



UNIVERSITY OF LJUBLJANA  
FACULTY OF MATHEMATICS AND PHYSICS  
DEPARTMENT OF PHYSICS

Peter Smerkol

MEASUREMENT OF CP VIOLATION PARAMETER  
 $\mathcal{A}_{CP}$  IN WEAK DECAYS OF CHARMED BARYONS  
 $\Lambda_c$  WITH THE BELLE DETECTOR

Doctoral Thesis

ADVISER: Prof. Boštjan Golob

LJUBLJANA, 2012



---

# CONTENTS

---

1	INTRODUCTION	1
1.1	The Standard Model and fundamental symmetries	1
1.2	Violation of CP symmetry	4
1.2.1	Kobayashi Maskawa mechanism	5
1.3	CP violation in charm decays	8
1.4	CP-violation in weak decays of the $\Lambda_c$ baryon	9
1.4.1	$\Lambda_c$ decay chain angular distribution - nonrelativistic derivation	10
1.4.2	$\Lambda_c$ decay chain angular distribution - relativistic derivation	14
2	EXPERIMENTAL SETUP	15
2.1	The KEKB asymmetric $e^+e^-$ collider	15
2.2	The Belle detector	17
2.2.1	Beam pipe	19
2.2.2	Silicon vertex detector - SVD	20
2.2.3	Central drift chamber - CDC	21
2.2.4	Aerogel Cherenkov Counter - ACC	23
2.2.5	Time-of-flight counters - TOF	25
2.2.6	Electromagnetic calorimeter - ECL	27
2.2.7	$K_L$ and muon detector - KLM	28
2.3	Trigger and data acquisition	30
2.3.1	L1 trigger	32
2.3.2	L3 trigger	33
2.3.3	L4 trigger	33
2.3.4	Data acquisition system	34
2.4	Particle identification	35
2.4.1	Charged hadron identification	35
2.4.2	Electron identification	37
2.4.3	Muon identification	38
2.5	Monte Carlo simulation	38
3	METHOD OF MEASUREMENT	41
3.1	Method of measurement of $\langle\alpha\rangle$	42
3.2	Method of measurement of $\mathcal{A}_{CP}$	43
3.3	$\alpha_{\Lambda_c}$ and $\mathcal{A}_{CP}$ parameters for $\Lambda_c$ decays	45
4	DEVELOPMENT OF THE MEASUREMENT METHOD ON MONTE CARLO SIMULATION	47
4.1	Preselection - hadronic event selection	47
4.2	Reconstruction of the $\Lambda_c$ decay chain	48
4.3	Construction of the skim sample	48
4.3.1	Comparison of Monte Carlo and data event samples	49
4.3.2	Reweighting of the Monte Carlo $\cos\theta_h$ angular distribution	51

4.4	Construction of the analysis sample	54
4.4.1	Optimization of selection criteria	54
4.4.2	Analysis sample	54
4.5	Fit of $\Lambda_c$ mass in bins of $\cos\theta_h$	56
4.5.1	General fit method	57
4.5.2	Signal MC fit	58
4.5.3	Generic MC fit	59
4.6	Deconvolution	61
4.7	Reconstruction efficiency	64
4.8	$\langle\alpha\rangle$ determination	65
4.9	Fit of $\Lambda_c^+$ and $\bar{\Lambda}_c^-$ mass in bins of $\cos\theta_h$	66
4.10	Deconvolution of $\Lambda_c^+$ and $\bar{\Lambda}_c^-$ events and $\mathcal{A}_{CP}$ determination	68
4.11	Linearity and consistency test	69
4.12	Summary	72
5	ANALYSIS OF REAL DATA	75
5.1	MC tuning	75
5.1.1	Re-optimization of the $\Lambda_c$ CMS momentum selection criterion	78
5.1.2	Real data reconstruction efficiency determination	78
5.2	$\Lambda_c$ fit of the real data analysis sample	79
5.3	$\langle\alpha\rangle$ and $\langle\alpha_{\Lambda_c}\rangle$ determination	80
5.4	$\Lambda_c^+$ and $\bar{\Lambda}_c^-$ fits of the real data analysis sample	82
5.5	$\mathcal{A}_{CP}$ and $\mathcal{A}_{CP}^{\Lambda_c}$ determination	84
6	SYSTEMATIC UNCERTAINTY	85
6.1	Tracking efficiency uncertainty	85
6.2	Deconvolution matrix uncertainty	86
6.3	Fit model uncertainty	87
6.4	Peaking background uncertainty	87
6.5	Uncertainty due to $\mathcal{A}_{FB}$	88
6.6	Uncertainty due to $\mathcal{A}_\epsilon$	90
6.7	Summary	91
7	SUMMARY	95
A	FIT RESULTS	97
A.1	Signal MC fit	97
A.2	Generic MC fit	97
A.3	$\Lambda_c^+$ and $\bar{\Lambda}_c^-$ MC fit	97
A.4	Real data $\Lambda_c$ fit	97
A.5	$\Lambda_c^+$ and $\bar{\Lambda}_c^-$ real data fit	97
B	DERIVATIONS OF COVARIANCE MATRICES AND ERRORS	119
B.1	Error propagation	119
B.2	Covariance matrix for the $\langle\alpha\rangle$ fit	119
B.3	Error for the $\langle\alpha\rangle$ fit	121
B.4	Error on the deconvolution matrix element	121
B.5	Covariance matrix for the $\mathcal{A}_{CP}$ fit	122
B.6	Error on the $\mathcal{A}_{CP}$ fit	124

BIBLIOGRAPHY 125

---

## INTRODUCTION

---

### 1.1 THE STANDARD MODEL AND FUNDAMENTAL SYMMETRIES

The Standard Model of particle physics (SM) is a theory which describes the fundamental particles and the interactions between them. It is a relativistic quantum gauge field theory, based on the gauge symmetry group  $SU(3)_C \times SU(2)_L \times U(1)_Y$ , which describes the three interactions found in Nature - the electromagnetic, the weak and the strong interactions [1, 2, 3]. The interactions are mediated by spin 1 particles, called gauge bosons, between the spin  $\frac{1}{2}$  constituents of matter, called fermions. Another spin 0 particle, called the Higgs boson, generates the masses of the particles via interaction and the spontaneous breaking of the gauge symmetry [4].

If a system exhibits a certain symmetry, this means that a certain feature of the system is unchanged under some transformation. This unchanged feature of the system is said to be invariant under the transformation. Mathematically, transformations that leave a quantity unchanged are described by groups. The transformations can be continuous or discrete, with continuous transformations described by Lie groups, and discrete transformations by discrete groups. It is important to note that according to Noether's theorem [5], every symmetry is associated with a conservation law.

The particles in the SM are described using quantum fields, with the dynamics of the SM determined via the principle of least action, by a Lagrangian function, built from these fields. Since the SM is a relativistic quantum gauge field theory, its Lagrangian is invariant to two continuous transformations - the Poincare transformations, described by the Poincare Lie group translation  $\times SO(1,3)$  and the gauge transformations, described by the mentioned  $SU(3)_C \times SU(2)_L \times U(1)_Y$  Lie group.

The Poincare symmetry is a global spacetime symmetry of the SM, which follows from the principles of special relativity - all physical quantities and laws must be the same in every inertial frame of reference for every point of the Minkowski spacetime. Since the inertial frames are connected via Poincare transformations, the physical quantities and laws must be invariant under the Poincare group of transformations and the corresponding conserved quantities are the energy, the momentum and the angular momentum of the system. Also, in order for the theory to be causal, it has to have an exact Poincare symmetry [6].

The gauge symmetry is an internal symmetry (independent of spacetime coordinates) of a quantum field theory, which arises because a quantum field,

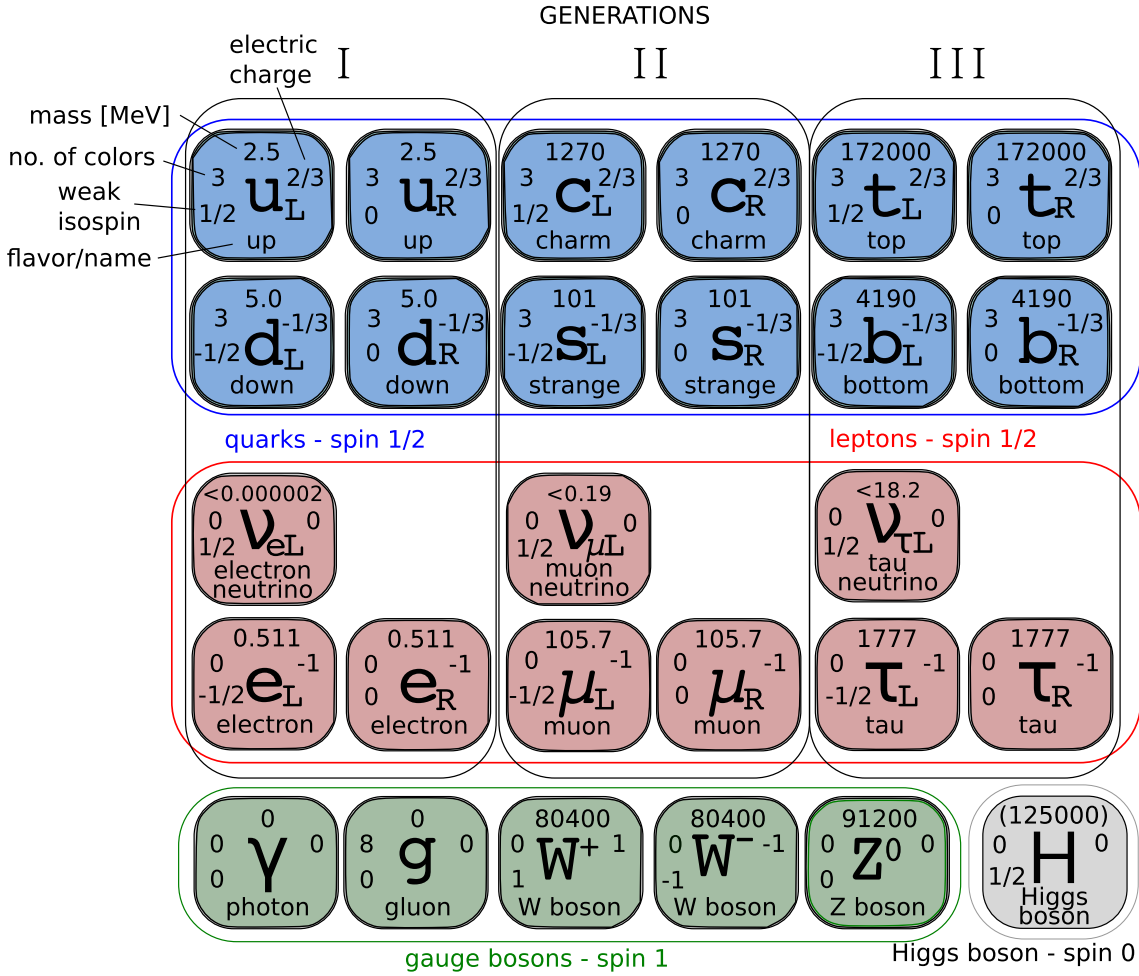


Figure 1: Standard Model particles and their properties

which is not an observable quantity, can have different configurations, which result in the same observable quantities. The transformations from one to the other field configuration is called a gauge transformation. We can associate a gauge symmetry group for every interaction described by the SM. The fields transform as a  $SU(3)_C$  group under the strong interaction and the conserved quantity under these transformations is the quark color. Under the electroweak interaction, the fields transform as a  $SU(2)_L \times U(1)_Y$  group and the conserved quantities are the weak hypercharge and the weak isospin. So the SM has a  $SU(3)_C \times SU(2)_L \times U(1)_Y$  gauge symmetry. In the SM, this symmetry is then spontaneously broken by the non-zero vacuum expectation value of the theory to the  $SU(3)_C \times U(1)_{em}$  symmetry group, which gives the strong, weak and electromagnetic interactions.

The fundamental quantum fields in the SM are divided into several categories, based on their spin and quantum numbers, which are conserved by the gauge transformations (see figure 1).

The spin 1 gauge bosons, which mediate the interactions, are divided into:

- Eight massless gluons  $g$ , which carry the strong interaction. They carry a color-anticolor charge, and interact with quarks and between themselves.

- The three massive weak gauge bosons  $W^+$ ,  $W^-$  and  $Z^0$ , which carry the weak interaction. In addition to weak isospin, the  $W$  bosons also carry an electric charge. They interact with quarks and leptons of different flavors and also between themselves.
- The massless photon  $\gamma$ , which carries the electromagnetic force between charged particles.

The spin  $\frac{1}{2}$  fermions are organized into three generations, which have identical properties according to the interactions, the only difference between the generations being the masses of the particles and the flavor quantum number. In one generation, we have a pair of left-handed quarks (an up-type and a down-type quark), a pair of left-handed leptons (a lepton and its corresponding neutrino), a right-handed up-type quark, a right-handed down-type quark, and a right-handed lepton. For every particle, there is a corresponding antiparticle, which has the same mass and reversed quantum numbers. The left-handed particle fields are  $SU(2)_L$  doublets, and the right-handed particle fields are  $SU(2)_L$  singlets.

- There are thus six quarks of different flavor, the up-type quarks  $u$ ,  $c$ ,  $t$ , and the down-type quarks  $d$ ,  $s$  and  $b$ . The quarks carry color charge, and hence they interact via the strong interaction. In addition, they also carry an electric charge and the weak isospin, so they can also interact via the electromagnetic and weak interaction.
- There are also six flavors of leptons, which do not carry color charge. The leptons are  $e$ ,  $\mu$  and  $\tau$ , and they interact via the electromagnetic and weak interactions. To each of the leptons there corresponds a neutrino,  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$ . They are electrically neutral and can therefore interact only via the weak force.

The Higgs boson is a spin 0 particle, an  $SU(2)_L$  doublet, and does not carry color or electric charge.

Every described particle except the Higgs boson has been experimentally confirmed, and a new particle, which is consistent with the Higgs boson, was discovered by the ATLAS and CMS collaborations in 2012 [7, 8].

In addition to these exact symmetries, we can also define other transformations under which the SM is only approximately conserved. For example, we can define approximate continuous symmetries, such as the quark flavor symmetry, the chiral symmetry, etc. We can also define three discrete symmetries:

- the  $P$  symmetry - the symmetry under parity transformation, which reverses the spatial coordinates,  $\vec{r}$  to  $-\vec{r}$ ,
- the  $C$  symmetry - the symmetry under charge conjugation, which transforms a particle into its antiparticle by conjugating all internal quantum numbers,
- the  $T$  symmetry - the symmetry under time reversal, which transforms  $t$  to  $-t$ .



The electromagnetic and strong interaction terms in the SM Lagrangian conserve all three of these symmetries, so they also conserve any combination, and the weak interaction violates the P and C symmetries maximally - only the left-handed particles and right-handed antiparticles interact with the weak gauge bosons.

For a long time, it was thought that the combined CP symmetry is conserved by all three interactions and is therefore a fundamental symmetry of the SM, until Cronin and Fitch discovered a small CP-violating effect in the system of neutral kaons in 1964 [9]. Later, CP-violation was also discovered in decays of B mesons by the Belle and Babar collaborations [10]. CP-violation was not yet discovered in other meson decays, baryon decays or lepton decays.

It can be shown that the combined CPT symmetry has to be conserved in order for the SM to be a Lorentz (Poincare) invariant local quantum field theory with a Hermitian Hamiltonian [11] or alternatively, that the violation of CPT symmetry implies a violation of Lorentz (Poincare) symmetry [12], so CPT symmetry is a fundamental symmetry of the SM.

## 1.2 VIOLATION OF CP SYMMETRY

CP-transformation changes a particle into its antiparticle. Because of this, violation of CP symmetry is one of the key ingredients that explain why the Universe is predominantly composed out of matter and not antimatter. It is one of the three Sakharov conditions for baryogenesis, which are necessary for the matter-antimatter asymmetry [13].

To quantify the asymmetry between baryonic and antibaryonic matter, we compare the number of baryons and the number of photons found in the universe today, as this number is related to the asymmetry between quarks and antiquarks in the early universe ( $\sim 10^{-6}$  seconds after the Big Bang). The baryon number asymmetry of the Universe, deduced from nucleosynthesis and cosmic microwave background radiation [14, 15], is:

$$\Delta|_{t \gtrsim 10^{-6}} = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq 10^{-10} \quad (1)$$

It is usually assumed that earlier than  $\sim 10^{-6}$  seconds after the Big Bang, the Universe underwent inflation, which evened out the initial asymmetries between quarks and antiquarks, so  $\Delta|_{t \lesssim 10^{-6}} = 0$  must have held, and the asymmetry between quarks and antiquarks would have to come from violation of CP symmetry alone. The Sakharov conditions are necessary to explain how a non-vanishing  $\Delta$  could arise dynamically from the starting symmetric situation  $\Delta = 0$ . The three conditions are:

- There must be baryon number violation interactions:

$$H_{\text{eff}}(\Delta B \neq 0) \neq 0 \quad (2)$$

- There must be CP-violating interactions, because if CP were an exact symmetry, every process mediated by  $H_{\text{eff}}(\Delta B \neq 0)$  would still have the same

decay rate as the CP-conjugated one, so the matter-antimatter asymmetry would still be 0.

- The universe must have been out of thermal equilibrium. This is because CPT is conserved, and in an equilibrium state, time becomes irrelevant on a global scale, so CPT symmetry reduces to CP symmetry, and the second condition is not fulfilled.

In the SM, the only source of CP-violation in quark interactions comes from the Kobayashi-Maskawa (KM) mechanism, explained in section 1.2.1, however, this mechanism alone does not account for the baryon number asymmetry (1) seen today. Therefore it is important to search for as-yet undiscovered CP-violating effects included in the SM (namely, CP-violation in the leptonic sector, as it is the only other possibility), or in models that extend the SM.

### 1.2.1 Kobayashi Maskawa mechanism

In order to describe CP-violation in the SM, let us denote the fermionic particle fields in figure 1 by (in this derivation, we will follow [16] and [17]):

$$P_{Hf}(r_{SU(3)}, r_{SU(2)})_Y, \quad (3)$$

where P is the particle, H the handedness, f the flavor/generation index,  $r_{SU(3)}$  and  $r_{SU(2)}$  the representations of  $SU(3)_C$  and  $SU(2)_L$  that the particle belongs in, and Y the hypercharge. With this notation, we have for fermions:

$$Q_{Li}(3, 2)_{1/6} = \begin{bmatrix} U_{Li} \\ D_{Li} \end{bmatrix}, \quad U_{Ri}(3, 1)_{2/3}, \quad D_{Ri}(3, 1)_{-1/3}, \quad (4)$$

$$L_{Li}(1, 2)_{-1/2} = \begin{bmatrix} \nu_{Li} \\ E_{Li} \end{bmatrix}, \quad E_{Ri}(1, 1)_{-1},$$

and for the Higgs field we have

$$\phi(1, 2)_{1/2} \quad (5)$$

The SM Lagrangian can be divided into multiple parts:

$$\mathcal{L} = \mathcal{L}_{\text{gaugeKT}} + \mathcal{L}_{\text{fermionKT}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}, \quad (6)$$

where the parts contain the gauge fields kinetic terms, the fermion fields kinetic terms, the Higgs field potential and the Yukawa interaction terms. The only

part of the SM Lagrangian that is CP-violating is the Yukawa term.<sup>1</sup> The quark Yukawa terms are:

$$-\mathcal{L}_{\text{Yukawa}}^{\text{quark}} = Y_{ij}^d \overline{Q_{Li}} \phi D_{Rj} + Y_{ij}^u \overline{Q_{Li}} \tilde{\phi} U_{Rj} + (Y_{ij}^d)^* \overline{D_{Ri}} \phi^\dagger Q_{Lj} + (Y_{ij}^u)^* \overline{U_{Ri}} \tilde{\phi}^\dagger Q_{Lj}, \quad (7)$$

where  $\tilde{\phi} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \phi^*$ .

We spontaneously break the  $SU(3)_C \times SU(2)_L \times U(1)_Y$  symmetry into  $SU(3)_C \times U(1)_{em}$  by letting the Higgs field acquire a nonzero vacuum expectation value:

$$\langle \phi \rangle = \begin{bmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{bmatrix}, \quad v = 246 \text{ GeV} \quad (8)$$

After the spontaneous symmetry breaking, the Yukawa interactions become the mass terms:

$$-\mathcal{L}_{\text{Yukawa}}^{\text{mass}} = (M_d)_{ij} \overline{D_{Li}} D_{Rj} + (M_u)_{ij} \overline{U_{Li}} U_{Rj} + (M_d)^*_{ij} \overline{D_{Ri}} D_{Lj} + (M_u)^*_{ij} \overline{U_{Ri}} U_{Lj}. \quad (9)$$

These fields, however, are written in the interaction basis and so the mass matrices in equation (7) are not diagonal. If we want to obtain the physical masses of the quarks, we need to write the terms in the mass basis, where the mass matrices are diagonal. We can always find two unitary matrices  $V_{qL}$  and  $V_{qR}$  so that  $V_{qL} M_q V_{qR}^\dagger = M_q^{\text{diag}}$ , ( $q = u, d$ ). The quark mass eigenstates are then  $q'_{Li} = (V_{qL})_{ij} q_{Lj}$ ,  $q'_{Ri} = (V_{qR})_{ij} q_{Rj}$ , ( $q = u, d$ ).

With these transformations, the charged current weak interactions, which we get from the  $\mathcal{L}_{\text{fermionKT}}$  part of the Lagrangian in (6), now transform to:

$$\begin{aligned} -\mathcal{L}_{cc, \text{flavor}} &= \frac{g}{2} \overline{Q_{Li}} \gamma^\mu W_\mu^a \tau^a Q_{Li}, \quad a = 1, 2 \rightarrow \\ -\mathcal{L}_{cc, \text{mass}} &= \frac{g}{\sqrt{2}} \left[ \overline{u}'_L, \overline{c}'_L, \overline{t}'_L \right] \gamma^\mu W_\mu^+ (V_{uL} V_{dL}^\dagger) \begin{bmatrix} d'_L \\ s'_L \\ b'_L \end{bmatrix} + \\ &\quad \frac{g}{\sqrt{2}} \left[ \overline{d}'_L, \overline{s}'_L, \overline{b}'_L \right] \gamma^\mu W_\mu^- (V_{uL} V_{dL}^\dagger)^* \begin{bmatrix} u'_L \\ c'_L \\ t'_L \end{bmatrix}, \end{aligned} \quad (10)$$

where  $W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp W_\mu^2)$ .

The  $3 \times 3$  unitary matrix:

$$V_{\text{CKM}} = V_{uL} V_{dL}^\dagger, \quad (11)$$

<sup>1</sup> There can also be a CP violating term in the QCD gauge field kinetic term:  $\mathcal{L}_\theta = \frac{\theta_{\text{QCD}}}{32\pi^2} \varepsilon_{\mu\nu\rho\sigma} F^{\mu\nu\alpha} F^{\rho\sigma\alpha}$ . This term can be estimated by measuring the electric dipole moment of the neutron, which leads to the bound  $\theta_{\text{QCD}} < 10^{-10}$ . There is no requirement in SM that this parameter should be so small, and understanding why CP-violation is so small in strong interactions is called the strong CP problem [18].

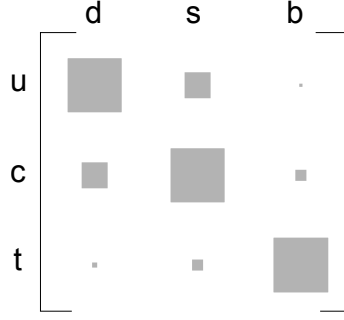


Figure 2: Magnitudes of the CKM matrix elements, represented by an area.

is called the Cabibbo-Kobayashi-Maskawa (CKM) matrix. The elements of the CKM matrix are free parameters in the SM, and their magnitudes determine the probability of the weak charged  $W^\pm$  boson couplings between quarks of different generations (flavors), so we can label the elements:

$$V_{\text{CKM}} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \quad (12)$$

Every  $3 \times 3$  unitary matrix can be parameterized by 3 real parameters and 1 complex phase. One particularly useful parameterization is called the Wolfenstein parametrization [19]:

$$V_{\text{CKM}} = \begin{bmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix} + \mathcal{O}(\lambda^4). \quad (13)$$

The global fit on all available measurements and SM unitarity constraints, gives for the parameters:

$$\lambda = 0.22535 \pm 0.00065, \quad A = 0.811_{-0.012}^{+0.022}, \quad \rho = 0.131_{-0.013}^{+0.026}, \quad \eta = 0.345_{-0.014}^{+0.013} \quad (14)$$

From these values we can see that the CKM matrix is almost diagonal, in a sense that the absolute values of its elements are smaller, the further away one goes from the diagonal (see figure 2), so the quarks are more likely to couple to quarks in the same generation.

The complex phase parameter in the CKM matrix is the only source of CP-violation in the SM. This can be explained intuitively by CP transforming the

charged current weak interaction Lagrangian (10) and comparing it to the untransformed one:

$$\begin{aligned}
-\mathcal{L}_{\text{cc,mass}} &= \frac{g}{\sqrt{2}} \left[ \bar{u}'_L, \bar{c}'_L, \bar{t}'_L \right] \gamma^\mu W_\mu^+ V_{\text{CKM}} \begin{bmatrix} d'_L \\ s'_L \\ b'_L \end{bmatrix} + \\
&\quad \frac{g}{\sqrt{2}} \left[ \bar{d}'_L, \bar{s}'_L, \bar{b}'_L \right] \gamma^\mu W_\mu^- V_{\text{CKM}}^* \begin{bmatrix} u'_L \\ c'_L \\ t'_L \end{bmatrix}, \\
&\xrightarrow{\text{CP}} \tag{15} \\
-\mathcal{L}_{\text{cc,mass}}^{\text{CP}} &= \frac{g}{\sqrt{2}} \left[ \bar{d}'_L, \bar{s}'_L, \bar{b}'_L \right] \gamma^\mu W_\mu^- V_{\text{CKM}} \begin{bmatrix} u'_L \\ c'_L \\ t'_L \end{bmatrix} + \\
&\quad \frac{g}{\sqrt{2}} \left[ \bar{u}'_L, \bar{c}'_L, \bar{t}'_L \right] \gamma^\mu W_\mu^+ V_{\text{CKM}}^* \begin{bmatrix} d'_L \\ s'_L \\ b'_L \end{bmatrix},
\end{aligned}$$

We see that the Lagrangian (10) is CP symmetry conserving only if  $V_{\text{CKM}} = V_{\text{CKM}}^*$  holds, in other words, if the CKM matrix elements are real. This will be true only if the complex phase is equal to 0.

A similar derivation can be performed for the leptons, but in the SM, the leptonic CP-violation is equal to 0, because in the SM, the neutrinos do not have masses. However, recent neutrino oscillation experiments have shown that neutrinos mix among themselves and therefore have masses [20], so there could be a possibility of CP-violation also in the leptonic sector. So far, no CP-violation in this sector has been experimentally confirmed.

### 1.3 CP VIOLATION IN CHARM DECAYS

CP violation in the SM for tree-level charm decays is expected to be very small. This is due to the fact that the t quark is so heavy that it decays before it can form hadrons, so all the charmed hadronic states that participate in weak decays are composed of quarks that belong to the first two generations. The  $2 \times 2$  matrix for the first two generations (the Cabibbo matrix) is real to the order of  $\lambda^2$  in the Wolfenstein parametrization (13). The complex phase that causes CP violation enters only in the  $\lambda^4$  order, and this yields a CP violating effect of the order  $\mathcal{O}(10^{-3})$ .

CP-violation can also occur in next order decays (box and penguin decays), however, the CP violating effects that can occur are highly suppressed by the smallness of the typical CKM matrix element combinations  $V_{cq} V_{uq}^*$  that determine the coupling probability in the second order weak charm decays. To estimate the order of magnitude of the CP violating effects, we look for example

at the factor  $V_{cs}V_{us}^*$  (this is a factor relevant to the box diagram process). Using CKM matrix unitarity, this factor can be expressed as:

$$V_{cs}V_{us}^* = -V_{cd}V_{ud}^* \left( 1 + \frac{V_{cb}V_{ub}^*}{V_{cd}V_{ud}^*} \right). \quad (16)$$

The second term's absolute value is small, and we can express the magnitude of the imaginary part for the term  $V_{cs}V_{us}^*$  as:

$$\arg(V_{cs}V_{us}^*) \approx \text{Im} \left( \frac{V_{cs}V_{us}^*}{V_{cs}V_{us}^*} \right) \approx 7 \times 10^{-4}. \quad (17)$$

Both contributions are therefore of the order of  $\mathcal{O}(10^{-3})$ , so any observation of CP violation significantly larger than this would present a clean signal of physics not described by the SM. Searches for CP violation in charm decays are therefore a promising way to discover physics beyond the SM.

#### 1.4 CP-VIOLATION IN WEAK DECAYS OF THE $\Lambda_c$ BARYON

The  $\Lambda_c^+$  baryon is a spin 1/2 particle composed of (udc) quarks. In this work, we will search for CP-violation in a specific decay chain of  $\Lambda_c^+$ , where the  $\Lambda_c^+$  baryon decays weakly to the  $\Lambda^0$  baryon, also a spin 1/2 baryon, composed of (uds) quarks and a  $\pi^+$ , a spin 0 meson with a quark content of (u $\bar{d}$ ). The  $\Lambda^0$  baryon then decays weakly to a proton, again a spin 1/2 baryon composed of quarks (uud), and a  $\pi^-$ , a spin 0 meson with a quark content of (d $\bar{u}$ ). (see figure 3).

Weak decays of a spin 1/2 particle to a spin 1/2 and a spin 0 particles ( $B_0 \rightarrow B_1M$ ) can be described by an amplitude:

$$A(B_0 \rightarrow B_1M) = \overline{u}_{B_1}(p_{B_1}, s_{B_1})[A_S - \gamma_5 A_P]u_{B_0}(p_{B_0}, s_{B_0}). \quad (18)$$

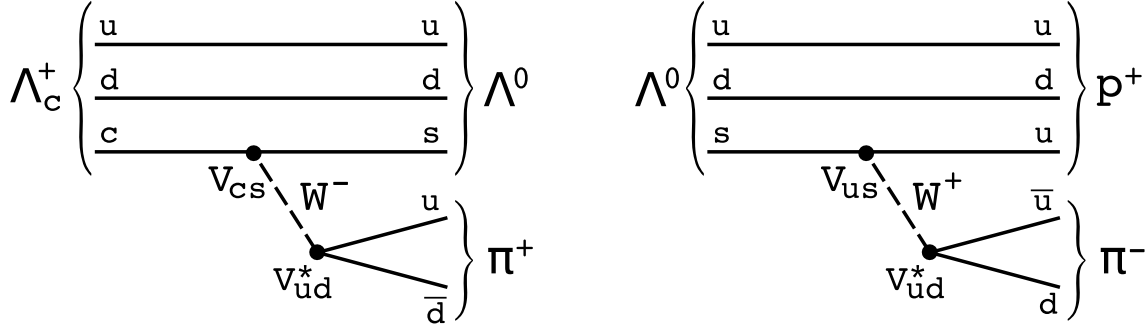
If we CP transform this amplitude, we get:

$$A(B_0 \rightarrow B_1M) \xrightarrow{\text{CP}} \overline{v}_{B_0}(p_{B_0}, s_{B_0})[A_S + \gamma_5 A_P]v_{B_1}(p_{B_1}, s_{B_1}). \quad (19)$$

From these amplitudes, we can calculate the angular distribution in the decay which is a linear function of the  $\cos\theta$  of the decay (see section 1.4.1). If CP symmetry is conserved in these decays, the parameter of  $B_0$  decay,  $\alpha_{B_0}$ , will be the opposite of the parameter of  $\overline{B_0}$  decay,  $\alpha_{\overline{B_0}}$ , because of (18) and (19). If we define an asymmetry:

$$\mathcal{A}_{\text{CP}}^{B_0} = \frac{\alpha_{B_0} + \alpha_{\overline{B_0}}}{\alpha_{B_0} - \alpha_{\overline{B_0}}}, \quad (20)$$

we can determine the amount of CP-violation in this decay.

Figure 3: Feynman diagrams of the  $\Lambda_c^+$  baryon decay chain.

#### 1.4.1 $\Lambda_c$ decay chain angular distribution - nonrelativistic derivation

In this section and in section 1.4.2, we follow [21]. The two decays that we are analyzing are both weak decays of a spin 1/2 particle to a spin 1/2 particle and a spin 0 particle. We look first at just the decay  $\Lambda_c \rightarrow \Lambda\pi$ . Because this decay is weak, the parity is not conserved and there is no restriction on the parity of the final state. If we take the initial state to be completely polarized (for example, we choose the initial state with spin up), then by angular momentum conservation, the  $\Lambda\pi$  final state can have an angular momentum of  $l = 0$  (S-wave) or  $l = 1$  (P-wave). The following spin configurations are allowed:

$$\begin{aligned}
 \psi_1 &= A_S Y_0^0 s_{1/2} = A_S \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\
 \psi_2 &= -A_P \left(-\sqrt{\frac{1}{3}}\right) Y_0^1 s_{1/2} = -A_P \left(-\sqrt{\frac{1}{3}}\right) (\sqrt{3} \cos \theta) \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\
 \psi_3 &= -A_P \left(\sqrt{\frac{2}{3}}\right) Y_1^1 s_{-1/2} = -A_P \left(\sqrt{\frac{2}{3}}\right) \left(-\sqrt{\frac{3}{2}} \sin \theta e^{i\varphi}\right) \begin{bmatrix} 0 \\ 1 \end{bmatrix},
 \end{aligned} \tag{21}$$

where  $A_S$  and  $A_P$  are the amplitudes of the S and P angular momentum state,  $Y_j^i$  are orbital momentum eigenfunctions, the factors  $-\sqrt{\frac{1}{3}}$  and  $\sqrt{\frac{2}{3}}$  are Clebsch-Gordon coefficients for the decomposition of the initial spin 1/2 state into two spins, 1/2 and 1, and  $s_{1/2}$  and  $s_{-1/2}$  are the Pauli spin functions.

The final state is a superposition of all three possible spin configurations:

$$\psi_f = \psi_1 + \psi_2 + \psi_3 = (A_S + A_P \cos \theta) \begin{bmatrix} 1 \\ 0 \end{bmatrix} + (A_P e^{i\varphi} \sin \theta) \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \tag{22}$$

This gives for the angular distribution of the decay as measured in the  $\Lambda_c$  center-of-mass system (CMS) (see figure 4):

$$\frac{dN}{d \cos \theta} = \psi_f^* \psi_f = 1 + \alpha_{\Lambda_c} \cos \theta, \tag{23}$$

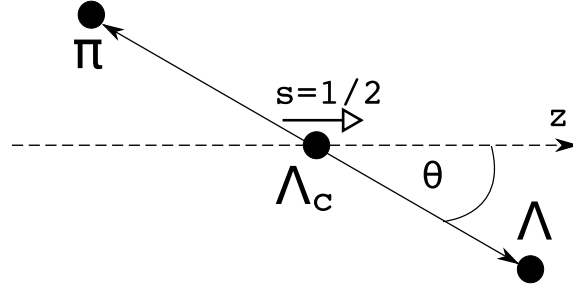


Figure 4: Definition of the angle in the angular distribution of  $\Lambda_c$  decay in  $\Lambda_c$  CMS.

where  $\alpha_{\Lambda_c}$  is the weak asymmetry decay parameter for the  $\Lambda_c$  decay:

$$\alpha_{\Lambda_c} = \frac{2\text{Re}(A_S^* A_P)}{|A_S|^2 + |A_P|^2}. \quad (24)$$

Theoretically, this parameter can for example be calculated in the framework of heavy quark effective theory [22]. In addition, we can also define two other decay parameters:

$$\begin{aligned} \beta_{\Lambda_c} &= \frac{2\text{Im}(A_S^* A_P)}{|A_S|^2 + |A_P|^2} \\ \gamma_{\Lambda_c} &= \frac{|A_S|^2 - |A_P|^2}{|A_S|^2 + |A_P|^2}. \end{aligned} \quad (25)$$

We would like to determine the polarization of the  $\Lambda$  particle coming from this decay. For this, we need the spin state of the  $\Lambda$ . The most general spin state of a spin 1/2 particle can be written as:

$$\psi = a \begin{bmatrix} 1 \\ 0 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad (26)$$

where  $a$  and  $b$  are complex numbers normalized to 1:

$$|a|^2 + |b|^2 = 1. \quad (27)$$

Since the final state wave function (22) contains all information about the spin of the  $\Lambda$ , we just need to normalize it to get the correct spin state of the  $\Lambda$ . We will also set  $\varphi$  to 0. With this, we set the emission direction of the  $\Lambda$  into the  $z-x$  plane, which does not affect generality. The  $\Lambda$  spin state is then:

$$\psi_{\Lambda} = \frac{\psi_f}{|\psi_f|^2} = \frac{A_S + A_P \cos \theta}{1 + \alpha_{\Lambda_c} \cos \theta} \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \frac{A_P \sin \theta}{1 + \alpha_{\Lambda_c} \cos \theta} \begin{bmatrix} 0 \\ 1 \end{bmatrix}. \quad (28)$$

The polarization vector for spin 1/2 particles is defined as

$$\vec{P} = 2 \langle \vec{J} \rangle = \psi^* \vec{\sigma} \psi, \quad (29)$$



where  $\vec{\sigma}$  are the Pauli matrices. From equations (28) and (29) we can now determine the components of the  $\Lambda$  polarization vector:

$$\begin{aligned} (1 + \alpha_{\Lambda_c} \cos \theta) P_{\Lambda_x} &= \alpha_{\Lambda_c} \sin \theta + 2|A_p|^2 \cos \theta \sin \theta \\ (1 + \alpha_{\Lambda_c} \cos \theta) P_{\Lambda_y} &= \beta_{\Lambda_c} \sin \theta \\ (1 + \alpha_{\Lambda_c} \cos \theta) P_{\Lambda_z} &= \gamma_{\Lambda_c} + \alpha_{\Lambda_c} \cos \theta + 2|A_p|^2 \cos^2 \theta. \end{aligned} \quad (30)$$

Now we generalize to the case where the initial  $\Lambda_c$  particle is not completely polarized ( $P_{\Lambda_c} < 1$ ). We can define the initial polarization of a sample of  $\Lambda_c$  particles as:

$$P_{\Lambda_c} = \frac{N_{\uparrow} - N_{\downarrow}}{N_{\uparrow} + N_{\downarrow}}, \quad (31)$$

where  $N_{\uparrow}$  and  $N_{\downarrow}$  are the numbers of  $\Lambda_c$  particles in the sample with spin up and spin down, respectively. Equation (23) now becomes:

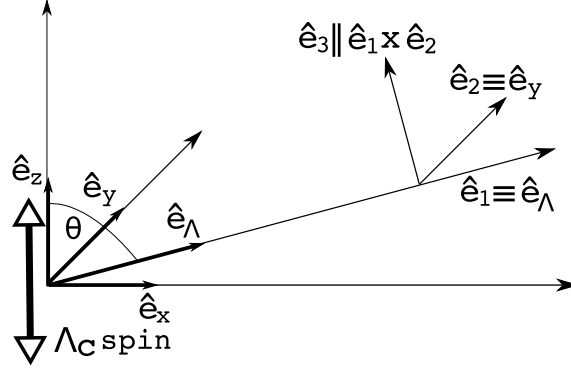
$$\frac{dN}{d \cos \theta} = 1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta, \quad (32)$$

and for calculation of the  $\Lambda$  polarization, we must reweigh both spin terms in equation (28) by  $\frac{N_{\uparrow}}{N_{\uparrow} - N_{\downarrow}}$  and  $\frac{N_{\downarrow}}{N_{\uparrow} - N_{\downarrow}}$ , respectively. For the spin down state, we must also make the transformation  $y \rightarrow -y, z \rightarrow -z, \theta \rightarrow \pi - \theta$ , as the  $\Lambda_c$  spin points in the negative  $z$  direction in this case. When we take this into account, the  $\Lambda$  polarization for a  $\Lambda_c$  source with polarization  $P_{\Lambda_c}$  in the  $\Lambda_c$  CMS is:

$$\begin{aligned} P_{\Lambda_x} &= \frac{\alpha_{\Lambda_c} \sin \theta + 2P_{\Lambda_c} |A_p|^2 \cos \theta \sin \theta}{1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta} \\ P_{\Lambda_y} &= \frac{P_{\Lambda_c} \beta_{\Lambda_c} \sin \theta}{1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta} \\ P_{\Lambda_z} &= \frac{P_{\Lambda_c} \gamma_{\Lambda_c} + \alpha_{\Lambda_c} \cos \theta + 2P_{\Lambda_c} |A_p|^2 \cos^2 \theta}{1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta}. \end{aligned} \quad (33)$$

These expressions simplify if we write them in the  $\Lambda$  emission CMS, where the  $x$ -axis is defined with the  $\Lambda$  emission direction in the  $\Lambda_c$  CMS, the  $y$ -axis direction is the same as before, and the  $z$ -axis are defined to be perpendicular in the usual way (see figure 5). If we transform the  $\Lambda$  polarization vector to this coordinate system, we get (we will denote the axes with indices 1, 2 and 3 for this system):

$$\begin{aligned} P_{\Lambda 1} &= \frac{\alpha_{\Lambda_c} + P_{\Lambda_c} \cos \theta}{1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta} \\ P_{\Lambda 2} &= \frac{P_{\Lambda_c} \beta_{\Lambda_c} \sin \theta}{1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta} \\ P_{\Lambda 3} &= \frac{P_{\Lambda_c} \gamma_{\Lambda_c} \sin \theta}{1 + P_{\Lambda_c} \alpha_{\Lambda_c} \cos \theta} \end{aligned} \quad (34)$$

Figure 5: Definition of the  $\Lambda$  emission coordinate system.

Now we look at the decay  $\Lambda \rightarrow p\pi$ . We know that this is also a weak decay of a spin 1/2 particle to a spin 1/2 particle and a spin 0 particle, so according to the equation (32), the angular distribution of the decay in the  $\Lambda$  CMS is:

$$\frac{dN}{d \cos \theta_p} = 1 + P_\Lambda \alpha_\Lambda \cos \theta_p, \quad (35)$$

where  $\alpha_\Lambda$  is the weak asymmetry parameter for the decay of  $\Lambda$  and  $P_\Lambda$  is the  $\Lambda$  polarization.

