$  vs  $K_S^0 \pi^+ \pi^-$   $c_j, s_j$  corresponds to strong phase parameters of  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$

PRL 124, 241802 (2020)

PRD 124, 241802 (2020)

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PRL 124, 241802 (2020)  
PRD 124, 241802 (2020)

$$\langle M_{ij} \rangle = \frac{N_{D^0 \bar{D}^0}}{2S_f^2} (K_i K_{-j} + K_{-i} K_j - 2\sqrt{K_i K_{-j} K_{-i} K_j} (c_i c_j + s_i s_j)) \times \epsilon_{DT,ij}$$

# Done !

For  $D^0 \rightarrow K_L^0 K^+ K^-$  we can measure  $c'_i$  and  $s'_i$

$c'_i$  and  $s'_i$  not required for  $\gamma$  measurements

$c'_i$  can be determined from  $CP$ -tagged  $K_L^0 K^+ K^-$  events

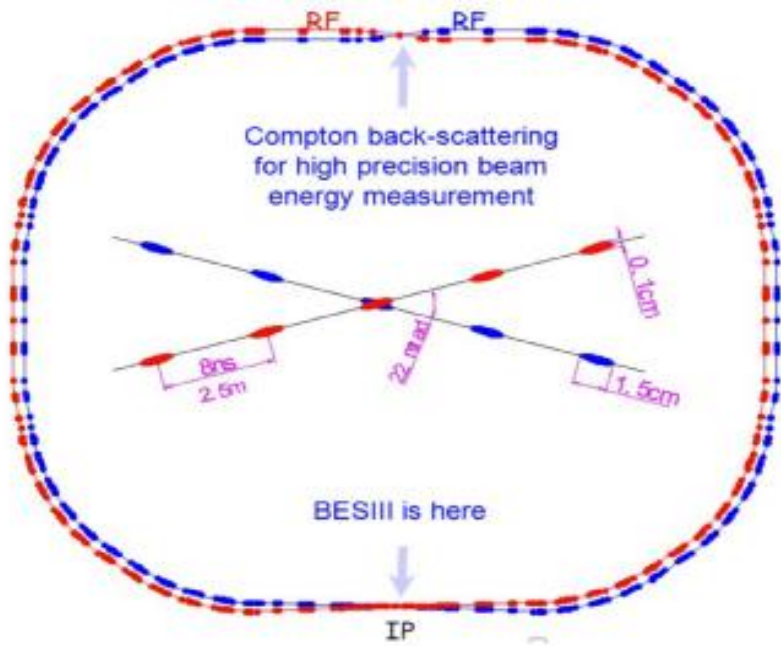
$$\langle M_i'^{\pm} \rangle = \frac{S_{\pm}}{S_f} (K'_i + 2c'_i(2F_+ - 1)\sqrt{K'_i K'_{-i}} + K'_{-i}) \times \epsilon_{DT,i}$$

Required for improving the precision of  $c_i$  and  $s_i$

$c'_i$  and  $s'_i$  can be determined from  $K_L^0 K^+ K^-$  vs  $K_S^0 h^+ h^-$  ( $h = K, \pi$ )

$$\langle M'_{ij} \rangle = \frac{N_{D^0 \bar{D}^0}}{2S_f^2} (K_i K'_{-j} + K_{-i} K'_j - 2\sqrt{K_i K'_{-j} K_{-i} K'_j} (c_i c'_j + s_i s'_j)) \times \epsilon_{DT,ij}$$

# Beijing Spectrometer Experiment (BESIII)

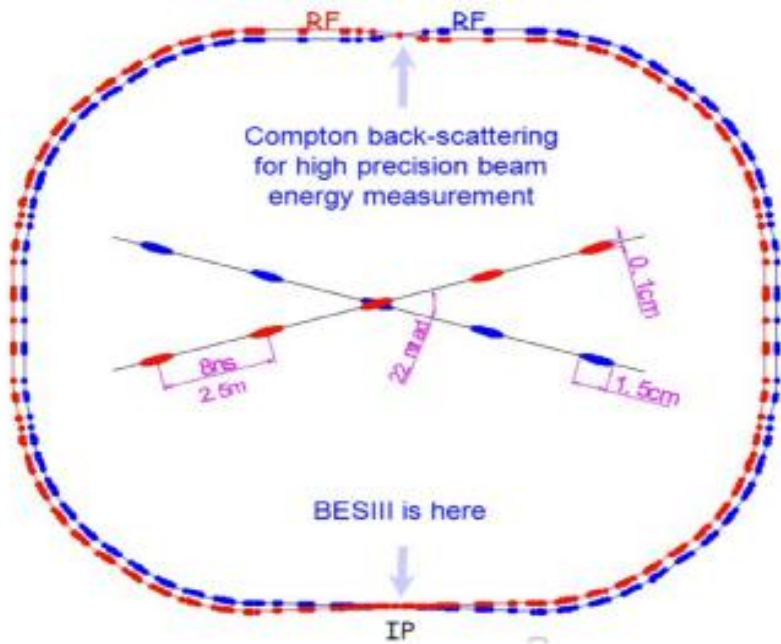


@ IHEP, Beijing

## BEP C II

- ❑ Two ring  $e^+e^-$  symmetric collider;  
circumference: 240 m
- ❑ Design  $\mathcal{L} = 1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- ❑  $\sqrt{s} = 2 - 4.6 \text{ GeV}$

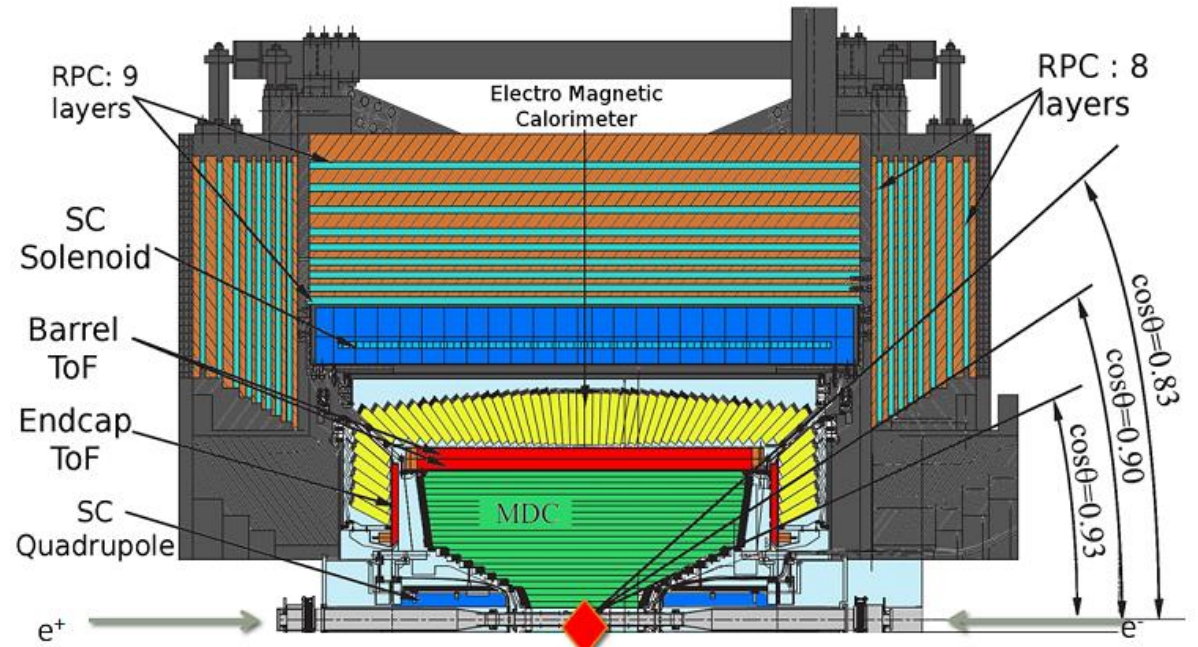
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## BESIII

- ❑ Hermiticity: 93% of  $4\pi$
- ❑ MDC:  $\frac{\sigma_p}{p} = 0.5\% @ 1 \text{ GeV}$
- ❑ TOF:  $\sigma = 80 \text{ ps}$  (100 ps) in barrel and end-cap regions
- ❑ ECL:  $\frac{\sigma_E}{E} = 2.5\% @ 1 \text{ GeV}$
- ❑ SC solenoid: 1T

[arXiv:hep-ex/0809.1869](https://arxiv.org/abs/hep-ex/0809.1869)

# Analysis strategy

## Tag modes

CP and flavor modes will be reconstructed both as ST and DT

- ❑ **Signal:**  $K_S^0 K^+ K^-$ ,  $K_L^0 K^+ K^-$
- ❑ **CP even:**  $K^+ K^-$ ,  $\pi^+ \pi^-$ ,  $K_S^0 \pi^0 \pi^0$ ,  $\pi^+ \pi^- \pi^0$ ,  $K_L^0 \pi^0$ ,  $K_L^0 \omega$ ,  $K_L^0 \eta$ ,  $K_L^0 \eta'$
- ❑ **CP odd:**  $K_S^0 \pi^0$ ,  $K_S^0 \omega$ ,  $K_S^0 \eta$ ,  $K_S^0 \eta'$
- ❑ **Flavor:**  $K^- \pi^+$ ,  $K^- \pi^+ \pi^0$ ,  $K^- e^+ \nu_e$
- ❑ **Mixed CP:**  $K_S^0 \pi^+ \pi^-$ ,  $K_L^0 \pi^+ \pi^-$

Branching fraction  $(1.43 \pm 0.06) \%$

(PDG) PTEP **2020**, 083C01 (2020)

$$F_+ = 0.973 \pm 0.017$$

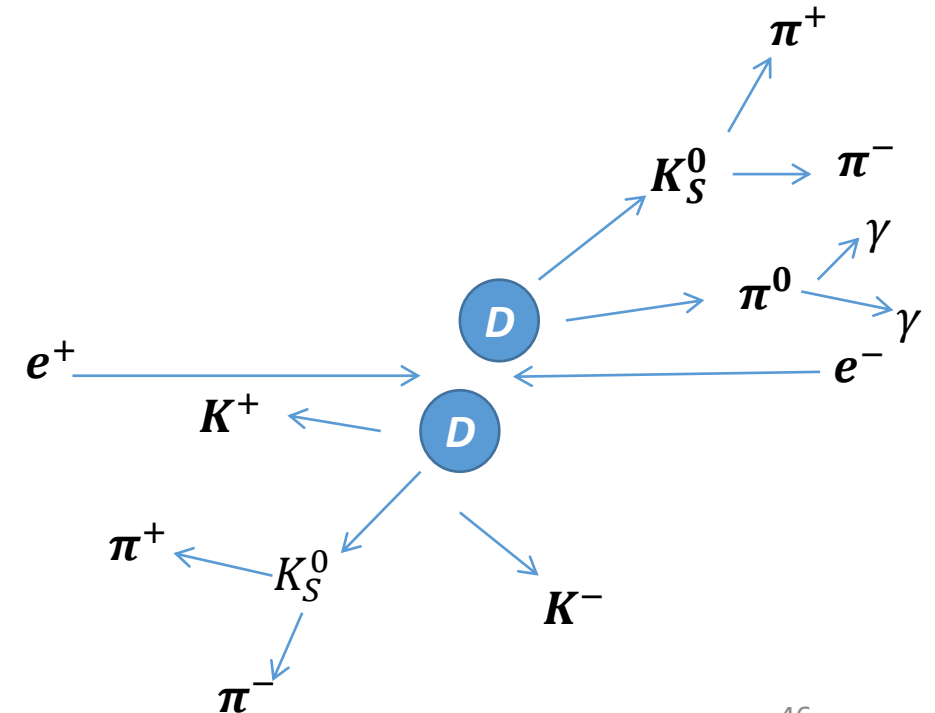
PLB **747**, 9 (2015)

## Reconstruction of final state particles

$$K_S^0 \rightarrow \pi^+ \pi^-, \omega \rightarrow \pi^+ \pi^- \pi^0, \pi^0 \rightarrow \gamma \gamma, \eta \rightarrow \gamma \gamma, \eta' \rightarrow \pi^+ \pi^- \eta$$

Particles are combined to reconstruct final states

- ❑ **Fully reconstructed tags:** Full kinematic reconstruction possible,
- ❑ **Partially reconstructed tags:** Particles having missing particle in final states; no full reconstruction, inference using missing energy and momentum