In our case, the  $\Lambda$  sample has this angular distribution with respect to the direction of the polarization  $\vec{P}_\Lambda$ . We now want to write the angular distributions of the decay with respect to the directions 1, 2 and 3 in figure 5. It can be shown that the distribution (35) remains the same for all three directions, if we write it with the polar angle and the right component of polarization for the specific direction. So, for all three directions, we will have:

$$\frac{dN}{d \cos \vartheta_k}(\theta) = 1 + \alpha_\Lambda P_{\Lambda k}(\theta) \cos \vartheta_k, \quad k = 1, 2, 3 \quad (36)$$

The components (34) are written for a specific angle  $\theta$ . In our sample, however, for each  $\Lambda$  that is emitted, this angle will be different, so if we want angular distributions in  $\Lambda$  CMS of the whole sample, we need to average the polarization components over  $\theta$ :

$$\frac{dN}{d \cos \vartheta_k} = 1 + \alpha_\Lambda \langle P_{\Lambda k} \rangle \cos \vartheta_k, \quad k = 1, 2, 3. \quad (37)$$

$\langle P_{\Lambda k} \rangle$  is the statistical average of the polarization components over the  $\theta$  decay angle:

$$\langle P_{\Lambda k} \rangle = \int P_{\Lambda k}(\theta) f(\theta) d\Omega, \quad (38)$$

where  $f(\theta)$  is the normalized distribution (32). If we calculate this, we arrive to our final distributions:

$$\begin{aligned}\frac{dN}{d \cos \vartheta_1} &= 1 + \alpha_{\Lambda_c} \alpha_{\Lambda} \cos \vartheta_1, \quad \cos \vartheta_1 = \hat{e}_p \cdot \hat{e}_1 \\ \frac{dN}{d \cos \vartheta_2} &= 1 + \frac{\pi}{4} P_{\Lambda_c} \beta_{\Lambda_c} \alpha_{\Lambda} \cos \vartheta_2, \quad \cos \vartheta_2 = \hat{e}_p \cdot \hat{e}_2 \\ \frac{dN}{d \cos \vartheta_3} &= 1 + \frac{\pi}{4} P_{\Lambda_c} \gamma_{\Lambda_c} \alpha_{\Lambda} \cos \vartheta_3, \quad \cos \vartheta_3 = \hat{e}_p \cdot \hat{e}_3,\end{aligned}\tag{39}$$

where  $\hat{e}_1, \hat{e}_2$  and  $\hat{e}_3$  have been defined in figure 5 and  $\hat{e}_p$  is the emission direction of the proton in the  $\Lambda$  CMS.

As one can see from the first of equations (39), we can determine the parameter  $\alpha_{\Lambda_c}$  regardless of the initial polarization of the  $\Lambda_c$  sample, if  $\alpha_{\Lambda}$  is known.

#### 1.4.2 $\Lambda_c$ decay chain angular distribution - relativistic derivation

In section 1.4.1, we derived the angular distributions nonrelativistically. Specifically, we did not distinguish between the  $\Lambda_c$  CMS where the decay orbital momentum is strictly defined, and the  $\Lambda$  CMS where the  $\Lambda$  spin is strictly defined. To find a relativistic generalization, we need to write down the relativistic decay state of the  $\Lambda_c$  in its CMS. This state can be written as a superposition of states:

$$|L, M, s, m \rangle = \int d\Omega_{\vec{p}} Y_L^M(\Omega_{\vec{p}}) \varphi_{\vec{p}} \Gamma_{\vec{p}} \chi_s^m, \tag{40}$$

where:

- $\Omega_{\vec{p}}$  are the angles  $\theta_{\vec{p}}, \phi_{\vec{p}}$ , denoting the direction of the  $\Lambda$  momentum  $\vec{p}$  in the  $\Lambda_c$  CMS.
- $Y_L^M(\Omega_{\vec{p}})$  is the decay orbital momentum state with angular momentum  $L$  and  $z$  component  $M$ .
- $\varphi_{\vec{p}}$  is a plane wave state  $e^{i\vec{p}\vec{x}}$  for  $\Lambda$  in the  $\Lambda_c$  CMS.
- $\chi_s^m$  is the spin eigenstate of  $\Lambda$  in the  $\Lambda$  CMS, which is defined so that the coordinate axes are parallel to the axes in the  $\Lambda_c$  CMS.
- $\Gamma_{\vec{p}}$  is the matrix that transforms the  $\Lambda$  spin state from the  $\Lambda$  CMS to the  $\Lambda_c$  CMS.

It can be shown that the rotation properties of the relativistic states (40) do not depend on the  $\Lambda$  momentum  $\vec{p}$ , which means that they transform under rotations in the  $\Lambda_c$  CMS as the corresponding nonrelativistic states. If this is true, then the nonrelativistic derivation which considers only angular momentum in the  $\Lambda_c$  CMS is identical to the relativistic one. The only difference is that caution must be taken when transforming from the  $\Lambda$  CMS to  $\Lambda_c$  CMS. Since every  $\Lambda$  in the sample has its own CMS, defined by the  $\Lambda_c$  CMS, we need to first transform every quantity from the laboratory system to the  $\Lambda_c$  CMS, and from there to the  $\Lambda$  CMS, where we have calculated the angular distribution.

---

## EXPERIMENTAL SETUP

---

This work has been done by analyzing the data collected by the Belle detector. The Belle detector is a particle detector constructed around the crossing point of the electron and positron beams of the KEKB asymmetric  $e^+e^-$  collider, located at the High Energy Accelerator Research Organization in Tsukuba, Japan. In this chapter, the KEKB collider and the Belle detector will be described. For a complete description, see [23] for the KEKB collider and [24, 25] for the Belle detector.

### 2.1 THE KEKB ASYMMETRIC $e^+e^-$ COLLIDER

KEKB is a two-ring, asymmetric energy electron-positron collider. Its two rings with a circumference of  $\sim 3$  km are installed 11 m below the ground level in a tunnel which was previously used for the TRISTAN collider. In one of the rings, the electrons are stored at the energy of 8.5 GeV (the high energy ring - HER) and in the other, positrons are stored at the energy of 3.5 GeV (the low energy ring - LER). The electrons and positrons are injected into the rings by a linac complex at full energies. The two rings intersect at an angle of 22 mrad in a single point, called the interaction point (IP), where the  $e^+e^-$  collisions occur. The Belle detector is built around the IP in order to catch the particles produced by the collisions (see figure 6).

The beam energies are chosen so that the center-of-mass (CM) energy is :

$$E_{\text{CM}} = 2\sqrt{E_{\text{HER}}E_{\text{LER}}} = 10.58 \text{ GeV}, \quad (41)$$

which corresponds to the mass of the  $\Upsilon(4S)$  resonance, an excited bound state of  $b\bar{b}$  quarks [26]. Because the mass of  $\Upsilon(4S)$  is approximately equal to 2 times the mass of the B meson, this resonance is just above the threshold for the B meson production, and it decays exclusively to a pair of B mesons (either charged or neutral). Because the cross-section for the  $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$  reaction at this energy is large, the KEKB collider produces a large amount of B mesons (it is a so-called 'B-factory'). This setup that gives a large B meson production is chosen because the Belle collaboration's main goal was to study CP violation in decays of B mesons.

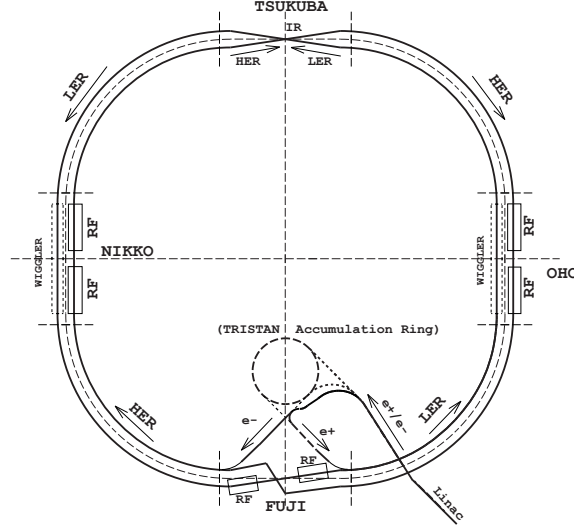


Figure 6: A schematic of the KEKB collider.[23]

interaction type	$\sigma$ [nb]
$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$	1.1
$e^+e^- \rightarrow c\bar{c}$	1.3
$e^+e^- \rightarrow q\bar{q}, (q = u, d, s)$	2.1
$e^+e^- \rightarrow \tau^+\tau^-$	0.93
Bhabha, radiative Bhabha	37.8
$\gamma\gamma$	11.1

Table 1: Cross sections for possible  $e^+e^-$  interactions in the KEKB collider. [24]

The beam energies are asymmetric, and therefore the produced  $\Upsilon(4S)$  particle is boosted in the laboratory system by:

$$\beta\gamma = \frac{E_{\text{HER}} - E_{\text{LER}}}{E_{\text{CMS}}} = 0.425. \quad (42)$$

The  $B\bar{B}$  pair produced from  $\Upsilon(4S)$  has approximately the same boost, as they are produced almost at rest in the CMS of the  $\Upsilon(4S)$ , so the decay vertices of both mesons are  $\sim 200 \mu\text{m}$  apart in the laboratory system, which allows for the measurement of time-dependent CP-violation.

In addition to collecting data at this energy, the accelerator also collected data at energies corresponding to other  $\Upsilon(NS)$  resonances, and at an energy of 60 MeV below the  $\Upsilon(4S)$  resonance (see figure 7).

The colliding  $e^+e^-$  can also undergo interactions other than the  $\Upsilon(4S) \rightarrow B\bar{B}$  production. The interactions include all other quark pair production except for  $t\bar{t}$  pairs, muon and tau pair production, Bhabha scattering and two photon events. The cross-sections for each interaction are written in table 1. As we can see, the collider also produces a large amount of  $c\bar{c}$  pairs, which can be used to study charm physics.

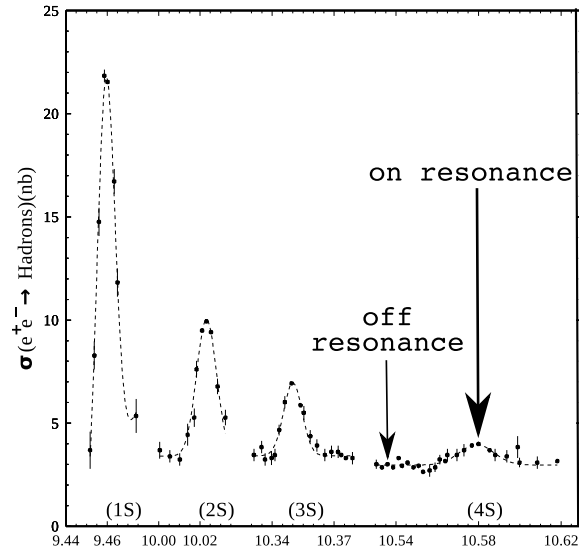


Figure 7: Cross section for  $e^+e^- \rightarrow \text{hadrons}$  at the center-of mass energies near the  $\Upsilon$  resonances.[26]

The performance of an accelerator is characterized by its luminosity, which equals the number of particles per area per unit of time times the opacity of the target. For the intersecting ring collider, it is equal to:

$$\mathcal{L} = fn \frac{N_1 N_2}{A}, \quad (43)$$

where  $f$  is the revolution frequency,  $n$  the number of particle bunches in an individual ring,  $N_1$  and  $N_2$  the number of particles in each colliding bunch, and  $A$  the cross-section of the beam.

The luminosity relates the rate of particle collisions to the interaction cross-section:

$$\frac{dN}{dt} = \mathcal{L}\sigma. \quad (44)$$

The KEKB collider's design luminosity was  $1.0 \times 10^{34}/\text{cm}^2\text{s}$ , and in June 2009, it achieved a luminosity of  $2.11 \times 10^{34}/\text{cm}^2\text{s}$ , which is the current world record for colliders.

The integrated luminosity,

$$L = \int \mathcal{L} dt, \quad (45)$$

is a measure of total collected data. In its running period, the KEKB collider has produced a total of more than  $1000 \text{ fb}^{-1}$  of data,  $711 \text{ fb}^{-1}$  of this amount at the  $\Upsilon(4S)$  resonance and  $100 \text{ fb}^{-1}$  at 60 MeV below the  $\Upsilon(4S)$  resonance (see table 2).

## 2.2 THE BELLE DETECTOR

The Belle detector (figure 8) is constructed around the interaction point of the KEKB accelerator. It's purpose is to measure the energy, momentum and identity

Resonance	collected data [ $\text{fb}^{-1}$ ]
$\Upsilon(1S)$	6
$\Upsilon(2S)$	25.0
$\Upsilon(3S)$	3
60 MeV below $\Upsilon(4S)$	100
$\Upsilon(4S)$	711
$\Upsilon(5S)$	121
all data	> 1000

Table 2: The amount of data produced at various energies of different resonances in the KEKB collider.[27]

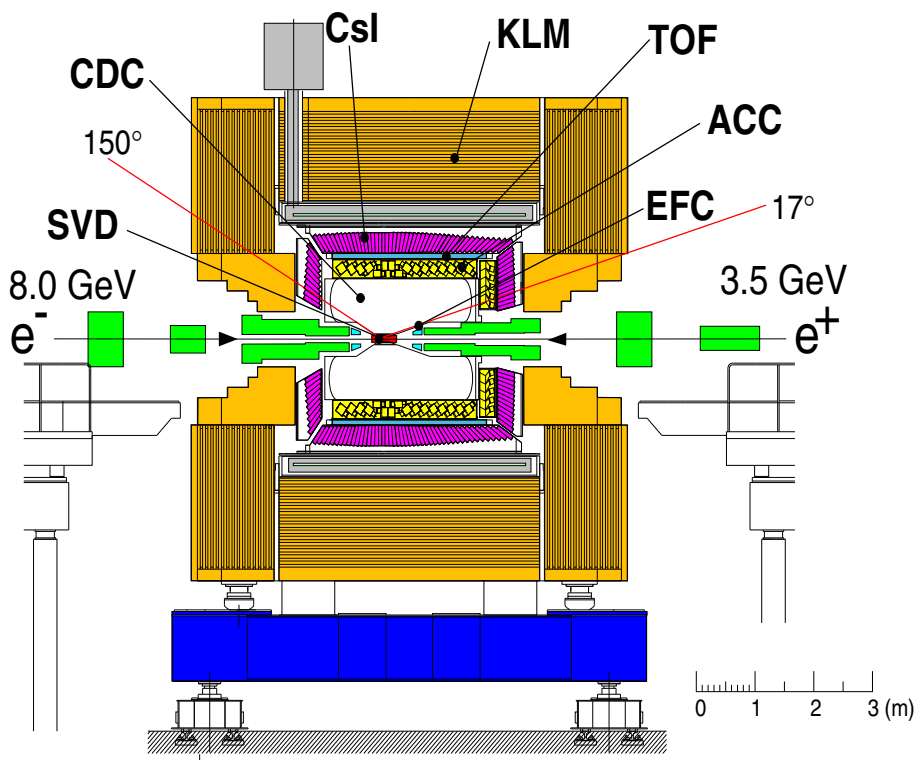


Figure 8: Side view of the Belle detector.[24]

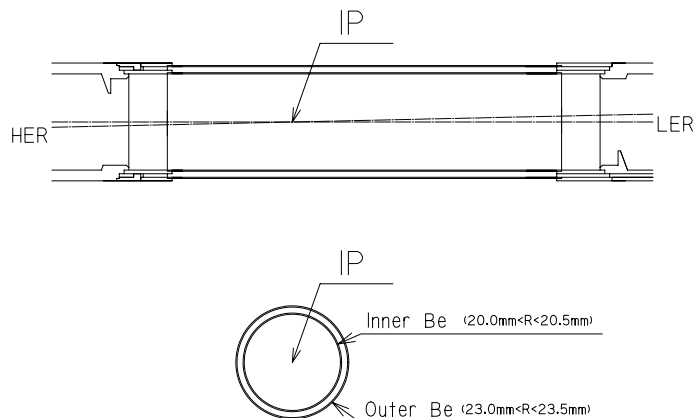


Figure 9: Cross-section of the beam pipe at the interaction region.[24]

of various long-lived particles which are final products of the  $e^+e^-$  collisions in the accelerator. It is configured around the 1.5 T solenoid magnet and iron structure which surround the KEKB beams.

The detector is composed of various subdetector systems, all of which have specific tasks. Just outside the beryllium beam pipe is the silicon vertex detector (SVD), which measures the decay vertices of B mesons. Looking radially outward, after the SVD there is the multiwire drift chamber (CDC), used for charged particle tracking and via measurements of specific ionization  $\frac{dE}{dx}$ , also for particle identification. The aerogel Cherenkov counters (ACC) and the time-of-flight counters (TOF) outside the CDC are also used for particle identification. Following those in the radial direction, there is an electromagnetic calorimeter (ECL), used for measuring the energy of the electromagnetic showers, composed of an array of CsI(Tl) crystals. All of these subdetectors are located inside the superconducting solenoid magnet. The outermost subdetector is the  $K_L$  meson and muon detector (KLM), composed of arrays of resistive plate counters interspersed in the iron yoke.

The detector covers a polar angle of  $17^\circ < \theta < 150^\circ$ <sup>1</sup>. A part of the uncovered polar angle is measured by a pair of extreme forward calorimeters (EFC), composed of an array of BGO crystals.

In order to reduce the Bhabha and  $\gamma\gamma$  background events and to reduce the rate of data that needs to be written by the data acquisition system, the Belle detector employs a hardware and a software trigger.

In the following the most important parts of the detector for the performed measurement are described in more details.

### 2.2.1 Beam pipe

The beam pipe in the interaction region ( $-4.6 \text{ cm} \leq z \leq 10.1 \text{ cm}$ ) is a double-wall beryllium cylinder (see figure 9). The inner radius is 15 mm for the new beam pipe and 20 mm for the old beam pipe (see chapter 2.2.2). Because of the heat induced by the beam, it is He-gas cooled. Both beryllium walls are 0.5 mm

<sup>1</sup> The laboratory system is defined with the  $z$ -axis pointing in the opposite direction of the LER beam, the  $x$ -axis in the horizontal direction, and the  $y$ -axis in the vertical direction.



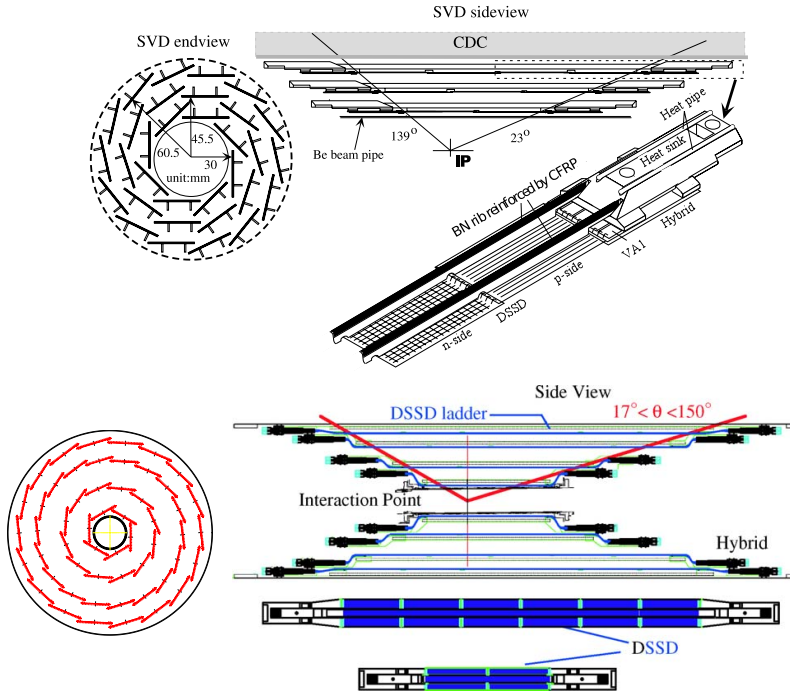


Figure 10: Detector configurations of SVD1 (top) and SVD2 (bottom). [24, 25]

thick and represent 0.3% of the radiation length. On the outside wall, a  $60\ \mu\text{m}$  thick gold sheet is attached, in order to reduce the low energy X-ray background from HER. Its thickness corresponds to 0.6% of the radiation length.

### 2.2.2 Silicon vertex detector - SVD

In order to study the time-dependent CP-violation in B meson decays, one needs a precision of  $\sim 100\ \mu\text{m}$  in the measurement of the difference of the z decay vertex positions for B meson pairs. This measurement is provided by the silicon vertex detector (SVD), and in addition, it is also useful for measuring the decay vertex positions of D and  $\tau$  mesons and also contributes to tracking. As the resolution that can be achieved is dominated by the multiple Coulomb scattering, the SVD needs to be placed as close to the IP as possible and it must be low in mass but rigid.

The SVD is composed out of layers of detectors, where each layer is built out of independent DSSD (double-sided silicon detector) ladders. (see figure 10). The DSSD is a depleted p – n junction, in which a passing charged particle excites electrons from the valence to the conducting band, thus creating electron-hole pairs, which create currents in the  $p^+$  and  $n^+$  strips on the surface of the DSSD. Each DSSD in SVD has 1280 sense strips and 640 readout pads on opposite sides.

In the Belle detector, there have been two different silicon vertex detectors (denoted by SVD1 and SVD2), where SVD1 was operating from the beginning of the measuring period until 2003, and SVD2 was operating from 2003 until the end of the measuring period.

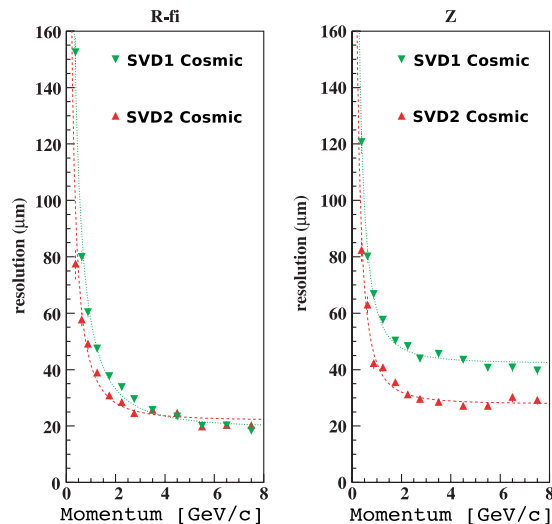


Figure 11: Impact parameter resolutions of SVD1 and SVD2 in the  $z$  direction and the  $r - \phi$  plane.[24]

SVD1 had 3 layers, at 30, 45.5 and 60 mm radially from the IP, consisting out of 8, 10 and 14 DSSD ladders respectively, where in each ladder there were 2, 3 or 4 ladders, depending on the layer. It covered a solid of angle of  $23^\circ \leq \theta \leq 139^\circ$  and at  $\theta = 90^\circ$ , corresponded to 1.85% of radiation length.

SVD2 had 4 layers, at 20, 43.5, 70 and 80 mm radially from the IP, consisting out of 6, 12, 18 and 18 DSSD ladders respectively, where in each ladder there were 2, 3, 5 or 6 ladders, depending on the layer. It covered a solid of angle of  $17^\circ \leq \theta \leq 150^\circ$ , thus matching the angular coverage of the CDC, and at  $\theta = 90^\circ$ , corresponded to 2.6% of radiation length.

Both detectors provided sufficient accuracy in measuring the coordinates of the decay vertices of B mesons, in the  $z$  direction and also in the  $r - \phi$  plane, as confirmed by the measurements of the impact parameter resolution. The momentum and angular dependence of the impact parameter resolution in the  $z$  direction and  $r\phi$  plane can be expressed by the formula

$$\sigma_z = a \oplus \frac{b}{p\beta \sin^{5/2} \theta} \mu\text{m}, \quad \sigma_{r\phi} = c \oplus \frac{d}{\sin^{3/2} \theta} \mu\text{m}, \quad (46)$$

where  $a = 36, b = 42, c = 19, d = 50$  for SVD1, and  $a = 28, b = 32, c = 22, d = 36$  for SVD2 (see figure 11).

### 2.2.3 Central drift chamber - CDC

Virtually all measurements done with the Belle detector need an efficient reconstruction of individual charged particle tracks and the precise determination of their momenta. This is accomplished with the main tracking detector, the CDC.

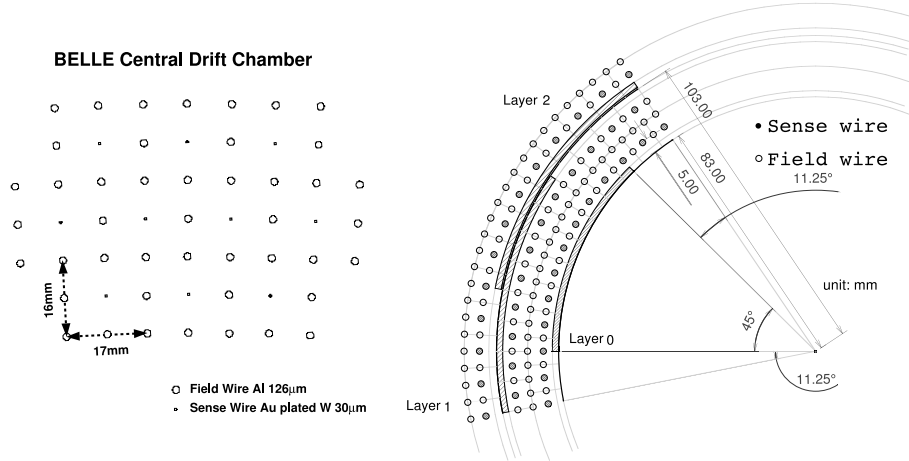


Figure 12: The Belle CDC cell structure.[24]

Physics goals for the Belle experiment require a momentum resolution of the reconstructed charged to be:

$$\frac{\sigma_{p_T}}{p_T} \sim 0.5\% \sqrt{1 + p_T^2 [\text{GeV}/c]} \quad (47)$$

for all particles with  $p_T \geq 100 \text{ MeV}/c$  in the polar angle of  $17^\circ \leq \theta \leq 150^\circ$ . The tracking system must also provide information for the trigger and particle identification information in the form of the precise measurements of the specific ionization  $\frac{dE}{dx}$ .

The CDC is designed and constructed to meet these requirements. It is a multiwire drift chamber, filled with gas, with strung anode sense and field wires centered between cathode planes, forming cells (see figure 12). A charged particle travelling through the chamber ionizes the gas and the ionized electrons and ions drift to the anode wires and cathode planes, respectively. In the strong electric field close to the anode wire, the electrons trigger an avalanche, which induces an electric pulse on the anode wire. The position of the track is then determined by measuring the drift time of the electrons, that is the time difference between the passing of the particle, determined by a scintillation counter, and the appearance of the pulse in the wire. The drift speed must be as constant as possible, and for this, there are additional field wires strung between the sense wires, to ensure the uniformity of the electric field in the gap between the anode sense wires.

The Belle CDC has an inner radius of 103.5 mm and an outer radius of 874 mm (see figure 13). It has 50 cylindrical layers, each containing between 3 and 6 axial or small-angle-stereo layers, and 3 cathode layers, which amounts to a total of 8400 drift cells. The  $z$ -coordinate is measured by cathode strips glued on the inner surface of the cylinder (see figure 12). The gas is a low- $Z$  mixture of 50% helium-50% ethane. It has a long radiation length, and the drift velocity saturates at a relatively low electric field to provide a constant drift velocity, and because of the large ethane component, still provides a good resolution on the measurement of the specific ionization.

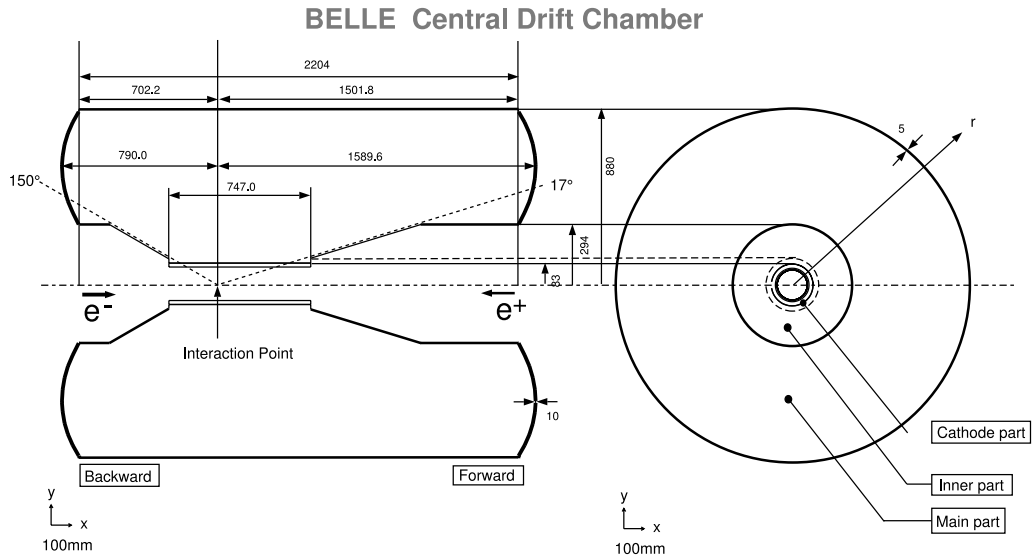


Figure 13: Geometry of the Belle CDC.[24]

The spatial resolution of the CDC measured with cosmic ray data is between  $120 \mu\text{m}$  and  $150 \mu\text{m}$ , depending on the incident angle and layer. To extract the transverse momentum  $p_T = \sqrt{p_x^2 + p_y^2}$  and the  $p_z$  component of the momentum, a tracking algorithm sorts CDC hits into helical tracks, where the  $p_T$  and  $p_z$  are then extracted from the curvature radius and the slope of the helix. The relative uncertainty of the measured  $p_T$  is between 0.5% and 1.1%, depending on the magnitude of the  $p_T$ .

The CDC also measures the specific ionization - the energy loss of a charged particle ( $\frac{dE}{dx}$ ) in the chamber gas. This measurement is used for particle identification, as the loss depends on the velocity of the particle. The specific ionization is determined by measuring the amplitude of the pulse in the anode sense wires, which is proportional to  $\frac{dE}{dx}$ . The resolution of the truncated mean energy loss for a track in CDC is 7.8%, and with this, the CDC is able to discriminate between pions and kaons with momenta up to  $0.8 \text{ GeV}/c$  on a  $3\sigma$  level (see figure 14).

#### 2.2.4 Aerogel Cherenkov Counter - ACC

For all measurements with the Belle detector, the ability to distinguish between different types of particles, especially between  $\pi^\pm$  and  $K^\pm$ , is crucial. The aerogel Cherenkov counter (ACC) extends the momentum coverage for particle identification beyond the  $dE/dx$  measurement of the CDC and the time-of-flight measurements by TOF (see chapters 2.2.3, 2.2.5, 2.4).

The ACC is an array of silica aerogel threshold Cherenkov counters. The threshold Cherenkov counter discriminates particles by using the fact that when a particle travels through a medium faster than light in that medium, it emits Cherenkov radiation. The threshold for emittance of Cherenkov radiation depends on the refractive index of the medium:

$$\beta_{\text{threshold}} = \frac{1}{n}. \quad (48)$$

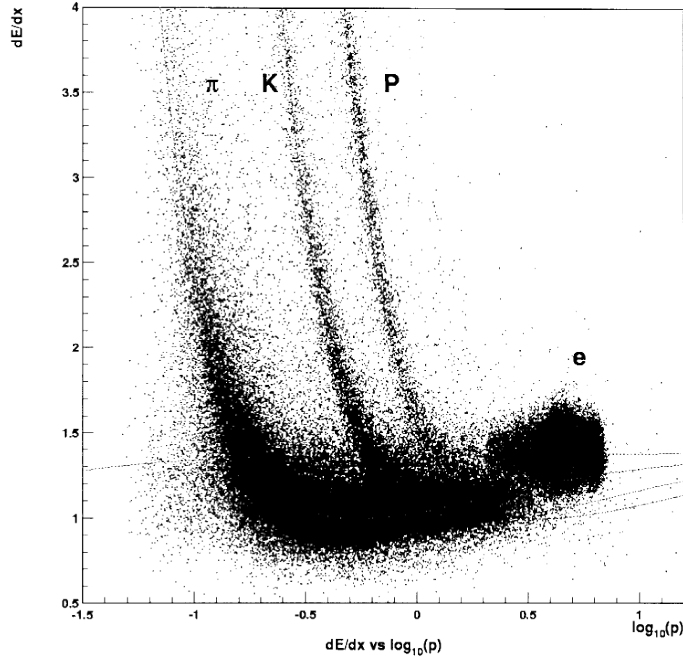


Figure 14: Truncated mean of  $\frac{dE}{dx}$  vs. the momentum observed in the CDC. [24]

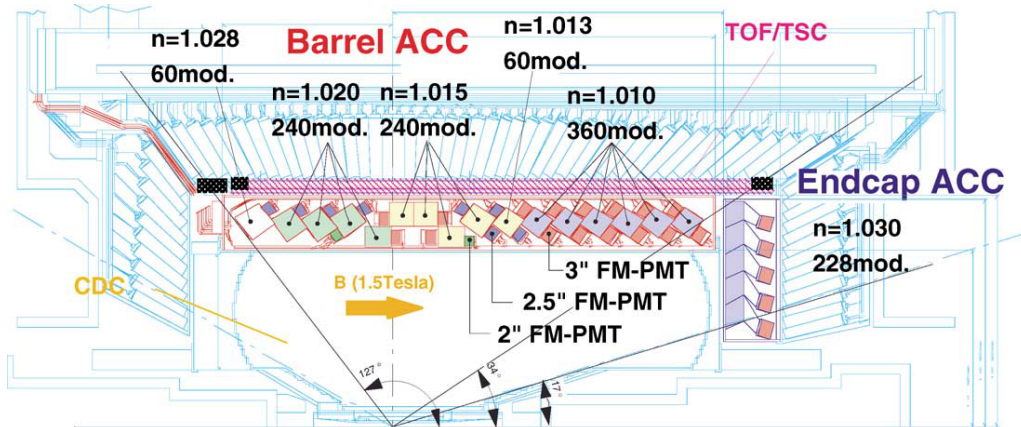


Figure 15: The geometry of the ACC system.[24]

The silica aerogel used for the medium is suitable because it can be produced with a very well known refractive index ( $\Delta n/(n-1) \sim 3\%$ ). The ACC is therefore able to discriminate between pions and kaons in the momentum range of  $1.2 - 3.5 \text{ GeV}/c$ , since pions in this momentum range produce Cherenkov light, whereas kaons, which are heavier, do not.

In Belle, the ACC consists of 960 counter modules, segmented into 60 cells in the  $\phi$  direction for the barrel part, and 228 modules, laid out in 5 concentric layers for the forward end-cap part (see figure 15). A single module consists of 5 aerogel tiles in a thin aluminium box with dimensions  $12 \times 12 \times 12 \text{ cm}^3$ . The refractive indices of aerogel tiles are between 1.01 and 1.03, depending on the azimuthal angle region, in order to obtain a good  $K/\pi$  separation for the whole kinematical range. The Cherenkov light is collected by fine-mesh photomultiplier tubes attached directly to the aerogel, chosen because of their large effective area and high gain ( $\sim 10^8$ ) (see figure 16).

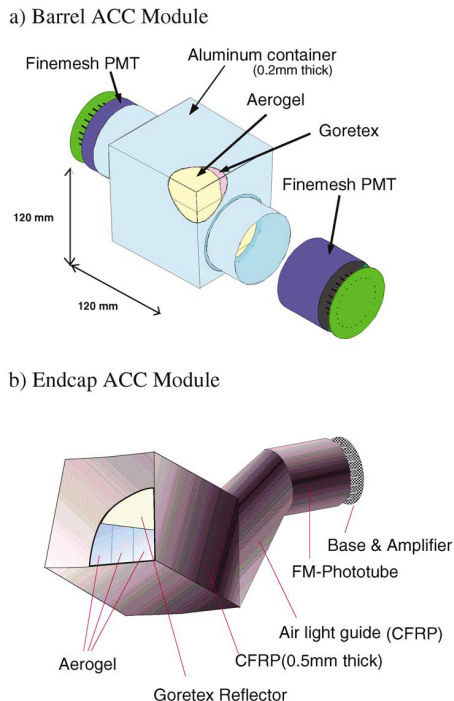


Figure 16: ACC counter modules for a) barrel and b) end-cap regions.[24]

### 2.2.5 Time-of-flight counters - TOF

The time-of-flight detector (TOF) is used for particle identification in a momentum range of 0.8 – 1.2 GeV, a range that lies between the ranges of the CDC and ACC particle identification systems.

The TOF measures the time between the  $e^+e^-$  collision in the IP and the passage of a charged particle through it. The mass of the particle is then calculated with

$$m = \frac{p}{c} \sqrt{\left(\frac{ct}{l}\right)^2 - 1}, \quad (49)$$

where  $p$  is the particle momentum, measured by the CDC,  $l$  the length of the helix that the particle has travelled on, determined by the CDC tracking algorithm, and  $t$  the measured time by TOF. The time measurement has to have a resolution of  $\sim 100$  ps, in order to obtain a  $3\sigma$  separation power between pions and kaons on a travel path of about 1.2 m.

The TOF system uses fast plastic scintillators with an attenuation length longer than 2 m. The TOF system also provides fast timing signals for the trigger system, and to avoid pile-up in the trigger queue, the TOF scintillation counters must be augmented by thin trigger scintillation counters (TSC) to keep the trigger rate below 70 kHz. The whole system consists of 128 TOF counters and 64 TSC counters, with 2 TOF and 1 TSC counter forming one module. The system covers a polar angle range of  $34^\circ - 120^\circ$  (see figure 17). The scintillation photons are picked up by fine-mesh-dynode photomultiplier tubes, mounted directly on the scintillation counters and placed in a magnetic field of 1.5 T. This configuration meets the  $\sim 100$  ps time resolution goal for the Belle detector (see figure 18).

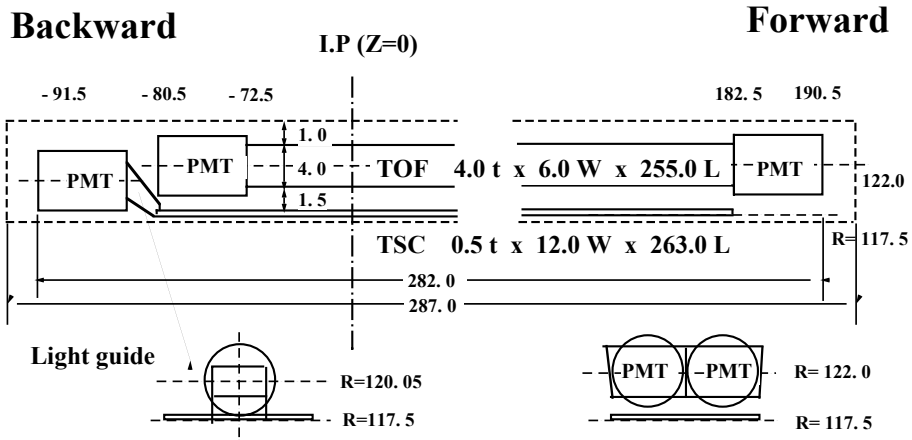


Figure 17: The layout of the TOF/TSC module.[24]

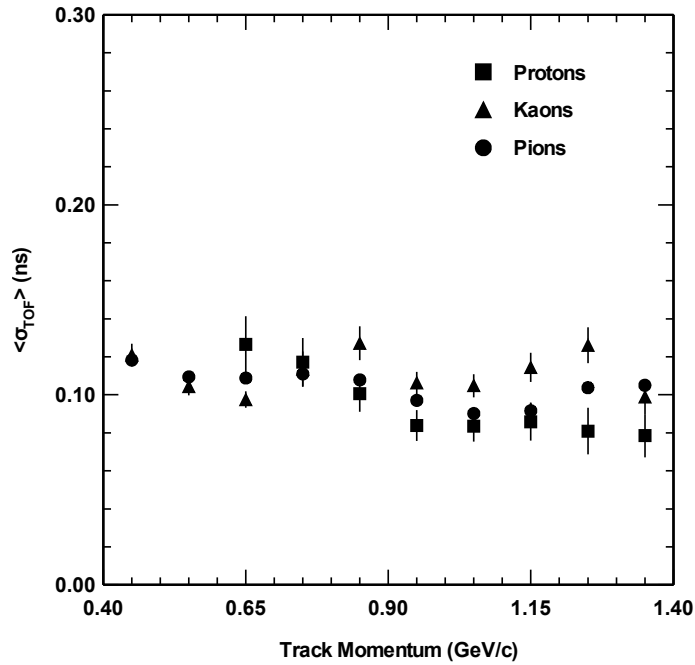


Figure 18: The averaged time resolution of the TOF over all counters and z, for different particle types.[24]



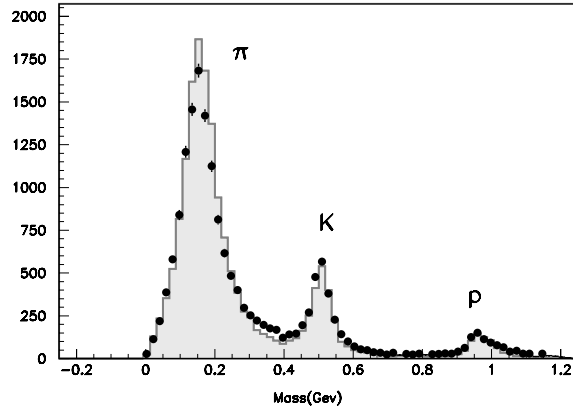


Figure 19: Mass distribution for TOF measurements for particle momenta below 1.2 GeV. [24]

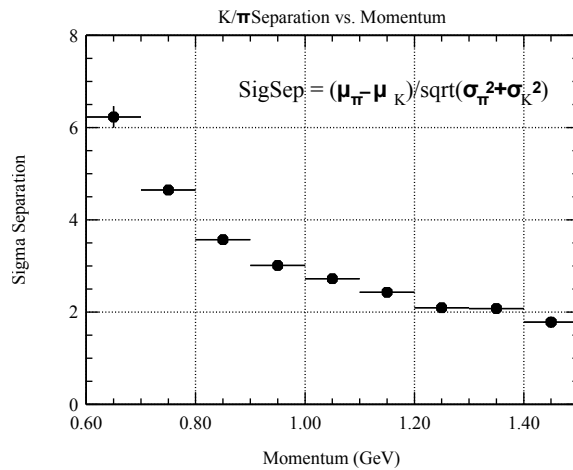


Figure 20:  $\pi^\pm/K^\pm$  separation by TOF.[24]

For particle momenta below 1.2 GeV (which encompasses 90% of the particles produced by the  $\Upsilon(4S)$  decays), a clear separation of peaks at the  $\pi$ , K and p masses is observed (see figure 19). The separation power depends on the particle momentum, as shown on figure 20.

### 2.2.6 Electromagnetic calorimeter - ECL

The main purpose of the electromagnetic calorimeter (ECL) is the detection of photons with high efficiency and good resolutions in energy and position. Good performance for energies below 500 GeV is especially important as most photons are the end products of cascade decays. The ECL also helps with the electron identification by comparing the momenta and the energy deposits of the charged particles.

The detection of high momentum  $\pi^0$  which decay into two photons requires a precise determination of their opening angle and this requires a fine grained segmentation of the calorimeter. The ECL therefore uses a highly segmented array of CsI(Tl) crystals with a silicone photodiode readout in a magnetic field of 1.5 T. When an electron or a photon interacts with the crystal, it produces



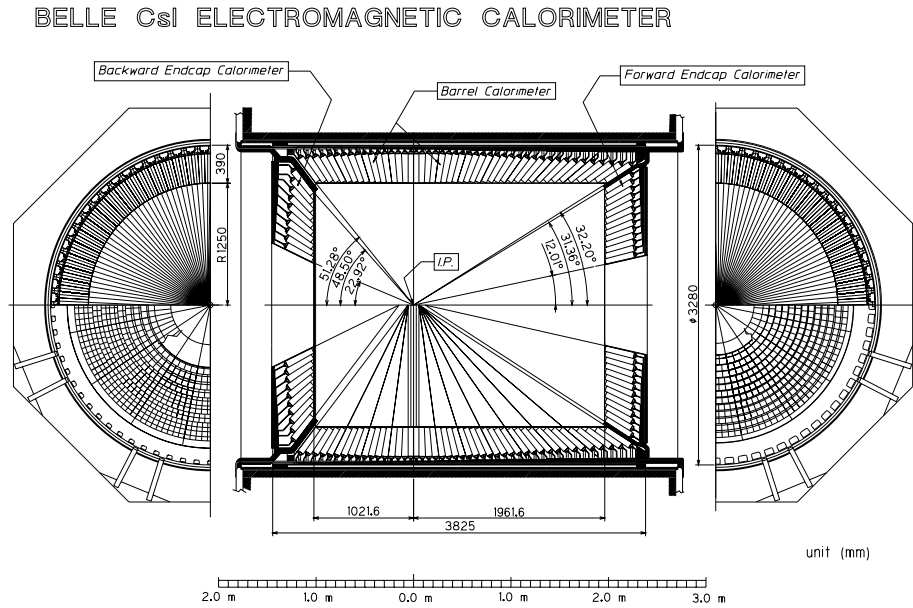


Figure 21: The configuration of ECL.[24]

an electromagnetic shower by bremsstrahlung and pair creation, which is then detected.

The Belle ECL covers an angle of  $17^\circ \leq \theta \leq 150^\circ$ , and contains 8736 CsI(Tl) counters (see figure 21). The transverse area of each crystal is about  $6 \times 6 \text{ cm}^2$ . This transverse area size ensures that  $\sim 80\%$  of the deposited energy by the EM shower remains in the same crystal, which improves the energy resolution. A smaller size would improve the position resolution, but decrease the energy resolution. The length of the crystal is 30 cm, corresponding to 16.2 radiation lengths, which ensures a good energy resolution for high energy particles by minimizing the shower leakage out of the rear of the counter. This resolution is  $\sim 2.5\%$  for electron energies above 1 GeV.

The ECL is also used for distinguishing electrons and pions, as the pions deposit much less energy than electrons with the same momentum, due to their lower probability of bremsstrahlung and pair creation (see figure 22). The misidentification probability is found to be less than 1% for momenta above 2 GeV.

The energy calibration of the ECL has been carried out by using Bhabha and  $e^+e^- \rightarrow \gamma\gamma$  events. The energy resolution achieved (for ECL and EFC) was 1.7% (see figure 23).

### 2.2.7 $K_L$ and muon detector - KLM

The  $K_L$  and muon detector (KLM) identifies  $K_L$  and muon particles with a high efficiency over a broad momentum range greater than 600 MeV/c. It covers an angular range of  $20^\circ - 155^\circ$  and consists of alternating layers of charged particle detectors and 4.7 cm thick iron plates. There are 15 detector and 14 iron layers in the barrel region and 14 detector layers in each of the forward and backward end caps.

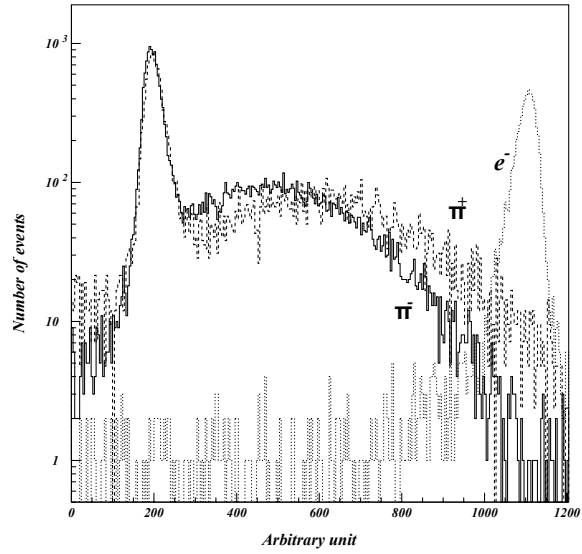


Figure 22: Distributions of the energy deposits in the ECL by  $e^-$ ,  $\pi^+$  and  $\pi^-$  at the momentum of 1 GeV/c.[24]

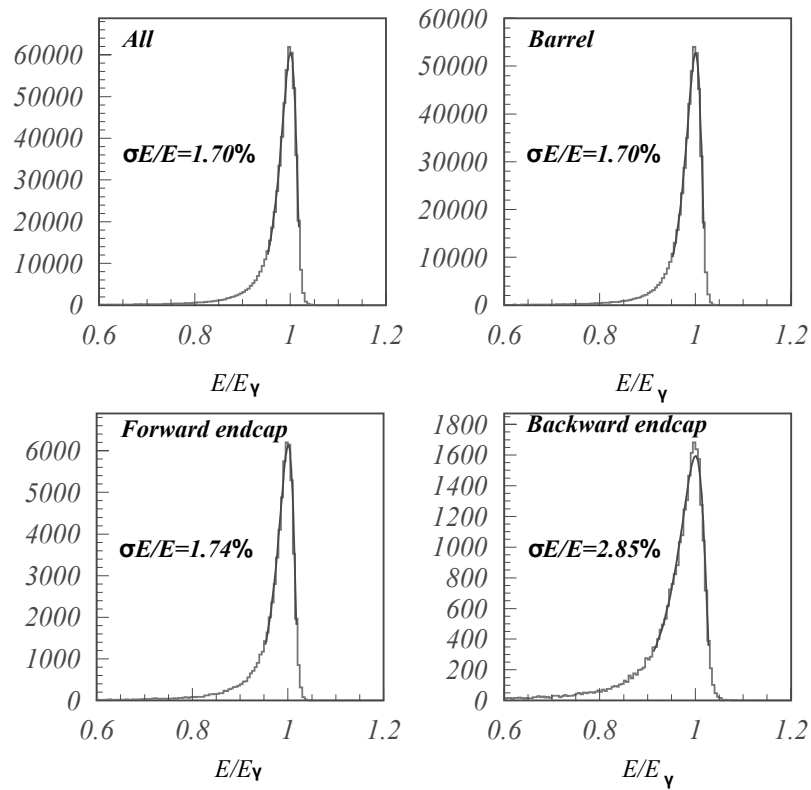


Figure 23: Energy resolutions measured from Bhabha events for overall, barrel, forward and backward end-cap calorimeters.[24]

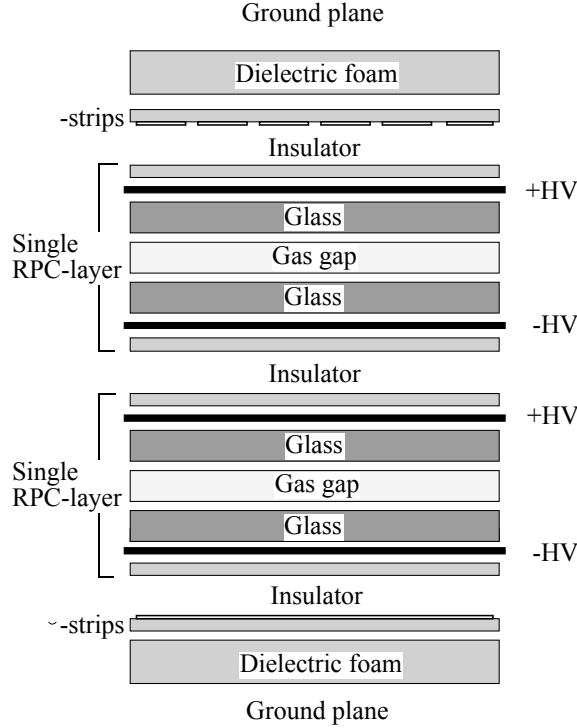


Figure 24: Cross-section of a KLM superlayer.[24]

The iron layers provide 3.9 interaction lengths of material, and the ECL system provides an additional 0.8 of interaction length for  $K_L$  conversion. The  $K_L$  that interact in the ECL or iron produce a shower of ionizing particles, which determines the  $K_L$  direction. The multiple layers and detectors also provide  $\mu/(K, \pi)$  discrimination by measuring their range and transverse scattering, as the muons penetrate material easier than other particles with smaller deflections.

The charged particle detection layers are glass-electrode-resistive plate counters (RPC). They have two parallel plate electrodes with high resistivity ( $> 10^{10} \Omega\text{cm}$ ), separated by a gas filled gap. The ionizing particle initiates a streamer that results in a local discharge of the plates, which induces a signal on the external pickup strips. Two RPCs are sandwiched between the orthogonal  $\theta$  and  $\phi$  pickup strips to form a superlayer (see figure 24). The gas used in the KLM is a mixture of 62% HFC-134a ( $\text{CH}_2\text{FCF}_3$ ), 30% argon and 8% butane-silver ( $\text{C}_4\text{H}_{10}$ ).

The muon identification efficiency for the KLM is better than 90% with a fake rate of less than 5%, and the spatial resolution is found out to be 1.2 cm (see figure 25).

### 2.3 TRIGGER AND DATA ACQUISITION

At a luminosity of  $10^{34}/\text{cm}^2\text{s}$ , the trigger rates for various physical processes are listed in table 3. The trigger system is needed to keep the background rates within the tolerance of the data acquisition system (DAQ) (max 500 Hz), while maximizing the efficiency for physics events of interest. The trigger system imposes a set of selection criteria on an event to select the events for which the raw

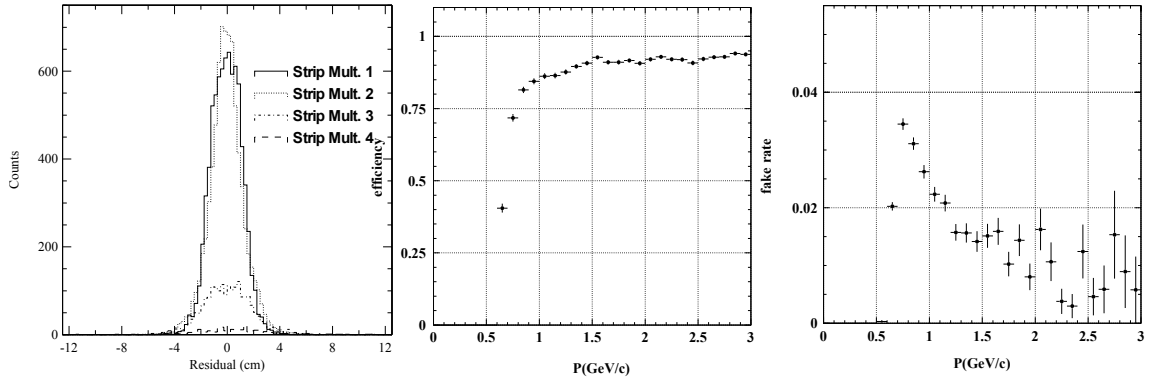


Figure 25: Left - Spatial resolution of a KLM superlayer, middle - Muon detection efficiency vs. momentum in the KLM, right - Muon fake rate vs. momentum in the KLM.[24]

process	trigger rate [Hz]
$\Upsilon(4S) \rightarrow B\bar{B}$	12
$e^+e^- \rightarrow q\bar{q}, (q = u, d, s, c)$	28
$e^+e^- \rightarrow \tau^+\tau^-$ or $\mu^+\mu^-$	16
Bhabha, radiative Bhabha	500
$\gamma\gamma(p_T \leq 0.3 \text{ GeV}/c)$	35
beam induced bkg	$\mathcal{O}(100)$
cosmic rays	20

Table 3: Trigger rates for various physical processes for the Belle detector. [24]

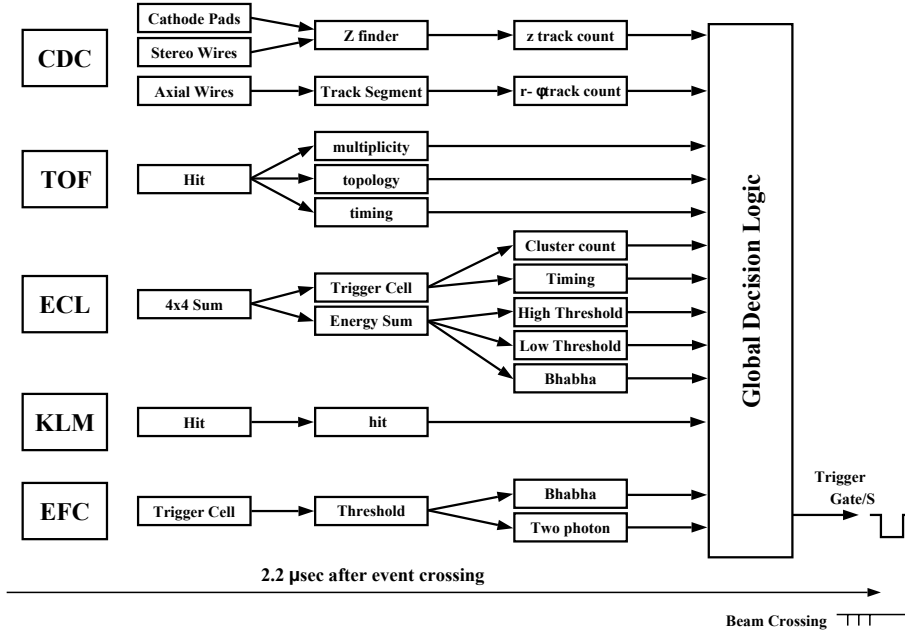


Figure 26: The level 1 trigger system for the Belle detector.[24]

data should be transferred by the DAQ from the detector to the data storage system.

The Belle trigger system consist of a level 1 (L1) hardware trigger, a level 3 (L3) software trigger and a level 4 (L4) offline trigger.

### 2.3.1 L1 trigger

The L1 hardware trigger system consists of the sub-detector trigger systems (CDC, TOF, ECL, KLM and EFC) and a central trigger system called the global decision logic (GDL) (see figure 26). The sub-detector triggers fall into two categories, the track triggers and the energy triggers. The GDL receives the sub-detector trigger signals within  $1.85 \mu\text{s}$  and provides the trigger signal  $2.2 \mu\text{s}$  after the  $e^+e^-$  collision.

The CDC provides two types of triggers - the  $r - \phi$  trigger, which is based on signals from the axial superlayers and the z-trigger, which takes information from the cathode strips. The CDC trigger is required to be fully efficient for tracks originating from the IP and to be relatively insensitive to background tracks from other sources.

The TOF trigger provides an event timing signal and information on the hit multiplicity and topology. Because the timing of the event must be sufficiently precise, it has to have a time jitter of less than 10 ns.

The ECL trigger must generate fast trigger signals in order to provide a fully efficient trigger both for neutral and charged particles. Two kinds of triggers are implemented, a total energy trigger, which is sensitive to events with high electromagnetic energy deposits, and a cluster counting trigger, which is sensitive to multi-hadronic events that contain low-energy clusters and minimum ionizing particles.

The KLM trigger has to save events which include muon tracks with a high efficiency, even if the cleanliness of the signal is not high.

The EFC trigger provides two types of information - the energy and the location of signals in the BGO crystals. The trigger can discriminate between Bhabha events, which have a coplanar forward/backward coincidence of energetic electromagnetic showers, and the two photon events, which produce a single electromagnetic shower together with some CDC tracks or ECL clusters.

The GDL, receives up to 48 trigger signals from the sub-detector trigger systems and makes global correlations between them. It takes 350 ns to generate the final trigger signal. The final triggers fed into the GDL are:

- Multi track triggers - they require at least 3 tracks from the  $r - \phi$  CDC trigger and 1 track from the CDC z-trigger.
- Total energy triggers - they use the ECL energy sum trigger and are vetoed by ECL Bhabha and cluster triggers.
- Isolated cluster counting trigger - requires at least 4 ECL isolated clusters, which avoids Bhabha events.
- Bhabha triggers - take 2 tracks in the CDC  $r - \phi$  trigger and 1 track in the CDC z-trigger.
- Muon triggers - require at least 2 CDC  $r - \phi$  tracks and the KLM trigger.
- Monitor triggers - a random trigger and prescaled triggers for monitoring purposes.

The combined efficiency for all triggers is more than 99.5% for multi-hadronic data samples.

### 2.3.2 *L3 trigger*

The L3 trigger is a software trigger which has to further reduce the events selected for storage. It performs a fast reconstruction of events and rejects events with no tracks with an impact parameter of  $|z| < 5$  cm and a total ECL energy deposit of less than 3 GeV. If the L1 trigger categorizes an event as a Bhabha event, the L3 trigger passes it through. The L3 trigger reduces the event rate by 50%, while retaining 99% of hadronic events.

### 2.3.3 *L4 trigger*

The L4 trigger is an offline trigger that decides which events shall undergo full reconstruction and thus reduces the CPU time needed for the data summary tape production (see section 2.3.4). It requires events with the ECL deposited energy of more than 4 GeV, at least one track with a transverse momentum larger than 300 MeV/c and an impact parameter with  $r < 1$  cm and  $|z| < 4$  cm. It rejects 78% of all triggered events while keeping nearly all the hadronic events.

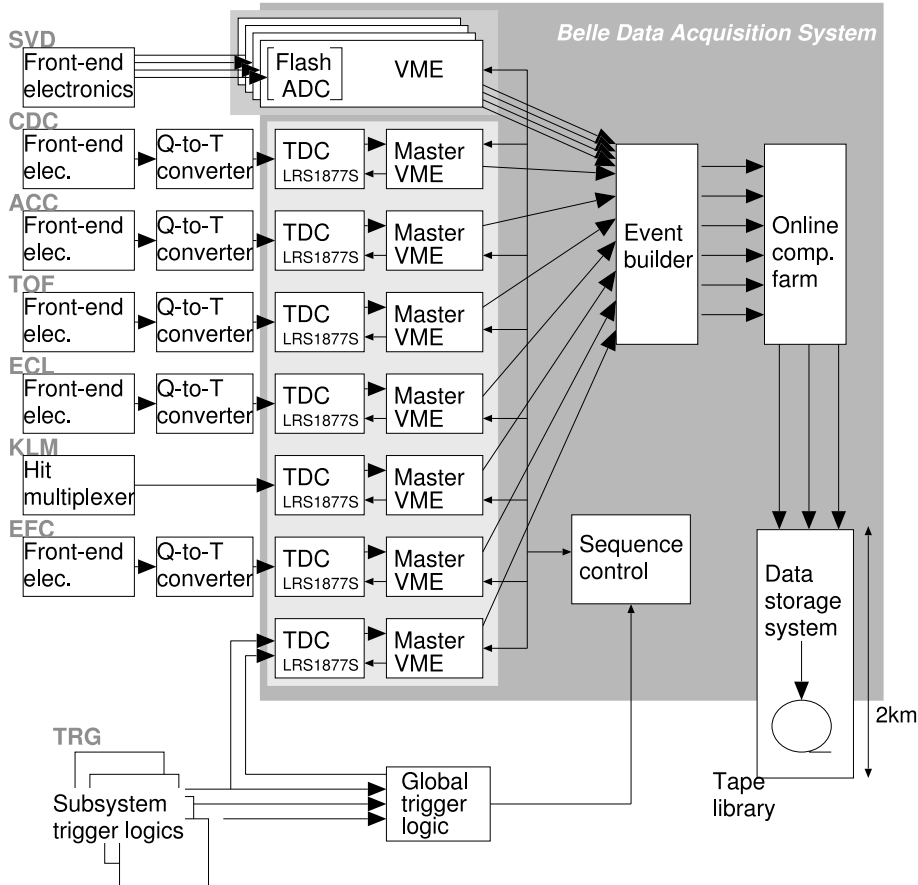


Figure 27: The data acquisition system scheme for the Belle detector.[24]

### 2.3.4 Data acquisition system

To satisfy the 500 Hz working rate with a deadtime fraction of less than 10%, the data acquisition system is devised in a parallel scheme. The system is divided into 7 subsystems running in parallel, each system handling the data from a sub-detector. The data is then combined into a single event record, converting the "detector-by-detector" parallel data streams to a single "event-by-event" data stream. This data stream is then sent through the L3 software trigger and then to a mass storage system (see figure 27).

The event builder then constructs a complete event data from detector signals. Before the full event reconstruction, the data is then sent through the L4 trigger. The events accepted by the L4 trigger are then fully reconstructed and the information is stored to the DST. In this stage, the raw data is converted into physics objects, such as position and momentum 4-vectors, particle identification information, and so on.

For physics analyses, the complete data from the DST is not needed, so minimal sets of data required for physics analyses is stored in a "mini-DST" format. At this level, the hadronic event size is about 40 kB.

## 2.4 PARTICLE IDENTIFICATION

The particle identification at Belle is done by combining measurements from different subdetectors capable of discriminating between particle types. For each subdetector, a probability for the subdetector to identify a particle as a particle of type  $f$  ( $f = \pi, K, p, e, \mu$ )  $P_{f,i}$  is determined. Then, the product of the different probabilities  $P_f = \prod_i P_{f,i}$  is calculated, and this product is used to calculate a probability ratio, which discriminates between particles of type  $f$  and  $f'$ :

$$R_{f/f'} = \frac{P_f}{P_f + P_{f'}}. \quad (50)$$

This ratio can take a value in the range  $[0, 1]$  and is a single variable which can be used to discriminate between two types of particles.

### 2.4.1 Charged hadron identification

The probability  $P_f$ , ( $f = K, \pi, p$ ) is calculated from information from three subdetectors:

- the  $dE/dx$  measurement in the CDC,
- the time-of-flight measurement in TOF,
- the number of detected photons in ACC.

The probability is the calculated as a sum:

$$P_f = P_f^{dE/dx} \cdot P_f^{ACC} \cdot P_f^{TOF}. \quad (51)$$

The  $dE/dx$  probability is based on a difference between the measured and expected value of the energy loss for particle type  $f$  and is parameterized by a Gaussian distribution:

$$P_f^{dE/dx} = \frac{e^{-\chi^2/2}}{\sqrt{2\pi}\sigma_{dE/dx}}, \quad \chi^2 = \left( \frac{(dE/dx)_{\text{measured}} - (dE/dx)_{f,\text{expected}}}{\sigma_{dE/dx}} \right)^2, \quad (52)$$

where  $(dE/dx)_{\text{measured}}$  is the measured energy loss,  $(dE/dx)_{f,\text{expected}}$  is the expected energy loss for particle of type  $f$ , and  $\sigma_{dE/dx}$  is the (expected) resolution of the  $dE/dx$  measurement.

The TOF probability is based on the measured and expected times for each photo-tube. The  $\chi^2$  is constructed by taking the difference between two two-vectors, one containing the observed times for each of the two photo-tubes and the other containing the predicted times:

$$\begin{aligned} \Delta^i &= t_o^i - t_p^i, \quad i = 0, 1 \\ \chi^2 &= \sum_j \vec{\Delta}_j E_j^{-1} \vec{\Delta}_j, \end{aligned} \quad (53)$$



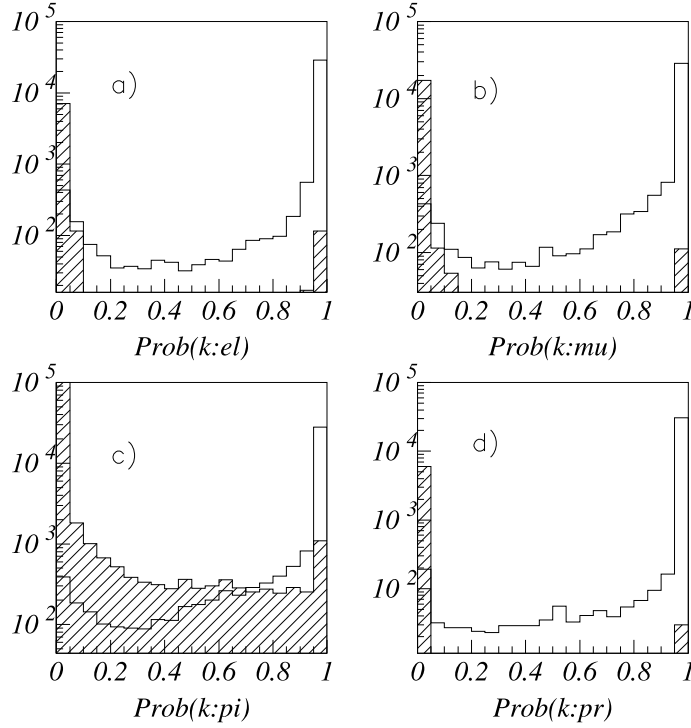


Figure 28: The likelihood ratio distributions for signal kaons and assumed background particle tracks,  $R_{K/*}$ , where  $*$  = a)  $e$ , b)  $\mu$ , c)  $\pi$  and d)  $p$ . The empty histograms are distributions of  $K$  tracks, and the dashed histograms are background tracks in each case. **TOLE JE BEDNA SLIKCA**

where  $j$  denotes the  $j$ -th TOF hit and  $E_j$  is the  $2 \times 2$  error matrix for the  $j$ -th TOF hit two vector  $\vec{\Delta}_j$ . The probability is constructed as a Gaussian distribution:

$$p_f^{\text{TOF}} = \frac{e^{-\frac{x^2}{2}}}{\sqrt{2\pi} \prod_j \sigma_j}, \quad (54)$$

where  $\sigma_j$  is the expected timing resolution.

The ACC probability is based on comparing the observed number of photoelectrons ( $N_{pe}$ ) with a threshold value ( $N_{pe,f}^{\text{th}}$ ), using the expected efficiency  $\varepsilon$  at the measured momentum:

$$p_f^{\text{ACC}} = \begin{cases} \varepsilon_f, & N_{pe} \geq N_{pe,f}^{\text{th}} \\ 1 - \varepsilon_f, & N_{pe} \leq N_{pe,f}^{\text{th}} \end{cases}. \quad (55)$$

If information from a certain sub-detector is not available, the probability for any particle type is set to  $P_i^k = 0.5$ ,  $i = \pi, K, p$ ,  $k = dE/dx, \text{TOF}, \text{ACC}$ . In this way, this sub-detector has no effect in the combined likelihood ratio.

Figure 28 shows the likelihood ratios for signal kaons and assumed background particle tracks,  $R_{K/*}$ ,  $*$  =  $e, \mu, \pi, p$ .

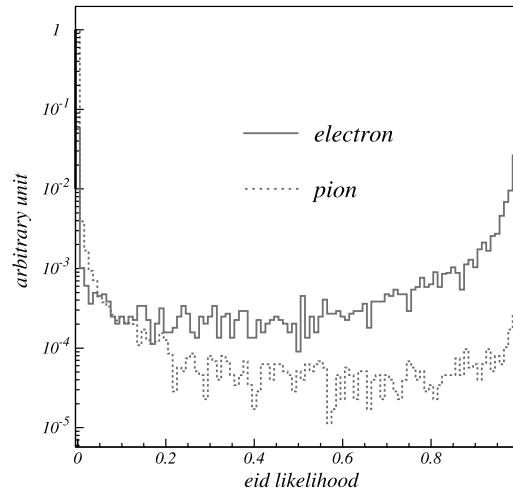


Figure 29: Electron identification likelihood ratio for electrons - solid line and pions - dashed line.[24]

#### 2.4.2 Electron identification

In order to distinguish electrons from hadrons, muons and photons, two approaches are used [28]. The first exploits the difference between the electromagnetic showers induced by electrons and the ones induced by hadrons. The second approach makes use of the difference in velocity between electrons and hadrons at the same momentum, which can be determined by the  $dE/dx$  measurement and the observation of light yield in the ACC array. These two approaches are then combined into a single likelihood.

The discriminants used are (see figure 29):

- Position matching between the track, extrapolated into the ECL, and the cluster position measured in the ECL. The  $\chi^2$  is calculated as

$$\chi^2 = \left(\frac{\Delta\phi}{\sigma_{\Delta\phi}}\right)^2 + \left(\frac{\Delta\theta}{\sigma_{\Delta\theta}}\right)^2, \quad (56)$$

where  $\Delta\phi$  and  $\Delta\theta$  are the differences between the cluster position and an electron track in the azimuth and polar angles, respectively, and  $\sigma_{\Delta\phi}$  and  $\sigma_{\Delta\theta}$  are the widths obtained by fitting the  $\Delta\phi$  and  $\Delta\theta$  distributions for electrons with Gaussian functions.

- The ratio of energy  $E$  measured in the ECL and the charged track momentum  $p$ . For electrons, which have negligible mass compared to their momentum, this ratio is 1 within measurement errors, whereas the other hadrons have this ratio typically smaller than 1.
- The transverse shower shape in the ECL. The shape is quantified by the variable  $E9/E25$ , defined as a ratio of energy deposited in a  $3 \times 3$  array of crystals surrounding the crystal in the center of the shower to the energy deposited in a  $5 \times 5$  array of crystals with the same center. Electrons exhibit a peak at 0.95, while the other hadrons have the value at a lower range.

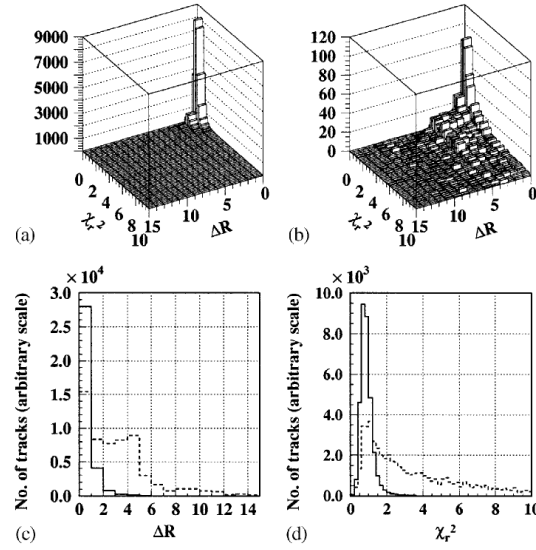


Figure 30: a)  $\Delta R$  vs.  $\chi_r^2$  for single muons, b)  $\Delta R$  vs.  $\chi_r^2$  for single pions, c)  $\Delta R$ , d)  $\chi_r^2$  for muons - solid histograms and pions - dashed histograms. [24]

- The  $dE/dx$  measurement probability, which is defined in the same way as with charged particle identification (see chapter 2.4.1).
- The light yield in ACC, which distinguishes between electrons and hadrons in the momentum region below  $\sim 1.0$  GeV, as the Cherenkov threshold for electrons is only a few MeV, while that of other hadrons is 0.5 – 1.0 GeV.

### 2.4.3 Muon identification

The muon probability is constructed using two quantities, the  $\Delta R$ , which is the difference between the measured and expected range of the track, and  $\chi_r^2$ , the goodness of fit of the transverse deviations of all hits associated with the track [29]. This is calculated at each detector plane with Kalman filtering, energy loss and multiple scattering effects (see figure 30).

## 2.5 MONTE CARLO SIMULATION

The physics analyses require a very good understanding of physics processes undergoing in decays of particles and in the interaction of particles with the detector material in order to correctly interpret the results, thus reducing the error. These processes are highly complex, and many of them are not yet measured, and so can not be described analytically and thus taken into account when interpreting the results. That is why the processes are modeled by a Monte Carlo simulation, and samples of data are generated by this simulation, mimicking the real decays and detector responses as closely as possible.

There are currently 6 so-called "streams" of Monte Carlo data samples produced by the Belle collaboration, where each stream corresponds to the same amount of real data as collected in the whole Belle data-taking period. The

amount of all Monte Carlo data samples is bigger than the amount of real data collected in order to reduce the possible uncertainties.

The Monte Carlo sample generation has two steps. In the first step, the  $e^+e^-$  collision is simulated, producing sets of outgoing particles, called events. This is done by two event generators, the EvtGen generator [30] which is used to model the  $B\bar{B}$  events and incorporates known properties of particles, such as mass, charge, branching ratios, spin, etc., measured by numerous experiments in form of world averages [26], and the JetSet generator [31], which is used to model the continuum events. The hadronization of quarks is simulated with the Lund string fragmentation model [32].

The second step is the detector response simulation, which is done by the CERN package GEANT [33]. GEANT also uses data from as many measurements of detector responses and particle - material interactions as possible, to simulate the detector response as accurately as possible. The output of GEANT is then reconstructed the same way as the real data, and saved in the mini-DST format to be used in an analysis.



---

METHOD OF MEASUREMENT

---

In this analysis, we measure the CP-violation parameter  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$ , defined in equation (20) for the  $\Lambda_c \rightarrow \Lambda\pi$  decay <sup>1</sup>. For this measurement, we will use the first of the equations (39). To use this equation, we must determine the angular distribution for the cosine of the helicity angle  $\theta_h$ , the angle between the proton momentum and the pion from  $\Lambda_c$  momentum in the  $\Lambda$  CM system (see figure 31). Note that this is the same angle as the angle between the  $\Lambda$  emission direction in the  $\Lambda_c$  system and the direction of the  $p$  emission in the  $\Lambda$  system, defined in (39). The normalized angular distribution for our signal sample is then:

$$\frac{dN}{d \cos \theta_h} = \frac{N}{2} (1 + \alpha \cos \theta_h), \quad (57)$$

where  $N$  is the number of reconstructed events in the analysis data sample and with  $\alpha$  we denoted the product  $\alpha_{\Lambda_c} \alpha_{\Lambda}$  of the weak asymmetry parameters for the  $\Lambda_c \rightarrow \Lambda\pi$  and  $\Lambda \rightarrow p\pi$  decays as defined in (23), respectively.

So far, the best (and only) measurement of CP violation in the  $\Lambda_c \rightarrow \Lambda\pi$  decay was done by the FOCUS collaboration [34], which obtained the results:

$$\alpha_{\Lambda_c} = -0.78 \pm 0.16 \pm 0.13, \quad \mathcal{A}_{\text{CP}}^{\Lambda_c} = -0.07 \pm 0.19 \pm 0.12, \quad (58)$$

where the first cited error is statistical, and the second is systematic. Their method of measurement was to measure the angular distribution (57) for  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  decays separately, then with the known  $\alpha_{\Lambda}$  coefficient, obtained the coefficients  $\alpha_{\Lambda_c}$  and  $\alpha_{\bar{\Lambda}_c}$ , and then calculating the  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  parameter with the equation (20).

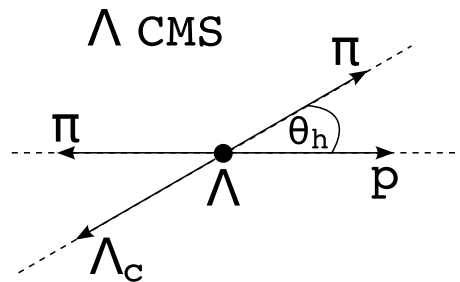


Figure 31: Definition of the helicity angle used in the analysis.

---

<sup>1</sup> Whenever we write  $\Lambda_c$  without a sign, it implies both the  $\Lambda_c^+$  and its charge conjugate.

Given an expected 100 times larger number of  $\Lambda_c \rightarrow \Lambda\pi$  decays in our analysis sample, we employed a slightly different method of measuring  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$ , where we assume that the CP-violating effects are small compared to P-violation and measure the  $\alpha$  parameter, averaged over  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  decays, and then measure the small deviation from the average  $\alpha$ , which is linked to the  $\mathcal{A}_{\text{CP}}$  parameter, which measures the amount of CP violation in the  $\Lambda_c \rightarrow \Lambda\pi, \Lambda \rightarrow p\pi$  decay chain. From this parameter, we can get the  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  parameter by using the best known measurement of  $\mathcal{A}_{\text{CP}}^{\Lambda}$ . The method is described in the two subsequent sections.

### 3.1 METHOD OF MEASUREMENT OF $\langle\alpha\rangle$

First, we assume that the CP violation in the  $\Lambda_c$  decay chain is small (see chapter 1.3). This means,

$$d\alpha \ll \langle\alpha\rangle, \quad \langle\alpha\rangle \approx \alpha \approx \overline{\alpha}, \quad (59)$$

where  $d\alpha$  is the difference between the  $\alpha$  and  $\overline{\alpha}$  coefficients, and  $\langle\alpha\rangle$  is their average.

With the above assumption, we can first measure the average asymmetry parameter  $\langle\alpha\rangle$  by joining the  $\Lambda_c$  and  $\overline{\Lambda}_c$  samples and determining the angular distribution (57).

The angular distribution is determined by reconstructing the joined  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  events and selecting only events from the whole data sample that satisfy a number of selection criteria - 'cuts', thus obtaining the analysis data sample. Then, for the selected events,  $\cos\theta_h$  is calculated, and the events are divided into bins in the  $\cos\theta_h$  variable.

We can express the number of reconstructed signal events in each bin of the joined  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  analysis data sample as:

$$N_i^{\text{rec,join}}(\cos\theta_{h,i}) = N_i^{0,\text{join}}(\cos\theta_{h,i}) \text{Br}(\Lambda_c \rightarrow \Lambda\pi)(\cos\theta_{h,i}) \text{Br}(\Lambda \rightarrow p\pi)(\cos\theta_{h,i}) \langle\epsilon\rangle_i(\cos\theta_{h,i}), \quad (60)$$

where  $N_i^{\text{rec,join}}$  is the number of reconstructed  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  decay chain signal events in the  $i$ -th bin,  $N_i^{0,\text{join}}$  is the number of all produced  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  signal events that fall into the  $i$ -th bin,  $\text{Br}(\Lambda_c \rightarrow \Lambda\pi)(\cos\theta_{h,i})$  and  $\text{Br}(\Lambda \rightarrow p\pi)(\cos\theta_{h,i})$  are the angular dependent branching ratios for the decays of  $\Lambda_c$  and  $\Lambda$ ,  $\cos\theta_{h,i}$  is the value of  $\cos\theta_h$  in the  $i$ -th bin, and  $\langle\epsilon\rangle_i$  is the efficiency for the reconstruction of both  $\Lambda_c^+ \rightarrow \Lambda\pi^+, \Lambda \rightarrow p\pi$  decay chain and the charged conjugated one in the  $i$ -th bin.

We can express the angular dependence of the branching ratios as derived in the chapter 1.4.1:

$$\text{Br}(\Lambda_c \rightarrow \Lambda\pi)(\cos\theta_{h,i}) \text{Br}(\Lambda \rightarrow p\pi)(\cos\theta_{h,i}) = \text{Br}^0 \frac{1}{2} (1 + \langle\alpha\rangle \cos\theta_{h,i}), \quad (61)$$

where with  $\text{Br}^0$  we denoted the product of the absolute branching ratios for the  $\Lambda_c \rightarrow \Lambda\pi$  and  $\Lambda \rightarrow p\pi$  decays. The angular dependence of the number of all produced signal events is tied to the  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  production asymmetry -

the forward-backward asymmetry (see [26] and chapter 3.2), however, this is an antisymmetric function with respect to particles and antiparticles, and since we joined the  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  samples, this dependence cancels out. With this, we have the expression for the number of reconstructed signal events in each bin:

$$N_i^{rec}(\cos \theta_{h,i}) = N^0 \text{Br}^0 \frac{1}{2} (1 + \langle \alpha \rangle \cos \theta_{h,i}) \langle \varepsilon \rangle_i (\cos \theta_{h,i}). \quad (62)$$

For each  $\cos \theta_h$  bin, the number of reconstructed signal events is determined by fitting the  $\Lambda_c$  mass distribution in this bin. This number is then divided by the efficiency for reconstructing the  $\Lambda_c$  decay chain in this bin.

We also need to account for the detector measurement resolution, which causes the measured particle masses and momenta to be slightly different than the actual ones, and when calculating the  $\cos \theta_h$ , this results in events migrating from the actual  $\cos \theta_h$  bin to which they belong to. To correct this, we perform a deconvolution of the numbers of events, with the deconvolution matrix determined from Monte Carlo simulation.

After this procedure, we are left with the true  $\cos \theta_h$  distribution (57) of  $\Lambda_c$  signal events. From this distribution, we can determine the  $\langle \alpha \rangle$  parameter by fitting the distribution with a linear function.