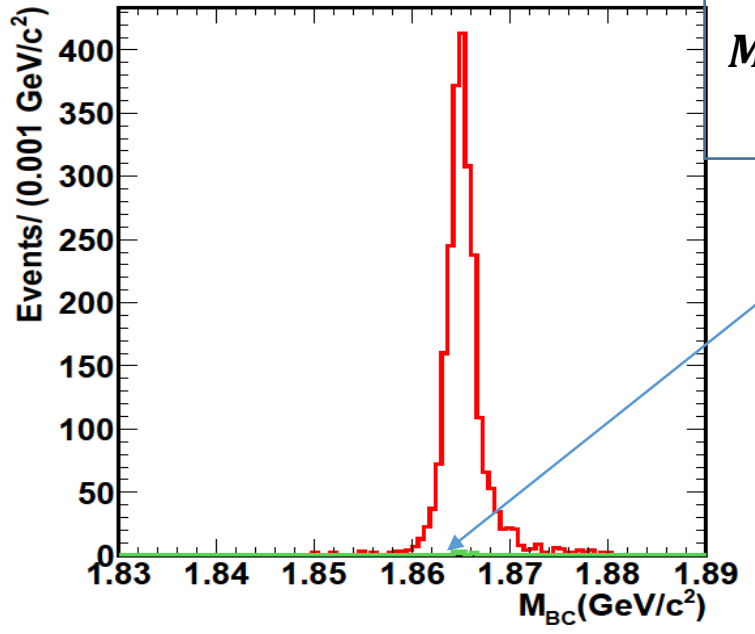
# $D^0$ reconstruction

Beam constrained mass:

Beam energy difference:

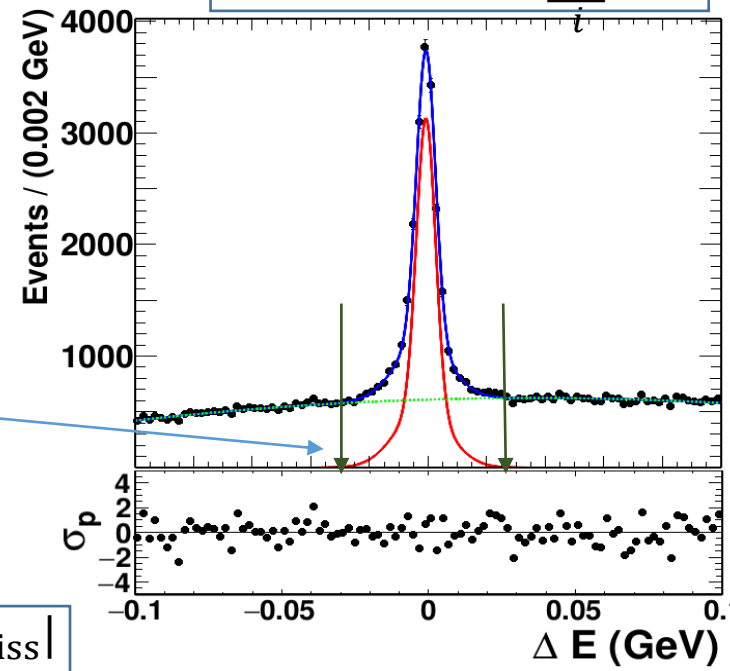
$$\Delta E = E_{\text{beam}} - \sum_i E_i$$

$$M_{BC} = \sqrt{E_{\text{beam}} - \sum_i |p_i|^2}$$



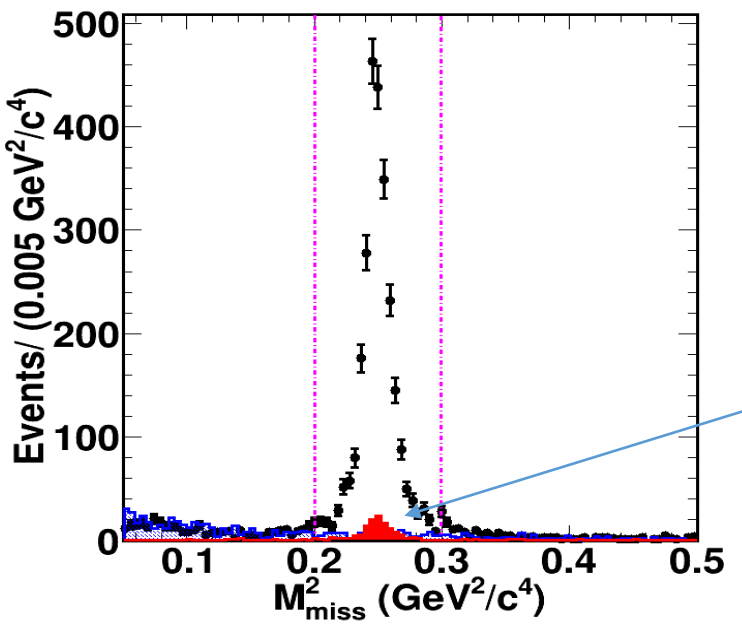
Low background level/high purity samples

Cuts on  $\Delta E$  to reduce combinatorial backgrounds



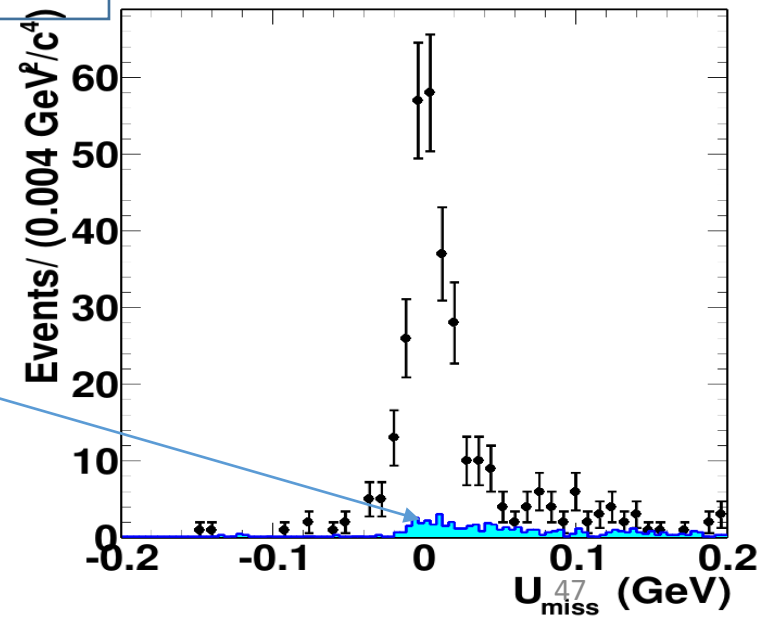
$$U_{\text{miss}} = E_{\text{miss}} - |p_{\text{miss}}|$$

$$M_{\text{miss}}^2 = E_{\text{miss}}^2 - |p_{\text{miss}}|^2$$



Larger backgrounds due to partial reconstruction

Larger yields !



# Data yields

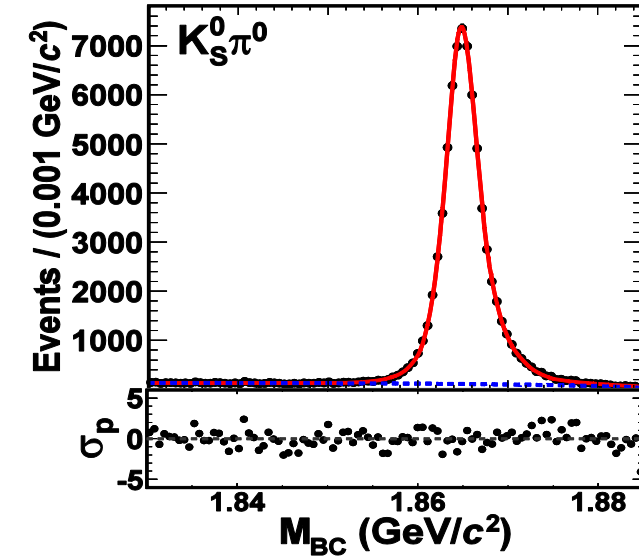
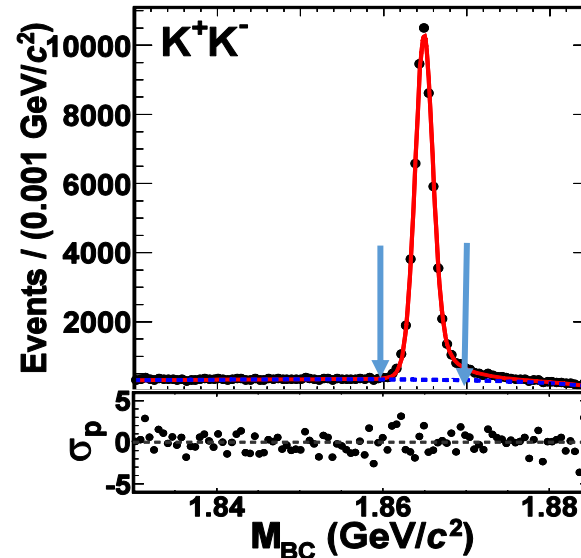
## ST Yield

Total PDF = Signal MC shape  $\otimes$   
Gaussian + Argus

PLB 241, 278 (1990)

$N_{ST}$  by integrating signal PDF

Mode	ST	
	$N_{ST}$	$\epsilon_{ST}(\%)$
<i>Flavor-tags</i>		
$K^- \pi^+$	$524307 \pm 742$	$63.31 \pm 0.06$
$K^- \pi^+ \pi^0$	$995683 \pm 1117$	$31.70 \pm 0.03$
$K^- e^+ \nu_e$	$752387 \pm 12795$	
<i>CP-even tags</i>		
$K^+ K^-$	$53481 \pm 247$	$61.02 \pm 0.11$
$\pi^+ \pi^-$	$19339 \pm 163$	$64.52 \pm 0.11$
$K_S^0 \pi^0 \pi^0$	$19882 \pm 233$	$14.86 \pm 0.08$
$\pi^+ \pi^- \pi^0$	$99981 \pm 618$	$37.65 \pm 0.11$
$K_L^0 \pi^0$	$209445 \pm 14796$	
$K_L^0 \eta(\gamma\gamma)$	$40009 \pm 2543$	
$K_L^0 \omega$	$207376 \pm 11498$	
$K_L^0 \eta'(\pi^+ \pi^- \eta)$	$33683 \pm 1909$	
<i>CP-odd tags</i>		
$K_S^0 \pi^0$	$65072 \pm 281$	$36.92 \pm 0.11$
$K_S^0 \eta(\gamma\gamma)$	$9524 \pm 134$	$32.94 \pm 0.11$
$K_S^0 \omega$	$19262 \pm 157$	$12.14 \pm 0.07$
$K_S^0 \eta'(\pi^+ \pi^- \eta)$	$3301 \pm 62$	$12.46 \pm 0.07$



For partially reconstructed tags

$$N_{ST} = 2 \times N_{D^0 \bar{D}^0} \times \mathcal{BF}$$

Note:  $\mathcal{BF}(K_L^0 X) = \mathcal{BF}(K_S^0 X)$  for  $(X = \eta, \eta', \omega)$

(PDG) PTEP 2020, 083C01 (2020)

Difference expected to be around 10%

PLB 349, 363 (1995)



# DT yield

Sideband subtraction method on 2D  $M_{BC}$  plane

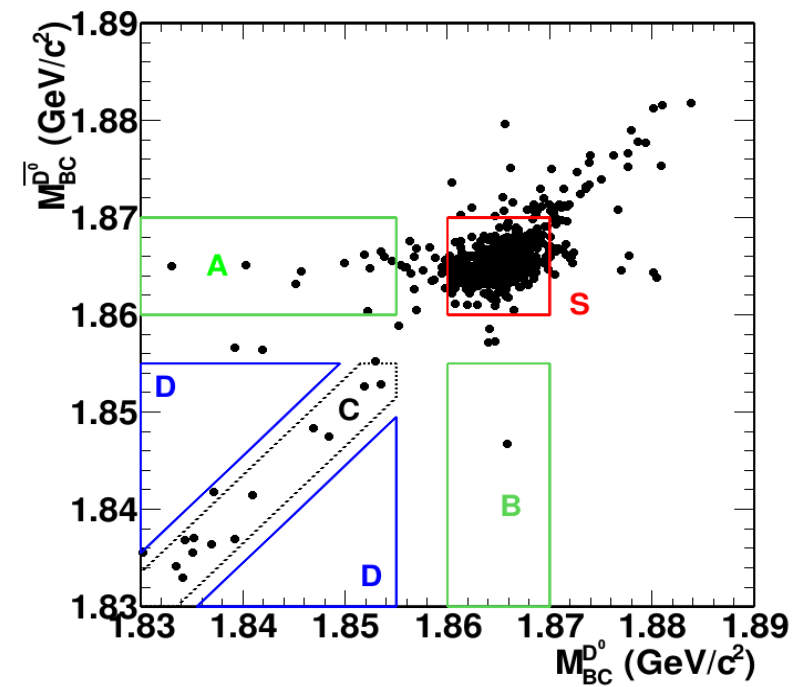
$$N_{DT} = N_S - N_P - \left( \frac{a_S}{a_D} N_D + \sum_{i=A,B,C} \frac{a_S}{a_i} \left( N_i - \frac{a_S}{a_i} a_D \right) \right)$$

Peaking background

Combinatorial backgrounds

$N_i$ : Counts in  $i^{\text{th}}$  region  
 $a_i$ : Area of  $i^{\text{th}}$

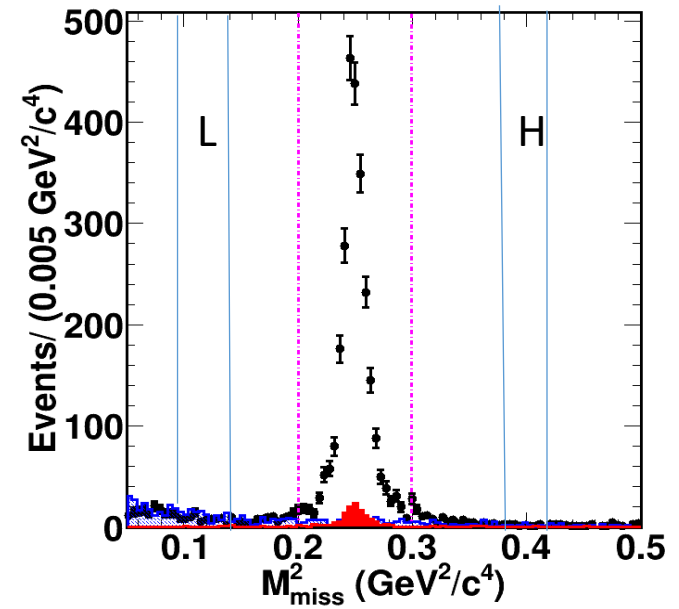
PRD 78, 012001 (2008)



For partially reconstructed tag sideband subtraction done on  $M_{\text{miss}}^2$  or  $U_{\text{miss}}$  distribution

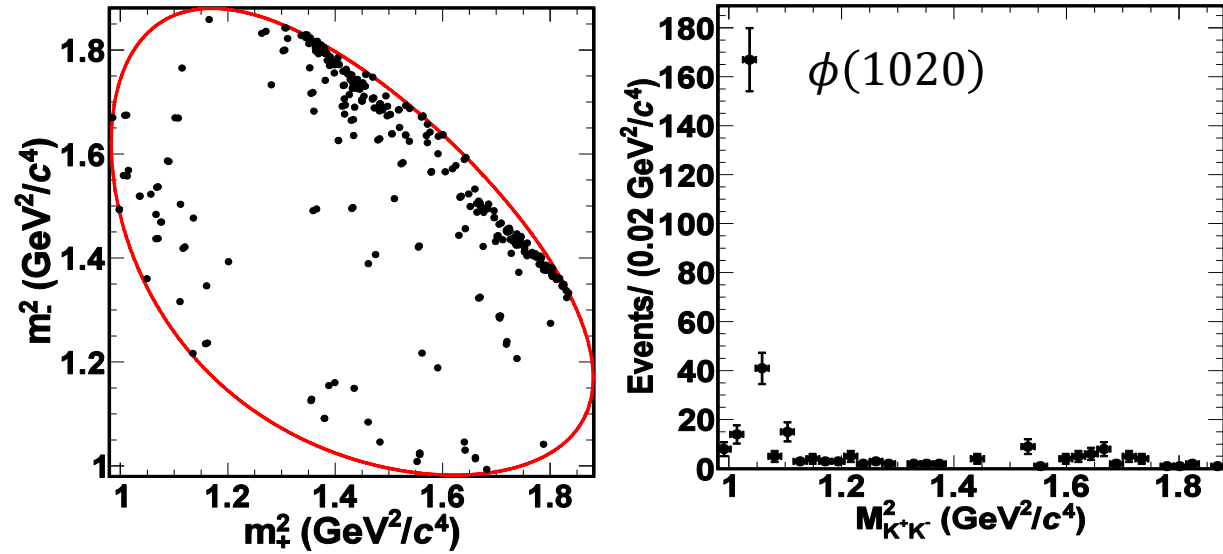
$$Y_S = \frac{(N_S - N_S^P) - \delta(N_L - N_L^P) - \gamma(N_H - N_H^P)}{1 - \delta\alpha - \gamma\beta}$$

Full DT yields are not required bin-by-bin yields are only required  
 Sideband-subtraction done on each bin of the Dalitz plot