### 3.2 METHOD OF MEASUREMENT OF $\mathcal{A}_{CP}$

For measuring  $\mathcal{A}_{CP}$ , we reconstruct the  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  samples separately, and make analysis samples by selecting events which satisfy the cuts. Then we measure the reconstructed asymmetry in bins of  $\cos \theta_h$ , where for  $i$ -th bin, the reconstructed asymmetry is:

$$\mathcal{A}_i^{rec}(\cos \theta_{h,i}) = \frac{N_i^{rec}(\cos \theta_{h,i}) - \overline{N}_i^{rec}(\cos \theta_{h,i})}{N_i^{rec}(\cos \theta_{h,i}) + \overline{N}_i^{rec}(\cos \theta_{h,i})}, \quad (63)$$

where  $N_i^{rec}$  and  $\overline{N}_i^{rec}$  are the numbers of reconstructed  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  events in the  $i$ -th bin, respectively. These numbers can be expressed as:

$$\begin{aligned} N_i^{rec}(\cos \theta_{h,i}) &= \\ & N^0(\cos \theta_{h,i}) \text{Br}(\Lambda_c^+ \rightarrow \Lambda \pi^+)(\cos \theta_{h,i}) \text{Br}(\Lambda \rightarrow p^+ \pi^-)(\cos \theta_{h,i}) \varepsilon_i(\cos \theta_{h,i}) \\ \overline{N}_i^{rec}(\cos \theta_{h,i}) &= \\ & \overline{N}^0(\cos \theta_{h,i}) \text{Br}(\overline{\Lambda}_c^- \rightarrow \overline{\Lambda} \pi^-)(\cos \theta_{h,i}) \text{Br}(\overline{\Lambda} \rightarrow \overline{p}^- \pi^+)(\cos \theta_{h,i}) \overline{\varepsilon}_i(\cos \theta_{h,i}), \end{aligned} \quad (64)$$

where  $N^0$  is the produced numbers of  $\Lambda_c^+$ ,  $\varepsilon_i$  is the efficiency of reconstruction for  $\Lambda_c^+$  events, and the quantities with bars are the corresponding quantities for the  $\overline{\Lambda}_c^-$  events.

We again express the angular dependence of the branching ratios as:

$$\begin{aligned} \text{Br}(\Lambda_c^+ \rightarrow \Lambda \pi^+)(\cos \theta_{h,i}) \text{Br}(\Lambda \rightarrow p^+ \pi^-)(\cos \theta_{h,i}) &= \text{Br}^0 \frac{1}{2} (1 + \alpha \cos \theta_{h,i}), \\ \text{Br}(\overline{\Lambda}_c^- \rightarrow \overline{\Lambda} \pi^-)(\cos \theta_{h,i}) \text{Br}(\overline{\Lambda} \rightarrow \overline{p}^- \pi^+)(\cos \theta_{h,i}) &= \text{Br}^0 \frac{1}{2} (1 + \overline{\alpha} \cos \theta_{h,i}), \end{aligned} \quad (65)$$



where  $\alpha = \alpha_{\Lambda_c^+} \alpha_{\Lambda_c^-}$  and  $\bar{\alpha} = \alpha_{\Lambda_c^-} \alpha_{\Lambda_c^+}$  are the products of asymmetry parameters for the  $\Lambda_c^+$  and  $\Lambda_c^-$  decay chains, respectively, and  $\text{Br}^0$  is the product of the absolute branching ratios which is the same for both decays. The numbers of reconstructed signal events are thus:

$$\begin{aligned} N_i^{\text{rec}}(\cos \theta_{h,i}) &= N^0(\cos \theta_{h,i}) \text{Br}^0 \frac{1}{2} (1 + \alpha \cos \theta_{h,i}) \varepsilon_i(\cos \theta_{h,i}) \\ \bar{N}_i^{\text{rec}}(\cos \theta_{h,i}) &= \bar{N}^0(\cos \theta_{h,i}) \text{Br}^0 \frac{1}{2} (1 + \bar{\alpha} \cos \theta_{h,i}) \bar{\varepsilon}_i(\cos \theta_{h,i}). \end{aligned} \quad (66)$$

Additionally to assuming a small CP-violating effect as in the previous section, we also assume that the produced number of  $\Lambda_c^+$  and  $\Lambda_c^-$  particles is approximately the same for each bin (this means that we assumed a small forward-backward asymmetry, see below) and that the efficiencies for reconstructing either  $\Lambda_c^+$  or  $\Lambda_c^-$  in each bin are approximately the same:

$$\begin{aligned} N_i^0 &\approx \bar{N}_i^0 \approx \langle N^0 \rangle_i, \\ \varepsilon_i &\approx \bar{\varepsilon}_i \approx \langle \varepsilon \rangle_i. \end{aligned} \quad (67)$$

With these assumptions, we can write to the first order in small quantities  $dN^0$ ,  $d\alpha$  and  $d\varepsilon$ :

$$\begin{aligned} N_i^0 &= \langle N^0 \rangle_i + dN_i^0, \quad dN_i^0 \ll \langle N^0 \rangle_i, \\ \bar{N}_i^0 &= \langle N^0 \rangle_i - dN_i^0, \\ \alpha &= \langle \alpha \rangle + d\alpha, \quad d\alpha \ll \langle \alpha \rangle, \\ \bar{\alpha} &= \langle \alpha \rangle - d\alpha, \\ \varepsilon_i &= \langle \varepsilon \rangle_i + d\varepsilon_i, \quad d\varepsilon_i \ll \langle \varepsilon \rangle_i, \\ \bar{\varepsilon}_i &= \langle \varepsilon \rangle_i - d\varepsilon_i. \end{aligned} \quad (68)$$

If we put expressions (68) into equations (64) and then into the definition of the reconstructed asymmetry (63), we get to the first order in small quantities:

$$\begin{aligned} \mathcal{A}_i^{\text{rec}}(\cos \theta_{h,i}) &= \mathcal{A}_i^{\text{FB}}(\cos \theta_{h,i}) + \mathcal{A}_i^\varepsilon(\cos \theta_{h,i}) + \mathcal{A}_{\text{CP}} \frac{\cos \theta_{h,i}}{1 + \langle \alpha \rangle \cos \theta_{h,i}} \\ \mathcal{A}_i^{\text{FB}} &= \frac{N_i^0 - \bar{N}_i^0}{N_i^0 + \bar{N}_i^0}, \\ \mathcal{A}_i^\varepsilon &= \frac{\varepsilon_i - \bar{\varepsilon}_i}{\varepsilon_i + \bar{\varepsilon}_i}, \\ \mathcal{A}_{\text{CP}} &= \frac{\alpha - \bar{\alpha}}{\alpha + \bar{\alpha}}. \end{aligned} \quad (69)$$

Here  $\mathcal{A}^{\text{FB}}$  is the forward-backward asymmetry, the asymmetry in production of  $\Lambda_c^+$  and  $\Lambda_c^-$ . This asymmetry arises because of the asymmetry in the production of  $c\bar{c}$  (and actually any fermion anti-fermion pair) in  $e^+e^-$  collisions. It occurs at tree level because of the interference between the two possible  $e^+e^- \rightarrow c\bar{c}$

processes - one mediated by a virtual  $\gamma$ , and one by a  $Z^0$  particle. This process is well understood and contributes at the percent level [26]. It can also come from higher-order QED effects where it is expected to peak sharply in the forward and backward directions, but the precise shape is not known [35]. The asymmetry is an odd function of the  $c$  quark (and of the  $\Lambda_c$ ) polar angle in the CMS and hence cancels out when integrated over that angle.

Due to the CP conservation in the production of  $\Lambda_c$  baryons through the EM and strong interaction, we know that  $\mathcal{A}_{FB}$  must be an antisymmetric function in the polar angle:

$$\mathcal{A}^{FB}(\cos \theta^*) = -\mathcal{A}^{FB}(-\cos \theta^*). \quad (70)$$

In our analysis, however, we can assume that there is no angular dependence of  $\mathcal{A}^{FB}$  on the angle  $\theta_h$ , since this angle is defined in the  $\Lambda$  CMS, which is different for every event, so any angular dependence of  $\mathcal{A}^{FB}$  on  $\theta_h$  will be evened out. We will check this assumption with the Monte Carlo simulation and estimate the systematic error on the result.

The  $\mathcal{A}^\varepsilon$  is the asymmetry for reconstruction of particles and antiparticles. It arises because of the different interactions of final state particles and antiparticles with the matter in the detector. We can again assume that it has no angular dependence on the angle  $\theta_h$ , for the same reasons as for the  $\mathcal{A}^{FB}$  asymmetry. This assumption can be checked using the decay chain  $\Sigma^* \rightarrow \Lambda\pi$ ,  $\Lambda \rightarrow p\pi$ , which has the same final state particles, but no present CP-violation. This is because  $\Sigma^* \rightarrow \Lambda\pi$  is a strong decay and is therefore not CP violating, and  $\Lambda \rightarrow p\pi$ , which is a weak decay and could have CP violation, has the measured CP asymmetry  $\mathcal{A}_{CP}^\Lambda = (\alpha_\Lambda + \alpha_{\bar{\Lambda}})/(\alpha_\Lambda - \alpha_{\bar{\Lambda}})$  of  $0.006 \pm 0.021$  [26] and is consistent with 0. With measuring this decay chain, we can again estimate the systematic error on the result.

With these assumptions, the reconstructed asymmetry is:

$$\mathcal{A}_i^{rec}(\cos \theta_{h,i}) = \mathcal{A}_i^{FB} + \mathcal{A}_i^\varepsilon + \mathcal{A}_{CP} \frac{\cos \theta_{h,i}}{1 + \langle \alpha \rangle \cos \theta_{h,i}}, \quad (71)$$

and the only angular dependence arises because of the  $\mathcal{A}_{CP}$  asymmetry. This way, we can determine the magnitude of  $\mathcal{A}_{CP}$  by fitting the reconstructed asymmetry with a function of the form  $f(x) = k + \mathcal{A}_{CP}(x/(1 + \langle \alpha \rangle x))$ , with using the measured  $\langle \alpha \rangle$ .

### 3.3 $\alpha_{\Lambda_c}$ AND $\mathcal{A}_{CP}$ PARAMETERS FOR $\Lambda_c$ DECAYS

The  $\langle \alpha \rangle$  parameter that we measure using equation (62) is the decay parameter for the  $\Lambda_c \rightarrow \Lambda\pi$ ,  $\Lambda \rightarrow p\pi$  decay chain. To get the  $\alpha_{\Lambda_c}$  decay parameter for the  $\Lambda_c \rightarrow \Lambda\pi$  decay, assuming that the CP-violation in this decay is 0 within the uncertainty of the measurement, we use the definition for  $\langle \alpha \rangle$ :

$$\langle \alpha \rangle = \frac{\alpha_{\Lambda_c} \alpha_\Lambda - \alpha_{\bar{\Lambda}_c} \alpha_{\bar{\Lambda}}}{2} \quad (72)$$

If we assume no CP-violation in the  $\Lambda_c \rightarrow \Lambda\pi$  decay, this means

$$\alpha_{\Lambda_c} = -\alpha_{\bar{\Lambda}_c} = \langle \alpha_{\Lambda_c} \rangle \quad (73)$$

We can then calculate  $\langle \alpha_{\bar{\Lambda}_c} \rangle$  by using the world averages of measurements of  $\alpha_\Lambda$  and  $\mathcal{A}_{\text{CP}}^\Lambda$  from [26]:

$$\begin{aligned} \alpha_\Lambda &= 0.642 \pm 0.013 \\ \mathcal{A}_{\text{CP}}^\Lambda &= \frac{\alpha_\Lambda + \alpha_{\bar{\Lambda}}}{\alpha_\Lambda - \alpha_{\bar{\Lambda}}} = 0.006 \pm 0.021. \end{aligned} \quad (74)$$

We use  $\alpha_\Lambda$  and  $\mathcal{A}_{\text{CP}}^\Lambda$  as independent parameters because  $\bar{\alpha}_\Lambda$  is measured with significantly lower precision [26]. The measurements of  $\alpha_\Lambda$  and  $\mathcal{A}_{\text{CP}}^\Lambda$  with the dominant precision are from [36] and [37], respectively. The former is performed on  $\Lambda$  produced in  $p\pi^-$  collisions, while the latter on  $\Lambda\bar{\Lambda}$  pairs produced in  $p\bar{p}$  annihilations. Hence the correlations between the two measurements are negligible.

With these quantities, we calculate the  $\langle \alpha_{\Lambda_c} \rangle$  as

$$\langle \alpha_{\Lambda_c} \rangle = \frac{\langle \alpha \rangle}{\alpha_\Lambda} (1 + \mathcal{A}_{\text{CP}}^\Lambda). \quad (75)$$

If we also assume no CP-violation in the  $\Lambda \rightarrow p\pi$  decay (the world average for  $\mathcal{A}_{\text{CP}}^\Lambda$  is consistent with this assumption), the  $\langle \alpha_{\Lambda_c} \rangle$  can be calculated as:

$$\langle \alpha_{\Lambda_c} \rangle = \frac{\langle \alpha \rangle}{\alpha_\Lambda}. \quad (76)$$

From equation (71) we get the asymmetry parameter  $\mathcal{A}_{\text{CP}}$ , which is linked to CP-violation in the  $\Lambda_c \rightarrow \Lambda\pi, \Lambda \rightarrow p\pi$  decay chain. To get the CP asymmetry parameter  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  linked to CP-violation in the  $\Lambda_c \rightarrow \Lambda\pi$  decay, we again take the best measured value for the CP asymmetry parameter for  $\Lambda \rightarrow p\pi$  decays, written in the second equation of (74), and with this value, we can then calculate the CP asymmetry parameter for  $\Lambda_c \rightarrow \Lambda\pi$  decays as:

$$\mathcal{A}_{\text{CP}}^{\Lambda_c} = \frac{\alpha_{\Lambda_c} + \alpha_{\bar{\Lambda}_c}}{\alpha_{\Lambda_c} - \alpha_{\bar{\Lambda}_c}} = \frac{\mathcal{A}_{\text{CP}} - \mathcal{A}_{\text{CP}}^\Lambda}{1 - \mathcal{A}_{\text{CP}} \mathcal{A}_{\text{CP}}^\Lambda}. \quad (77)$$

With the assumption of no CP-violation in the  $\Lambda \rightarrow p\pi$  decay, the  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  parameter is the same as the  $\mathcal{A}_{\text{CP}}$  parameter:

$$\mathcal{A}_{\text{CP}}^{\Lambda_c} = \mathcal{A}_{\text{CP}}. \quad (78)$$

---

<sup>2</sup> We could use any pair of measurements of variables  $\alpha_\Lambda$ ,  $\alpha_{\bar{\Lambda}}$  and  $\mathcal{A}_{\text{CP}}^\Lambda$ . We chose the pair  $\alpha_\Lambda$  and  $\mathcal{A}_{\text{CP}}^\Lambda$  because they are the most accurately measured.

---

## DEVELOPMENT OF THE MEASUREMENT METHOD ON MONTE CARLO SIMULATION

---

In order to ensure that the measurement method described in chapter 3 is valid, i.e. produces un-biased results, we first check the method by using a sample of events generated by a Monte Carlo (MC) simulation (see chapter 2.5).

### 4.1 PRESELECTION - HADRONIC EVENT SELECTION

Before we reconstruct our signal decays, the events must pass a hadronic event selection. This selection consists of a number of requirements, used to suppress non-hadronic events and select  $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$ , or  $e^+e^- \rightarrow q\bar{q}$ ,  $q=u,d,s,c$  events. The requirements are:

- For each event, there must be at least 3 reconstructed charged tracks and two reconstructed neutral clusters in the ECL barrel region.
- The charged track must have a transverse momentum bigger than 100 MeV/c, and impact parameters  $dr$  less than 2.0 cm and  $|dz|$  less than 4.0 cm.
- The neutral clusters must have a deposited energy of bigger than 100 MeV in the polar angle region of  $17^\circ < \theta < 150^\circ$ .
- The primary vertex of the event must lie in the region  $dr < 1.5$  cm and  $|dz| < 3.5$  cm.
- To suppress the background from  $\gamma\gamma$  processes and the beam induced background, the sum of momentum magnitudes in the  $z$  direction, calculated in the  $e^+e^-$  CMS, must be less than half the total available energy  $\sqrt{s}$ .
- To further suppress the QED processes, the energy sum of all clusters in the ECL should be greater than  $0.18\sqrt{s}$ .
- To suppress the background from  $e^+e^- \rightarrow \tau^+\tau^-$  processes, the invariant mass of particles in each of the two hemispheres, divided by a plane perpendicular to the boost, must be greater than 1.8 GeV.

These requirements are satisfied by 99% of the  $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$  events and 79.5% of  $e^+e^- \rightarrow q\bar{q}$  events, while keeping only 5% of non-hadronic events. The efficiencies for various processes are shown in table 4.

process	$\varepsilon$	$\sigma \cdot \varepsilon$ [nb]
$B\bar{B}$	0.991	1.09
$q\bar{q}$	0.795	2.70
$\tau^+\tau^-$	0.049	0.05
Bhabha	0.00002	0.001
$\gamma\gamma$	0.004	0.04
Beam gas	0.09	0.11

Table 4: Efficiencies of the hadronic event selection for various processes [24].

#### 4.2 RECONSTRUCTION OF THE $\Lambda_c$ DECAY CHAIN

To reconstruct the  $\Lambda_c$  decay chain in each recorded event, we take the reconstructed charged tracks from the detector and by using the  $R_{f/f'}$  probability ratios described in chapter 2.4, identify tracks as pions or protons. For protons we use the ratios  $R_{p/K}$  and  $R_{p/\pi'}$ , and for both pions the ratios  $R_{\pi/K}$ ,  $R_{\pi/p'}$ ,  $R_{\pi/e}$  and  $R_{\pi/\mu}$ . We also separate  $p^+$  and  $\bar{p}^-$  and  $\pi^+$  and  $\pi^-$  by the reconstructed charge of the track. We then combine the selected  $p^+$  and  $\pi^-$  to form  $\Lambda$  particles, and combine the  $\Lambda$  particles with the reconstructed  $\pi^+$  into  $\Lambda_c^+$  particles. We form the  $\bar{\Lambda}_c^-$  particle in the same way, using the reconstructed charge conjugate particles. Out of all formed  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  particles, we select the best signal candidates by performing vertex fits on the  $\Lambda$  and  $\pi$  tracks, and selecting the combination that has the best confidence level of the vertex fit.

#### 4.3 CONSTRUCTION OF THE SKIM SAMPLE

We select a sample of events by applying a set of loose selection criteria, from 6 times the available data (streams) of generic MC events that passed preselection. These are simulated events of all possible physical processes coming from  $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$ , or  $e^+e^- \rightarrow q\bar{q}$ ,  $q = u, d, s, c$ . This sample we call a 'skim' sample. In addition to using cuts on the variables described in section 4.2, we employ cuts on the invariant mass of the  $\Lambda$  and  $\Lambda_c$  particles and a cut on the  $\Lambda_c$  momentum in the  $e^+e^-$  CMS. The invariant mass of a particle P is defined using the momentum four-vector  $P_P$  conservation as:

$$m_P = m(P_0 \dots P_N) = \sqrt{P_P^2} = \sqrt{E_P^2 - |\vec{p}_P|^2} = \sqrt{\left(\sum_i \sqrt{|\vec{p}_i|^2 + m_i^2}\right)^2 - \left|\sum_i \vec{p}_i\right|^2}, \quad (79)$$

where  $i$  runs over all  $N$  final state particles  $P_i$  that form the particle  $P$ .

The loose cuts used to create the skim sample are shown in table 5.

cut type	cut value
$R_{\pi/K}, R_{\pi/p}, R_{p/K}, R_{p/\pi}$	$\geq 0.6$
$R_{\pi/e}, R_{\pi/\mu}$	$\leq 0.9$
$m(p\pi)$	$\geq 1.10 \text{ GeV}/c^2, \leq 1.13 \text{ GeV}/c^2$
$m(p\pi\pi)$	$\geq 2.19 \text{ GeV}/c^2, \leq 2.38 \text{ GeV}/c^2$
$p_{\text{CMS}}(\Lambda_c)$	$\geq 1.5 \text{ GeV}/c$

Table 5: Cuts used to make the skim sample out of all generic MC events.

#### 4.3.1 Comparison of Monte Carlo and data event samples

To check the method with a Monte Carlo generated event sample, we need to ensure that the simulation describes the relevant processes as closely to the real data as possible. Small differences between MC and real data arise mostly from inadequate simulation of the fragmentation of  $c\bar{c}$  quarks into hadrons, and need to be taken into account when estimating the systematic uncertainty of the measurement.

We first look at the  $\Lambda_c$  mass distribution and separate the reconstructed events according to their origin, since this way we can explain the features of the distribution. We find out that we can divide the sample into 5 categories (see figure 32)<sup>1</sup>:

- Signal - this category consists of the signal events  $\Lambda_c^+ \rightarrow \Lambda\pi^+, \Lambda \rightarrow p^+\pi^-$ , with  $\Lambda_c$  coming directly from the fragmentation ( $c\bar{c} \rightarrow \Lambda_c X$ ), from fragmentation via the various  $\Sigma_c$  ( $\Sigma_c^0, \Sigma_c^+, \Sigma_c^{++}, \Sigma_c^{*0}, \Sigma_c^{*+}, \Sigma_c^{*++}$ ) baryons ( $c\bar{c} \rightarrow \Sigma_c X \rightarrow \Lambda_c \pi$ ), which decay strongly exclusively into  $\Lambda_c$  and a pion, directly from B mesons ( $B \rightarrow \Lambda_c X$ ), or from B mesons via the  $\Sigma_c$  baryons ( $B \rightarrow \Sigma_c X \rightarrow \Lambda_c \pi$ ).

- Low mass background - events that fall into this category are events  $\Lambda_c^+ \rightarrow \Sigma^0\pi^+, \Sigma^0 \rightarrow \Lambda\gamma, \Lambda \rightarrow p^+\pi^-$ , where the photon is not reconstructed, thus resulting in a slightly lower invariant mass.

- High mass background - these events come from decays :

$$\begin{aligned}
& - \Xi_c^0 \rightarrow \Xi^- \pi^+, \Xi^- \rightarrow \Lambda \pi^-, \\
& - \Xi_c^+ \rightarrow \Xi^0 \pi^+, \Xi^0 \rightarrow \Lambda \pi^0, \\
& - \Xi_c^0 \rightarrow \bar{K}^0 \Lambda, \bar{K}^0 \rightarrow \pi^+ \pi^-,
\end{aligned}$$

with  $\Lambda$  reconstructed in the  $\Lambda \rightarrow p^+\pi^-$  for each decay channel.

- Peaking background - these events are true decays of  $\Lambda_c$ , with exactly the same final state ( $p\pi^+\pi^-$ ) as the signal decays, but with different intermediate states:

$$- \Lambda_c^+ \rightarrow f_0 p^+, f_0 \rightarrow \pi^+ \pi^-,$$

<sup>1</sup> Here, we write only the  $\Lambda_c^+$  categories, the categories for  $\bar{\Lambda}_c^-$  are charge conjugates of the  $\Lambda_c^+$  ones.

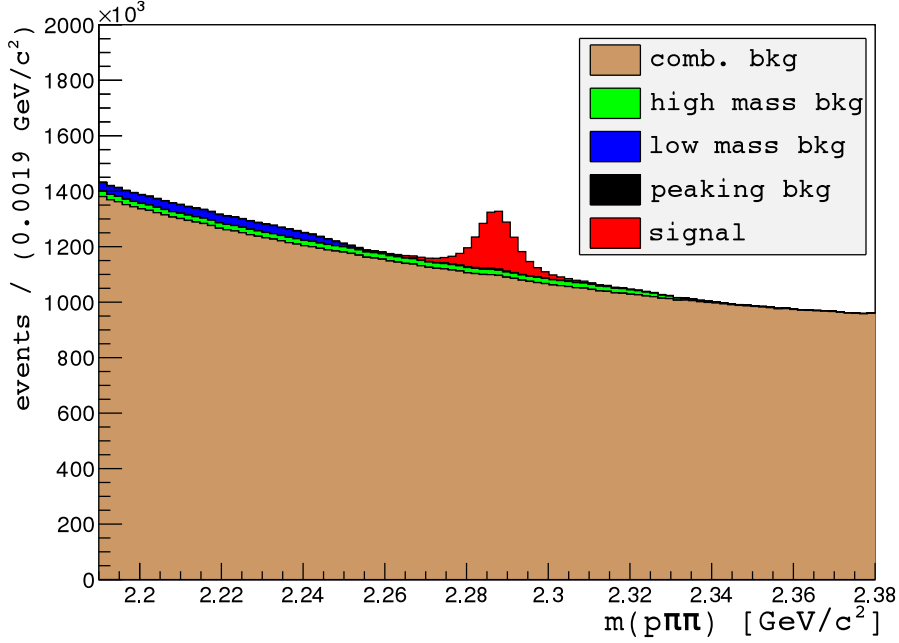


Figure 32: Breakdown of the generic MC skim sample according to the origin of final state particles.

- $\Lambda_c^+ \rightarrow K^0 p^+, K^0 \rightarrow K_S^0 \rightarrow \pi^+ \pi^-$ ,
- $\Lambda_c^+ \rightarrow p^+ \pi^+ \pi^-$ ,

- Combinatorial background - events mostly from random combinations of final state particles, or other statistically nonsignificant decays.

Now we can compare the generic MC to the real data  $\Lambda_c$  mass distribution, by forming probability density functions (PDFs) out of histograms for the signal ( $SIG(m(p\pi\pi))$ ), the high mass background ( $HM(m(p\pi\pi))$ ), the low mass background ( $LM(m(p\pi\pi))$ ) and the combinatorial ( $C(m(p\pi\pi))$ ) background (we leave out the peaking background, because it is negligibly small for this purpose) and fitting the combined PDF:

$$f(m(p\pi\pi)) = \alpha \cdot SIG(m(p\pi\pi)) + b \cdot HM(m(p\pi\pi)) + c \cdot LM(m(p\pi\pi)) + d \cdot C(m(p\pi\pi)), \quad (80)$$

to the data histogram. If we divide the coefficients  $\alpha, b, c$  and  $d$  obtained from this fit by the total number of events in the combined histogram, we get the scale factors by which we must multiply the MC events so that they give the best possible match between MC and data. The scaling coefficients for the signal, low mass and combinatorial background are, although statistically significant, not extremely far from unity, and reflect the imperfect modelling of the  $c\bar{c}$  fragmentation and poorly known branching ratios of various charmed baryons entering the MC simulation. The results of the fit are shown in figure 33 and the scaling coefficients are written in table 6. We see that the events coming from the high mass background are not present in the data at all, which shows that the production of  $\Xi_c$  and the branching ratios for their decays to final states with a  $\Lambda$

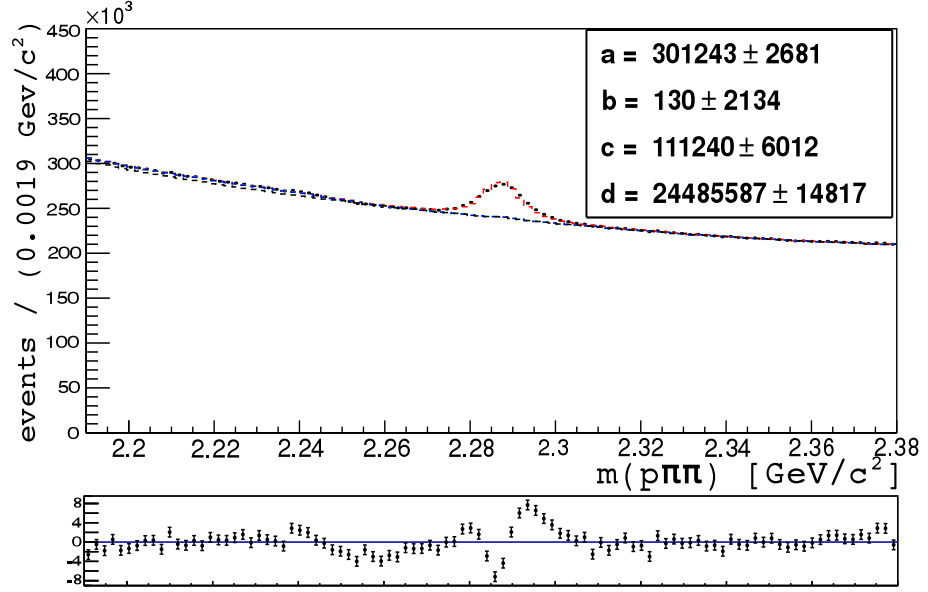


Figure 33: Fit of the combined histogram PDF (80), obtained from the MC  $\Lambda_c$  mass distribution, to the data  $\Lambda_c$  mass distribution.

event category	scaling coefficient
Signal	$1.090 \pm 0.010$
Low mass bkg	$0.726 \pm 0.039$
High mass bkg	$0.000 \pm 0.009$
Combinatorial bkg	$1.330 \pm 0.001$

Table 6: Scale coefficients between data and MC for different event categories, obtained from the fit shown in figure 33.

particle are highly overestimated. This overestimation is due to the fact that the relevant quantities concerning  $\Xi_c$  decays are very poorly known.

#### 4.3.2 Reweighting of the Monte Carlo $\cos \theta_h$ angular distribution

In the generic MC, the  $\cos \theta_h$  angular distribution is flat (see figure 34) - the linear angular dependence due to parity non-conserving weak decays is not simulated. To be able to check the method with the generic MC simulation, we have to reweigh events to the correct angular distribution.

We therefore reweigh the signal for the  $c\bar{c} \rightarrow \Lambda_c X$  and  $c\bar{c} \rightarrow \Sigma_c X \rightarrow \Lambda_c \pi$  events (see figure 34), whereas the  $B \rightarrow \Lambda_c X$ ,  $B \rightarrow \Sigma_c X \rightarrow \Lambda_c \pi^2$ , and the background events are not reweighted, but scaled, so that the ratio of signal and background events remains the same.

This is done using a random number generator used to generate a number  $r$  between 0 and 1, which is then compared to the expression  $y = (1 + \alpha \cos \theta_h)/(1 + \alpha)$  for each event in the case of reweighting, and to  $y = 1/(1 + \alpha)$  in the case of

<sup>2</sup> The events coming from B mesons are not reweighted, since after the cut optimization (see chapter 4.4.1) we reject almost all of these events.



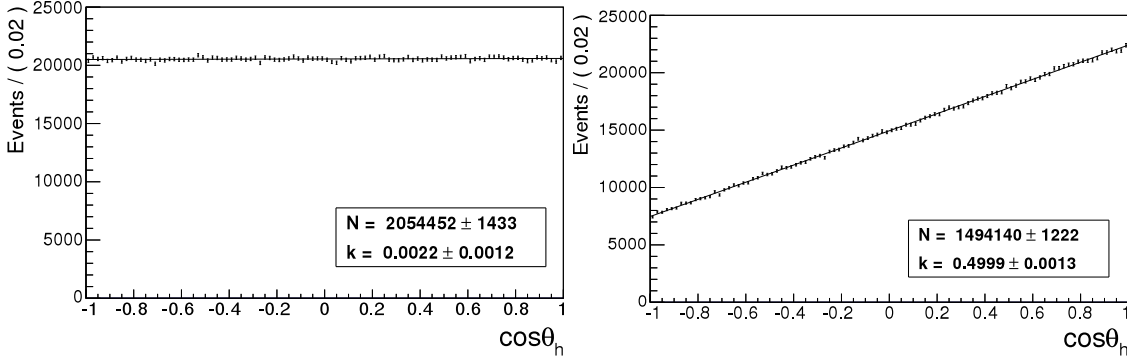


Figure 34: Fit with a linear function on  $\cos \theta_h$  angular distribution of the generic MC for events with  $\Lambda_c^+$  coming from fragmentation. Left - before reweighting, right - after reweighting.

scaling. If  $r < y$ , the event is accepted, otherwise it is discarded. For  $\alpha$  we chose the value 0.5 for both  $\Lambda_c^+$  and  $\overline{\Lambda}_c$  decays, as obtained in [34] (see figure 34).

Because we use a random number generator, the  $\alpha$  coefficients have slightly different values between streams. Their values are shown in table 7.

In the analysis, there are actually five angles to consider when reweighting the events - three angles defined in (39), and the two angles from (32) and (35), both for the decay of  $\Lambda_c$  and for the decay of  $\Lambda$  in their subsequent CM systems.

The first angle  $\vartheta_1$  from equations (39) is the helicity angle, and the distribution of signal events over this angle is independent of the polarization of  $\Lambda_c$  and must be reweighted to the distribution described by the equation.

The distributions of events over all other angles is dependent on the polarization of the  $\Lambda_c$  sample, however, in our case, the  $\Lambda_c$  either come from the ( $c\bar{c} \rightarrow \Lambda_c X$ ) fragmentation, which can not produce a polarized  $\Lambda_c$  sample, or from B mesons, which in principle could produce a polarized  $\Lambda_c$  sample, but after we select the analysis sample with optimizing the selection criteria, we reject almost all of these events (see chapter 4.4.1). We can therefore assume that the  $\Lambda_c$  sample is unpolarized:  $P_{\Lambda_c} = 0$ . With this assumption, the distributions over the second and third angle from (39) and over the angle from (32) are flat, whereas the distribution over the angle from (35) is identical to the one over the helicity angle.

If we plot all the angle distributions in the simulation, we see that the only distribution we have to reweigh is the helicity angle distribution, as the other distributions remain flat or reweigh correctly as we reweigh the helicity angle distribution (see figure 35).

After scaling and reweighting the generic MC, we obtain an equivalent of 4 streams of simulated events. These events are now scaled to match the data and are reweighted to the correct  $\cos \theta_h$  angular distribution.

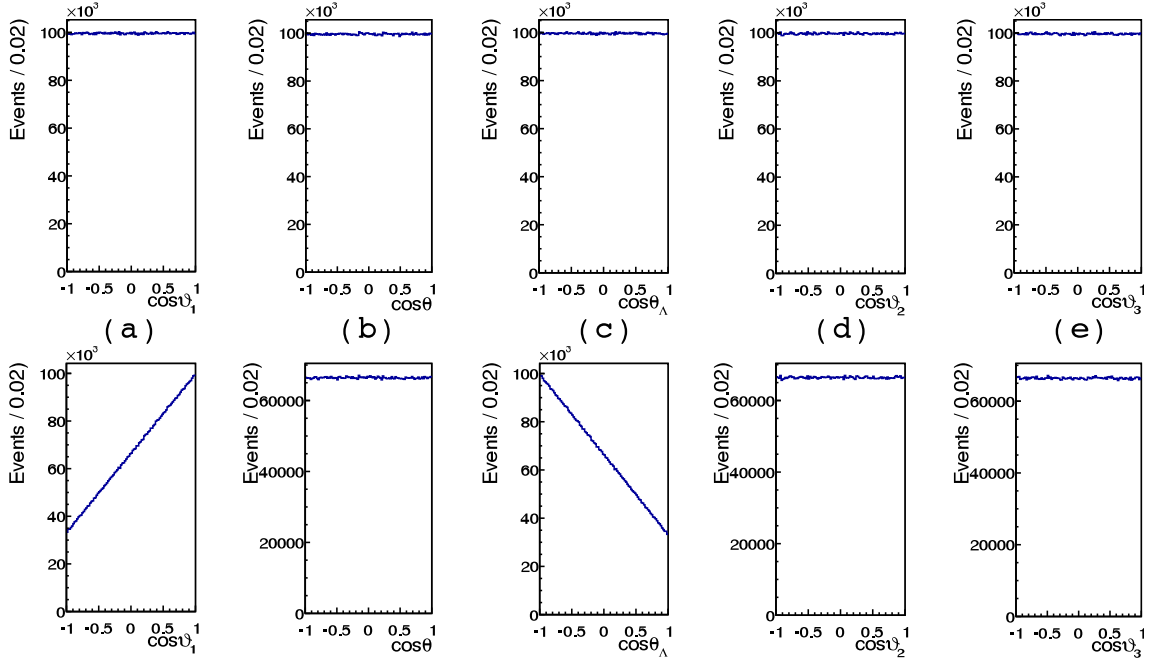


Figure 35: Angles defined in (39) (a, d, e), (32) (b) and (35) (c) before reweighting the angle (a) - top row, and after reweighting the angle (a) - bottom row.

stream	$\alpha_{\Lambda_c^+}$	$\alpha_{\Lambda_c^-}$
0	$0.5014 \pm 0.0026$	$0.5048 \pm 0.0026$
1	$0.4971 \pm 0.0026$	$0.5006 \pm 0.0026$
2	$0.5016 \pm 0.0026$	$0.5035 \pm 0.0026$
3	$0.4994 \pm 0.0026$	$0.4944 \pm 0.0026$
all	$0.4999 \pm 0.0013$	$0.5008 \pm 0.0013$

Table 7:  $\alpha$  coefficients used to reweight streams of generic MC.

cut type	cut value
$R_{\pi/\kappa}, R_{\pi/p}$ (for both pions)	$\geq 0.6$
$R_{\pi/e}, R_{\pi/\mu}$ (for both pions)	$\leq 0.9$
$R_{p/\kappa}, R_{p/\pi}$	$\geq 0.6$
$p\pi, p\pi\pi$ vertex fit conf. level	$\geq 10^{-3}$
$m(p\pi)$	$\geq 1.1126 \text{ GeV}/c^2, \leq 1.1186 \text{ GeV}/c^2$
$m(p\pi\pi)$	$\geq 2.19 \text{ GeV}/c^2, \leq 2.38 \text{ GeV}/c^2$
$p_{CMS}(\Lambda_c)$	$\geq 2.2 \text{ GeV}/c$

Table 8: Selection criteria used to make the analysis sample out of all generic MC events.

#### 4.4 CONSTRUCTION OF THE ANALYSIS SAMPLE

##### 4.4.1 Optimization of selection criteria

To create the analysis sample, we perform an optimization of all the selection criteria used. In addition to criteria used to create the skim sample, we also use the  $\Lambda$  and  $\Lambda_c$  vertex fit confidence level.

For optimization, we define a figure-of-merit (FOM) function:

$$\text{FOM} = \varepsilon \cdot P \quad (81)$$

where  $\varepsilon$  is the efficiency and  $P$  is the purity, defined as:

$$\varepsilon = \frac{N_{\text{sig}}^{\text{rec}}}{N_{\text{sig}}^0}, \quad P = \frac{N_{\text{sig}}^{\text{rec}}}{N_{\text{all}}^{\text{rec}}}, \quad (82)$$

with  $N_{\text{sig}}^{\text{rec}}$  denoting the number of reconstructed signal events,  $N_{\text{sig}}^0$  is the number of all signal events, and  $N_{\text{all}}^{\text{rec}}$  is the number of all reconstructed events.

A selection criterion is optimized when the FOM calculated with this criterion is at its maximum value. This definition of the FOM gives us the best significance for the signal yield  $N_{\text{sig}}^{\text{rec}}/\sigma_{N_{\text{sig}}^{\text{rec}}}$  in the sample. After an automated iterative procedure, we get the selection criteria shown on figure 36.

Since for all selection criteria except the  $\Lambda$  mass and the  $\Lambda_c$  momentum in the  $e^+e^-$  CMS criteria, the FOM does not depend very strongly on the cut, we do not use the selection criteria suggested by the optimization, to retain a larger number of signal events. For confidence levels for both vertex fits we use the standard value  $\geq 10^{-3}$ , and for the three mentioned criteria, we use the value from the optimization procedure. The results of the procedure are shown in table 8.

##### 4.4.2 Analysis sample

Using the selection criteria shown in table 8, we obtain the  $\Lambda_c$  analysis sample. The  $\Lambda_c$  mass distribution in the simulated sample separated into categories according to their origin is shown in figure 37.

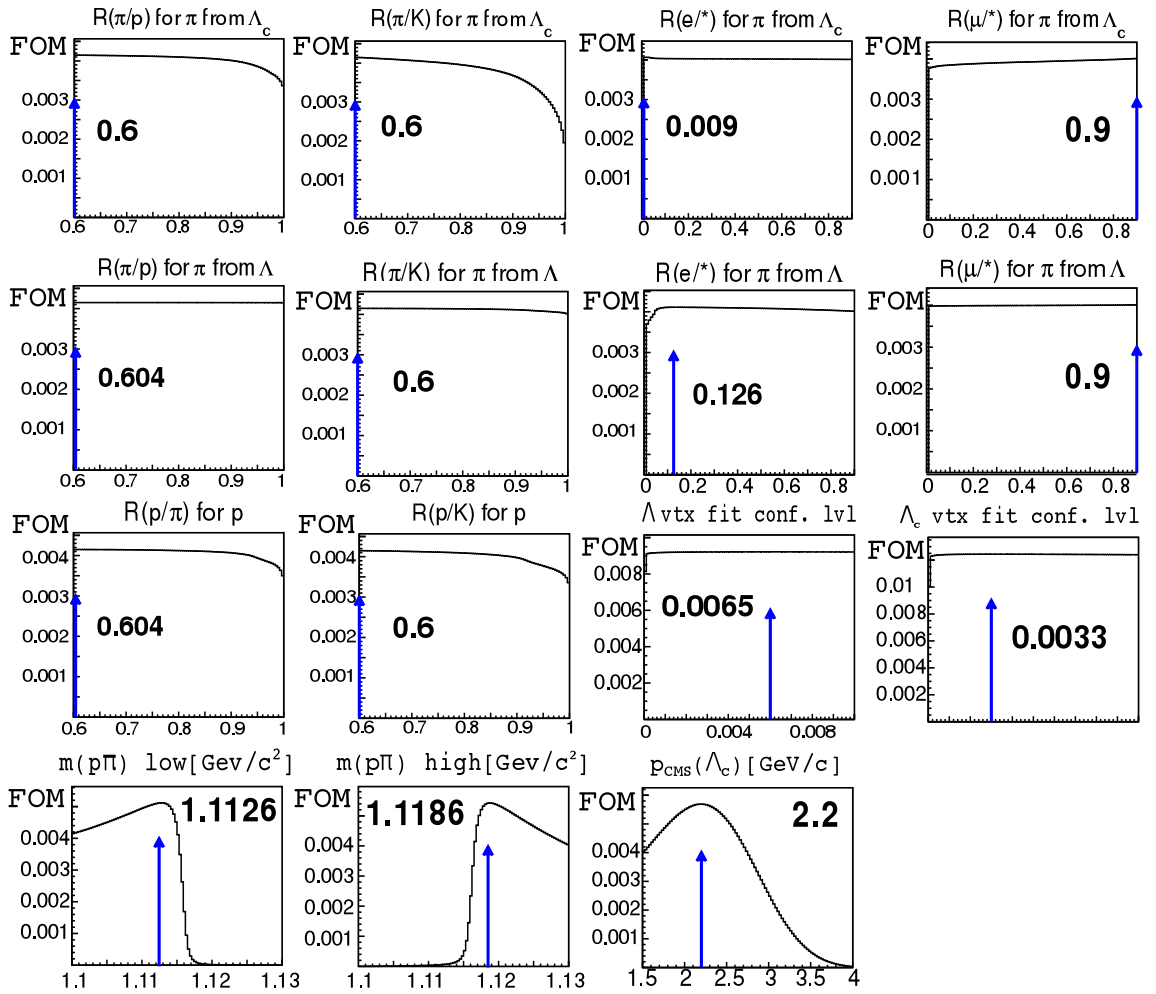


Figure 36: The results of an automated iterative optimization of all used selection criteria, showing the value of FOM on the y-axis and the chosen selection criterion on the x-axis. The arrow indicates where the maximum of FOM has been found, and the value of the selection criterion at maximum is written in the graph.