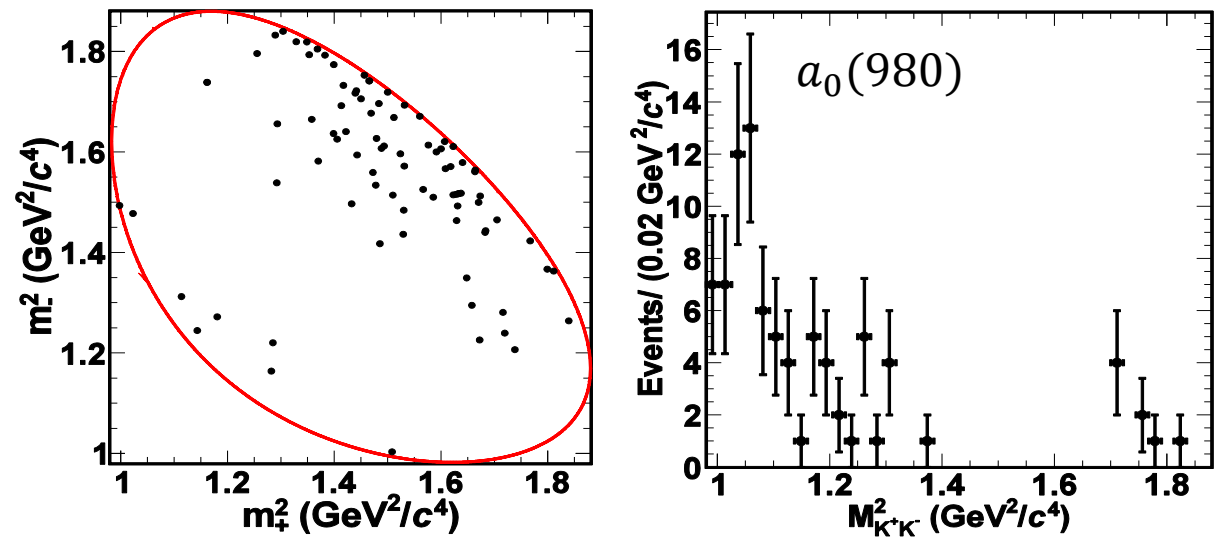


# Dalitz Plot

$K_S^0 K^+ K^-$  vs.  $CP$ -even tags

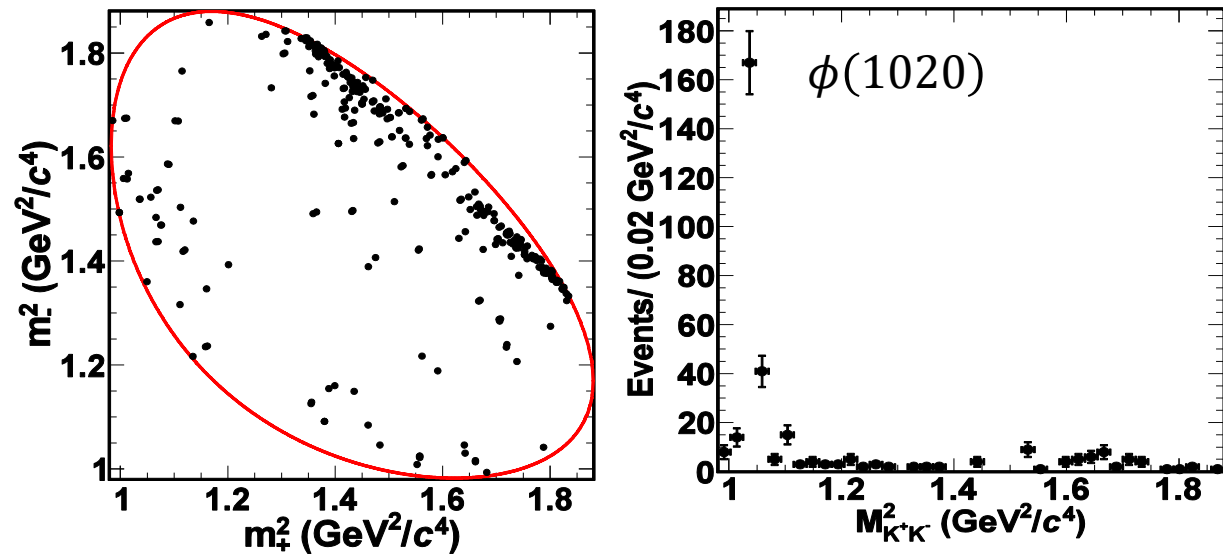


$K_S^0 K^+ K^-$  vs.  $CP$ -odd tags

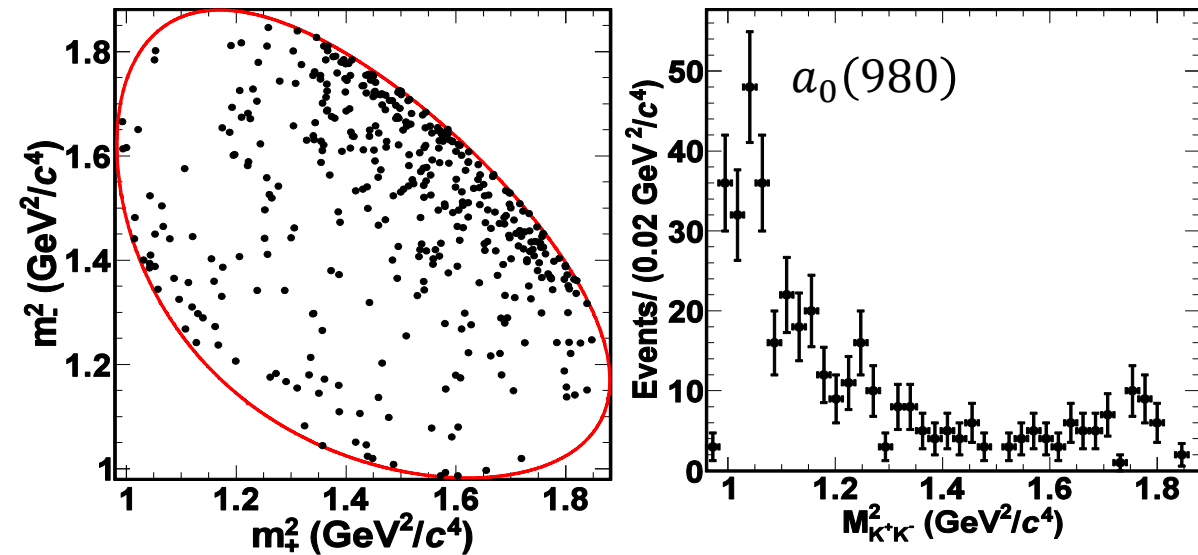


# Dalitz Plot

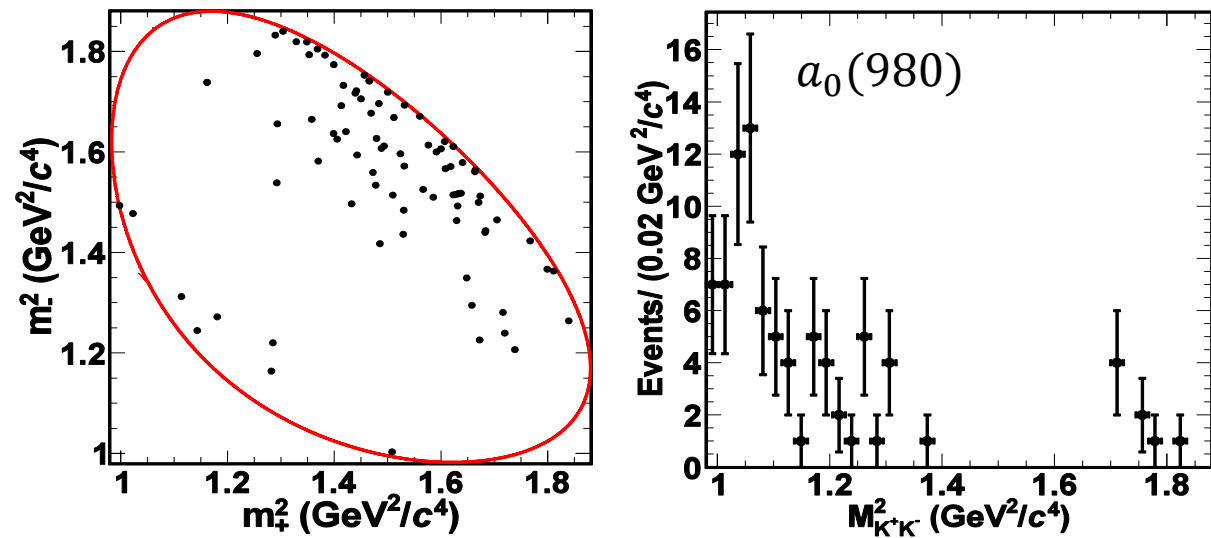
$K_S^0 K^+ K^-$  vs.  $CP$ -even tags



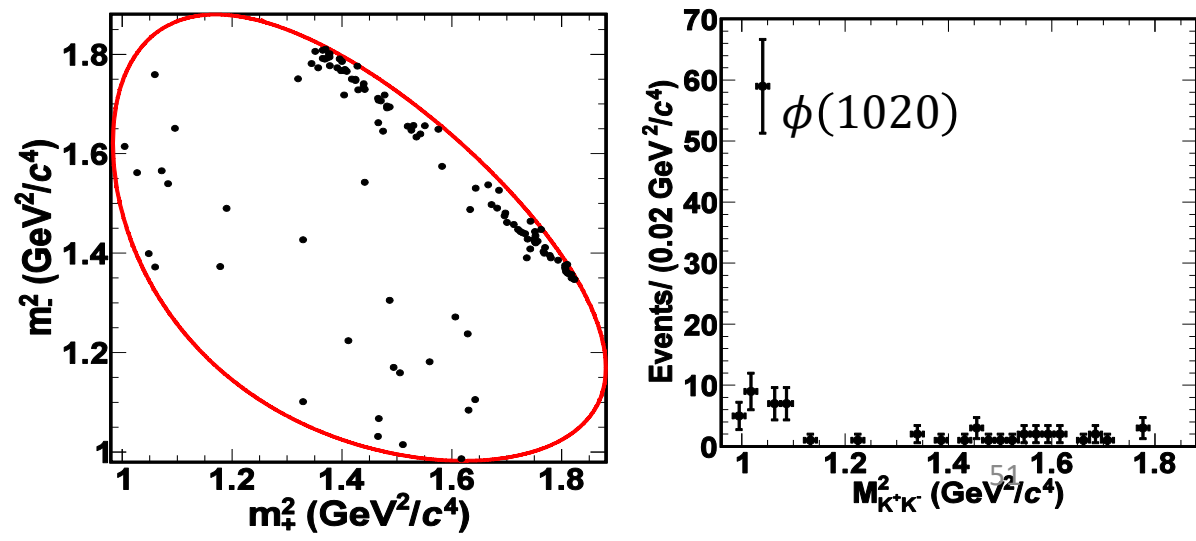
$K_L^0 K^+ K^-$  vs.  $CP$ -even tags



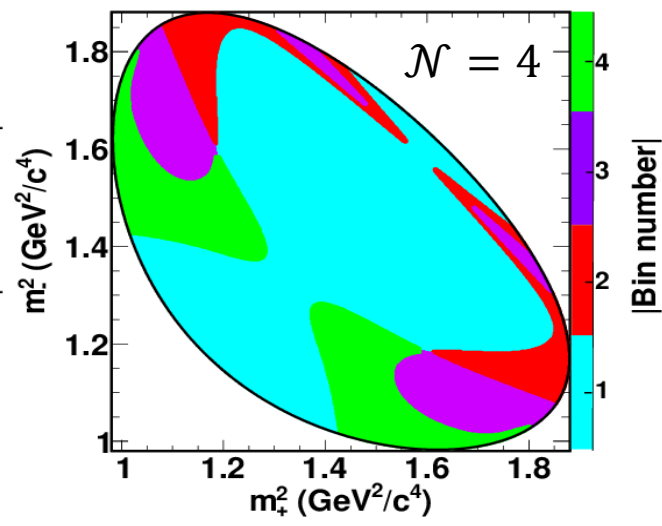
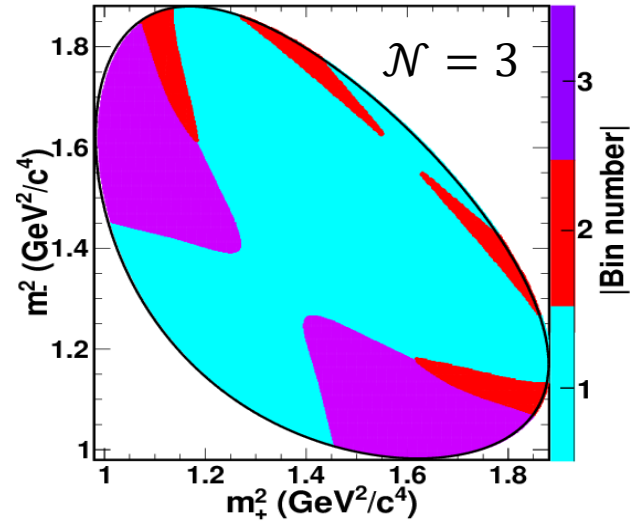
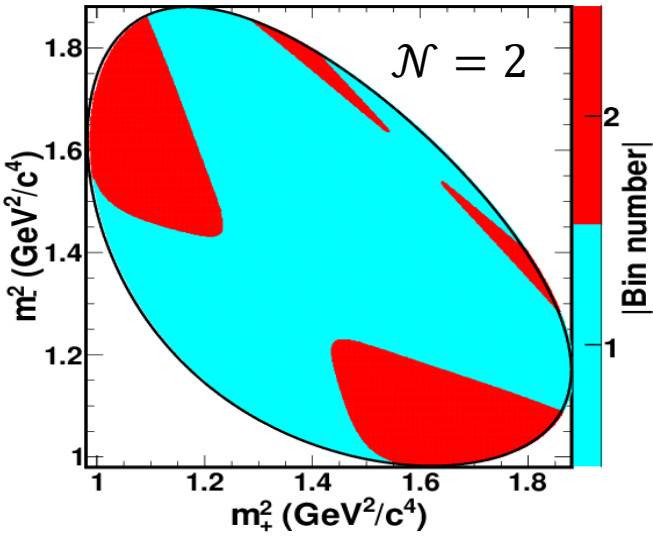
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$K_L^0 K^+ K^-$  vs.  $CP$ -odd tags

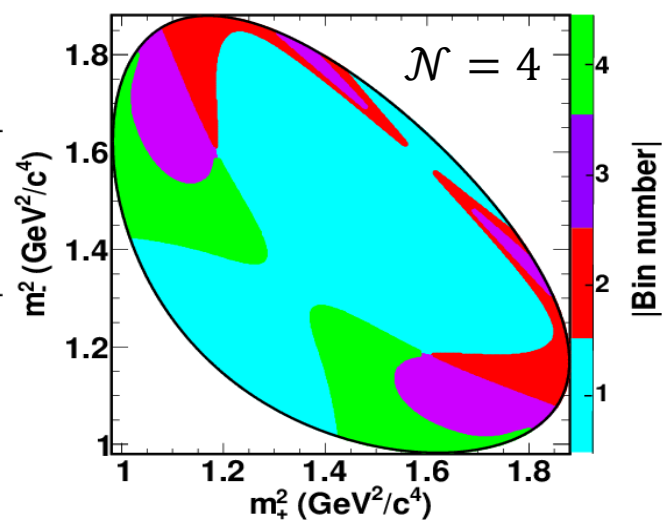
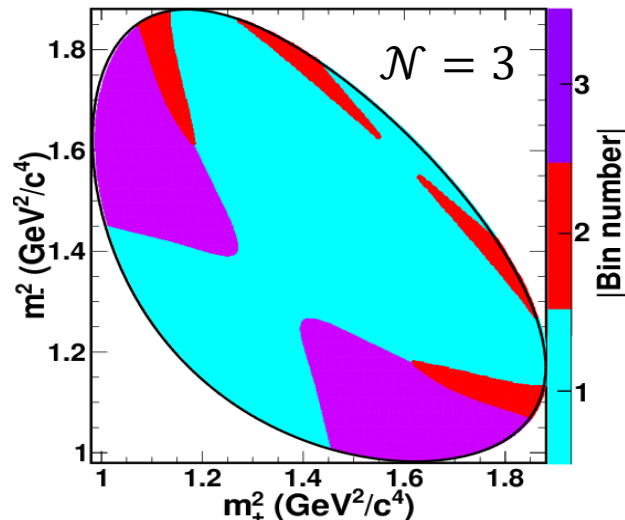
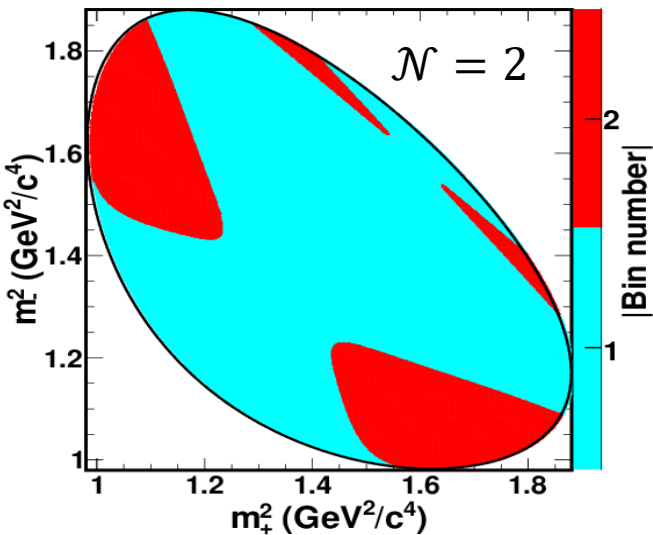


## Dalitz plot binning



## Equal- $\Delta\delta_D$ binning scheme

## Dalitz plot binning



BaBar Isobar model amplitude  
(PRL **78**, 034023 (2008))

$$f_D(m_+^2, m_-^2) = \sum_r a_r e^{i\phi_r} f_r(m_+^2, m_-^2) + a_{NR} e^{i\phi_{NR}}$$

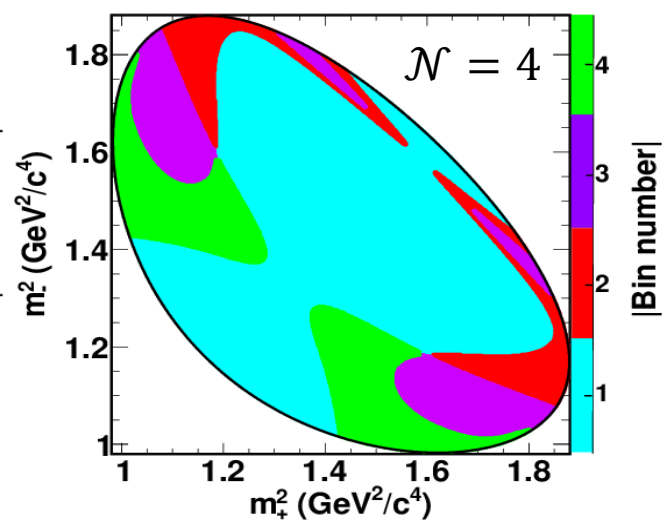
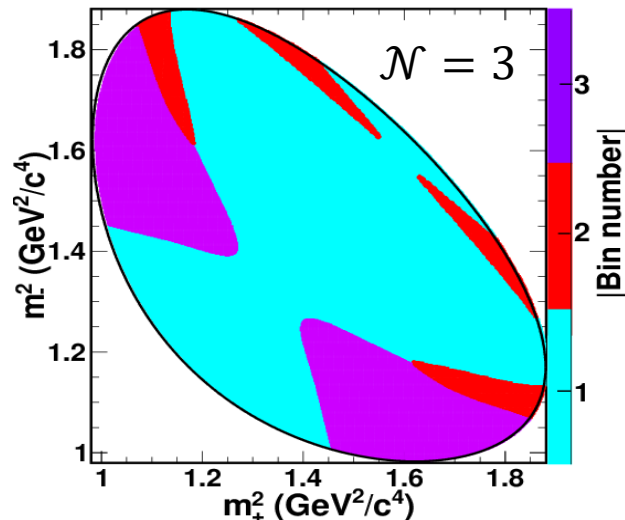
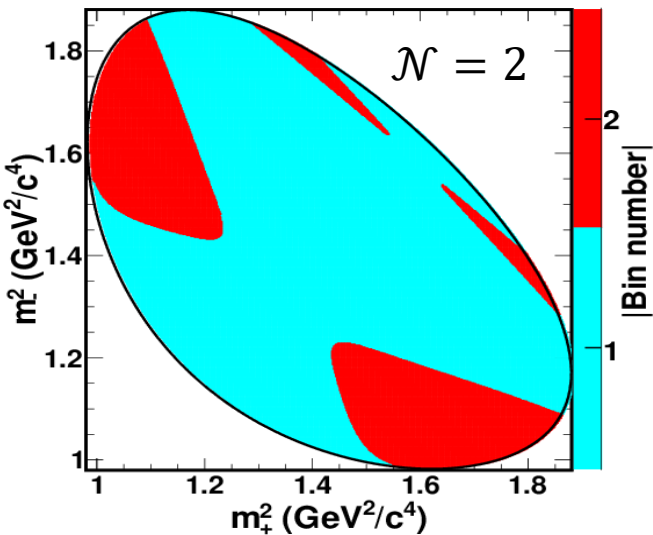
## Equal- $\Delta\delta_D$ binning scheme

$a^0, a^\pm$  Flatte function

Others RBW function

$K_S^0 \phi(1020)$ ,  
 $K_S^0 f_0(980)$ ,  
 $K^\pm a^\mp(980)$ ,  
 $K^0 a^0(980)$   
 $K_S^0 f_0(1370)$ ,  
 $K^\pm a_0^\mp(1450)$ ,  
 non resonant

## Dalitz plot binning



BaBar Isobar model amplitude  
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$\Delta\delta_D(m_+^2, m_-^2)$  calculated from the amplitude model

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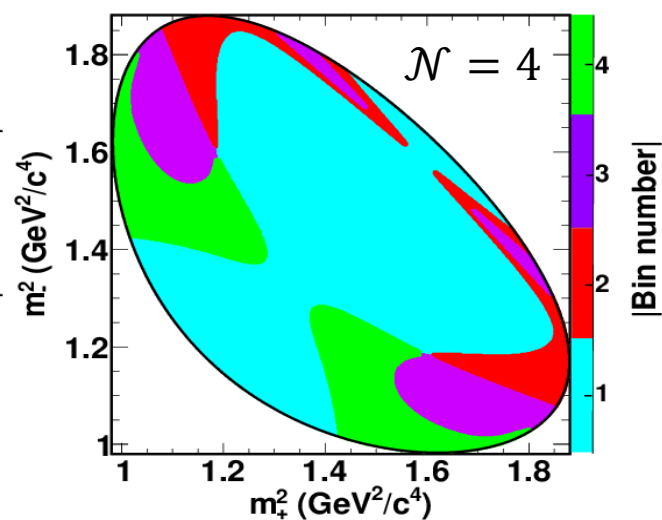
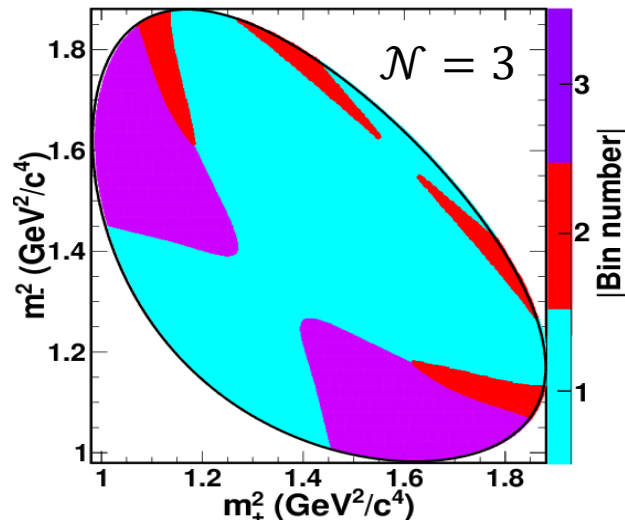
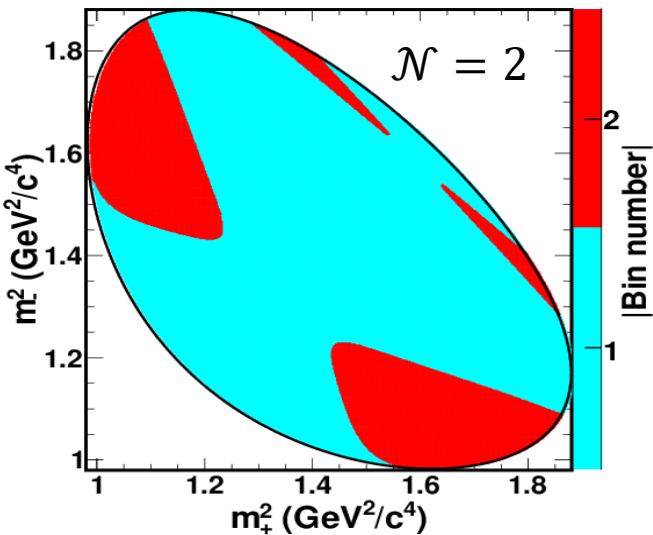
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 $K^\pm a_0^\mp(1450)$ ,  
 non resonant

## Equal- $\Delta\delta_D$ binning scheme

Dalitz plot divided into bins satisfying condition

$$2\pi(i - 3/2)/\mathcal{N} \leq \Delta\delta_D(m_+^2, m_-^2) < 2\pi(i - 1/2)/\mathcal{N} \quad \text{for } i = 1, 2, 3 \dots \mathcal{N}$$

$$\forall \mathcal{N} \geq 2$$

Gain in statistical sensitivity by 30 % for Equal- $\Delta\delta_D$  compared to rectangular bins !

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EPJC 47, 347 (2006)

$$Q^2 = \frac{\sum_i \left[ \left( \frac{1}{\sqrt{\Gamma_i}} \frac{d\Gamma_i}{dx} \right)^2 + \left( \frac{1}{\sqrt{\Gamma_i}} \frac{d\Gamma_i}{dy} \right)^2 \right]}{\int \left[ \left( \frac{1}{\sqrt{|A_{B^-}|^2}} \frac{d|A_{B^-}|^2}{dx} \right)^2 + \left( \frac{1}{\sqrt{|A_{B^-}|^2}} \frac{d|A_{B^-}|^2}{dy} \right)^2 \right] dm_+^2 dm_-^2}$$

$\mathcal{N} = 2 (0.94_{-0.06}^{+0.16})$     $\mathcal{N} = 3 (0.87_{-0.06}^{+0.14})$     $\mathcal{N} = 4 (0.94_{-0.06}^{+0.21})$    for the binning used in this analysis

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## Effects of Model based binning on $\gamma$

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## Effects of Model based binning on $\gamma$

- No model-dependent systematics
- Wrong model effects sensitivity but no bias on result

## Bin yields

Tag	Bin 1	Bin 2
$K_S^0 K^+ K^-$ vs. $K^+ K^-$	6	36
$K_S^0 K^+ K^-$ vs. $\pi^+ \pi^-$	3	7
$K_S^0 K^+ K^-$ vs. $K_S^0 \pi^0 \pi^0$	2	5
$K_S^0 K^+ K^-$ vs. $\pi^+ \pi^- \pi^0$	14	37
$K_S^0 K^+ K^-$ vs. $K_L^0 \pi^0$	25	67
$K_S^0 K^+ K^-$ vs. $K_L^0 \omega$	11	32
$K_S^0 K^+ K^-$ vs. $K_L^0 \eta(\gamma\gamma)$	5	14
$K_S^0 K^+ K^-$ vs. $K_L^0 \eta'(\pi^+ \pi^- \eta)$	3	4
$K_S^0 K^+ K^-$ vs. $K_S^0 \pi^0$	30	7
$K_S^0 K^+ K^-$ vs. $K_S^0 \omega$	15	0
$K_S^0 K^+ K^-$ vs. $K_S^0 \eta(\gamma\gamma)$	7	2
$K_S^0 K^+ K^-$ vs. $K_S^0 \eta'(\pi^+ \pi^- \eta)$	2	0
$K_L^0 K^+ K^-$ vs. $K^+ K^-$	95	17
$K_L^0 K^+ K^-$ vs. $\pi^+ \pi^-$	27	4
$K_L^0 K^+ K^-$ vs. $K_S^0 \pi^0 \pi^0$	36	9
$K_L^0 K^+ K^-$ vs. $\pi^+ \pi^- \pi^0$	197	57
$K_L^0 K^+ K^-$ vs. $K_S^0 \pi^0$	23	66
$K_L^0 K^+ K^-$ vs. $K_S^0 \omega$	10	17
$K_L^0 K^+ K^-$ vs. $K_S^0 \eta(\gamma\gamma)$	3	7
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## Migration correction

Finite detector resolution leads to migration of events

Migration matrix  $\mathbf{U}$  constructed using signal MC sample

$$U_{ij} = \frac{m_{ji}}{\sum_{k=-\mathcal{N}, k \neq 0}^{\mathcal{N}} m_{jk}}$$

$m_{ji}$ : Events generated in bin  $j$  and reconstructed in bin  $i$

Vector of corrected yield  $\mathbf{N}$ , related to uncorrected yield  $\mathbf{N}^{\text{rec}}$  by

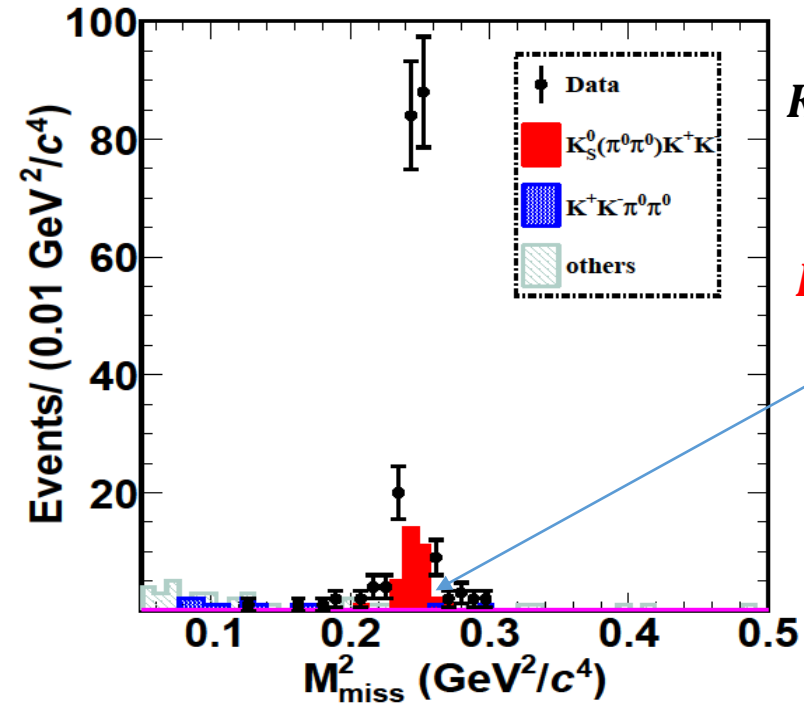
$$\mathbf{N} = \mathbf{U}^{-1} \mathbf{N}^{\text{rec}}$$

$i$	$U_{i,1}$	$U_{i,2}$	$U_{i,3}$	$U_{i,-1}$	$U_{i,-2}$	$U_{i,-3}$
1	0.968	0.020	0.001	0.011	0.000	0.000
2	0.036	0.967	0.001	0.000	0.001	0.003
3	0.007	0.001	0.992	0.000	0.000	0.000
-1	0.010	0.000	0.000	0.972	0.018	0.000
-2	0.000	0.000	0.000	0.032	0.967	0.001
-3	0.000	0.000	0.000	0.006	0.006	0.988