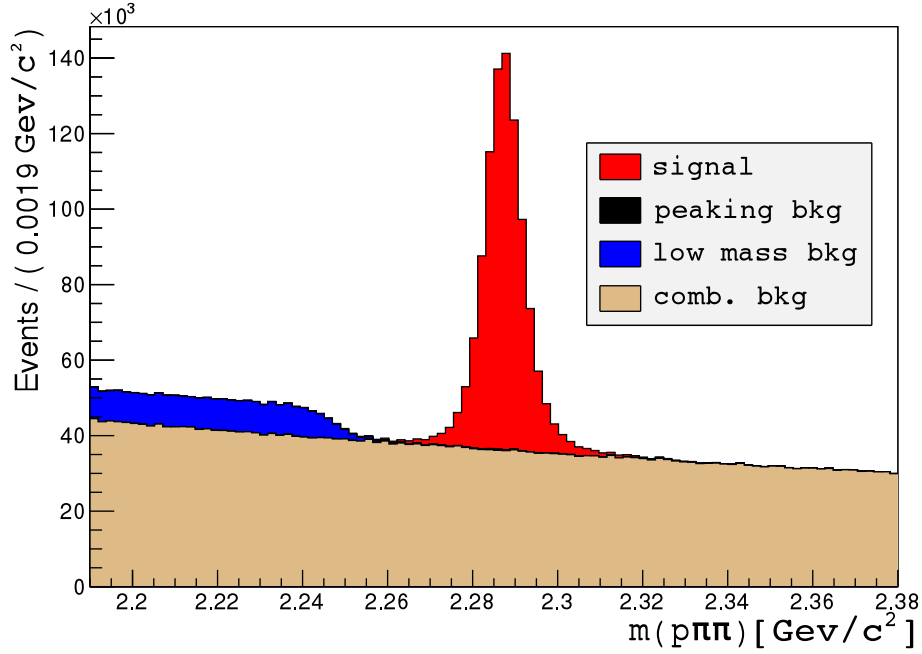


Figure 37: Breakdown of the generic MC analysis sample according to the origin of final state particles.

	skim sample	analysis sample
efficiency	0.28	0.18
purity	0.10	0.65
FOM	0.03	0.12
S/B	0.11	1.88

Table 9: Efficiency, purity, FOM and signal-to-background ratio for the  $[-2\sigma, +2\sigma]$  interval around the  $\Lambda_c$  signal peak for the analysis and skim samples.

The signal-to-background ratio (S/B) in the analysis sample is now significantly better than in the skim sample (see table 9).

#### 4.5 FIT OF $\Lambda_c$ MASS IN BINS OF $\cos \theta_h$

In the next step, reconstructed  $\Lambda_c$  decays are divided into bins according to  $\cos(\theta_h)$  values. Since we perform a deconvolution (see chapter 4.6), the chosen bin width should have no influence on the uncertainty of the result, however we would nevertheless like to reduce the bin-to-bin event migration due to a finite  $\cos(\theta_h)$  resolution<sup>3</sup>, while still dividing the  $\cos \theta_h$  distribution into as many bins as possible. This is the reason that we choose the width of the bin according to an estimate of the detector resolution for measuring  $\cos \theta_h$ . The resolution is estimated with generic MC simulation by calculating the difference between the reconstructed and generated values of  $\cos \theta_h$  in the signal MC sample in bins of

<sup>3</sup> The reconstructed value of  $\theta_h$  for a given decay may differ from the true one due to the resolution effects.

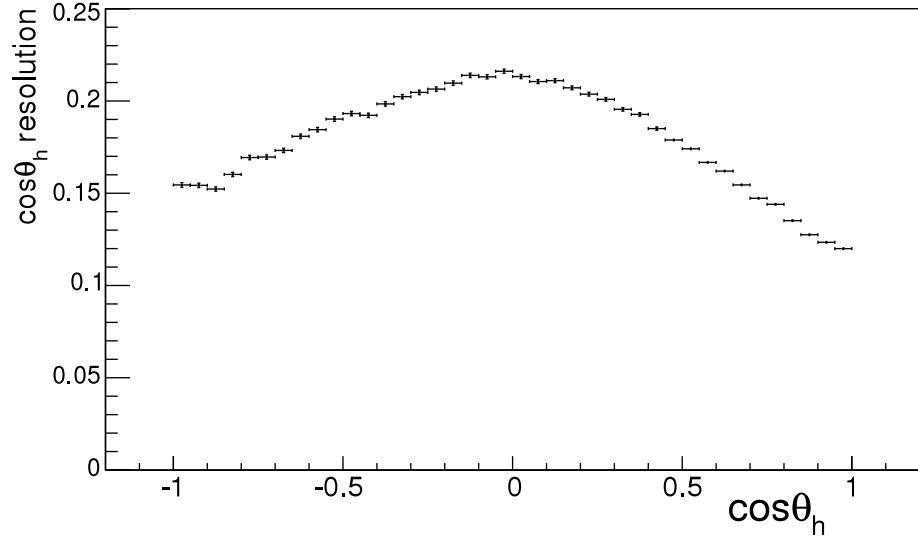


Figure 38: Detector resolution for measuring  $\cos\theta_h$ .

$\cos\theta_h$ , and then taking the root mean square (RMS) of each histogram formed that way. The results are shown in figure 38.

According to the results, we decided to divide the  $\cos\theta_h$  distribution into 10 equidistant bins, setting the bin width at 0.2, as this is roughly the largest resolution estimate in 38.

#### 4.5.1 General fit method

The fit method used to fit the  $\Lambda_c$  mass histograms in bins of  $\cos\theta_h$  is the extended binned maximum likelihood. With this method, we do not define probability density functions, normalized to 1 to describe the distributions, but models ( $M_i$ ), normalized to the expected number of events  $N_{\text{exp}}(\vec{p})$  which this model describes. The model for the whole data sample ( $M$ ) is thus a sum of individual models:

$$M(x; \vec{p}) = \sum_i N_i M_i(x; \vec{p}_i), \quad \int M(x; \vec{p}) dx \equiv N_{\text{exp}}(\vec{p}), \quad (83)$$

here,  $x$  is the observable,  $\vec{p}$  is the vector of all model parameters,  $N_i$  are the expected numbers of events for each model, and  $\vec{p}_i$  is the subset of parameters for the  $i$ -th model. The model is normalized to  $N_{\text{exp}} = \sum_i N_i$ , which is the number of events in the histogram.

We estimate the parameter values by minimizing the extended likelihood function in a simultaneous fit to histograms for all  $\cos\theta$  bins:

$$-\ln L(\vec{p}) = - \sum_{j=1}^{N_{\text{bin}}=10} \sum_{H_j(x_i)} M(x_i; \vec{p}_j) - \text{Poisson}(N_{\text{obs}} | \sum_{j=1}^{N_{\text{bin}}=10} N_{\text{exp},j}(\vec{p}_j)), \quad (84)$$

where the index  $j$  runs over bins in  $\cos\theta$ ,  $H_j(x_i)$  is the histogram for the  $j$ -th  $\cos\theta$  bin with  $i$  bins,  $x_i$  is the  $i$ -th bin center in the histogram  $H_j$ ,  $\vec{p}_j$  are the parameters used in the model that describes the histogram in the  $j$ -th  $\cos\theta$  bin

parameter	value
$n_{12}$	$0.710 \pm 0.060$
$n_{13}$	$0.156 \pm 0.010$
$s_{12}$	$1.741 \pm 0.018$
$s_{13l}$	$4.008 \pm 0.056$
$s_{13r}$	$4.856 \pm 0.071$

Table 10: Values of fixed parameters on the generic MC analysis sample fit.

and  $N_{\text{obs}}$  is the observed event count in all  $\cos\theta$  histograms, modeled by a Poisson distribution with the expected event count for all histograms as mean.

#### 4.5.2 Signal MC fit

To ensure the convergence of fits, we need to fix some of the fit parameters. We do this by first fitting just the signal events (the signal MC) and fixing some of the fit parameters to the values obtained by this fit when fitting all the events in the analysis sample. For the signal MC we perform a simultaneous fit to the  $m(p\pi\pi)$  mass distribution in all bins of  $\cos(\theta_h)$ , where for each bin, we use two Gaussian and one asymmetric Gaussian function with a common peak value as a model, and the ratios of numbers of events and widths between the second Gaussian and the first Gaussian and between the asymmetric Gaussian and the first Gaussian are common for all bins:

$$\begin{aligned}
M_S(m_{p\pi\pi}; \vec{p}) &= \sum_{i=1}^{N_{\text{bin}}=10} N_{1,i} [G(m_{p\pi\pi}; m_i, \sigma_{1,i}) + n_{12}G(m_{p\pi\pi}; m_i, s_{12}\sigma_{1,i}) + \\
&\quad + n_{13}AG(m_{p\pi\pi}; m_i, s_{13l}\sigma_{1,i}, s_{13r}\sigma_{1,i})], \\
G(x; m, \sigma) &= \frac{1}{\sqrt{2\pi}\sigma} \exp\left[-\frac{(x-m)^2}{2\sigma^2}\right] \\
AG(x; m, \sigma_l, \sigma_r) &= \frac{1}{\sqrt{2\pi}\sigma_l} (1 - \theta(x-m)) \exp\left[-\frac{(x-m)^2}{2\sigma_l^2}\right] + \\
&\quad + \frac{1}{\sqrt{2\pi}\sigma_r} \theta(x-m) \exp\left[-\frac{(x-m)^2}{2\sigma_r^2}\right],
\end{aligned} \tag{85}$$

where  $\theta(x)$  is the Heaviside step function.

We fit the signal MC histograms in the range  $[2.25 \text{ GeV}/c^2 - 2.33 \text{ GeV}/c^2]$  and use streams 0 and 1 of the analysis sample, to increase statistics, which results in a smaller error for the fixed parameters. The parameters which are fixed on the generic MC analysis sample fit (see next section), are shown in table 10 and an example of the fit for bin 4 ( $-0.2 < \cos\theta_h < 0.0$ ) is shown on figure 39. For all fits and parameter values, see appendix A.1.

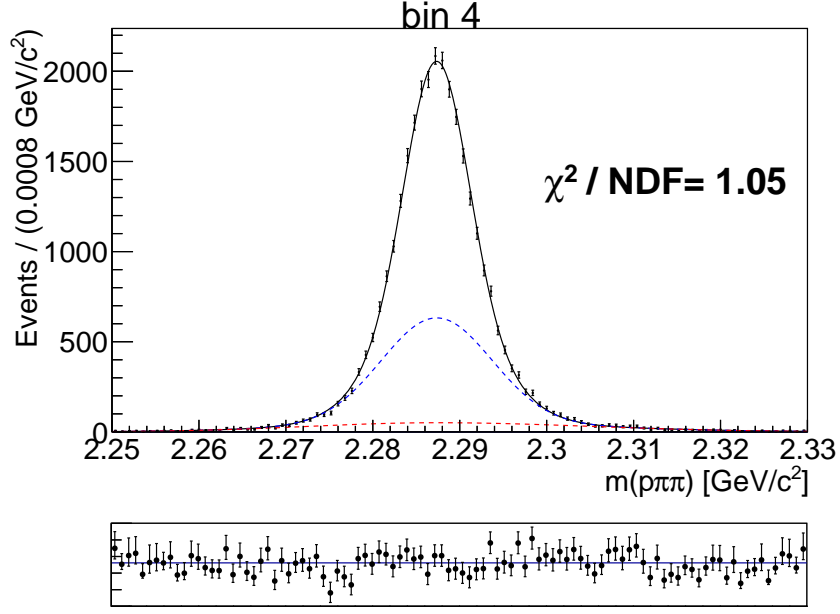


Figure 39: An example of the fit on signal MC for bin 4 ( $-0.2 < \cos\theta_h < 0.0$ ). The black, blue and red mark the narrow Gaussian, the wide Gaussian, the asymmetric Gaussian, respectively. The bottom plot shows the fit residuals.

#### 4.5.3 Generic MC fit

For the fit on the generic MC, we perform a simultaneous fit to the  $m(p\pi\pi)$  mass distribution in all bins of  $\cos(\theta_h)$ , where for each bin, the model is a sum of the model for the signal peak, defined in (85) with all the common ratios fixed to the values determined from the fit on signal MC, a sigmoid function for the low mass background, and a Chebyshev polynomial of the second order for the combinatorial background. The common parameters for all bins are the width and the position of the sigmoid and the two Chebyshev polynomial coefficients:

$$\begin{aligned}
 M_G(m_{p\pi\pi}; \vec{p}) &= \sum_{i=1}^{N_{\text{bin}}=10} \left[ N_{1,i} \left( G(m_{p\pi\pi}; m_i, \sigma_{1,i}) + n_{12} G(m_{p\pi\pi}; m_i, s_{12}\sigma_{1,i}) + \right. \right. \\
 &\quad \left. \left. + n_{13} \text{AG}(m_{p\pi\pi}; m_i, s_{13l}\sigma_{1,i}, s_{13r}\sigma_{1,i}) \right) + \right. \\
 &\quad \left. + N_{b1,i} S(m_{p\pi\pi}; m_b, w_b) + N_{b2,i} \text{CH}(m_{p\pi\pi}; c_1, c_2) \right], \\
 G(x; m, \sigma) &= \frac{1}{\sqrt{2\pi}\sigma} \exp \left[ -\frac{(x-m)^2}{2\sigma^2} \right], \\
 \text{AG}(x; m, \sigma_l, \sigma_r) &= \frac{1}{\sqrt{2\pi}\sigma_l} (1 - \theta(x-m)) \exp \left[ -\frac{(x-m)^2}{2\sigma_l^2} \right] + \\
 &\quad + \frac{1}{\sqrt{2\pi}\sigma_r} \theta(x-m) \exp \left[ -\frac{(x-m)^2}{2\sigma_r^2} \right],
 \end{aligned} \tag{86}$$



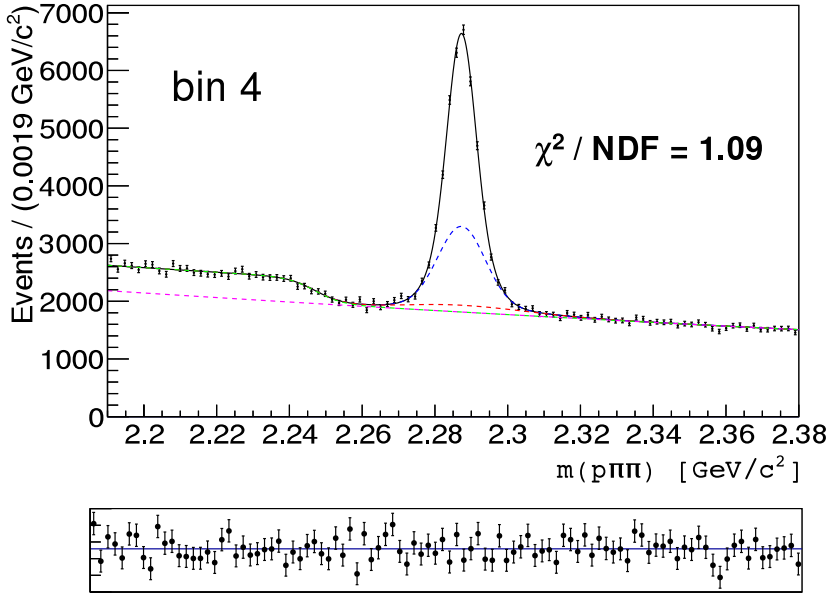


Figure 40: An example of the fit on generic MC for bin 4 ( $-0.2 < \cos\theta_h < 0.0$ ). The black, blue, red, green and purple lines mark the narrow Gaussian, the wide Gaussian, the asymmetric Gaussian (all describing signal events), the sigmoid function and the 2. order Chebyshev polynomial (all describing the backgrounds), respectively. The bottom plot shows the fit residuals.

$$S(x; m, w) = \frac{1}{1 + \exp\left[\frac{x-m}{w}\right]},$$

$$CH(x; c_1, c_2) = 1 + c_1x + c_2(x^2 - 1).$$

The number of signal events ( $R_i$ ) and the statistical uncertainty on the number of signal events ( $\sigma_{R_i}$ ) for each bin are calculated as:

$$R_i = N_{1,i}(1 + n_{12} + n_{13}), \quad \sigma_{R_i} = \sigma_{N_{1,i}}(1 + n_{12} + n_{13}), \quad (87)$$

where  $\sigma_{N_{1,i}}$  is the statistical error on the parameter  $N_{1,i}$  from the fit on generic MC. The number of signal events from the generic MC fit for all  $\cos\theta_h$  bins is shown in table 41b and figure 41a, and an example of the fit on generic MC for bin 4 ( $-0.2 < \cos\theta_h < 0.0$ ) is shown in figure 40. For all fits and parameter values, see section A.2.

To check if the fit correctly reproduces the number of signal events, we look at the fit residuals, defined as:

$$\frac{N_{\text{fit}} - N_{\text{actual}}}{\sigma_{N_{\text{fit}}}}, \quad (88)$$

where  $N_{\text{fit}}$  is the number of signal events determined from the fit,  $N_{\text{actual}}$  is the actual number of events determined by counting on the generic MC, and  $\sigma_{N_{\text{fit}}}$  is the statistical error on the number of signal events determined from the fit. The residuals are fitted with a constant function with a  $\chi^2$  fit. The result is  $0.06 \pm 0.32$  with a  $\chi^2/\text{NDF} = 8.75/9$ , which corresponds to a probability of 0.46,

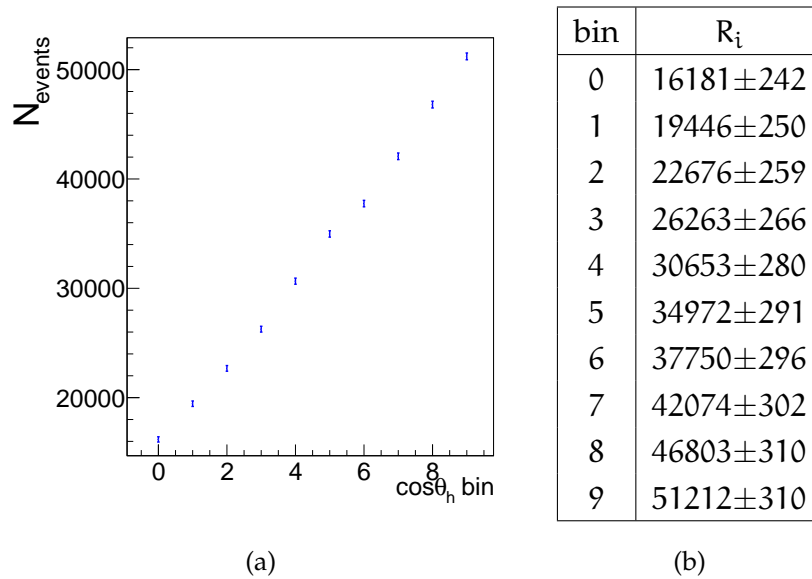


Figure 41: Numbers and statistical uncertainties for signal events for each  $\cos \theta_h$  bin, determined from the generic MC fit.

hence we can conclude that the fit model correctly reproduces the number of reconstructed events (see figure 42).

#### 4.6 DECONVOLUTION

The Belle detector has a finite resolution of measurement of the  $\theta_h$  angle (see figure 38), which means that the measured value of  $\cos \theta_h$  for an event can slightly deviate from its true value. As a consequence, events migrate across the bins. Mathematically, this means that the true  $\cos \theta_h$  distribution is convoluted with a resolution function:

$$\left( \frac{dN}{d \cos \theta_h} \right)_{\text{rec}} = \left( \frac{dN}{d \cos \theta_h} \right) \otimes \text{Res}(\cos \theta_h). \quad (89)$$

To correct this, we deconvolute the reconstructed  $\cos \theta_h$  distribution, using a deconvolution matrix determined by Monte Carlo simulation.

First, we determine the event migration from the MC simulation by distributing signal events into 2D bins according to their reconstructed and generated  $\cos \theta_h$  values and counting the number of events that fall into each 2D bin (see figure 43). As expected, a large majority of events concentrates in the diagonal of the plot, showing that their  $\cos(\theta_h)$  value is correctly reproduced. With this, we get a resolution map in the form of a matrix, which maps the resolution function into the chosen bins of  $\cos \theta_h$ . We will denote this map by  $M_{ij}$ , where index  $i$  runs over reconstructed  $\cos(\theta_h)$  bins, and index  $j$  over generated  $\cos \theta_h$  bins.

In order to get the convolution map, we need the probability that after reconstruction, each event with a generated value of  $\cos \theta_h$  in the  $j$ -th bin will fall into the  $i$ -th bin of  $\cos \theta_h$  distribution. We know that the probability for an event to fall into any bin must be 1, since we do not lose events because of the detector

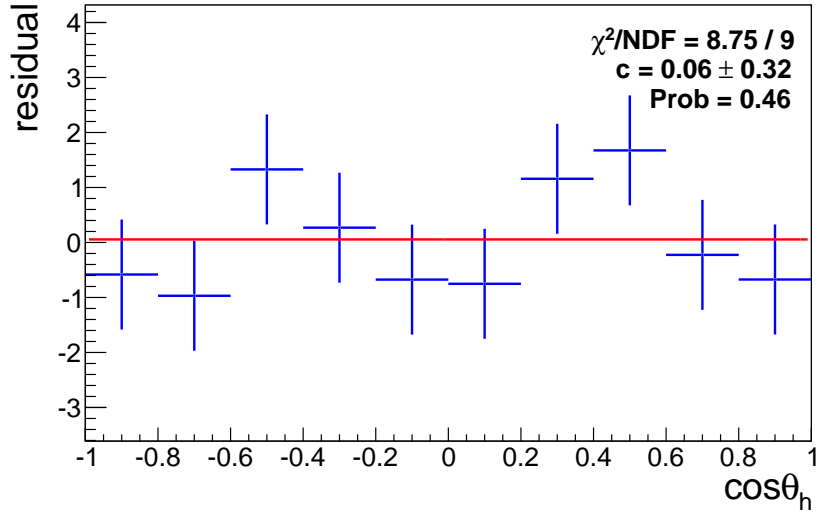


Figure 42: Residuals between fitted and actual number of events for the generic MC fit, fitted with a constant function with a  $\chi^2$  fit.

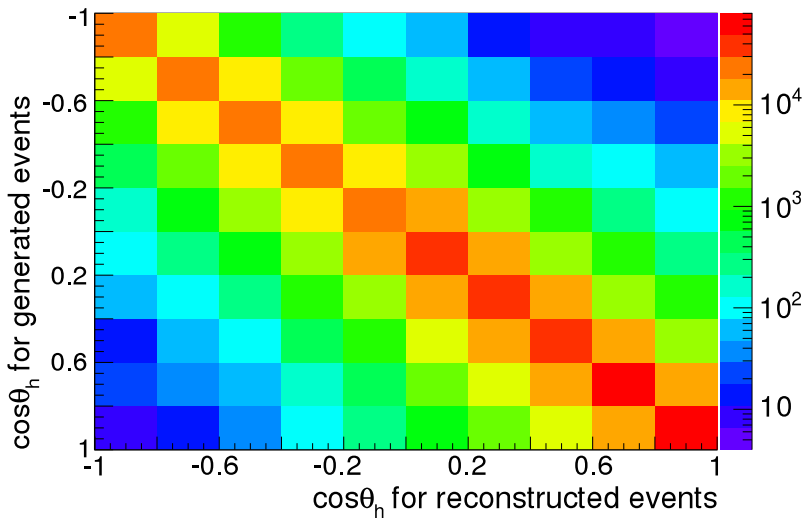


Figure 43: Distribution of events in bins of reconstructed and generated  $\cos\theta_h$ . (Note: the color axis is shown in a logarithmic scale.)

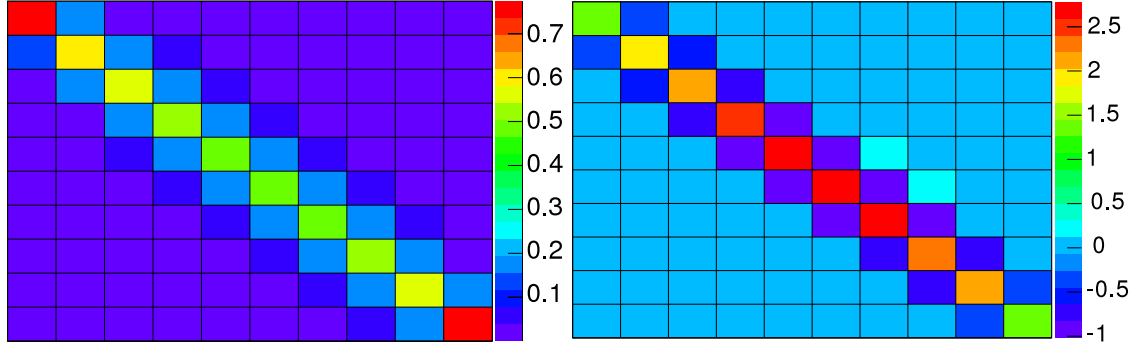


Figure 44: Convolution (left) and deconvolution (right) matrices in bins of reconstructed and generated  $\cos \theta_h$ .

resolution, so for the deconvolution matrix the following normalization must hold:

$$\sum_i p_{ij} = 1, \quad (90)$$

where with  $p_{ij}$  we denoted the element of the convolution matrix, and index  $i$  runs over reconstructed  $\cos(\theta_h)$  bins, and index  $j$  over generated  $\cos \theta_h$  bins.

To get the convolution map, we must therefore normalize the resolution map row-wise (see figure 44):

$$p_{ij} = \frac{M_{ij}}{\sum_k M_{kj}}. \quad (91)$$

Now, the normalization (90) holds, because:

$$\sum_i p_{ij} = \sum_i \frac{M_{ij}}{\sum_k M_{kj}} = \frac{\sum_i M_{ij}}{\sum_k M_{kj}} = 1. \quad (92)$$

With the convolution matrix  $P$ , we can calculate the numbers of reconstructed events in bins of the  $\cos \theta_h$  distribution  $\vec{R}$ , given the generated  $\cos \theta_h$  distribution ( $\vec{G}$ ), from the matrix equation:

$$\vec{R} = P\vec{G}. \quad (93)$$

In our case, we need to determine the generated distribution from the reconstructed one. We multiply the matrix equation (93) with  $P^{-1}$  from the left side, and get:

$$\vec{G} = P^{-1}\vec{R} = Q\vec{R}, \quad (94)$$

where we defined the deconvolution matrix with  $Q = P^{-1}$  (see figure 44).

The deconvoluted number of events in the  $i$ -th bin of the  $\cos \theta_h$  distribution ( $G_i$ ) is calculated from the reconstructed  $\cos \theta_h$  distribution ( $R_j$ ) as

$$G_i = \sum_j q_{ij} R_j, \quad (95)$$

where  $q_{ij}$  is the element of the deconvolution matrix  $Q$ .

The error on the deconvoluted number of events (which we denote as  $G_i$ ) can be expressed in terms of convoluted numbers of events and their errors (here

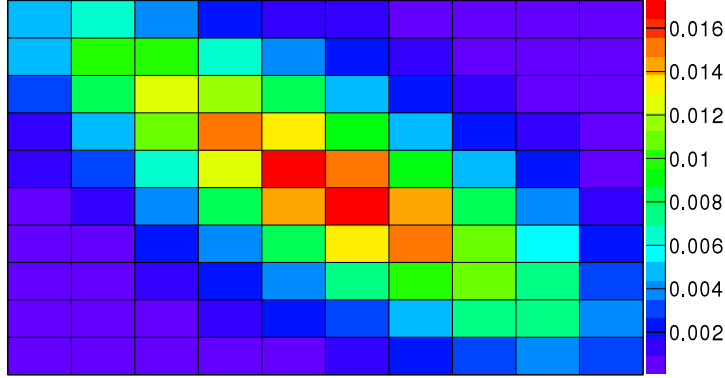


Figure 45: Errors on the deconvolution matrix coefficients in bins of reconstructed and generated  $\cos \theta_h$ .

denoted as  $R_i$  and  $\sigma_{R_i}$ ) from (87) and the coefficients of the deconvolution matrix and their errors ( $q_{ij}$  and  $\sigma_{q_{ij}}$ ). For a derivation, see chapter B.3.

$$\sigma_{G_i}^2 = \sum_j R_j^2 \sigma_{q_{ij}}^2 + \sum_j \sum_k q_{ij} q_{ik} R_{jk} \sigma_{R_j} \sigma_{R_k}, \quad (96)$$

where the error on the deconvolution coefficient can be expressed with numbers of events in bins of the resolution map  $M_{ij}$  as (see B.4 for a derivation):

$$\sigma_{q_{ij}}^2 = \sum_a \sum_b q_{ia}^2 \frac{M_{ab} \sum_{c \neq a} M_{cb}}{(\sum_c M_{ab})^3} q_{bj}^2, \quad (97)$$

and  $R_{jk}$  is the correlation coefficient between  $R_j$  and  $R_k$ , which is equal to 1 when  $j = k$  and has a value between  $-1$  and  $1$  when  $j \neq k$ . The variables  $R_j$  and  $R_k$  are correlated, because we use a simultaneous fit to all bins to determine them. The calculated errors on the deconvolution matrix coefficients are shown on figure 45.

#### 4.7 RECONSTRUCTION EFFICIENCY

Efficiency in  $\cos(\theta_h)$  bins must be determined since the efficiency  $\cos(\theta_h)$  dependence changes the reconstructed  $\cos(\theta_h)$  distribution. The efficiency in each bin is defined as

$$\langle \varepsilon_i \rangle = \frac{N_{R,i}}{N_{G,i}}. \quad (98)$$

It is determined by counting the reconstructed ( $N_R$ ) and generated ( $N_G$ ) events for each bin. For counting the reconstructed events, we reconstruct a generated sample of reweighted signal MC. The generated events are counted on the same sample before any selection is used (including Hadron B preselection).

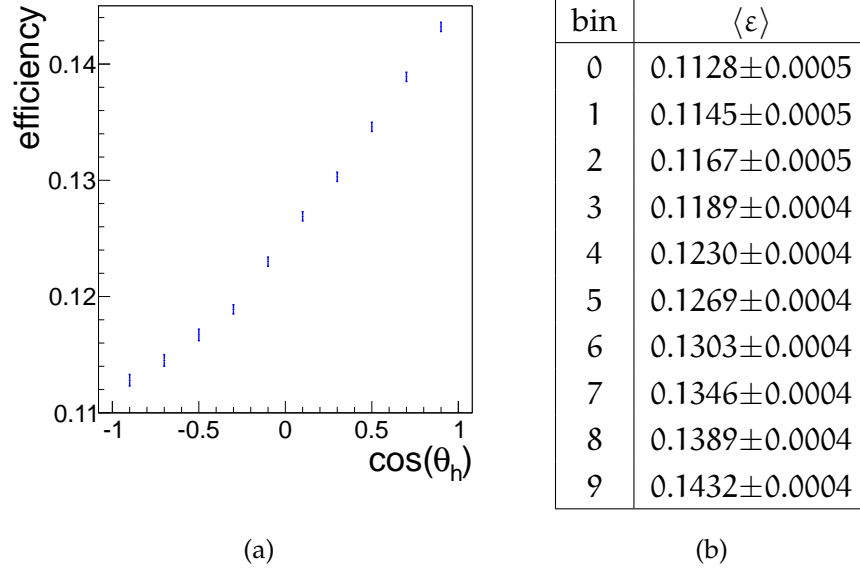


Figure 46: Reconstruction efficiency for  $\Lambda_c \rightarrow \Lambda\pi, \Lambda \rightarrow p\pi$  decays as a function of  $\cos(\theta_h)$ . Efficiency is determined from MC simulation, the errors are statistical.

The error on the efficiency is calculated as an error of the Poisson distribution, since for one event it distributes as a binomial distribution (the event either passes the cuts, or it does not), and we have a large number of events:

$$\sigma_{\langle\varepsilon\rangle_i} = \sqrt{\frac{N_{R,i}(N_{G,i} + N_{R,i})}{N_{G,i}^3}}. \quad (99)$$

The determined efficiencies for each bin are shown in figures 46a and 46b.

#### 4.8 $\langle\alpha\rangle$ DETERMINATION

To determine  $\langle\alpha\rangle$ , we fit the efficiency corrected deconvoluted numbers of events in bins of  $\cos\theta_h$ :

$$T_i = \frac{G_i}{\langle\varepsilon\rangle_i}, \quad (100)$$

with a linear function of the form:

$$f(x; N, \langle\alpha\rangle) = \frac{1}{2}N(1 + \langle\alpha\rangle x). \quad (101)$$

The errors on  $G_i$  and  $\langle\varepsilon\rangle_i$  are not correlated, so the errors on  $T_i$  are

$$\sigma_{T_i}^2 = T_i^2 \left( \frac{\sigma_{G_i}^2}{G_i^2} + \frac{\sigma_{\langle\varepsilon\rangle_i}^2}{\langle\varepsilon\rangle_i^2} \right), \quad (102)$$

with  $\sigma_{G_i}$  and  $\sigma_{\langle\varepsilon\rangle_i}$  defined in equations (96) and (99), respectively.

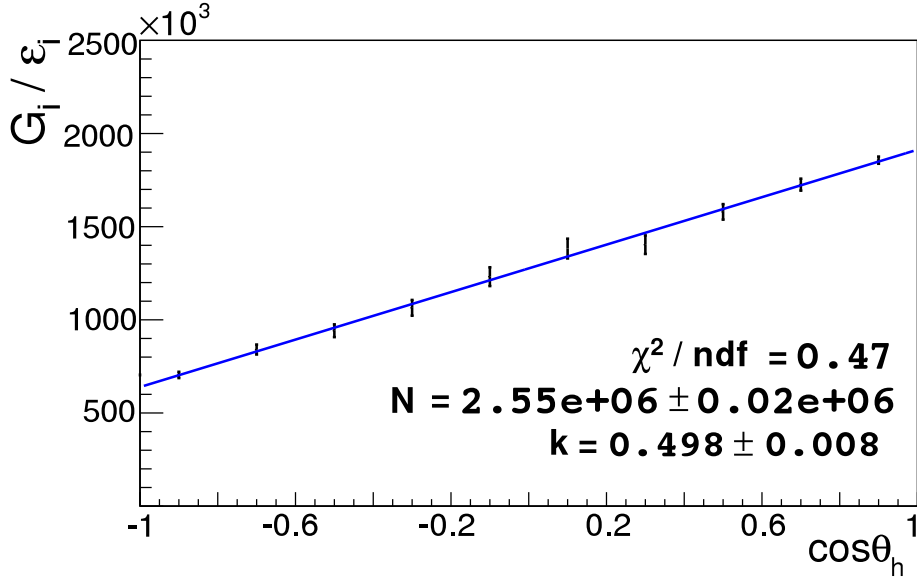


Figure 47: Fit on efficiency corrected deconvoluted  $\cos \theta_h$  distribution of events to determine  $\langle \alpha \rangle$ .

The fit we perform is a multi-variate  $\chi^2$  fit [26], since the errors on  $G_i$  are correlated because of the deconvolution. We minimize the function:

$$\chi^2(N, \langle \alpha \rangle) = (\vec{T} - \vec{f}(x; N, \langle \alpha \rangle))^T V^{-1} (\vec{T} - \vec{f}(x; N, \langle \alpha \rangle)), \quad (103)$$

where  $\vec{T}$  is the vector of determined  $T_i$  values,  $\vec{f}$  is the corresponding vector of values for the function  $f(x; N, \langle \alpha \rangle)$ , defined in (101), evaluated at centers of bins, and  $V$  is the covariance matrix of the  $T_i$  values, which can be expressed as (see B.2 for a derivation):

$$V_{ij} = T_i T_j \left( \frac{\sum_k \sum_l q_{ik} q_{jl} R_{kl} \sigma_{R_k} \sigma_{R_l}}{G_i G_j} + \delta_{ij} \frac{\sum_k R_k^2 \sigma_{q_{ik}} \sigma_{q_{jk}}}{G_i G_j} + \delta_{ij} \frac{\sigma_{\langle \epsilon \rangle_i} \sigma_{\langle \epsilon \rangle_j}}{\langle \epsilon \rangle_i \langle \epsilon \rangle_j} \right) \quad (104)$$

The fit is shown in figure 47. We compare the result to the value obtained by fitting the true generated distribution (see figure 34 and table 7): for streams 0 and 1, we get a good agreement within the error:

$$\langle \alpha \rangle_{\text{rec}} = 0.498 \pm 0.008, \quad \langle \alpha \rangle_{\text{st12gen}} = 0.501 \pm 0.001. \quad (105)$$

#### 4.9 FIT OF $\Lambda_c^+$ AND $\bar{\Lambda}_c^-$ MASS IN BINS OF $\cos \theta_h$

For measuring  $\mathcal{A}_{CP}$ , we take the same analysis sample as for the  $\langle \alpha \rangle$  measurement, described in section 4.4. This is a scaled, reweighted MC sample obtained with optimized cuts.

We divide the analysis sample into separate samples for  $\Lambda_c^+$  and  $\Lambda_c^-$ , and for each sample distribute the events according to the bins in  $\cos \theta_h$  angle, chosen in section 4.5.

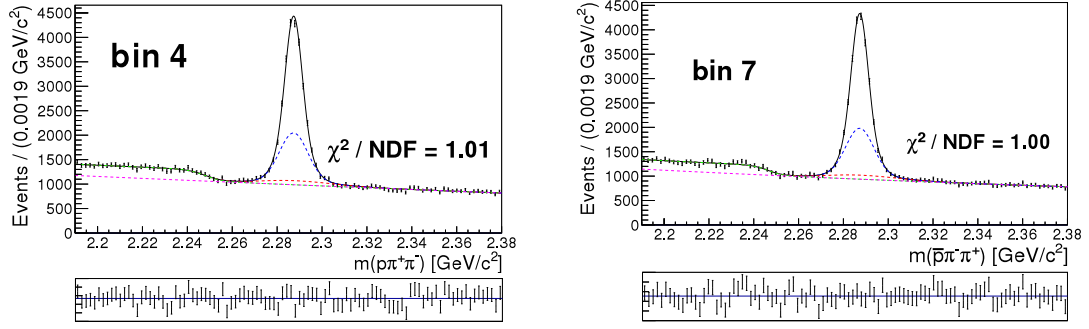


Figure 48: Examples of  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  fits on generic MC for bin 7. ( $0.4 < \cos\theta_h < 0.6$ ). The black, blue, red, green and purple lines mark the narrow Gaussian, the wide Gaussian, the asymmetric Gaussian (all describing signal events), the sigmoid function and the 2. order Chebyshev polynomial (all describing the backgrounds), respectively. The bottom plots shows the fit residuals.

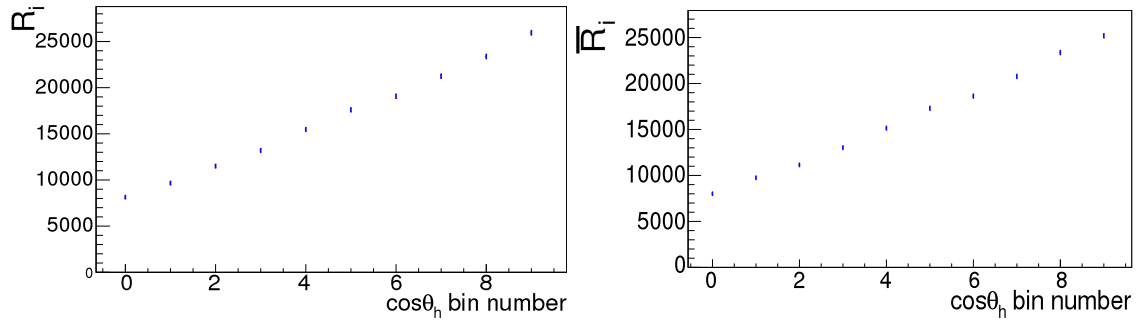


Figure 49: Numbers of events in bins of  $\cos\theta_h$ , determined from the separate fit to  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  invariant mass distributions. Left - numbers of events for  $\Lambda_c^+$ , right - numbers of events for  $\bar{\Lambda}_c^-$ .

We then perform an extended likelihood fit, defined in section 4.5.1, with the model defined in (86) separately to the  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  samples. We take the values for the fixed parameters  $n_{12}$ ,  $n_{13}$ ,  $s_{12}$ ,  $s_{13l}$ ,  $s_{13r}$  from the fit on the signal MC (table 10) in both cases. Examples of  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  fits on generic MC for bin 7 ( $0.4 < \cos\theta_h < 0.6$ ) are shown in figure 48. For all fits and parameter values, see section A.3. With this fit, we calculate the number of signal events  $R_i$ ,  $\bar{R}_i$  in each bin for the  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  samples as:

$$\begin{aligned} R_i &= N_{1,i}(1 + n_{12} + n_{13}), & \sigma_{R_i} &= \sigma_{N_{1,i}}(1 + n_{12} + n_{13}), \\ \bar{R}_i &= \bar{N}_{1,i}(1 + n_{12} + n_{13}), & \sigma_{\bar{R}_i} &= \sigma_{\bar{N}_{1,i}}(1 + n_{12} + n_{13}), \end{aligned} \quad (106)$$

where  $\sigma_{N_{1,i}}$  is the statistical error on the parameter  $N_{1,i}$  from the fit on generic MC for the  $\Lambda_c^+$  fit, and the corresponding quantities with bars are defined for the  $\bar{\Lambda}_c^-$  fit (see figure 49 and table 11).

The  $\chi^2$  fits with a constant function on residuals (see figure 50), defined in the same way as in (88), yield a result of  $0.27 \pm 0.32$  with a  $\chi^2/\text{NDF} = 4.08/9$  for the  $\Lambda_c^+$  fit and  $-0.15 \pm 0.32$  with a  $\chi^2/\text{NDF} = 8.36/9$  for the  $\bar{\Lambda}_c^-$  fit, corresponding



bin	$R_i$	$\bar{R}_i$
0	$8137 \pm 174$	$8013 \pm 169$
1	$9661 \pm 177$	$9747 \pm 176$
2	$11497 \pm 188$	$11147 \pm 178$
3	$13202 \pm 191$	$13018 \pm 186$
4	$15470 \pm 200$	$15144 \pm 195$
5	$17599 \pm 207$	$17306 \pm 204$
6	$19067 \pm 211$	$18629 \pm 207$
7	$21242 \pm 216$	$20770 \pm 211$
8	$23378 \pm 222$	$23364 \pm 217$
9	$25943 \pm 222$	$25190 \pm 216$

Table 11: Numbers of events in bins of  $\cos \theta_h$ , determined from the separate fit to  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  distributions.

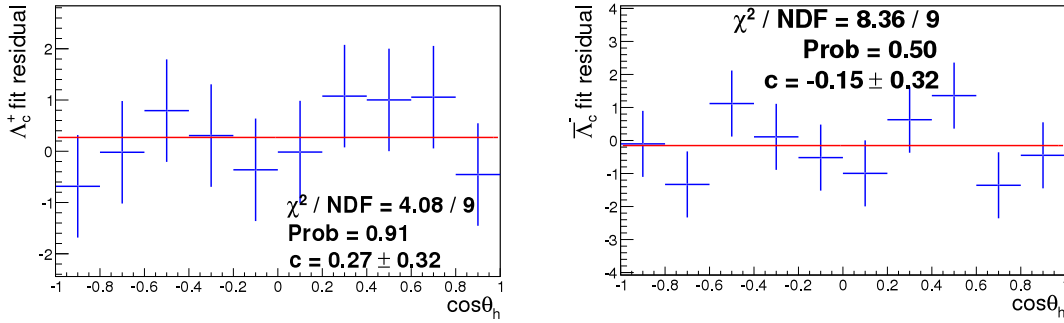


Figure 50: Residuals for: left - fit on  $\Lambda_c^+$   $\cos \theta_h$  distribution, right - fit on  $\bar{\Lambda}_c^-$   $\cos \theta_h$  distribution, both fitted with a constant function with a  $\chi^2$  fit.

to probabilities 0.90 and 0.50 respectively, so we can conclude that the method correctly reproduces the number of signal events.

#### 4.10 DECONVOLUTION OF $\Lambda_c^+$ AND $\bar{\Lambda}_c^-$ EVENTS AND $\mathcal{A}_{CP}$ DETERMINATION

Next we need to use the deconvolution matrix  $Q$ , determined from MC (see figure 44), to get the deconvoluted numbers of events for each bin ( $G_i, \bar{G}_i$ ):

$$G_i = \sum_j q_{ij} R_j, \quad \bar{G}_i = \sum_j q_{ij} \bar{R}_j \quad (107)$$

To determine  $\mathcal{A}_{CP}$ , we fit the reconstructed asymmetry distribution in bins of  $\cos \theta_h$ :

$$\mathcal{A}_{rec}^i = \frac{G_i - \bar{G}_i}{G_i + \bar{G}_i}, \quad (108)$$

with a function of the form (compare with equation 69):

$$g(x; k, \mathcal{A}_{CP}) = k + \mathcal{A}_{CP} \frac{x}{1 + \langle \alpha \rangle x}, \quad (109)$$

where we use the value of  $\langle \alpha \rangle$ , determined in section 4.8, using a joined  $\Lambda_c^+ + \overline{\Lambda}_c^-$  sample.

The errors on  $\mathcal{A}_{rec}^i$  are (see chapter B.6 for a derivation):

$$\begin{aligned} \sigma_{\mathcal{A}_{rec}^i}^2 &= \frac{4}{(\overline{G}_i + \overline{G}_i)^4} \left( \overline{G}_i^2 \sum_k \sum_l q_{ik} q_{il} R_{kl} \sigma_{R_k} \sigma_{R_l} + \right. \\ &\quad \left. + G_i^2 \sum_k \sum_l q_{ik} q_{il} \overline{R}_{kl} \sigma_{\overline{R}_k} \sigma_{\overline{R}_l} + \sum_k (R_k \overline{G}_i - \overline{R}_k G_i)^2 \sigma_{q_{ik}}^2 \right), \end{aligned} \quad (110)$$

where  $\sigma_{R_i}, \sigma_{\overline{R}_i}$  are the errors on the number of signal events in  $i$ -th bin for the  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  distributions, respectively, determined from the fit,  $R_{ij}, \overline{R}_{ij}$  are the correlation coefficients between  $R_i$  and  $R_j$  and between  $\overline{R}_i$  and  $\overline{R}_j$ , respectively, determined from the fit, and  $\sigma_{q_{ij}}$  is the error on the deconvolution matrix element, defined in (97).

Since the errors on  $\mathcal{A}_{rec}^i$  are correlated, we again use the multivariate  $\chi^2$  fit, where we minimize the function:

$$\chi^2(k, \mathcal{A}_{CP}) = (\vec{A}_{rec} - \vec{g}(x; k, \mathcal{A}_{CP}))^T V^{-1} (\vec{A}_{rec} - \vec{g}(x; k, \mathcal{A}_{CP})), \quad (111)$$

where  $\vec{A}_{rec}$  is the vector of determined  $\mathcal{A}_{rec}^i$  values,  $\vec{g}$  is the corresponding vector of values for the function  $g(x; k, \mathcal{A}_{CP})$ , defined in (109), evaluated at centers of bins, and  $V$  is the covariance matrix of the  $\mathcal{A}_{rec}^i$  values, which can be expressed as (see chapter B.5 for a derivation):

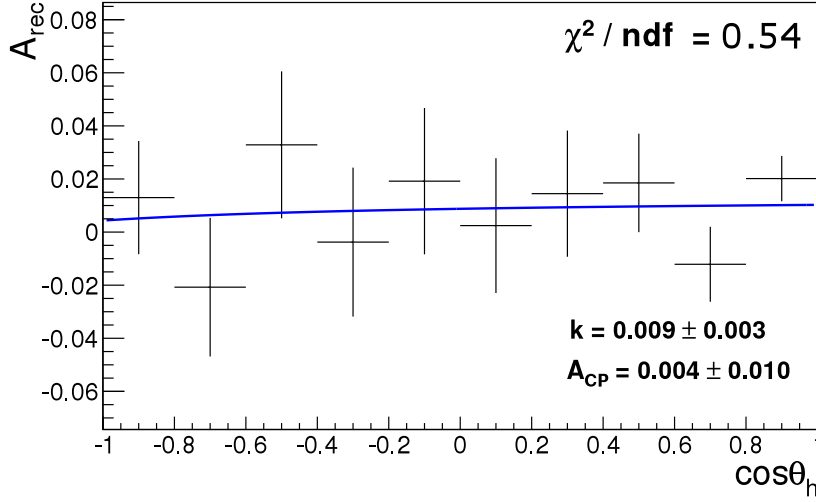
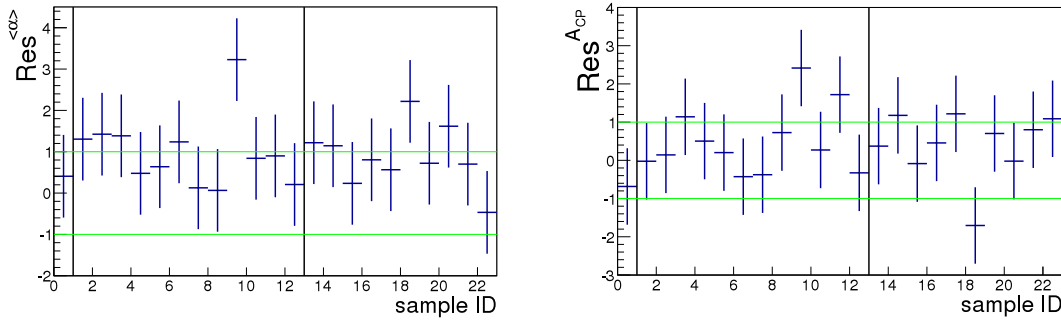
$$\begin{aligned} V_{ij} &= \frac{4}{(\overline{G}_i + \overline{G}_i)^2 (\overline{G}_j + \overline{G}_j)^2} \left( \overline{G}_i \overline{G}_j \sum_k \sum_l q_{ik} q_{jl} R_{kl} \sigma_{R_k} \sigma_{R_l} + \right. \\ &\quad \left. + G_i G_j \sum_k \sum_l q_{ik} q_{jl} \overline{R}_{kl} \sigma_{\overline{R}_k} \sigma_{\overline{R}_l} + \right. \\ &\quad \left. + \delta_{ij} \sum_k (R_k \overline{G}_i - \overline{R}_k G_i) (R_k \overline{G}_j - \overline{R}_k G_j) \sigma_{q_{ik}} \sigma_{q_{jk}} \right). \end{aligned} \quad (112)$$

The fit is shown in figure 51. We compare the result with the value calculated from the fit on true signal event  $\cos \theta_h$  distribution (figure 34 and table 7) and get a good agreement within the error:

$$\mathcal{A}_{CP}^{rec} = 0.004 \pm 0.010 \quad \mathcal{A}_{CP}^{gen} = -0.003 \pm 0.003 \quad (113)$$

#### 4.11 LINEARITY AND CONSISTENCY TEST

We check the method developed in this chapter with a linearity test - this test checks if the method gives accurate results regardless of the values of  $\langle \alpha \rangle$  and  $\mathcal{A}_{CP}$  (in the limits of assumptions in (68)). To perform the check, we generated

Figure 51: Fit for the determination of  $\mathcal{A}_{CP}$ .Figure 52: Fit residuals for  $\langle\alpha\rangle$  - left,  $\mathcal{A}_{CP}$  - right, defined in (114), of different samples for the linearity test with the  $\pm 1$  band marked by green lines and different sample categories marked by black lines.

analysis samples with different values of  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$  (see table 12) from streams 0 and 1 and used the same method as described in this chapter to reconstruct  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$ . The generated samples are divided into two categories, samples 1 – 12 have values of  $\alpha$  and/or  $\mathcal{A}_{CP}$  within  $6.3 \sigma$  of the original sample, and samples 13 – 22 have either one or both quantities more than  $9.7 \sigma$  away from the original sample.

The residuals for both quantities, defined as:

$$\text{Res}_i^{\langle\alpha\rangle} = \frac{\langle\alpha\rangle_0 - \langle\alpha\rangle_i}{\sigma_{\langle\alpha\rangle_0}}, \quad \text{Res}_i^{\mathcal{A}_{CP}} = \frac{\mathcal{A}_{CP0} - \mathcal{A}_{CPi}}{\sigma_{\mathcal{A}_{CP0}}}, \quad (114)$$

are shown in figures 52.

Next, the method is checked with a consistency test - this test checks if the method gives accurate results for statistically independent samples. To do this, we again generated analysis samples with different values of  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$  (see table 13), this time only from stream 2 to ensure that the method is accurate not only for a statistically independent sample, but also for the same number of

Sample ID	$\alpha_{\text{gen}}$	$\bar{\alpha}_{\text{gen}}$	$\langle\alpha\rangle_{\text{gen}}$	$\langle\Delta\rangle$	$\mathcal{A}_{\text{CP gen}}$	$\Delta^{\text{CP}}$
0	0.499	0.503	0.501	0.0	-0.003	0.0
1	0.451	0.452	0.451	-6.3	-0.001	0.2
2	0.475	0.474	0.474	-3.4	0.001	0.4
3	0.492	0.493	0.493	-1.0	0.000	0.3
4	0.508	0.510	0.509	1.0	-0.001	0.2
5	0.531	0.531	0.531	3.8	0.000	0.3
6	0.551	0.551	0.551	6.3	0.000	0.3
7	0.474	0.527	0.500	-0.1	-0.052	-4.9
8	0.487	0.520	0.503	0.3	-0.032	-2.9
9	0.499	0.506	0.502	0.1	-0.006	-0.3
10	0.506	0.498	0.502	0.1	0.009	1.2
11	0.518	0.487	0.502	0.1	0.031	3.4
12	0.528	0.478	0.503	0.3	0.049	5.2
13	0.303	0.302	0.302	-24.9	0.002	0.5
14	0.700	0.700	0.700	24.9	0.000	0.3
15	0.349	0.703	0.526	3.1	-0.336	-33.3
16	0.452	0.554	0.503	0.3	-0.100	-9.7
17	0.552	0.453	0.503	0.3	0.099	10.2
18	0.702	0.355	0.528	3.4	0.329	33.2
19	0.301	0.351	0.326	-21.9	-0.077	-7.4
20	0.353	0.303	0.328	-21.6	0.076	7.9
21	0.649	0.700	0.675	21.8	-0.037	-3.4
22	0.702	0.653	0.677	22.0	0.037	4.0

Table 12: Data on different analysis samples used for the linearity test. The  $\langle\Delta\rangle$  and  $\Delta^{\text{CP}}$  are the normalized differences between the current and the original sample, defined as  $\langle\Delta\rangle_i = \frac{\langle\alpha\rangle_{\text{gen},i} - \langle\alpha\rangle_{\text{gen},0}}{\sigma_{(\alpha)_0}}$  and  $\Delta_i^{\text{CP}} = \frac{\mathcal{A}_{\text{CP gen},i} - \mathcal{A}_{\text{CP gen},0}}{\sigma_{\mathcal{A}_{\text{CP}_0}}}$ .

Sample ID	$\alpha_{\text{gen}}$	$\bar{\alpha}_{\text{gen}}$	$\langle\alpha\rangle_{\text{gen}}$	$\langle\Delta\rangle$	$\mathcal{A}_{\text{CP gen}}$	$\Delta^{\text{CP}}$
0	0.502	0.503	0.502	0.0	0.000	0.0
1	0.458	0.456	0.457	-4.1	0.002	0.1
2	0.470	0.471	0.471	-2.8	0.000	0.0
3	0.492	0.496	0.494	-0.7	-0.003	-0.2
4	0.512	0.516	0.514	1.1	-0.003	-0.2
5	0.529	0.532	0.531	2.6	-0.002	-0.1
6	0.553	0.554	0.554	4.7	0.000	0.0
7	0.480	0.528	0.504	0.2	-0.047	-3.4
8	0.486	0.519	0.502	0.0	-0.032	-2.3
9	0.497	0.507	0.502	0.0	-0.009	-0.6
10	0.509	0.498	0.504	0.2	0.011	0.8
11	0.515	0.485	0.500	-0.2	0.030	2.1
12	0.528	0.473	0.500	-0.2	0.055	3.9
13	0.300	0.308	0.304	-18.0	-0.012	-0.9
14	0.700	0.700	0.700	18.0	0.000	0.0
15	0.355	0.703	0.529	2.5	-0.328	-23.4
16	0.450	0.549	0.500	-0.2	-0.098	-7.0
17	0.554	0.452	0.503	0.1	0.101	7.2
18	0.704	0.351	0.528	2.4	0.335	23.9
19	0.305	0.352	0.329	-15.7	-0.071	-5.1
20	0.352	0.304	0.328	-15.8	0.073	5.2
21	0.651	0.697	0.674	15.6	-0.033	-2.4
22	0.705	0.653	0.679	16.1	0.038	2.7

Table 13: Data on different analysis samples used for the consistency test. The  $\langle\Delta\rangle$  and  $\Delta^{\text{CP}}$  are the normalized differences between the current and the original sample, defined as  $\langle\Delta\rangle_i = \frac{\langle\alpha\rangle_{\text{gen},i} - \langle\alpha\rangle_{\text{gen},0}}{\sigma_{\langle\alpha\rangle_0}}$  and  $\Delta_i^{\text{CP}} = \frac{\mathcal{A}_{\text{CP gen},i} - \mathcal{A}_{\text{CP gen},0}}{\sigma_{\mathcal{A}_{\text{CP}_0}}}$ .

events as there are in the data sample, and used the same method as described in this chapter to reconstruct  $\langle\alpha\rangle$  and  $\mathcal{A}_{\text{CP}}$ . The generated samples are again divided into two categories, defined in the same way as in the linearity test.

The residuals for both quantities are again defined in (114), and are shown in figure 53.

#### 4.12 SUMMARY

The method developed on simulated data incorporates all sources of statistical uncertainties and the checks show that the results it gives are accurate and unbiased.

Since the statistical uncertainty of a pure signal sample scales as  $1/\sqrt{N}$ , where  $N$  is the number of events in the sample, the expected uncertainty on the data

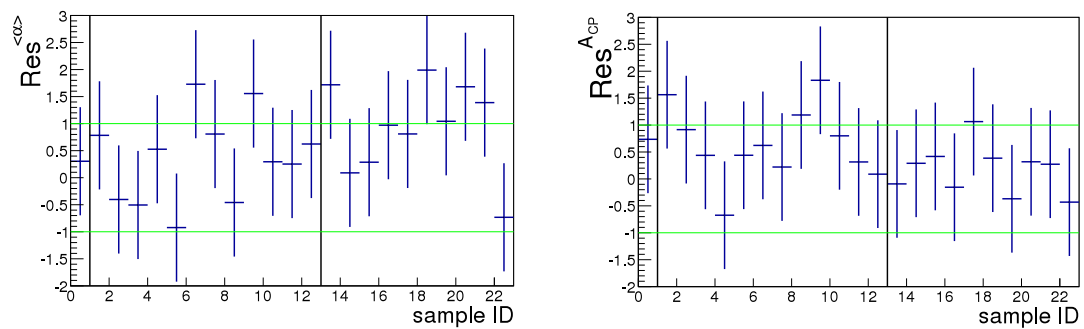


Figure 53: Fit residuals for  $\langle \alpha \rangle$  - left,  $\mathcal{A}_{CP}$  - right, defined in (114), of different samples for the consistency test with the  $\pm 1$  band marked by green lines and different sample categories marked by black lines.

sample is  $\lesssim \sqrt{2}$  times the uncertainty obtained from the simulated data, as we used an equivalent of two times the actual collected data to develop the method and the fit results for the number of signal events scale more softly with  $N$  because they are correlated with the number of background events in the sample.

For the  $\langle \alpha \rangle$  measurement, the expected uncertainty is thus  $\lesssim \pm 0.011$  and for the  $\mathcal{A}_{CP}$  measurement,  $\lesssim \pm 0.014$ .



---

ANALYSIS OF REAL DATA

---

## 5.1 MC TUNING

In order to use the method developed in chapter 4, we again need to take into account small differences between MC simulation and data, coming from the inadequate simulation of the  $c\bar{c}$  quark fragmentation into hadrons, a consequence of which is that the produced hadrons have slightly different momenta in the MC simulation compared to the real data.

The different momentum distribution of the hadrons also reflects in the different  $\cos\theta_h$  distribution, as the  $\cos\theta_h$  is calculated from the magnitudes and directions of the momenta.

Because of these differences, we need to correct the quantities, determined from the MC, that change in the real data. In our method, there are two such quantities, the optimized cut on  $\Lambda_c$  CMS momentum, and the reconstruction efficiency.

To correct this, we need to tune the MC simulation so that the momentum distribution of the  $\Lambda_c$  momentum will match the distribution in the real data. We can do this by comparing the  $(p_T, \cos\theta)$  phase spaces in the MC and data, where  $p_T = \sqrt{p_x^2 + p_y^2}$  is the transversal momentum of  $\Lambda_c$  in the  $e^+e^-$  CM system and  $\theta$  is the azimuthal angle of the  $\Lambda_c$  flight direction in the  $e^+e^-$  CM system.

The phase space for simulated and real data is divided into 2D bins of  $p_T$  and  $\cos\theta$ . Numbers of signal events in the real data phase space bins are determined by sideband subtraction.

By fitting the  $\Lambda_c$  invariant mass distribution the number of signal and background events in a signal window of  $\pm 3\sigma$  around the peak value is determined. A sideband interval in  $\Lambda_c$  invariant mass is then chosen on both sides of the signal interval in such a way that the total number of (background) events in the sideband equals the fitted number of background events in the signal interval (see figure 54). The 2D  $(p_T, \cos\theta)$  distribution of events in the sideband is then subtracted from the distribution of events in the signal interval thus providing the  $(p_T, \cos\theta)$  distribution of signal events.

The phase space distribution of simulated signal events is shown on figure 55. The phase space distribution of real data signal events obtained by the above method is shown in figure 56. The difference with respect to distribution in figure 55 is small but non-negligible.



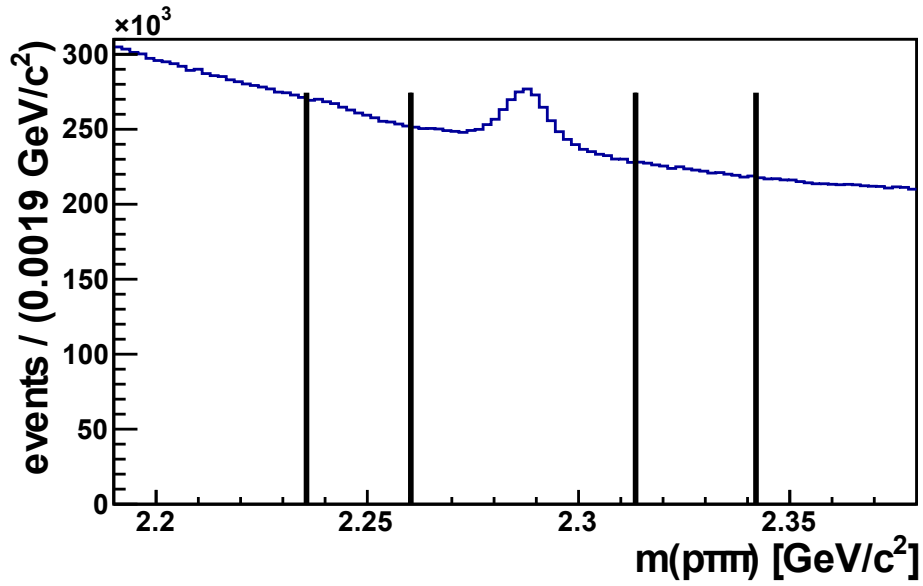


Figure 54: Signal and sideband windows of  $\rho\pi\pi$  invariant mass used to determine the  $(p_T, \cos\theta)$  phase space distribution in real data.

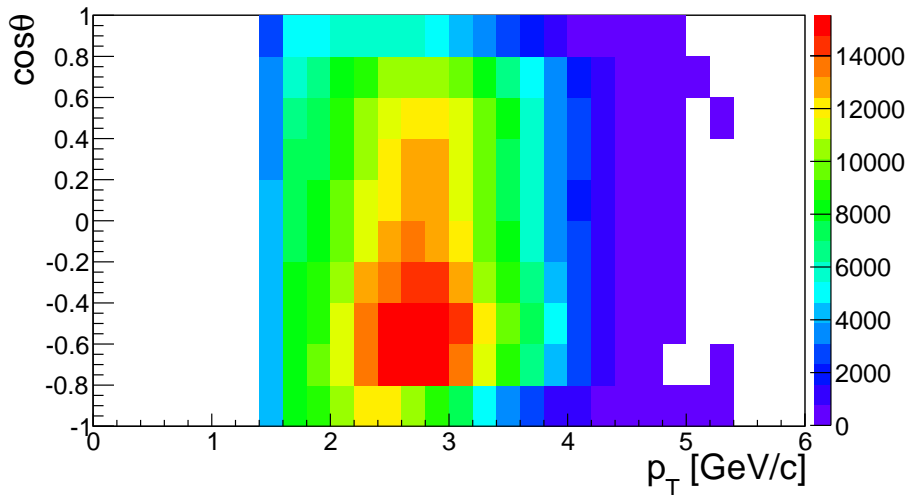


Figure 55: The  $(p_T, \cos\theta)$  phase space distribution for simulated events for  $\Lambda_c$  in the  $e^+e^-$  CM system.

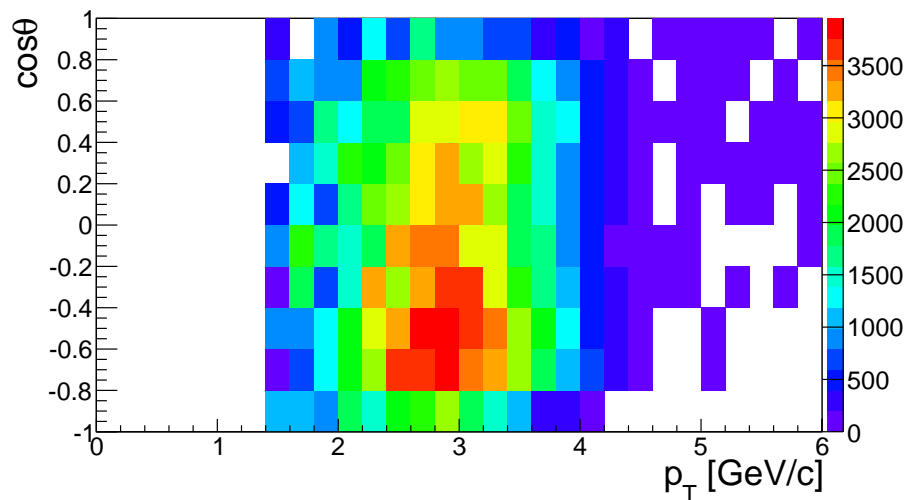


Figure 56: The  $(p_T, \cos \theta)$  phase space determined as the signal - sideband distributions on real data for  $\Lambda_c$  in the  $e^+e^-$  system.

The existing sample of simulated events can be tuned to match the data by appropriately removing simulated events from the sample. For this the following procedure (also known as "hit-or-miss" method) is applied:

- Because we have bins in the phase space with a small number of events, which are dominated by statistical uncertainties, we choose a only a section of the phase space to be tuned:  $[-0.8, 0.6]$  for  $\cos \theta$  and  $[2.0\text{GeV}, 3.8\text{GeV}]$  for  $p_T$ .
- In this section we normalize both MC and real data phase space distributions by dividing them with the total number of events in the section.
- We calculate the real data/MC ratio of probabilities for all bins in the section, and multiply the number of events in each bin of the MC by this ratio to obtain the ratio-corrected MC.
- The ratio-corrected/original MC ratio in all bins in the section is used to tune the MC by generating a random number between 0 and 1 for each event, which is then kept if the random number is smaller than the ratio and discarded if the random number is bigger than the ratio.
- For the bins that lie outside the section, we multiply the number of events for each bin with the ratio of the total number of events in the tuned and original MC ( $q = 0.72$ ).

In this way, we obtain a MC with the same  $(p_T, \cos \theta)$  phase space distribution (in the tuned section) as the real data.

The tuned MC  $(p_T, \cos \theta)$  phase space is shown in figure 57.

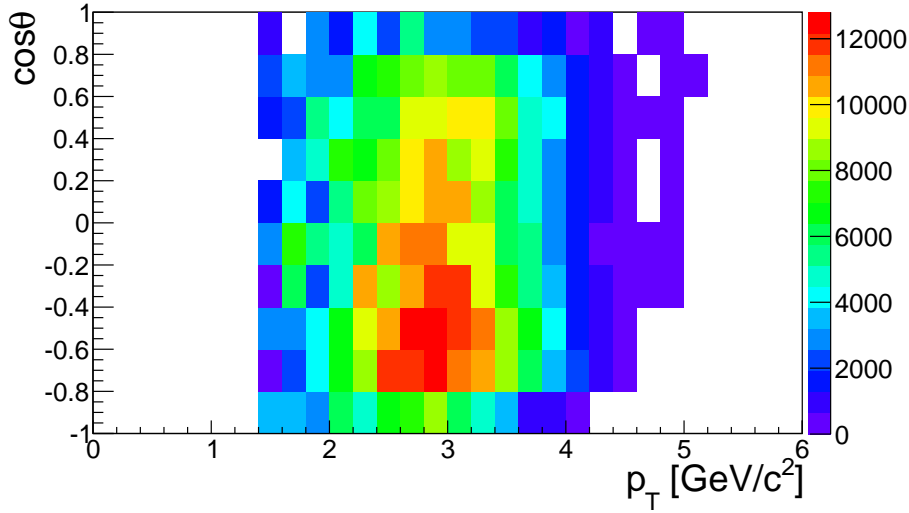


Figure 57: The  $(p_T, \cos\theta)$  phase space distribution in the tuned MC simulation for  $\Lambda_c$  in the  $e^+e^-$  CM system.

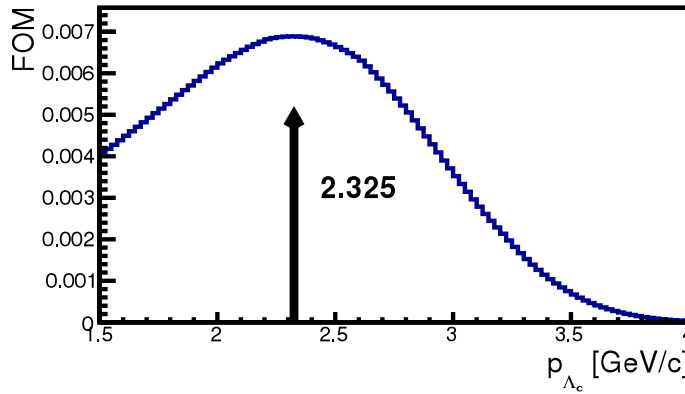


Figure 58: Result of the automated procedure for optimization of the  $p_{\text{CMS}}(\Lambda_c)$  selection criterion on tuned MC simulation.

### 5.1.1 Re-optimization of the $\Lambda_c$ CMS momentum selection criterion

Because we tuned the momentum of the  $\Lambda_c$ , we need to re-optimize the  $p_{\text{CMS}}(\Lambda_c)$  selection criterion. We use the same automated iterative optimization procedure as in section 4.4.1 on the tuned MC sample and find the selection criterion where the  $\text{FOM} = \varepsilon \cdot P$  is maximal. The result is shown in figure 58. We find out that the criterion has increased from  $p_{\text{CMS}}(\Lambda_c) > 2.2\text{GeV}$  to  $p_{\text{CMS}}(\Lambda_c) > 2.325\text{GeV}$ , because the events in real data have a higher average  $p_{\text{CMS}}(\Lambda_c)$  than events in the MC simulation.

### 5.1.2 Real data reconstruction efficiency determination

The reconstruction efficiency, determined from the MC in chapter 4.7, also needs to be updated, since the  $\cos\theta_h$  distribution also changes slightly when we tune the  $\Lambda_c$  momentum. The procedure is the same as in chapter 4.7, except that we

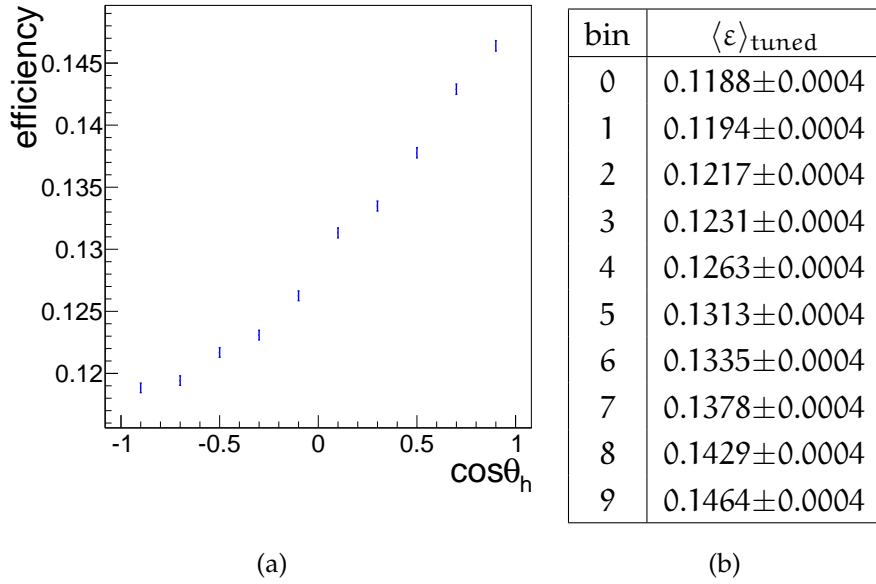


Figure 59: Plot 59a shows the graphical representation of the efficiency and plot 59b the numerical values in individual  $\cos \theta_h$  bins. Note that the histogram in 59a has a suppressed zero and that the total change of the reconstruction efficiency over the whole  $\cos \theta_h$  interval amounts to only around 2% – 3%.

selection variable	value
$R_{\pi/K}, R_{\pi/p}$ (for both pions)	$\geq 0.6$
$R_{\pi/e}, R_{\pi/\mu}$ (for both pions)	$\leq 0.9$
$R_{p/K}, R_{p/\pi}$	$\geq 0.6$
$p\pi, p\pi\pi$ vertex fit conf. level	$\geq 10^{-3}$
$m(p\pi)$	$\geq 1.1126 \text{ GeV}/c^2, \leq 1.1186 \text{ GeV}/c^2$
$m(p\pi\pi)$	$\geq 2.19 \text{ GeV}/c^2, \leq 2.38 \text{ GeV}/c^2$
$p_{\text{CMS}}(\Lambda_c)$	$\geq 2.325 \text{ GeV}/c$

Table 14: Selection criteria for the final data sample.

count the reconstructed and generated events on the tuned MC. The results are shown in figures 59a, 59b.

## 5.2 $\Lambda_c$ FIT OF THE REAL DATA ANALYSIS SAMPLE

We create the real data analysis sample by selecting the events satisfying the final selection criteria summarized in table 14.

The distribution of the  $m(p\pi\pi)$  invariant mass in bins of  $\cos \theta_h$  for the events in the final data sample is fitted using the extended maximum likelihood method (see chapter 4.5.1) and the p.d.f. as defined in chapter 4.5.3. Values of parameters of the p.d.f. which are fixed to the values obtained from MC simulation, are shown in table 10.

An example of the fit for bin 2 ( $-0.6 < \cos \theta_h < -0.4$ ) is shown in figure 60. Results of fits in all  $\cos \theta_h$  bins are shown in A.4.

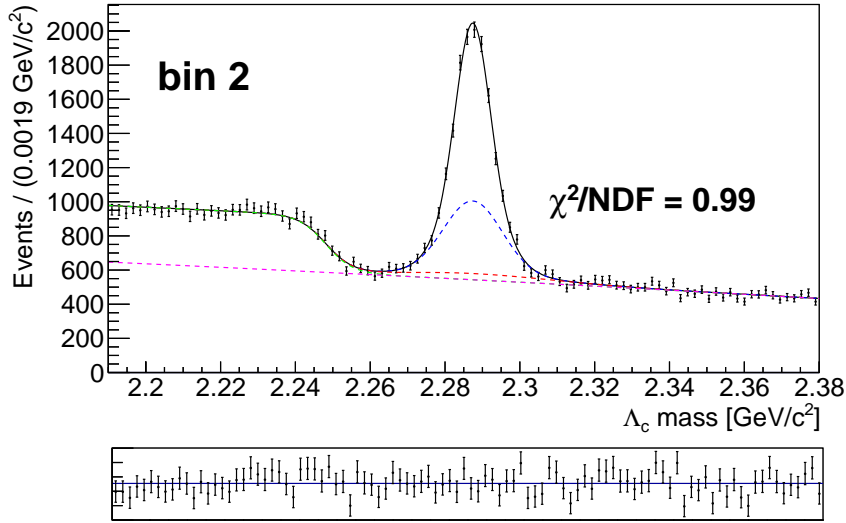


Figure 60: An example of the fit on real data for bin 2 ( $-0.6 < \cos\theta_h < -0.4$ ). The black, blue, red, green and purple lines mark the narrow Gaussian, the wide Gaussian, the asymmetric Gaussian (all describing signal events), the sigmoid function and the 2. order Chebyshev polynomial (all describing the backgrounds), respectively. The bottom plot shows the fit residuals.

We calculate the number of signal events and the statistical uncertainty as in equation (87), the results are shown in table 61b and figure 61a.

### 5.3 $\langle\alpha\rangle$ AND $\langle\alpha_{\Lambda_c}\rangle$ DETERMINATION

We now deconvolute the numbers of events obtained from the fit in section 5.2 using the deconvolution matrix as explained in section 4.6, determined from the MC (see figure 44). To determine the  $\langle\alpha\rangle$  coefficient, we then fit the efficiency corrected deconvoluted numbers of events  $G_i$ :

$$T_i = \frac{G_i}{\langle\varepsilon\rangle_{\text{tuned},i}}, \quad (115)$$

with the linear function (101) using the multivariate  $\chi^2$  fit, defined in (103). The fit is shown in figure 62.

The result for  $\langle\alpha\rangle$  is:

$$\langle\alpha\rangle = 0.615 \pm 0.009, \quad (116)$$

where the uncertainty includes the statistical uncertainties from the fit, the deconvolution matrix, and the efficiency. With this result, we can use the values of  $\alpha_\Lambda$  and  $\mathcal{A}_{\text{CP}}^\Lambda$  from (74) and equation (75) to calculate  $\langle\alpha_{\Lambda_c}\rangle$  (look also at the commentary for equation (74)):

$$\langle\alpha_{\Lambda_c}\rangle = -0.964 \pm 0.014(\text{stat.}) \pm 0.020(\alpha_\Lambda) \pm 0.020(\mathcal{A}_{\text{CP}}^\Lambda) = -0.964 \pm 0.032, \quad (117)$$

where in the first step we divided the uncertainty into three parts; the first part is the statistical uncertainty of this measurement, the second is the uncertainty

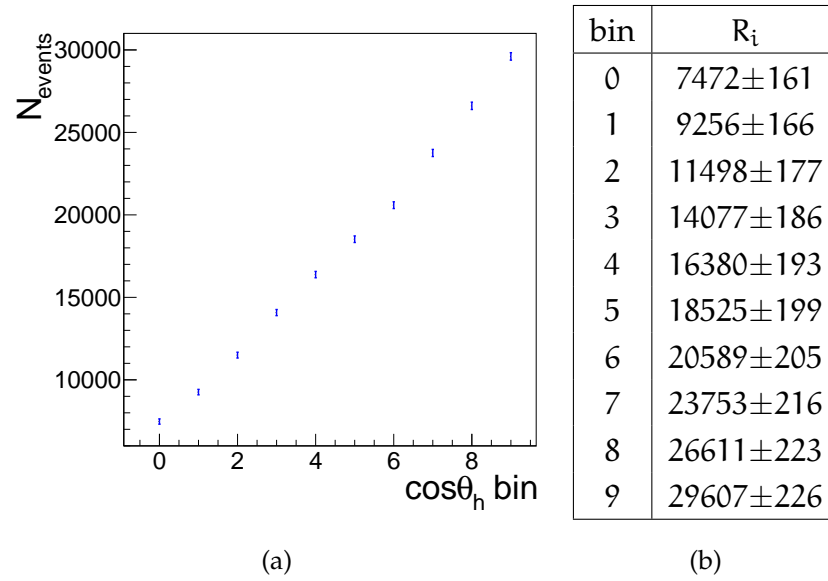


Figure 61: Numbers and statistical uncertainties for signal events for each  $\cos\theta_h$  bin, determined from the real data fit.

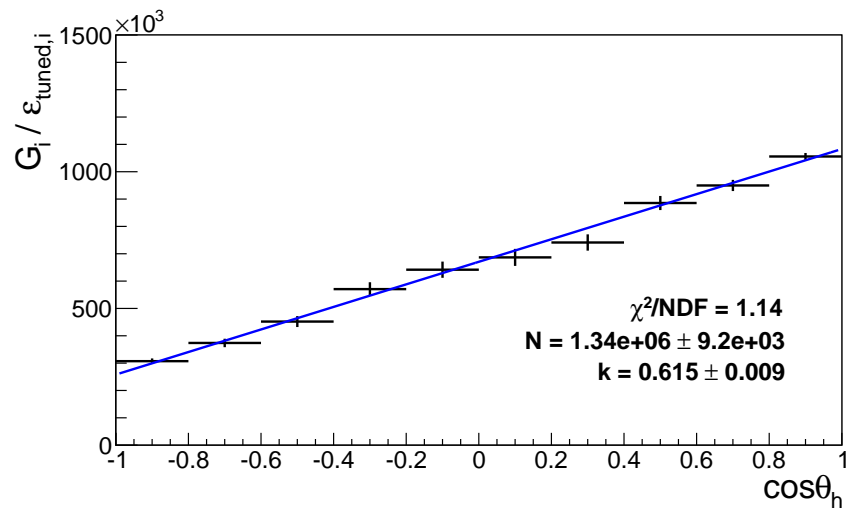


Figure 62: Fit of efficiency corrected deconvoluted  $\cos\theta_h$  distribution of signal events on real data for determination of  $\langle \alpha \rangle$ . The  $\langle \alpha \rangle$  parameter is denoted as  $k$  in the plot.

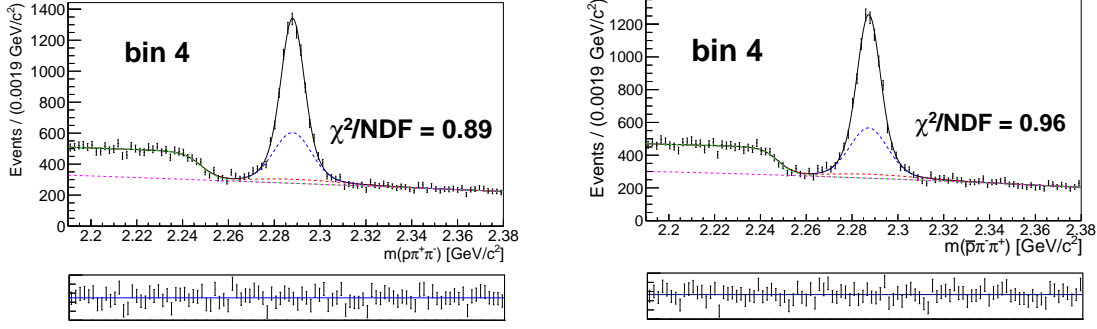


Figure 63: Examples of  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  fits on the real data for bin 4 ( $-0.2 < \cos\theta_h < 0.0$ ). The black, blue, red, green and purple lines mark the narrow Gaussian, the wide Gaussian, the asymmetric Gaussian (all describing signal events), the sigmoid function and the 2. order Chebyshev polynomial (all describing the backgrounds), respectively. The bottom plot shows the fit residuals.

due to the  $\alpha_\Lambda$  parameter and the third one due to the uncertainty of the  $\mathcal{A}_{\text{CP}}^\Lambda$  parameter.