# Background Analysis

All  $K_L^0 X$  modes contains backgrounds from  $K_S^0 X$  modes

$K_S^0 \rightarrow \pi^0 \pi^0$  with both  $\pi^0$  not reconstructed



$K_L^0 K^+ K^-$  vs CP even

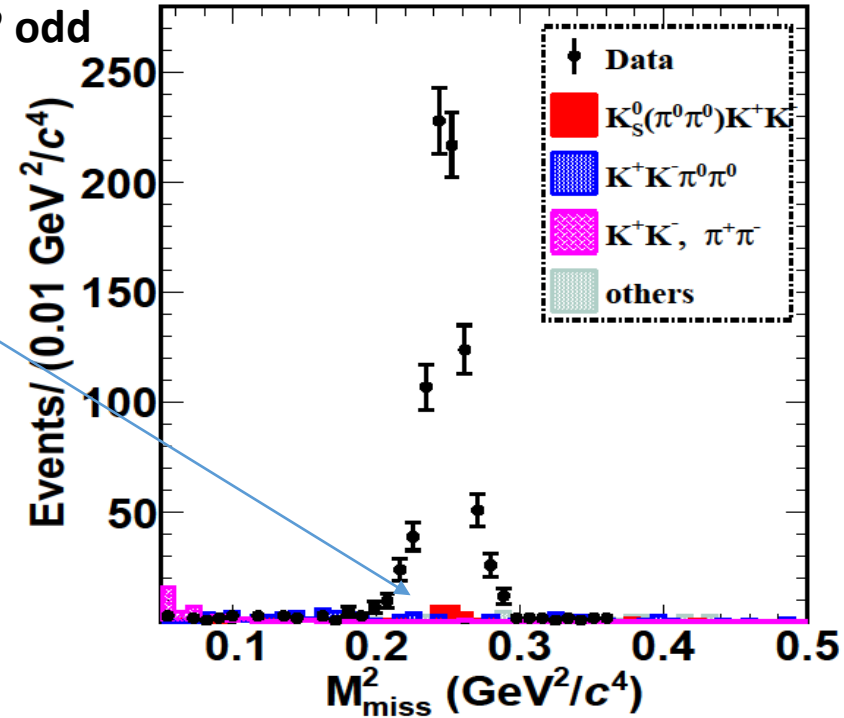
$K_S^0 K^+ K^-$  vs CP even

~2 - 4 %

Flat backgrounds:  $K^+ K^- \pi^0 \pi^0 \sim 2\%$

$K_L^0 K^+ K^-$  vs CP odd

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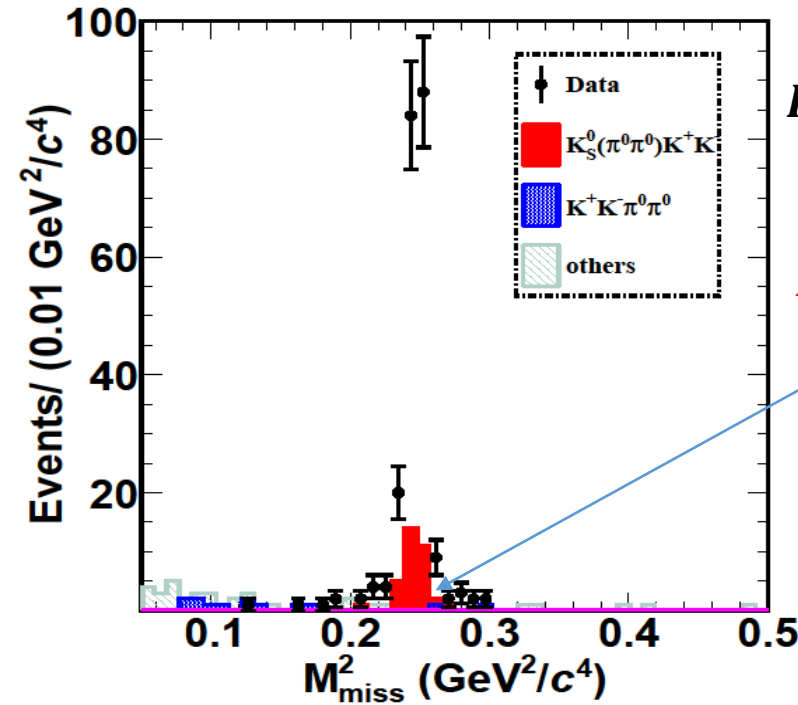




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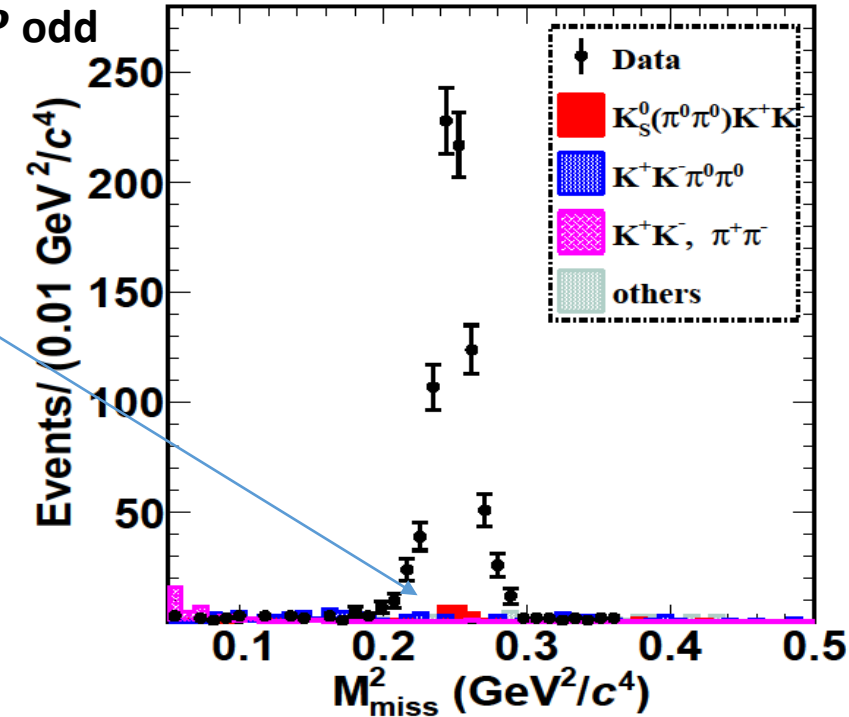
$K_S^0 K^+ K^- vs CP \text{ even}$

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$K_L^0 K^+ K^- vs CP \text{ odd}$

$K_S^0 K^+ K^- vs CP \text{ odd}$



Dalitz plot distribution of backgrounds for  $K_L^0 K^+ K^- vs CP \pm$



Dalitz plot distribution of  $K_S^0 K^+ K^- vs CP \mp$

Expected background yields can be calculated using  $c_i$  and  $s_i$  from CLEO-c value



$$\langle N_i^{Bkg} \rangle = N_{CLEO}^{Bkg} \times \epsilon_i^{ret}$$

$\epsilon_i^{ret}$ : retention efficiency

$$\epsilon_i^{ret} = \frac{\text{Number of Background events selected}}{\text{Number of Background events generated}}$$

# Extraction of $c_i$ and $s_i$

The uncorrected yields in related bins are combined according to the symmetry relations

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$c_i^{(l)}, s_i^{(l)}$  obtained by minimizing the negative log likelihood expression

$$\begin{aligned}
 -2 \ln \mathcal{L} = & -2 \sum_i \ln P(N_i^\pm, \langle N_i^\pm \rangle)_{K_S^0 K^+ K^-, CP} \\
 & -2 \sum_i \ln P(N_i'^\pm, \langle N_i'^\pm \rangle)_{K_L^0 K^+ K^-, CP} \\
 & -2 \sum_{i,j} \ln P(N_{ij}, \langle N_{ij} \rangle)_{K_S^0 K^+ K^-, K_S^0 K^+ K^-} \\
 & -2 \sum_{i,j} \ln P(N'_{ij}, \langle N'_{ij} \rangle)_{K_S^0 K^+ K^-, K_L^0 K^+ K^-} \\
 & -2 \sum_{i,j} \ln P(N_{ij}, \langle N_{ij} \rangle)_{K_S^0 K^+ K^-, K_S^0 \pi^+ \pi^-} \\
 & -2 \sum_{i,j} \ln P(N'_{ij}, \langle N'_{ij} \rangle)_{K_S^0 K^+ K^-, K_L^0 \pi^+ \pi^-} \\
 & -2 \sum_{i,j} \ln P(N'_{ij}, \langle N'_{ij} \rangle)_{K_L^0 K^+ K^-, K_S^0 \pi^+ \pi^-} \\
 & + \chi^2 .
 \end{aligned}$$

$\langle N \rangle$  is expected migration corrected yield

$$\begin{aligned}
 N &= M + B \\
 \langle N \rangle &= \langle M \rangle + \langle B \rangle
 \end{aligned}$$

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$$\chi^2 = \sum_i \left( \frac{c'_i - c_i - \Delta c_i}{\sigma_{\Delta c_i}} \right)^2 + \sum_i \left( \frac{s'_i - s_i - \Delta s_i}{\sigma_{\Delta s_i}} \right)^2$$

$$\Delta c_i = c'_{i, \text{BaBar}} - c_{i, \text{BaBar}}$$

# Systematic uncertainties

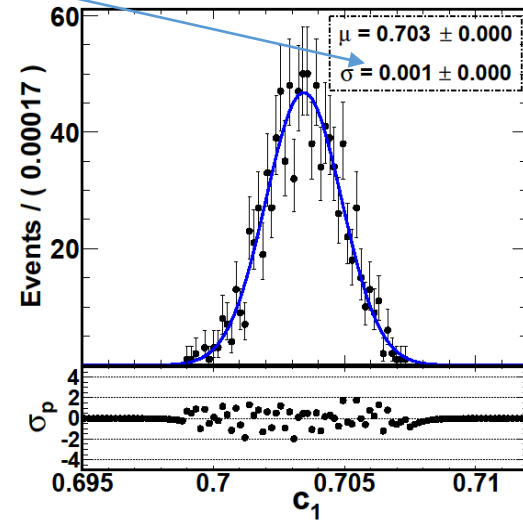
**General strategy:** smearing the input quantity by a Gaussian with in the measured uncertainty to produce a new value of input quantity.

Repeat fit 1000 times and build a distribution of  $c_i^{(r)}$  and  $s_i^{(r)}$  values; width of distribution gives systematic uncertainty

Accounting for correlation: vector of correlated variable

$$\mathbf{X} = \boldsymbol{\mu} + \mathbf{AZ}$$

$\mathbf{A}$  is Cholesky decomposition of covariance matrix,  $\mathbf{Z}$  is vector of unit Gaussian