If we assume no CP-violation in the  $\Lambda \rightarrow p\pi$  decay (i.e. assume  $\mathcal{A}_{\text{CP}}^\Lambda = 0$ ), we use the equation (76), and the result is:

$$\langle \alpha_{\Lambda_c} \rangle = -0.958 \pm 0.014(\text{stat.}) \pm 0.019(\alpha_\Lambda) = -0.958 \pm 0.024, \quad (118)$$

where the first part of the uncertainty is the statistical uncertainty of this measurement and the second part is the uncertainty of the  $\alpha_\Lambda$  measurement.

Both results are in agreement with the current world average, taken from [26] ( $-0.91 \pm 0.15$ ) and have an order of magnitude smaller uncertainty.

#### 5.4 $\Lambda_c^+$ AND $\bar{\Lambda}_c^-$ FITS OF THE REAL DATA ANALYSIS SAMPLE

In the next step we divide the final data sample used to determine  $\alpha_{\Lambda_c}$  into separate samples of  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  decays.

We fit both samples with the extended maximum likelihood fit 4.5.1, with the fit function 4.5.3, where we use the values for fixed parameters, determined by the fit on signal MC simulation, shown in table 10 for both samples.

Additionally, for the  $\Lambda_c^+$  fit, we fix the  $m_{\text{bl}}$  and  $w_{\text{bl}}$  parameters to the values obtained from the  $\Lambda_c^+$  generic MC fit to ensure the convergence of the fit. The fixed values are:

$$m_{\text{bl}} = 2.2480 \text{ GeV}, w_{\text{bl}} = 0.0039 \text{ GeV}. \quad (119)$$

Examples of  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  fits on the real data for bin 4 ( $-0.2 < \cos\theta_h < 0.0$ ) are shown in figure 63. For all fits and parameter values, see section A.5.

The numbers of signal events and their statistical uncertainties for both  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  fits are calculated as in equation (106) and are shown in figure 64 and table 15.

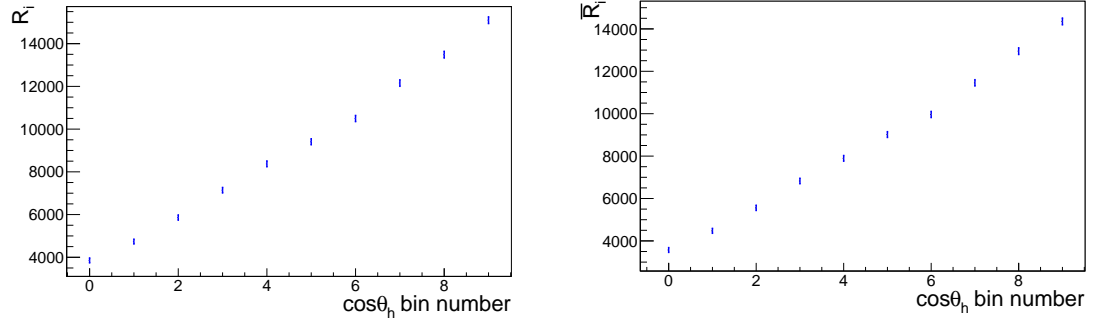


Figure 64: Numbers of events in bins of  $\cos \theta_h$ , determined from the separate fit to  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  real data invariant mass distributions. Left - numbers of events for  $\Lambda_c^+$ , right - numbers of events for  $\overline{\Lambda}_c^-$ .

bin	$R_i$	$\overline{R}_i$
0	$3854 \pm 116$	$3571 \pm 96$
1	$4736 \pm 114$	$4475 \pm 106$
2	$5861 \pm 123$	$5559 \pm 127$
3	$7140 \pm 130$	$6826 \pm 132$
4	$8374 \pm 137$	$7894 \pm 138$
5	$9408 \pm 141$	$9016 \pm 146$
6	$10495 \pm 145$	$9963 \pm 147$
7	$12156 \pm 152$	$11456 \pm 159$
8	$13485 \pm 157$	$12950 \pm 163$
9	$15098 \pm 158$	$14352 \pm 167$

Table 15: Numbers of events in bins of  $\cos \theta_h$ , determined from the separate fit to  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  samples.



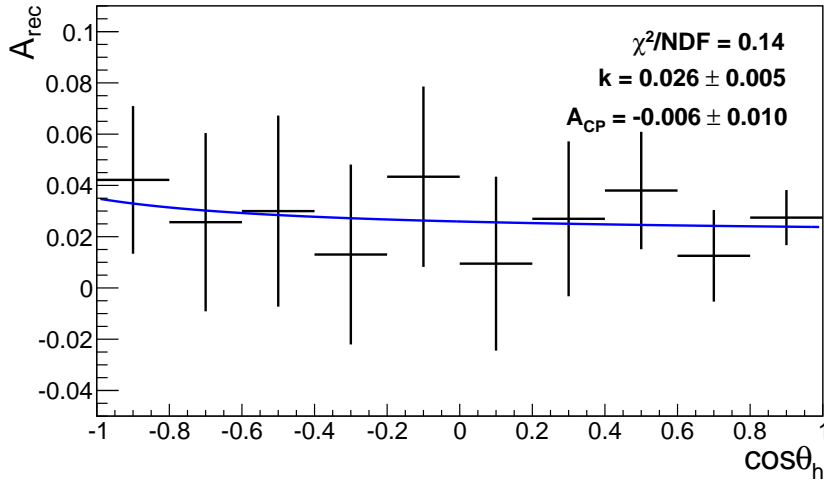


Figure 65: Fit to the reconstructed asymmetry in bins of  $\cos \theta_h$  on real data to determine  $\mathcal{A}_{CP}$ .

### 5.5 $\mathcal{A}_{CP}$ AND $\mathcal{A}_{CP}^{\Lambda_c}$ DETERMINATION

To determine  $\mathcal{A}_{CP}$ , we first deconvolute (see chapter 4.6) the numbers of signal events obtained from the fit in section 5.4 for  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  using the deconvolution matrix, determined on the MC simulation (see figure 44). With the deconvoluted numbers of signal events, we calculate the reconstructed asymmetry  $\mathcal{A}_{rec}^i$ , defined in equation (108), in bins of  $\cos \theta_h$ .

We then fit  $\mathcal{A}_{rec}^i$  with a multivariate  $\chi^2$  fit, defined in (111) with the function, defined in (109). The fit is shown in figure 65.

The result for  $\mathcal{A}_{CP}$  is:

$$\mathcal{A}_{CP} = -0.006 \pm 0.010, \quad (120)$$

where the uncertainty is statistical only.

With this result, we can use the value of  $\mathcal{A}_{CP}^{\Lambda_c}$  from (74) and equation (77) to calculate  $\mathcal{A}_{CP}^{\Lambda_c}$ :

$$\mathcal{A}_{CP}^{\Lambda_c} = -0.012 \pm 0.010(\text{stat.}) \pm 0.021(\mathcal{A}_{CP}^{\Lambda_c}) = -0.012 \pm 0.023. \quad (121)$$

where in the first step, we divided the statistical uncertainty into two parts, the first is the statistical uncertainty of this measurement and the second the uncertainty of the  $\mathcal{A}_{CP}^{\Lambda_c}$  measurement.

If we assume no CP-violation in the  $\Lambda \rightarrow p\pi$  decay, the result is the  $\mathcal{A}_{CP}$  itself:

$$\mathcal{A}_{CP}^{\Lambda_c} = -0.006 \pm 0.010. \quad (122)$$

Both results are consistent with no CP-violation and are in agreement with the world average ( $-0.07 \pm 0.19$ ), with a order of magnitude smaller uncertainty.

---

## SYSTEMATIC UNCERTAINTY

---

In this chapter, we evaluate the systematic uncertainty of the result. This uncertainty is due to several sources; for the present analysis we identified the following possible sources of systematic uncertainty:

- The difference in the tracking efficiency between data and MC simulation introduces an uncertainty for each charged track used in the reconstruction of the  $\Lambda_c$  particle.
- Uncertainty on the deconvolution matrix, which comes from the fact that we calculated the deconvolution matrix based on the specific number of events obtained from the MC distribution of events in bins of reconstructed and generated  $\cos\theta_h$ .
- Uncertainty of the fit model, which arises because we fixed various parameters in the fit function based on the MC simulation.
- Uncertainty due to neglecting of the peaking background component in our fit model.
- Uncertainty due to the assumption that the forward-backward asymmetry  $\mathcal{A}_{FB}$  is not a function of  $\cos\theta_h$ .
- Uncertainty due to the assumption that the particle-antiparticle reconstruction asymmetry  $\mathcal{A}_\varepsilon$  is not a function of  $\cos\theta_h$ .

### 6.1 TRACKING EFFICIENCY UNCERTAINTY

This uncertainty affects only the measurement of  $\langle\alpha\rangle$ , since for the  $\mathcal{A}_{CP}$  measurement, it affects the nominator and the denominator in the calculation of the  $\mathcal{A}_{CP}$  in the same way and therefore cancels out.

The tracking efficiency systematic error is 0.35% (i.e. the average difference between the tracking efficiency as estimated in MC events and that of real data events) for each charged track used in the reconstruction for tracks which have momentum larger than  $200 \text{ MeV}/c^2$  [38]<sup>1</sup>.

The systematic uncertainty is treated as correlated among different charged tracks. Since in our measurement we use 3 charged tracks for reconstruction of

---

<sup>1</sup> The difference between the simulated and real events tracks is measured using partially reconstructed  $D^*$  decays.

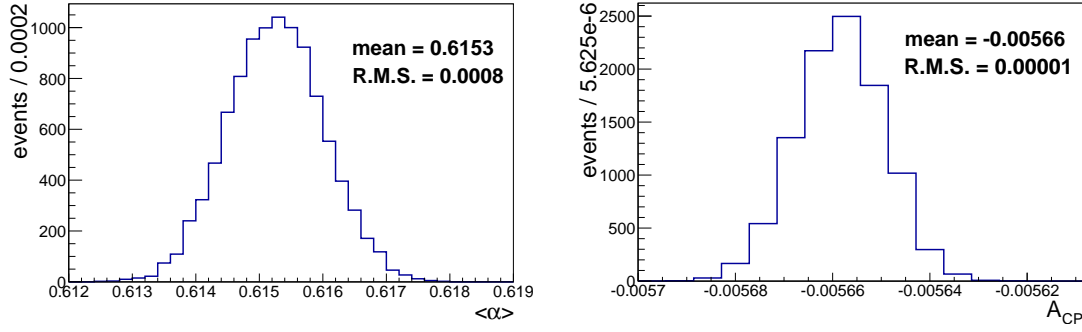


Figure 66: Distributions of  $\langle\alpha\rangle$  - left and  $\mathcal{A}_{CP}$  - right, obtained by varying the deconvolution matrix and recalculating both quantities. The R.M.S. of the distribution is used as the systematic uncertainty of the two observables due to the uncertainty of the deconvolution matrix.

the  $\Lambda_c$  particle, therefore we evaluate the systematic uncertainty of the tracking efficiency as 1.15%. The efficiency enters directly the estimation of  $\langle\alpha\rangle$  which therefore carries the same relative uncertainty. This translates into the absolute uncertainty of

$$\sigma_{\text{tracking},\langle\alpha\rangle}^{\text{syst}} = 0.011. \quad (123)$$

## 6.2 DECONVOLUTION MATRIX UNCERTAINTY

We determined the deconvolution matrix by counting reconstructed and generated events in bins of  $\cos\theta_h$  in the MC simulation thus obtaining the resolution map in chapter 4.6. The procedure depends on the counted numbers of events in individual "D bins of the resolution map. The numbers of simulated events are subject to statistical fluctuations. These are treated as a source of systematic uncertainty of the final result.

To evaluate this uncertainty, we varied the number of events in each of the bins in the resolution map by  $+\sigma_{ij}$ ,  $-\sigma_{ij}$  or 0, where  $\sigma_{ij}$  is the standard deviation in the  $i, j$ -th bin of the resolution map, evaluated as  $\sqrt{M_{ij}}$ , where  $M_{ij}$  is the number of events in the  $i, j$ -th bin of the resolution map. For each bin we determined the variation type randomly.

With the varied resolution map, we again used our measurement method to determine  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$ . This procedure was repeated 10000 times, where each time the deconvolution matrix was varied randomly. The root mean squares of the  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$  distribution obtained this way is taken as the evaluation of the systematic uncertainty (see figure 66):

$$\sigma_{\text{deconvolution},\langle\alpha\rangle}^{\text{syst}} = 0.001. \quad (124)$$

The systematic uncertainty on  $\mathcal{A}_{CP}$  due to this source is negligible.

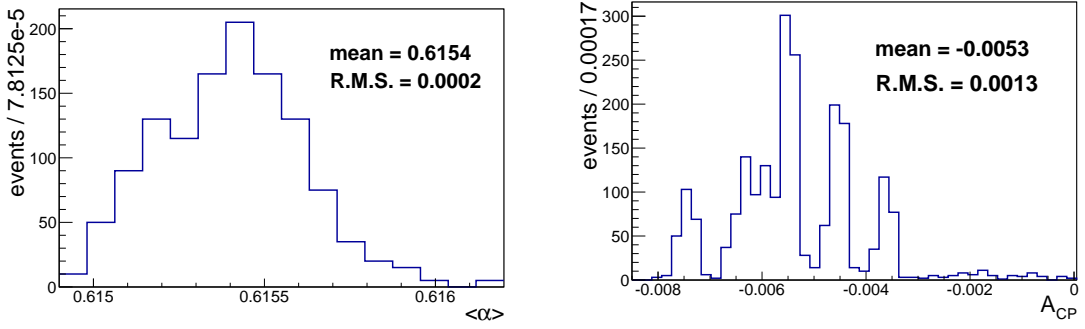


Figure 67: Distributions of  $\langle\alpha\rangle$  - left and  $\mathcal{A}_{CP}$  - right, obtained by varying the fixed parameters of the fit model and recalculating both quantities. The R.M.S. of these distributions are used as the systematic uncertainty of the two observables due to the uncertainty of the fit model.

### 6.3 FIT MODEL UNCERTAINTY

The systematic uncertainty on the fit model comes from the fact that we fixed the ratios  $n_{12}, n_{13}, s_{12}, s_{13L}, s_{13r}$  (see chapters 4.5.2 and 4.5.3) and the sigmoid function parameters  $m_{bl}$  and  $w_{bl}$  (see chapter 5.4) to values obtained from the MC simulation.

We estimate the systematic uncertainty by varying the fixed parameters by  $+\sigma, -\sigma$  or  $0$ , where  $\sigma$  is the uncertainty for the fixed parameter, obtained from the signal MC fit for the fixed ratios and from the generic MC for the sigmoid function parameters. By forming all possible combinations of the parameter variations, and for each combination determining the  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$  parameters with our measurement method, we obtain a distribution for both parameters (see figure 67) and the root mean square of these distributions are taken as the systematic uncertainty.

For the  $\langle\alpha\rangle$  only the fixed ratios are used, as the  $m_{bl}$  and  $w_{bl}$  parameters are fixed only when measuring  $\mathcal{A}_{CP}$ , hence in this case, the systematic uncertainty is larger for  $\mathcal{A}_{CP}$  for  $\langle\alpha\rangle$ , for which it is negligible. The uncertainty on  $\mathcal{A}_{CP}$  due to the fit model is:

$$\sigma_{\text{fit model}, \mathcal{A}_{CP}}^{\text{sys}} = 0.001. \quad (125)$$

### 6.4 PEAKING BACKGROUND UNCERTAINTY

In chapter 4.3.1, we made a breakdown of the  $m(p\pi\pi)$  distribution on the skim sample according to the origin of the  $\Lambda_c$  particle in the MC simulation. We found out that we have a small number of events which have the same  $m(p\pi\pi)$  distribution as the signal, but come from other decays - the peaking background. We neglected these events in the subsequent analysis, and therefore introduced a systematic uncertainty.

Since these peaking background arises from some rare processes there may be a difference between the probability for such events as generated in simulation and the true occurrence rate.

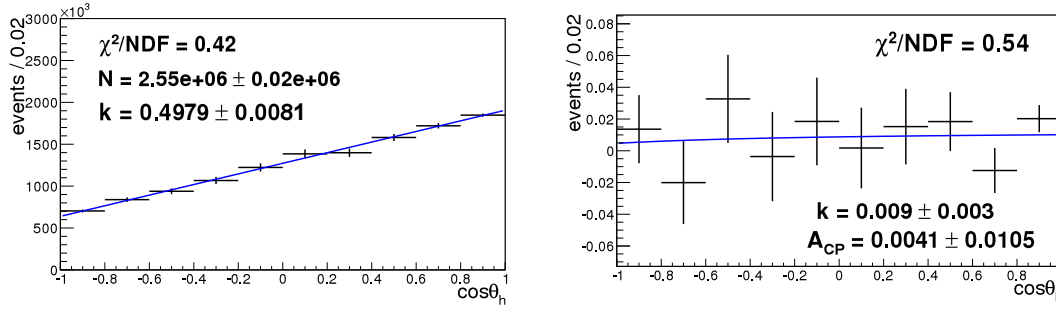


Figure 68: Results of the analysis on MC simulation without the peaking background events. Left - result for  $\langle\alpha\rangle$ , right - result for  $\mathcal{A}_{CP}$ .

We estimate this uncertainty by generating the same MC simulation as before with the peaking background events left out, and repeating the analysis. In this way, we get the biggest possible difference between the values obtained on the MC with and without the peaking background events, which we then take as the uncertainty.

The results of the analysis on MC simulation without peaking background events are shown in figure 68. Systematic uncertainty is in both cases less than 0.001 and thus negligible.

### 6.5 UNCERTAINTY DUE TO $\mathcal{A}_{FB}$

This uncertainty arises because we assumed that the  $\cos\theta_h$  distribution doesn't depend on the forward-backward asymmetry or, in other words, that the  $\cos\theta^*$  distribution in the CMS and the  $\cos\theta_h$  distributions are uncorrelated. In that case in each bin of  $\cos\theta_h$  an integral over all  $\cos\theta^*$  values is present and thus the forward backward uncertainty cancels out. If not all values of  $\cos\theta^*$  are present in each bin of  $\cos\theta_h$  the integral over those values may not vanish in this specific bin and this can lead to asymmetries between  $\Lambda_c$  and  $\bar{\Lambda}_c$ . This uncertainty only affects the  $\mathcal{A}_{CP}$  measurement.

First, we check that the  $\mathcal{A}_{FB}$  angular dependence is evened out in  $\cos\theta_h$  by generating a large MC signal sample on which no selection is applied (not even Hadron B preselection). In this sample, we have no  $\mathcal{A}_{CP}$  and no  $\mathcal{A}_\varepsilon$ . We know that the forward-backward asymmetry is simulated (to the leading order). We can check this by counting each produced  $\Lambda_c^+$  and  $\bar{\Lambda}_c^-$  in bins of  $\cos\theta^*$ , where  $\theta^*$  is the polar angle and calculating  $\mathcal{A}_{FB}$  as (see figure 69):

$$\mathcal{A}_{FB} = \frac{N_{\Lambda_c^+} - N_{\bar{\Lambda}_c^-}}{N_{\Lambda_c^+} + N_{\bar{\Lambda}_c^-}}. \quad (126)$$

To see if the distribution evens out in the  $\theta_h$  angle, we fit the  $\mathcal{A}_{FB}$  distribution with a standard  $\chi^2$  fit in bins of  $\cos\theta_h$  with the function:

$$f(x; k, \mathcal{A}_{FB}) = k + \mathcal{A}_{FB} \frac{x}{1 + \langle\alpha\rangle x}, \quad (127)$$

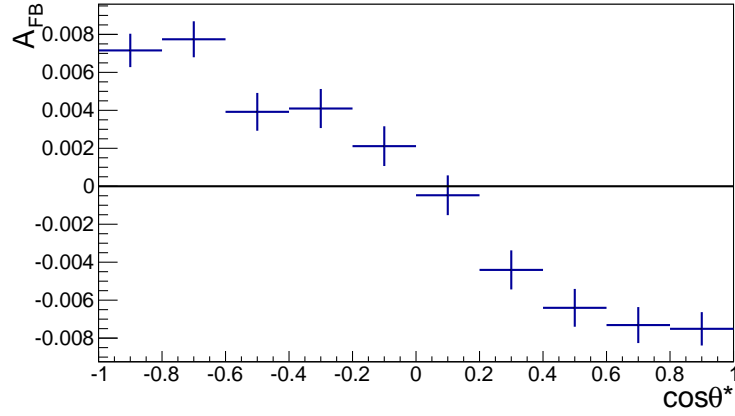


Figure 69:  $\mathcal{A}_{FB}$  in bins of the polar angle  $\cos\theta^*$  in a signal MC simulation without any selection.

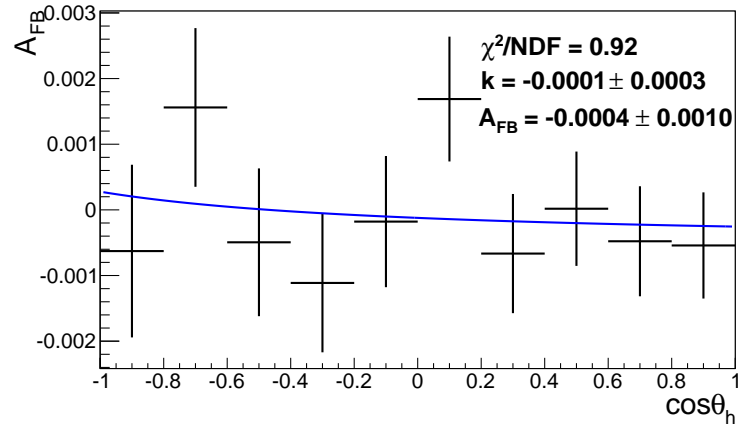


Figure 70: Fit on the  $\mathcal{A}_{FB}$  distribution in bins of  $\cos\theta_h$  in a signal MC simulation without any selection to determine the evening out of the  $\mathcal{A}_{FB}$  distribution in the  $\Lambda$  CM system.

where we take the value for  $\langle\alpha\rangle$  from our reweighted skim sample, as it is in this sample that we would like to check the  $\mathcal{A}_{FB}$ . The result is (see figure 70):

$$\begin{aligned} k &= -0.0001 \pm 0.0003 \\ \mathcal{A}_{FB} &= -0.0004 \pm 0.0010 \end{aligned} \quad (128)$$

The asymmetry in  $\cos\theta_h$  induced by the forward backward asymmetry is thus consistent with zero to a high accuracy.

In the next step we check if the selection criteria introduce acceptance effects that may cause asymmetry in  $\cos\theta_h$  due to  $\mathcal{A}_{FB}$ . We fit the function (127) to the same  $\mathcal{A}_{FB}$  distribution in  $\cos\theta_h$  as before, but now on a simulated sample with all the selection applied (see figure 71). The result is:

$$\begin{aligned} k &= 0.014 \pm 0.001 \\ \mathcal{A}_{FB} &= 0.0009 \pm 0.0041 \end{aligned} \quad (129)$$

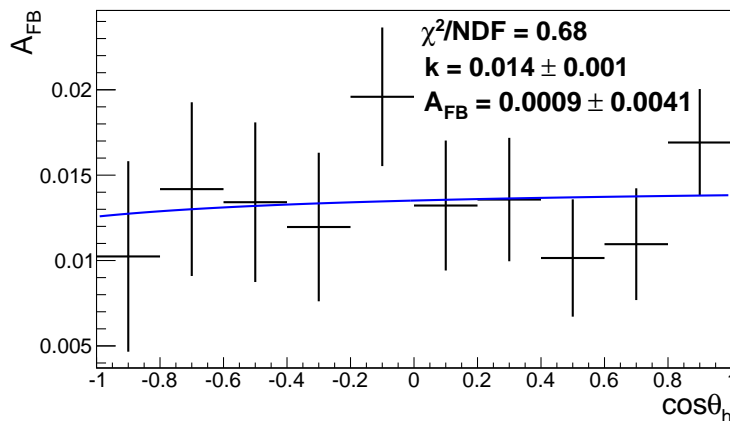


Figure 71: Fit on the  $\mathcal{A}_{\text{FB}}$  distribution in bins of  $\cos\theta_h$  in the analysis sample signal MC simulation to determine the systematic uncertainty.

We see that again the  $\mathcal{A}_{\text{FB}}$  parameter is consistent with zero. We conservatively assign the uncertainty of the fit as a possible systematic error of the result:

$$\sigma_{\mathcal{A}_{\text{FB}}, \mathcal{A}_{\text{CP}}}^{\text{syst}} = 0.004. \quad (130)$$

## 6.6 UNCERTAINTY DUE TO $\mathcal{A}_\varepsilon$

In order to check the particle-antiparticle reconstruction asymmetry  $\mathcal{A}_\varepsilon$  with an uncertainty at least matching the statistical precision of the result we can not rely on the MC simulation. The subtle effects can arise due to different interactions of particles and anti-particles on the material of the detector. These may not be properly modelled by simulation and hence we have to estimate the effect by using an appropriate control data sample.

We analyze the decay chain  $\Sigma^* \rightarrow \Lambda\pi, \Lambda \rightarrow p\pi$ . The  $\Sigma^{*+}$  and  $\Sigma^{*-}$  particles are excited baryons with quark contents  $uus$  for  $\Sigma^{*+}$  and  $dds$  for  $\Sigma^{*-}$ . They decay strongly with a branching ratio of 0.87 to  $\Lambda\pi$ . This is a strong decay where no CP-violation is present, and the consequent decay  $\Lambda \rightarrow p\pi$  has a measured CP-violating asymmetry of  $\mathcal{A}_{\text{CP}}^\Lambda = 0.006 \pm 0.021$ , consistent with 0 [26]. In the previous section, we have shown that the forward-backward asymmetry evens out in the system where  $\theta_h$  is defined, so the only asymmetry that could be present in this decay mode is the particle-antiparticle reconstruction asymmetry  $\mathcal{A}_\varepsilon$ .

To measure  $\mathcal{A}_\varepsilon$ , we repeat the  $\Lambda_c$  decay chain analysis for the  $\Sigma^*$  decay chain. We construct an analysis sample with the same selection criteria used to obtain the  $\Lambda_c$  data sample (see table 14), with  $p_{\text{CMS}}(\Lambda_c)$  replaced by  $p_{\text{CMS}}(\Sigma^*)$ , to ensure that the momentum distributions of particles are as closely matched to the  $\Lambda_c$  sample as possible.

We fit the  $\Sigma^*$  mass in bins of  $\cos\theta_h$ , defined in the same way as in the  $\Lambda_c$  decay chain analysis (see figure 31). We use the extended maximum likelihood

fit (see chapter 4.5.1) and fit separately the  $\Sigma^{*+}$  and  $\Sigma^{*-}$  masses separately with a simultaneous fit to all bins in  $\cos \theta_h$ .

The fit model we use to fit the  $\Sigma^*$  mass distributions is:

$$\begin{aligned} M_G(m_{p\pi\pi}; \vec{p}) &= \sum_{i=1}^{N_{\text{bin}}=10} N_{1,i} (G(m_{p\pi\pi}; m_i, \sigma_{1,i}) + n_{12}G(m_{p\pi\pi}; m_i, s_{12}\sigma_{1,i}) + \\ &\quad + n_{13}G(m_{p\pi\pi}; m_i, s_{13}\sigma_{1,i}) + \frac{n_{1b}}{1 + n_{12} + n_{13}} \text{CH}(m_{p\pi\pi}; c_1, c_2)), \\ G(x; m, \sigma) &= \frac{1}{\sqrt{2\pi}\sigma} \exp\left[-\frac{(x-m)^2}{2\sigma^2}\right], \\ \text{CH}(x; c_1, c_2) &= 1 + c_1x + c_2(x^2 - 1), \end{aligned}$$

where we fix the parameters common to all bins  $n_{12}$ ,  $n_{13}$ ,  $s_{12}$ ,  $s_{13}$  and  $n_{1b}$  to values obtained from fits to the  $\Sigma^*$  signal and background MC simulation analysis, done in the same way as the  $\Lambda_c$  MC simulation analysis.

Next, the numbers of signal events obtained from the fit are deconvoluted using a deconvolution matrix obtained on the  $\Sigma^*$  generic MC simulation in the same way as in chapter 4.6 and from the deconvoluted numbers of signal events ( $G_i, \bar{G}_i$ ), the  $\mathcal{A}_\varepsilon$  is calculated for each bin in  $\cos \theta_h$  as:

$$\mathcal{A}_\varepsilon = \frac{G_i - \bar{G}_i}{G_i + \bar{G}_i}. \quad (131)$$

This distribution is then fitted with multivariate  $\chi^2$  fit with a function

$$f(x; k, \mathcal{A}_\varepsilon) = k + \mathcal{A}_\varepsilon \frac{x}{1 + \langle \alpha \rangle x}, \quad (132)$$

where the value for  $\langle \alpha \rangle$  is obtained on the  $\Lambda_c$  data sample, as we want to check the  $\mathcal{A}_\varepsilon$  behaviour on this sample.

The fit is shown in figure 72, and the results are:

$$\begin{aligned} k &= 0.048 \pm 0.001 \\ \mathcal{A}_\varepsilon &= 0.002 \pm 0.002 \end{aligned} \quad (133)$$

For the estimate of the systematic uncertainty, we take the error on  $\mathcal{A}_\varepsilon$  from the fit:

$$\sigma_{\mathcal{A}_\varepsilon, \mathcal{A}_{CP}}^{\text{sys}} = 0.002. \quad (134)$$

## 6.7 SUMMARY

All the systematic uncertainties are independent, so they need to be summed in quadrature to obtain the whole systematic uncertainty. A summary is shown in table 16.



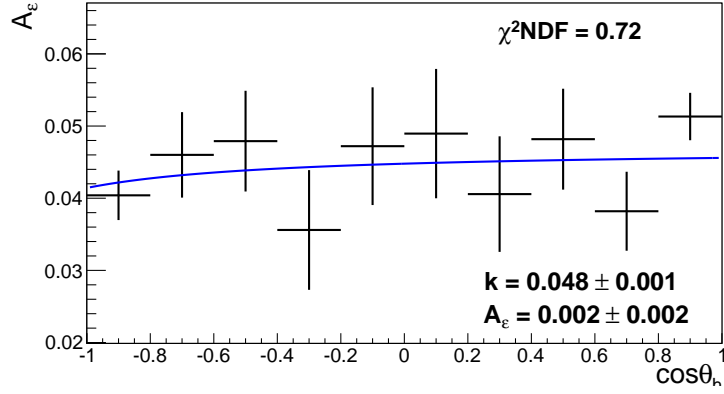


Figure 72: Fit on the  $A_\epsilon$  distribution in bins of  $\cos\theta_h$  in the  $\sigma^*$  analysis sample used to determine the systematic uncertainty.

source	$\sigma_{\langle\alpha\rangle}^{\text{syst}}$	$\sigma_{A_{\text{CP}}}^{\text{syst}}$
tracking	0.011	n.a.
deconvolution	0.001	negligible
fit model	negligible	0.001
peaking bkg	negligible	negligible
$A_{\text{FB}}$	n.a.	0.004
$A_\epsilon$	n.a.	0.002
sum	0.011	0.005

Table 16: A summary of systematic uncertainties.

The systematic errors for  $\langle\alpha\rangle$  and  $\mathcal{A}_{\text{CP}}$  are therefore:

$$\begin{aligned}\sigma_{\langle\alpha\rangle}^{\text{sys}} &= 0.011, \\ \sigma_{\mathcal{A}_{\text{CP}}}^{\text{sys}} &= 0.005.\end{aligned}\tag{135}$$

For  $\langle\alpha_{\Lambda_c}\rangle$  and  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$ , we need to recalculate the systematic uncertainties using equations (75) and (77):

$$\begin{aligned}\sigma_{\langle\alpha_{\Lambda_c}\rangle}^{\text{sys}} &= 0.017, \\ \sigma_{\mathcal{A}_{\text{CP}}^{\Lambda_c}}^{\text{sys}} &= 0.005.\end{aligned}\tag{136}$$

If we assume no CP-violation in the  $\Lambda \rightarrow p\pi$  decay, the values for systematic errors remain the same.



---

SUMMARY

---

We present a measurement of the weak asymmetry parameter  $\langle\alpha_{\Lambda_c}\rangle$  and the CP-violating asymmetry  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  for the  $\Lambda_c \rightarrow \Lambda\pi$  decay.

Our method is based on determining the average weak asymmetry parameter for joined  $\Lambda_c^+$  and  $\Lambda_c^-$  decays and using this value, determining the weak asymmetry parameter. In the measurement of  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  some sources of the systematic uncertainty (tracking efficiency, ...) cancel out.

The values obtained, assuming the measured CP-violation [26] in the  $\Lambda \rightarrow p\pi$  decay, are:

$$\begin{aligned}\langle\alpha_{\Lambda_c}\rangle &= -0.964 \pm 0.014(\text{stat.}) \pm 0.020(\alpha_{\Lambda}) \pm 0.020(\mathcal{A}_{\text{CP}}^{\Lambda}) \pm 0.017(\text{syst.}) = \\ &= -0.964 \pm 0.014(\text{stat.}) \pm 0.033(\text{syst.}), \\ \mathcal{A}_{\text{CP}}^{\Lambda_c} &= -0.012 \pm 0.010(\text{stat.}) \pm 0.021(\mathcal{A}_{\text{CP}}^{\Lambda}) \pm 0.005(\text{syst.}) = \\ &= -0.012 \pm 0.010(\text{stat.}) \pm 0.022(\text{syst.}),\end{aligned}\tag{137}$$

where in the first step, we separated the uncertainties arising due to the finite accuracy of the weak asymmetry parameter and CP violating asymmetry in the  $\Lambda$  decays.

If we assume no CP-violation in the  $\Lambda \rightarrow p\pi$  decay, the results are:

$$\begin{aligned}\langle\alpha_{\Lambda_c}\rangle &= -0.958 \pm 0.014(\text{stat.}) \pm 0.019(\alpha_{\Lambda}) \pm 0.017(\text{syst.}) = \\ &= -0.958 \pm 0.014(\text{stat.}) \pm 0.025(\text{syst.}), \\ \mathcal{A}_{\text{CP}}^{\Lambda_c} &= -0.006 \pm 0.010(\text{stat.}) \pm 0.005(\text{syst.}).\end{aligned}\tag{138}$$

All values agree with the previous measurements [34] and with the world averages [26] and are consistent with no CP violation in the  $\Lambda_c$  decays. The presented measurements are the most sensitive measurements up to date and are by an order of magnitude more sensitive than the current world averages.

The uncertainties of the results for  $\alpha_{\Lambda_c}$  and  $\mathcal{A}_{\text{CP}}^{\Lambda_c}$  are dominated by the statistical errors. In the future the sensitivity can be improved by performing measurements at the SuperKEKB collider and Belle II detector which are currently under construction. Furthermore the sensitivity can be improved by improved measurements of the asymmetries in  $\Lambda$  decays.



---

## FIT RESULTS

---

### A.1 SIGNAL MC FIT

The parameters obtained from the signal MC fit from section 4.5.2 are listed in table 17, and the fits for all bins are shown in figures 73 and 74.

### A.2 GENERIC MC FIT

The parameters obtained from the generic MC fit from section 4.5.3 are listed in table 18, and the fits for all bins are shown in figures 75 and 76.

### A.3 $\Lambda_c^+$ AND $\overline{\Lambda}_c^-$ MC FIT

The parameters obtained from the  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  MC fit from section 4.9 are listed in tables 19 and 20, and the fits for all bins are shown in figures 77, 78, 79 and 80.

### A.4 REAL DATA $\Lambda_c$ FIT

The parameters obtained from the  $\Lambda_c$  fit on real data from section 5.2 are listed in table 21, and the fits for all bins are shown in figures 81 and 82.

### A.5 $\Lambda_c^+$ AND $\overline{\Lambda}_c^-$ REAL DATA FIT

The parameters obtained from the  $\Lambda_c^+$  and  $\overline{\Lambda}_c^-$  fit on real data from section 5.4 are listed in tables 22 and 23, and the fits for all bins are shown in figures 83, 84, 85 and 86.

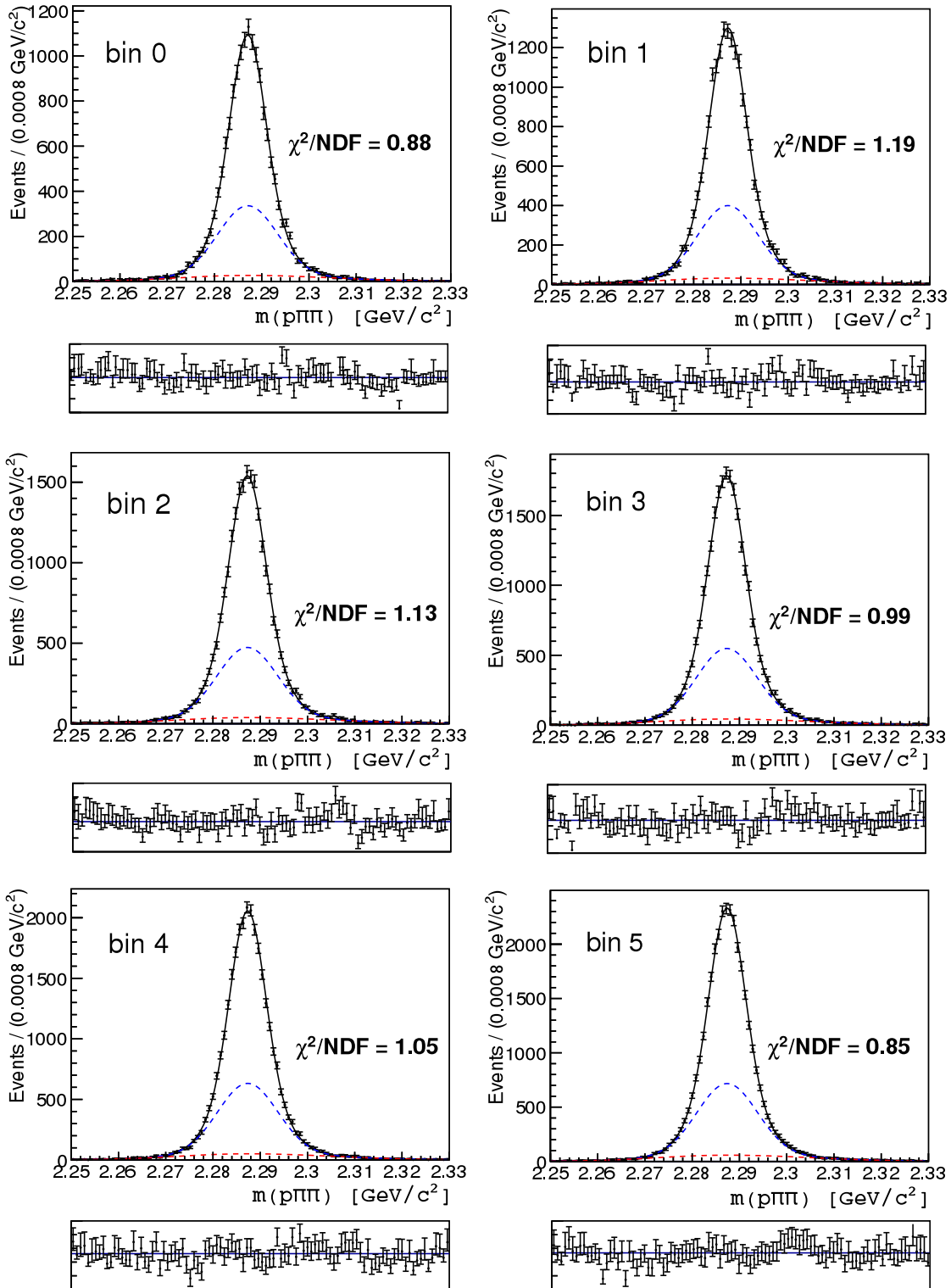


Figure 73: The signal MC fit for bins 0 – 5.

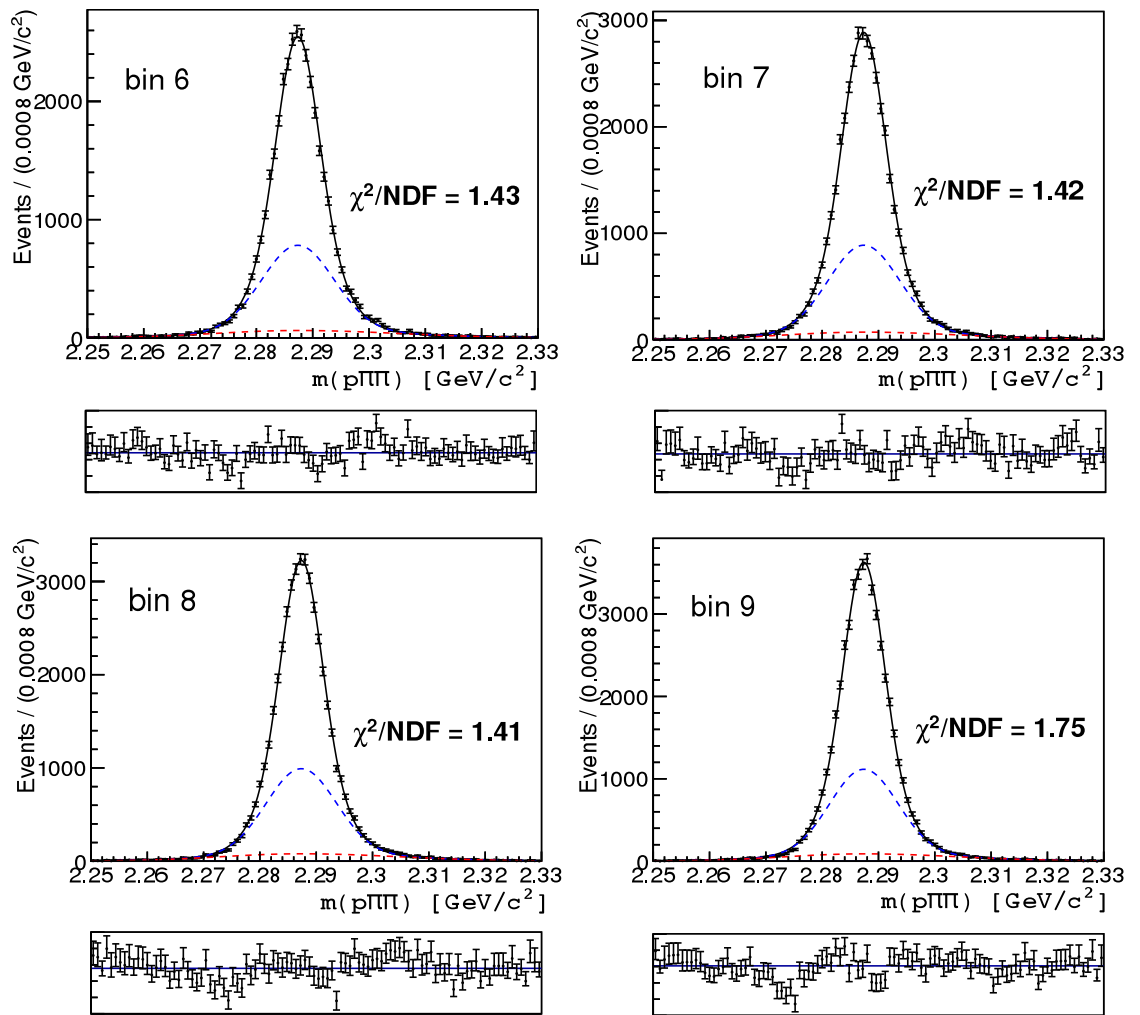


Figure 74: The signal MC fit for bins 6 – 9.