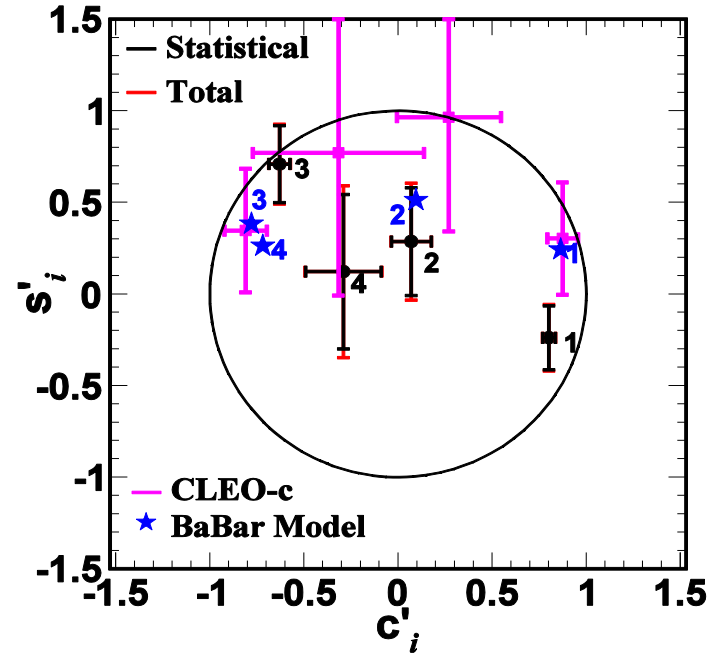
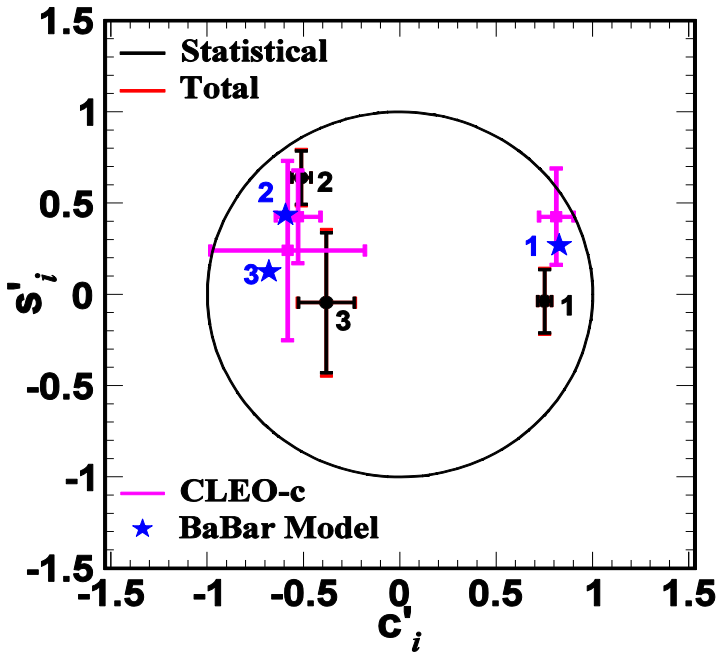
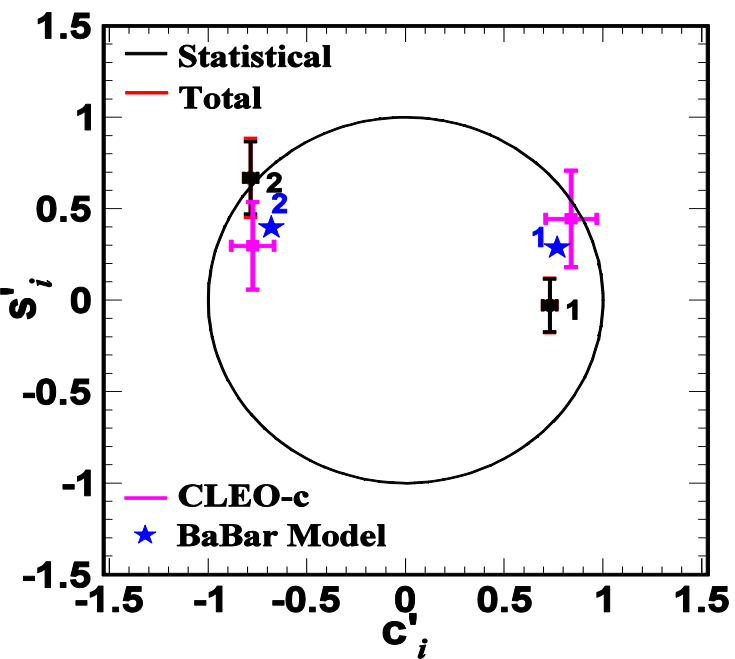
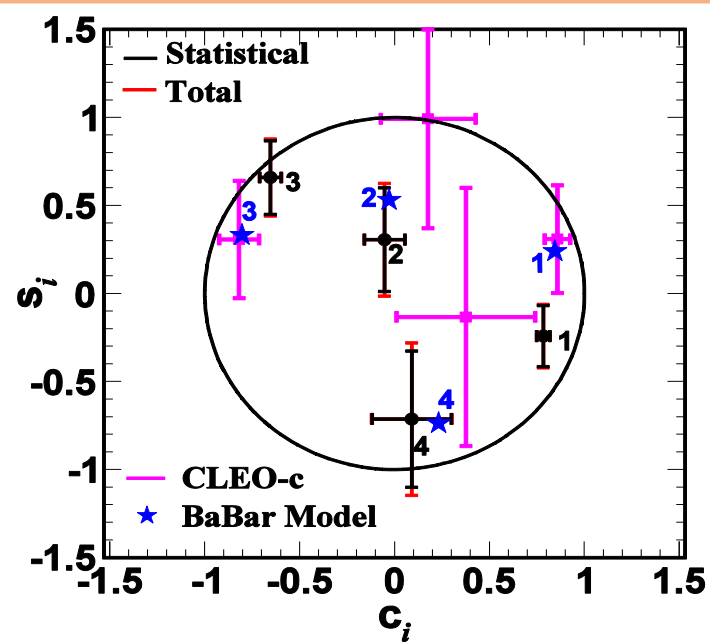
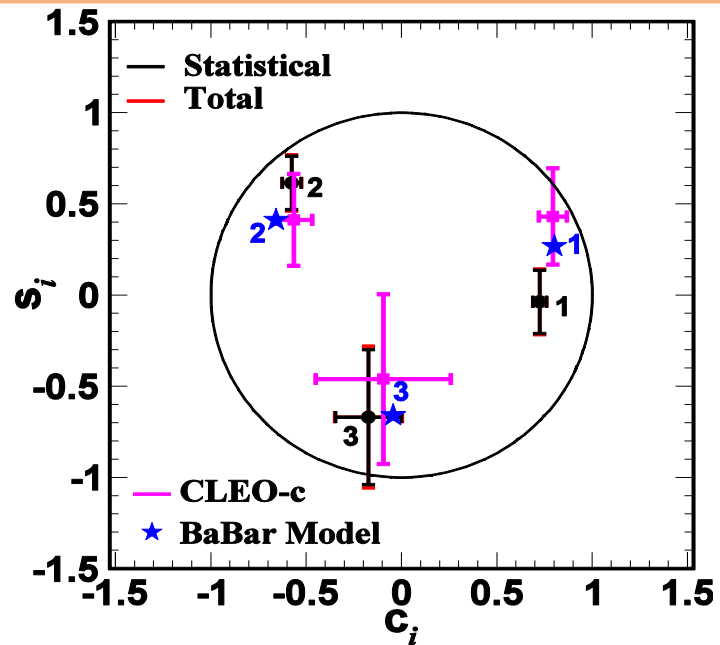
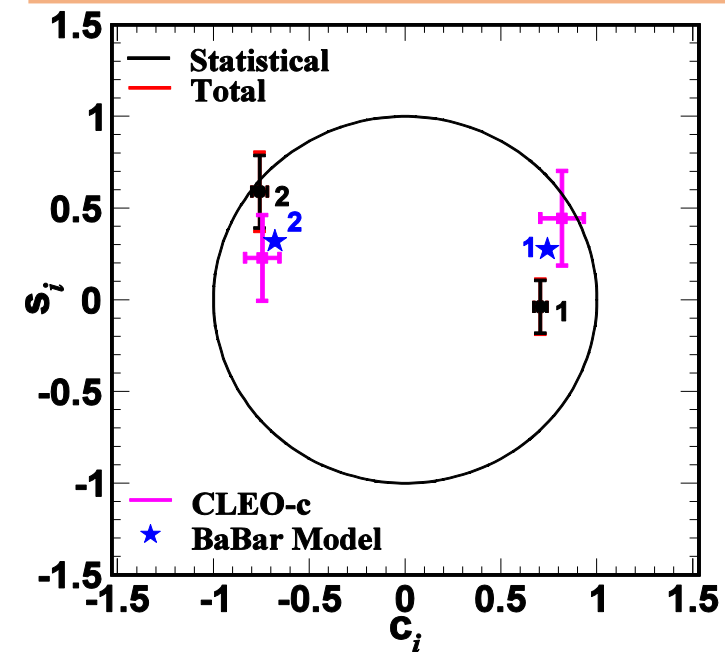
**Systematic uncertainty for  $\mathcal{N} = 2$  bins**

Systematic	$c_1$	$c_2$	$s_1$	$s_2$	$c'_1$	$c'_2$	$s'_1$	$s'_2$
ST yield	0.002	0.003	0.000	0.000	0.002	0.001	0.000	0.000
$K_i^{(r)}$ statistics	0.000	0.003	0.004	0.005	0.001	0.002	0.004	0.004
$K^0\pi^+\pi^-(c_i^{(r)}, s_i^{(r)})$	0.001	0.002	0.037	0.075	0.001	0.002	0.037	0.076
$K^0\pi^+\pi^-(K_i^{(r)})$	0.000	0.001	0.007	0.033	0.000	0.000	0.006	0.033
$N_{D\bar{D}}$	0.000	0.000	0.001	0.001	0.000	0.000	0.001	0.001
MC statistics	0.001	0.002	0.000	0.000	0.001	0.003	0.000	0.000
Background	0.002	0.003	0.011	0.022	0.002	0.003	0.011	0.022
DCS correction	0.000	0.000	0.000	0.001	0.000	0.000	0.000	0.001
Stat	0.034	0.040	0.144	0.198	0.035	0.034	0.144	0.198
Syst total	0.003	0.007	0.039	0.085	0.003	0.006	0.039	0.086
Total	0.034	0.041	0.149	0.215	0.035	0.035	0.149	0.216

# Fit results

$(c_i, s_i)$  for  $\mathcal{N} = 2, 3, 4$

$(c'_i, s'_i)$  for  $\mathcal{N} = 2, 3, 4$



# Impact on measurement of $\gamma$

Simulate  $B^\pm \rightarrow D(K_S^0 K^+ K^-) K^\pm$  decay in each bins



$$N_i^\mp = \frac{a_B}{a_D} (K_{\pm i} + r_B^2 K_{\mp i} + 2\sqrt{K_i K_{-i}} (x_{B^\mp} c_i + y_{B^\mp} s_i))$$

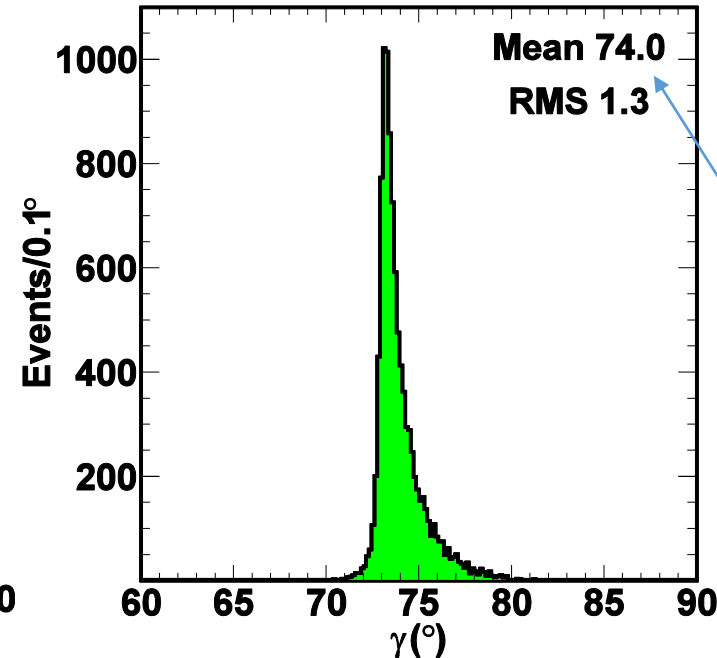
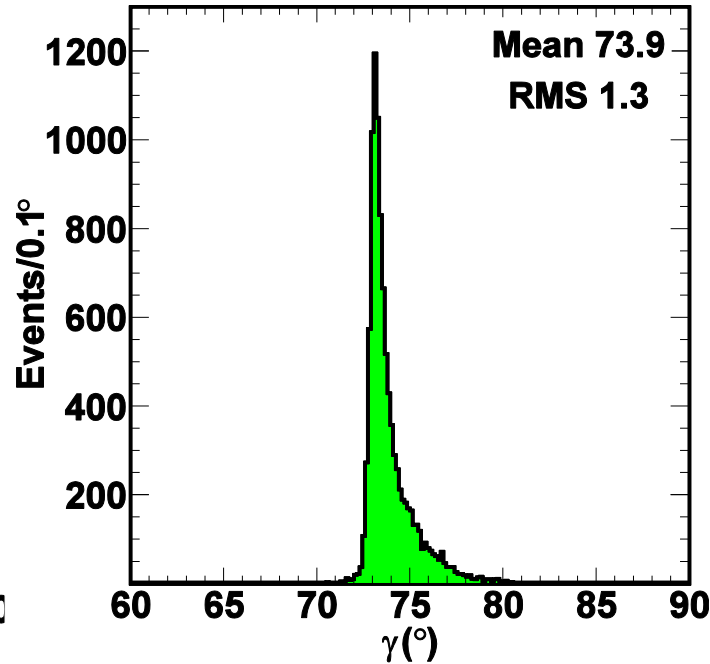
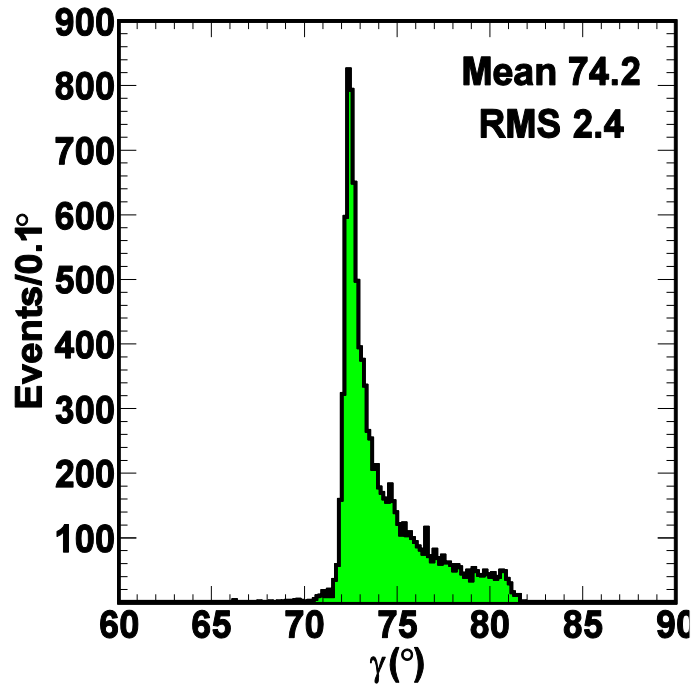
Smear values of  $c_i$  and  $s_i$  within their uncertainties accounting for correlation

$a_B$  set to a larger value

Values of  $r_B, \delta_B$  and  $\gamma$  set to latest world averages

<http://ckmfitter.in2p3.fr>

10000 ToyMC sample generated



For  $\mathcal{N} = 2, 3, 4$

Bias in fit values due to the values outside the region  $c_i^2 + s_i^2 = 1$

# Summary

- ❑ Explained the model-independent BPGGSZ method for measuring CKM angle  $\gamma$
- ❑ Role of charm factories in the model independent measurement of  $\gamma$  explained
- ❑  $c_i$  and  $s_i$  measured with a better precision to date
- ❑ Measurements are statistically dominated no irreducible systematic uncertainty
- ❑ Uncertainty on  $\gamma$  due to  $c_i$  and  $s_i$  are  $2.4^\circ$ ,  $1.3^\circ$ ,  $1.3^\circ$  for  $\mathcal{N} = 2, 3, 4$  Equal- $\Delta\delta_D$  bins

**Phys. Rev. D 102, 052008 (2020)** [ arXiv: hep-ex/2007.07959]

**Measurements of  $\gamma$  using  $B^\pm \rightarrow D(K_S^0 h^+ h^-)K^\pm$  at LHCb (2020)**

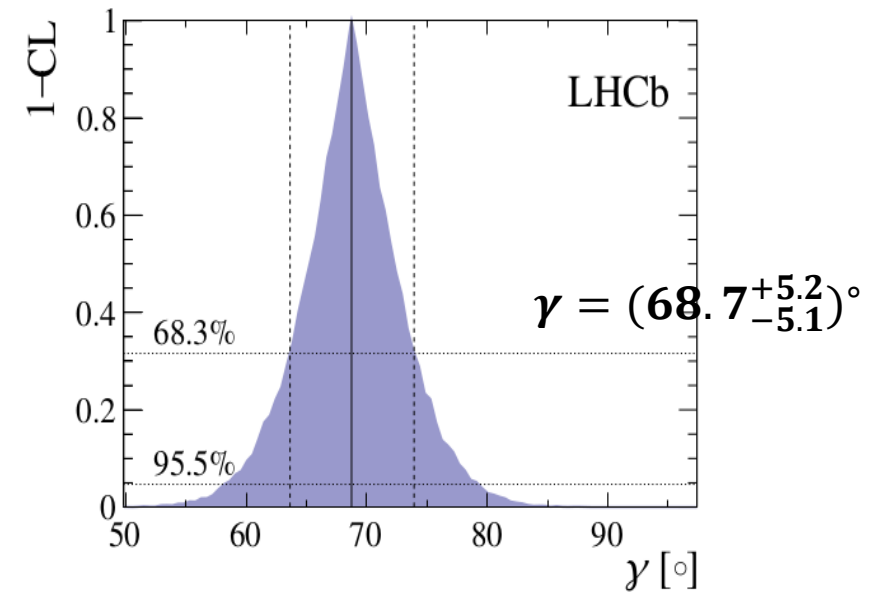
**JHEP 02, 169 (2021)**

Uncertainty due to  $c_i$  and  $s_i \sim 1^\circ \rightarrow$  50% improvement with  
BESIII value

$9 fb^{-1} P\bar{P}$  data @ 7,8, 13 TeV

1900  $K_S^0 K^+ K^-$  events

$\mathcal{N} = 2$





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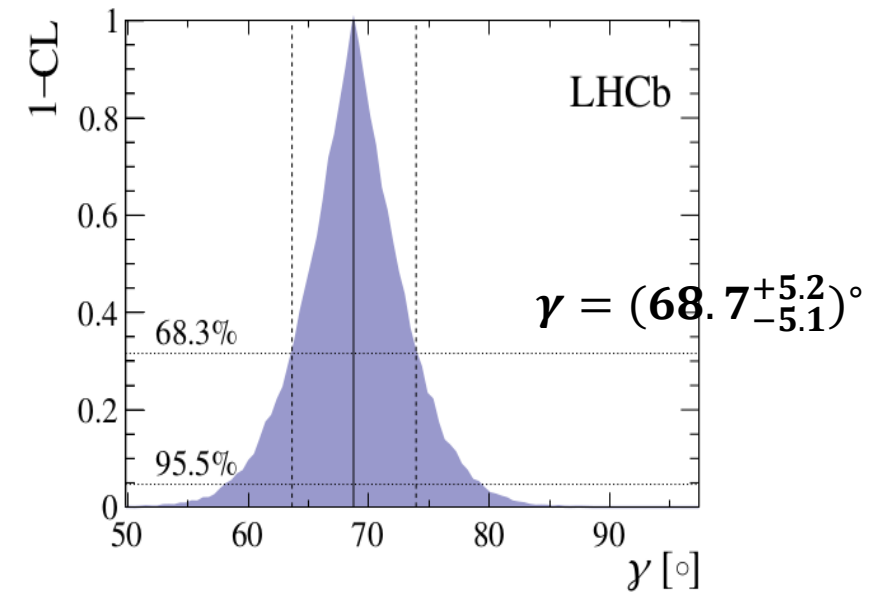
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**Similar analysis using Belle+BelleII data under progress!**



## Other areas:

The value of  $c_i$  and  $s_i$  required for model-independent determination of charm mixing parameters and for searching CP violation in  $D \rightarrow K_S^0 K^+ K^-$  decays

**Phys.Rev.Lett 122, 231802 (2019)** [arXiv:hep-ex/1903.03074]

New  $D \rightarrow K_S^0 K^+ K^-$  model at BESIII

**arXiv:hep-ex/2006.02800**

## Other activities at BESIII

- Involved in the similar measurements of  $c_i$  and  $s_i$  for  $D \rightarrow K_S^0 \pi^+ \pi^-$  with groups at Oxford University
- Quantum-correlated studies of  $D \rightarrow K_S^0 \pi^+ \pi^- \pi^0$  decays (undergraduate project of Mr. Pratyush Anand, Master student at ETH Zurich)



Hvala

**Fit results: First uncertainty is statistical and second uncertainty is systematic**

$\mathcal{N}$	$i$	$c_i$	$s_i$	$c'_i$	$s'_i$
2	1	$0.704 \pm 0.034 \pm 0.003$	$-0.038 \pm 0.144 \pm 0.039$	$0.730 \pm 0.035 \pm 0.003$	$-0.028 \pm 0.144 \pm 0.039$
	2	$-0.760 \pm 0.040 \pm 0.007$	$0.590 \pm 0.198 \pm 0.085$	$-0.785 \pm 0.034 \pm 0.006$	$0.669 \pm 0.198 \pm 0.086$
3	1	$0.724 \pm 0.035 \pm 0.003$	$-0.037 \pm 0.174 \pm 0.049$	$0.751 \pm 0.036 \pm 0.003$	$-0.037 \pm 0.174 \pm 0.049$
	2	$-0.576 \pm 0.050 \pm 0.009$	$0.616 \pm 0.146 \pm 0.047$	$-0.512 \pm 0.050 \pm 0.009$	$0.640 \pm 0.146 \pm 0.047$
	3	$-0.174 \pm 0.173 \pm 0.040$	$-0.669 \pm 0.370 \pm 0.119$	$-0.382 \pm 0.145 \pm 0.040$	$0.045 \pm 0.384 \pm 0.116$
4	1	$0.783 \pm 0.034 \pm 0.003$	$-0.242 \pm 0.173 \pm 0.051$	$0.802 \pm 0.034 \pm 0.003$	$-0.239 \pm 0.174 \pm 0.051$
	2	$-0.053 \pm 0.106 \pm 0.017$	$0.306 \pm 0.294 \pm 0.125$	$0.070 \pm 0.106 \pm 0.017$	$0.286 \pm 0.294 \pm 0.124$
	3	$-0.654 \pm 0.057 \pm 0.011$	$0.659 \pm 0.210 \pm 0.059$	$-0.630 \pm 0.056 \pm 0.011$	$0.709 \pm 0.210 \pm 0.059$
	4	$0.090 \pm 0.208 \pm 0.041$	$-0.713 \pm 0.387 \pm 0.195$	$-0.290 \pm 0.201 \pm 0.036$	$0.122 \pm 0.422 \pm 0.206$

## Average of CLEO and BESIII results

CLEO and BESIII results are compatible: Weighted average will be equally good

Just add a term to fit

$$\chi_{\text{avg}}^2 = (\mathbf{P} - \mathbf{P}^{\text{CLEO}})^T \mathbf{V}^{-1} (\mathbf{P} - \mathbf{P}^{\text{CLEO}})$$

**Average of CLEO and BESIII results, uncertainties statistical and systematic added in quadratures**

$\mathcal{N}$	$i$	$c_i$	$s_i$	$c'_i$	$s'_i$
2	1	$0.713 \pm 0.032$	$0.107 \pm 0.132$	$0.737 \pm 0.032$	$0.116 \pm 0.132$
	2	$-0.758 \pm 0.037$	$0.394 \pm 0.173$	$-0.782 \pm 0.033$	$0.473 \pm 0.174$
3	1	$0.738 \pm 0.030$	$0.112 \pm 0.102$	$0.765 \pm 0.030$	$0.111 \pm 0.102$
	2	$-0.573 \pm 0.044$	$0.550 \pm 0.113$	$-0.503 \pm 0.044$	$0.574 \pm 0.113$
	3	$-0.129 \pm 0.155$	$-0.619 \pm 0.317$	$-0.412 \pm 0.138$	$0.089 \pm 0.327$
4	1	$0.796 \pm 0.030$	$-0.082 \pm 0.173$	$0.817 \pm 0.030$	$-0.080 \pm 0.173$
	2	$-0.018 \pm 0.099$	$0.393 \pm 0.262$	$0.105 \pm 0.098$	$0.375 \pm 0.261$
	3	$-0.691 \pm 0.048$	$0.551 \pm 0.200$	$-0.657 \pm 0.048$	$0.601 \pm 0.200$
	4	$0.183 \pm 0.182$	$-0.646 \pm 0.415$	$-0.321 \pm 0.185$	$0.218 \pm 0.438$

### Detector described using cylindrical coordinates with IP as the origin

#### Charged Particle

- Polar angle:  $|\cos(\theta)| < 0.93$
- Radial distance:  $|V_{xy}| < 1 \text{ cm}$
- Longitudinal distance:  $|V_z| < 10 \text{ cm}$

#### Neutral showers

- $0 < \text{time} < 700 \text{ ns}$
- Energy:  $> 0.025 \text{ GeV}$   
( $> 0.050 \text{ GeV}$ ) for barrel (end-cap)
- Distance from MDC track exit  
 $> 10\sigma$

#### Particle identification

- $\pi^\pm$ :  $\mathcal{L}_\pi > \mathcal{L}_K$
- $K^\pm$ :  $\mathcal{L}_K > \mathcal{L}_\pi$
- $e^\pm$ :  $\frac{\mathcal{L}_e}{\mathcal{L}_\pi + \mathcal{L}_K + \mathcal{L}_e} > 0.8$

#### $\pi^0 \rightarrow \gamma\gamma$ ( $\eta \rightarrow \gamma\gamma$ ) reconstruction

- Kinematic fit:  $\chi^2 < 20$
- $0.110 < M(\gamma\gamma) < 0.165 \text{ GeV}$   
( $0.480 < M(\gamma\gamma) < 0.580 \text{ GeV}$ )
- No. of  $\gamma$  in end-cap  $> 1$

#### $K_S^0$ reconstruction

- Kinematic fit:  $\chi^2 < 100$
- $0.487 < M(\gamma\gamma) < 0.511 \text{ GeV}$
- Flight significance:  $L/\sigma_L > 2$
- No track quality requirement

#### $\omega \rightarrow \pi^+\pi^-\pi^0$ and $\eta' \rightarrow \pi^+\pi^-\eta$

- $0.760 < M(\pi^+\pi^-\pi^0) < 0.805 \text{ (GeV)}$
- $0.938 < M(\pi^+\pi^-\eta) < 0.978 \text{ GeV}$

### Particles are combined to reconstruct final states

- Fully reconstructed tags:** Full kinematic reconstruction possible,
- Partially reconstructed tags:** Particles having missing particle in final states; no full reconstruction, inference using missing energy and momentum

# Cabbibo-Kobayashi-Maskawa (CKM) matrix

Mixing between weak eigenstates and flavor eigenstates in three generations.

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix} \quad V_{CKM} V_{CKM}^\dagger = I = V_{CKM}^\dagger V_{CKM}$$

Can be parameterized using 3 angles and 1 phase (rephasing quark fields)

Various parameterizations (**Chau and Keung parameterization**)

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}s_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \quad C_{ij}(S_{ij}) = \cos \theta_{ij}(\sin \theta_{ij})$$

$\delta$  is the irreducible phase

**Wolfenstein parameterization**

$$V_{CKM} \approx \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \quad \begin{matrix} s_{12} \equiv \lambda \\ s_{23} \equiv A\lambda^2 \\ s_{13}e^{-i\delta} \equiv A\lambda^3(\rho - i\eta) \end{matrix} \quad \lambda = 0.22$$