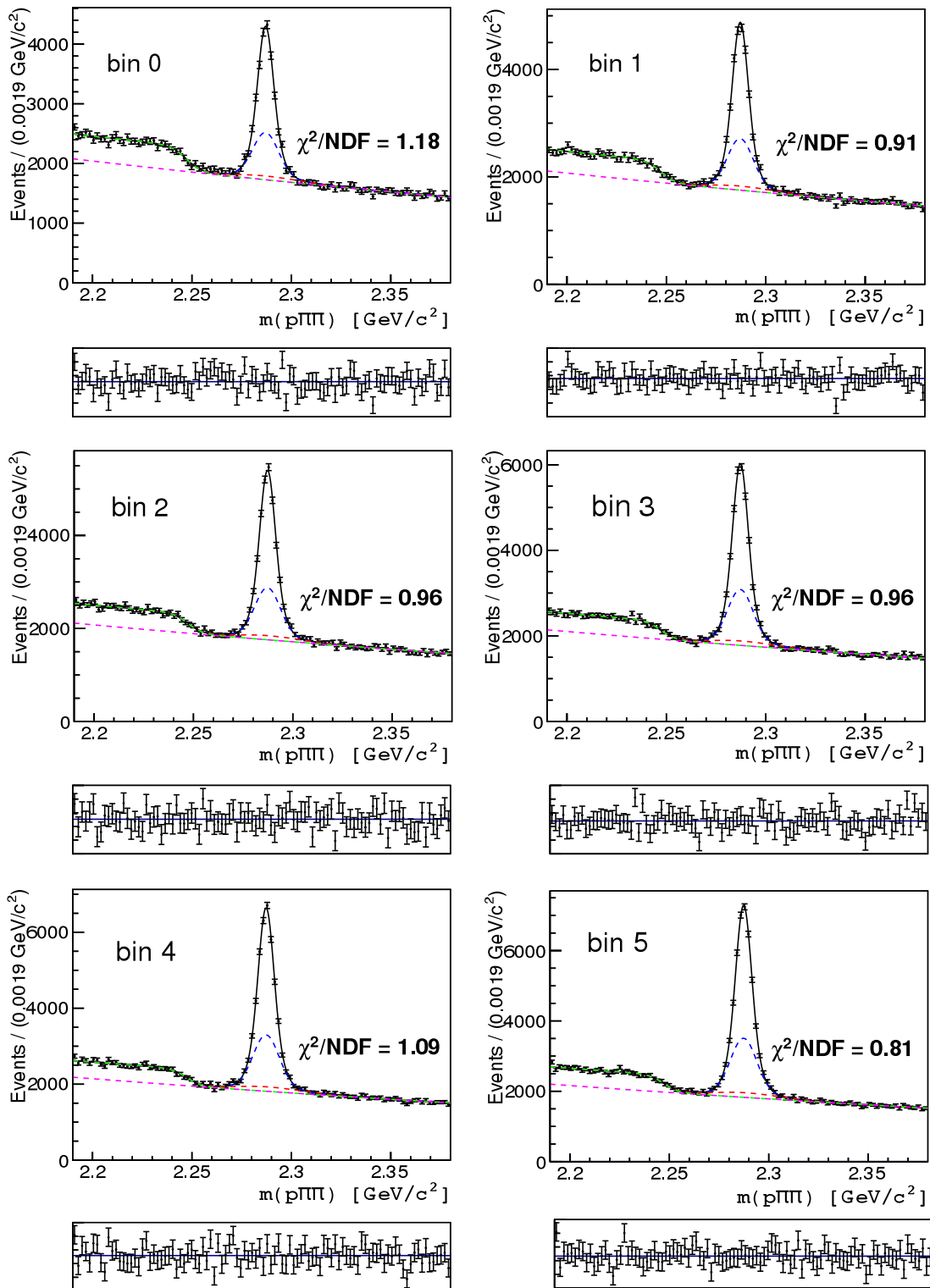


Figure 75: The generic MC fit for bins 0 – 5.

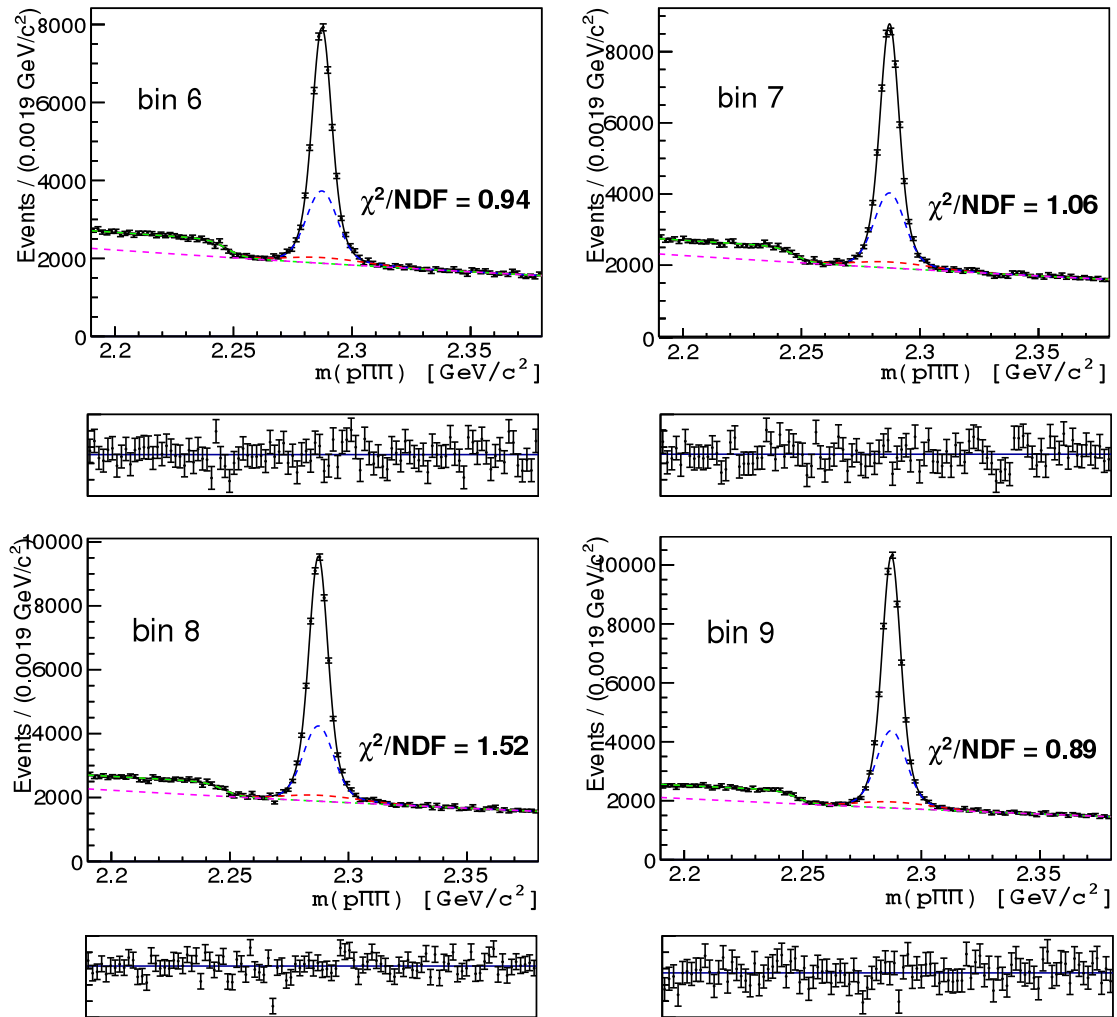


Figure 76: The generic MC fit for bins 6 – 9.

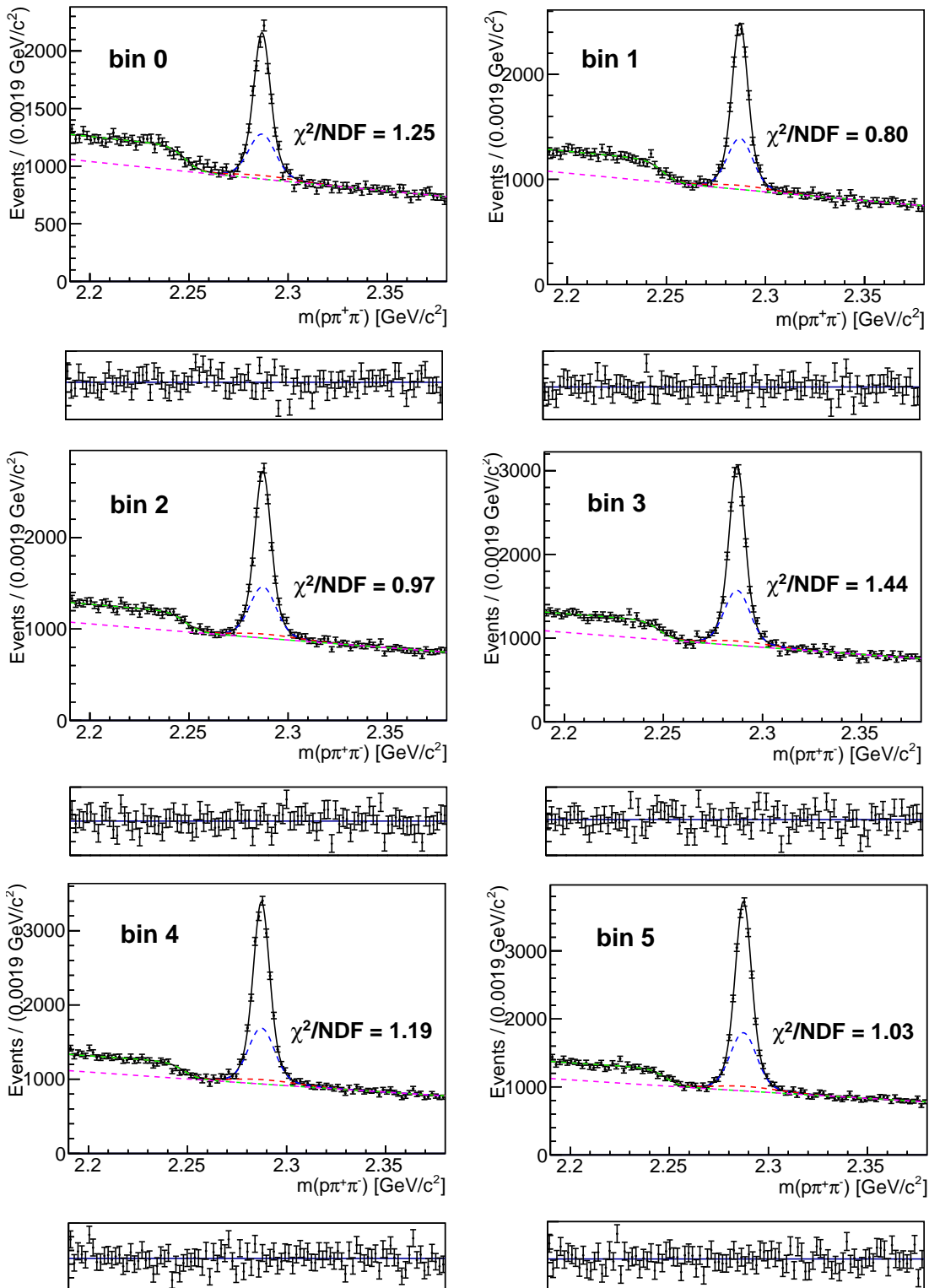
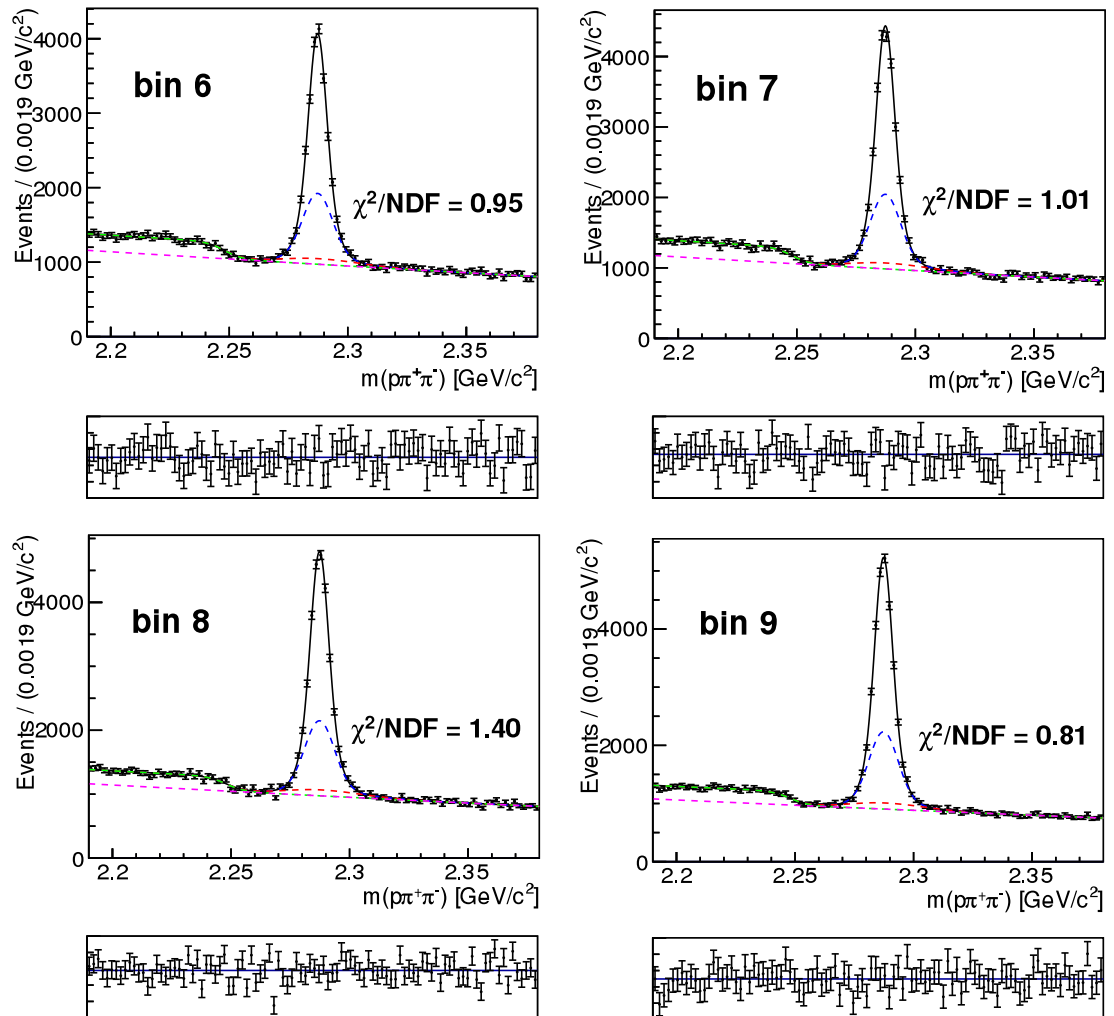
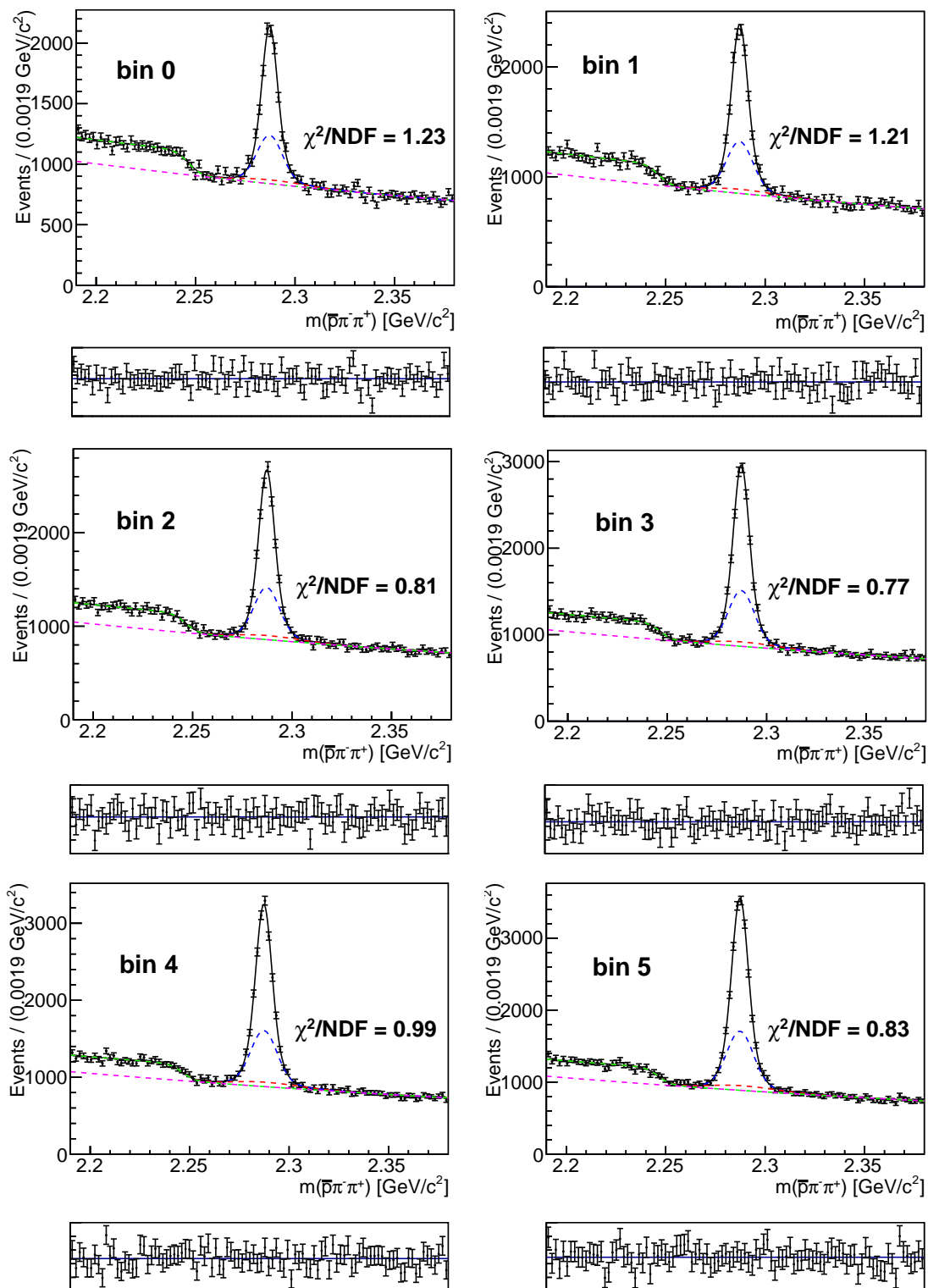


Figure 77: The  $\Lambda_c^+$  MC fit for bins 0 – 5.

Figure 78: The  $\Lambda_c^+$  MC fit for bins 6 – 9.

Figure 79: The  $\bar{\Lambda}_c$  MC fit for bins 0 – 5.

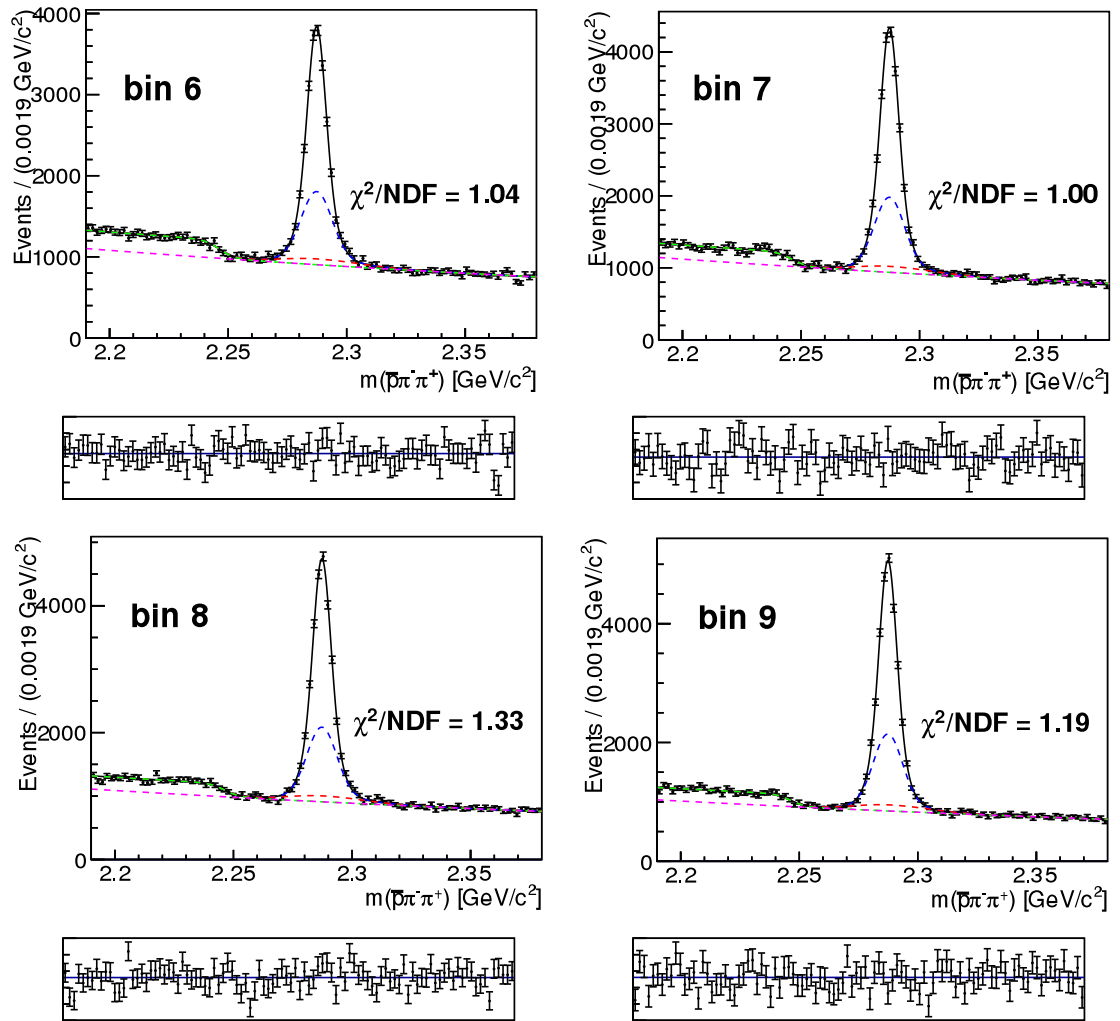
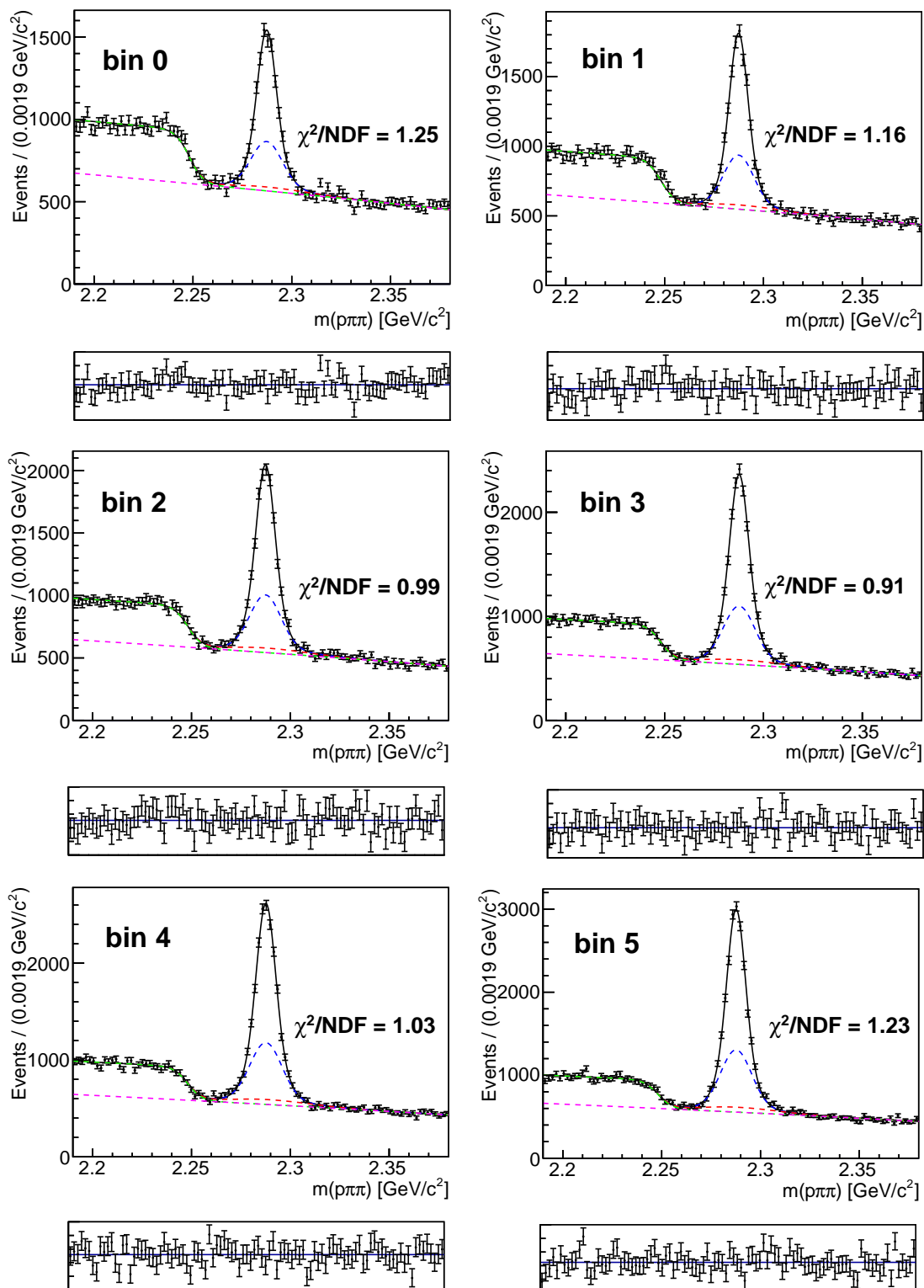
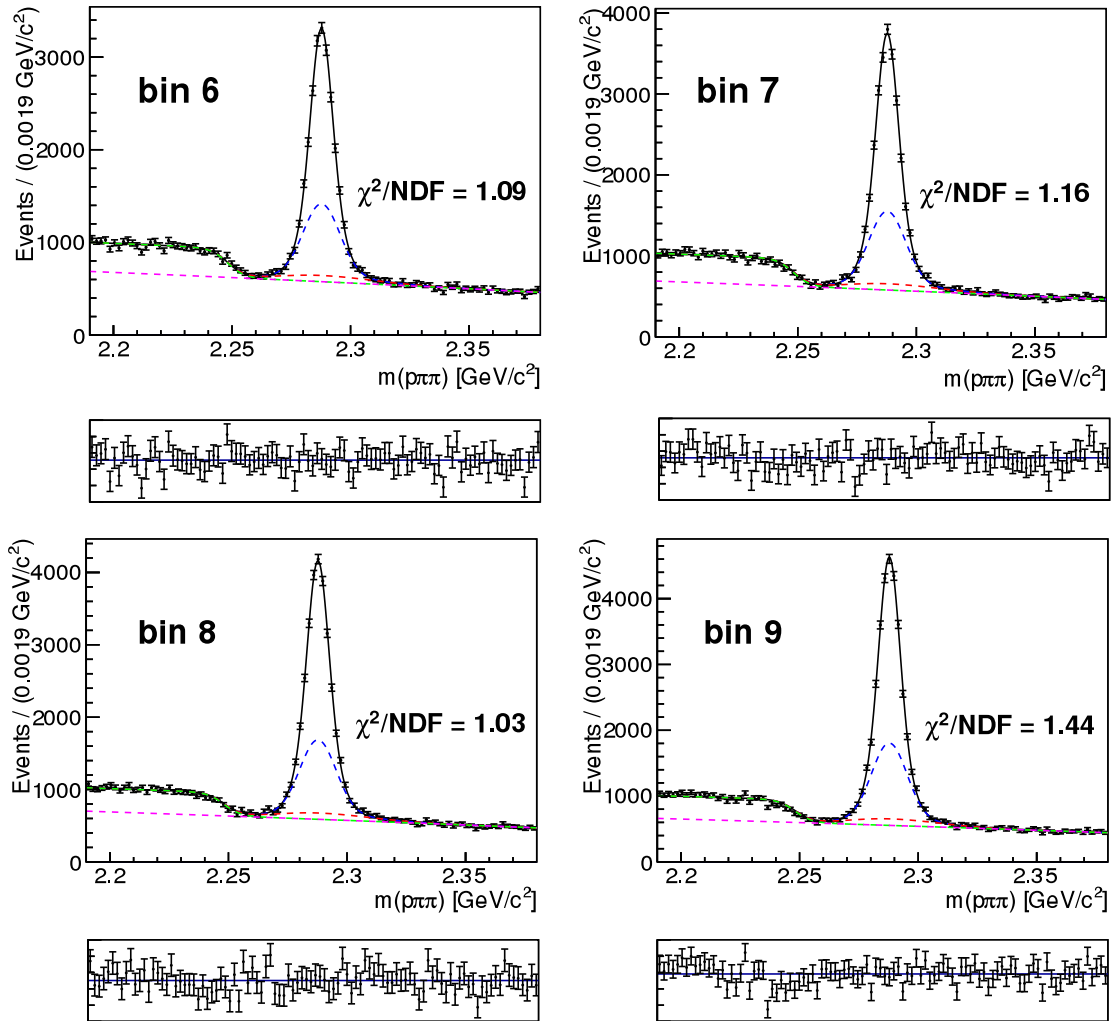
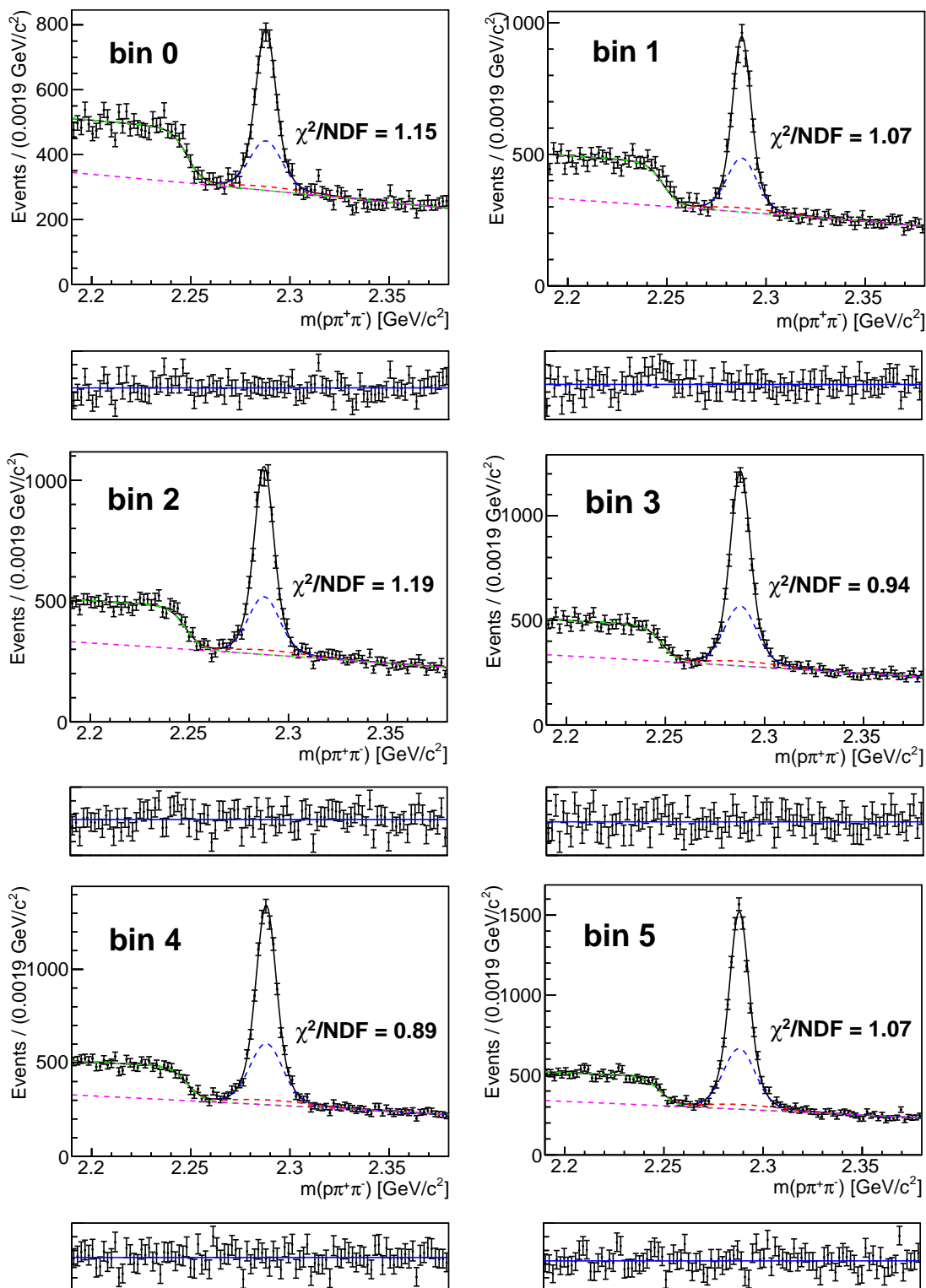


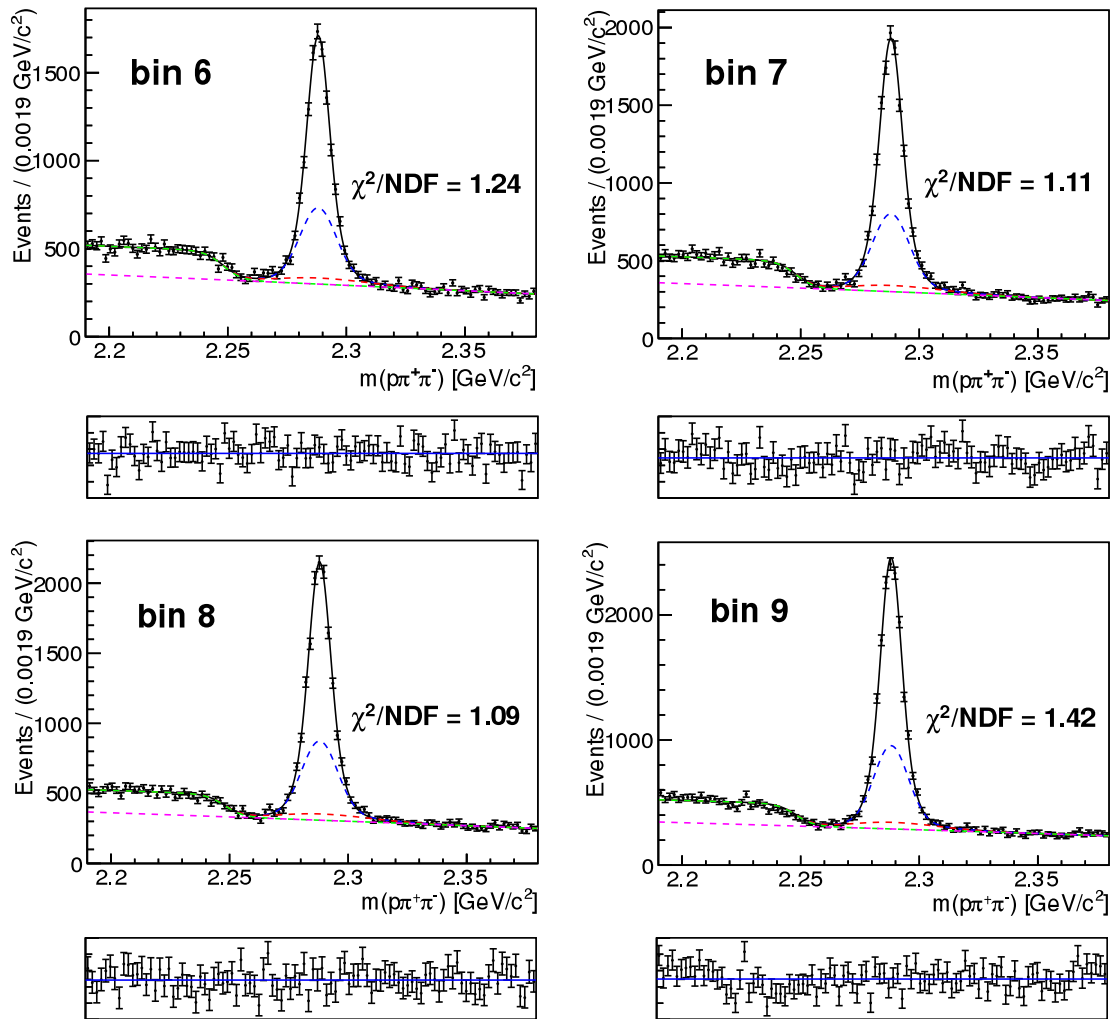
Figure 8o: The  $\bar{\Lambda}_c^-$  MC fit for bins 6 – 9.

Figure 81: The  $\Lambda_c$  real data fit for bins 0 – 5.

Figure 82: The  $\Lambda_c$  real data fit for bins 6 – 9.



Figure 83: The  $\Lambda_c^+$  fit on real data for bins 0 – 5.

Figure 84: The  $\Lambda_c^+$  fit on real data for bins 6 – 9.

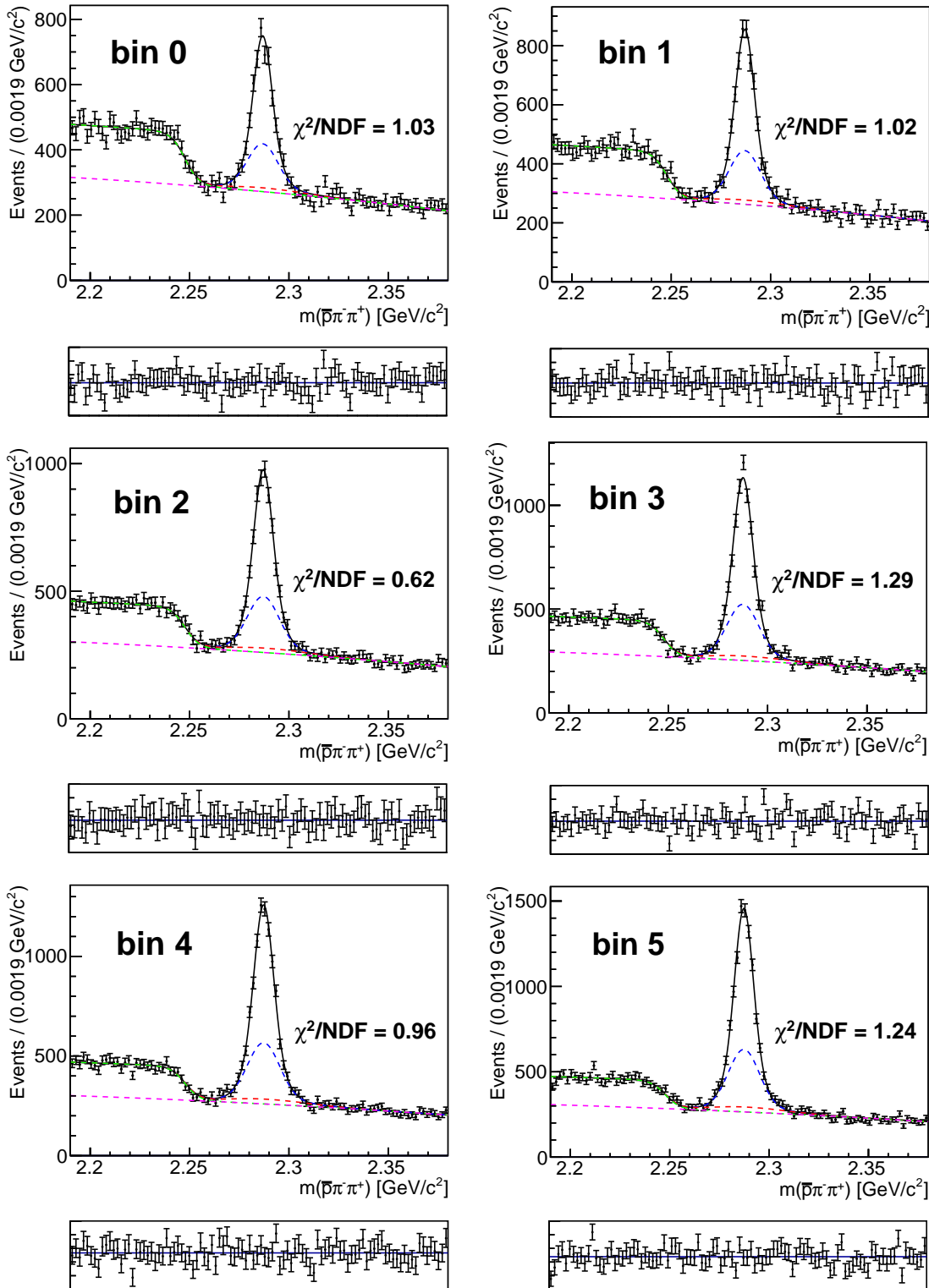
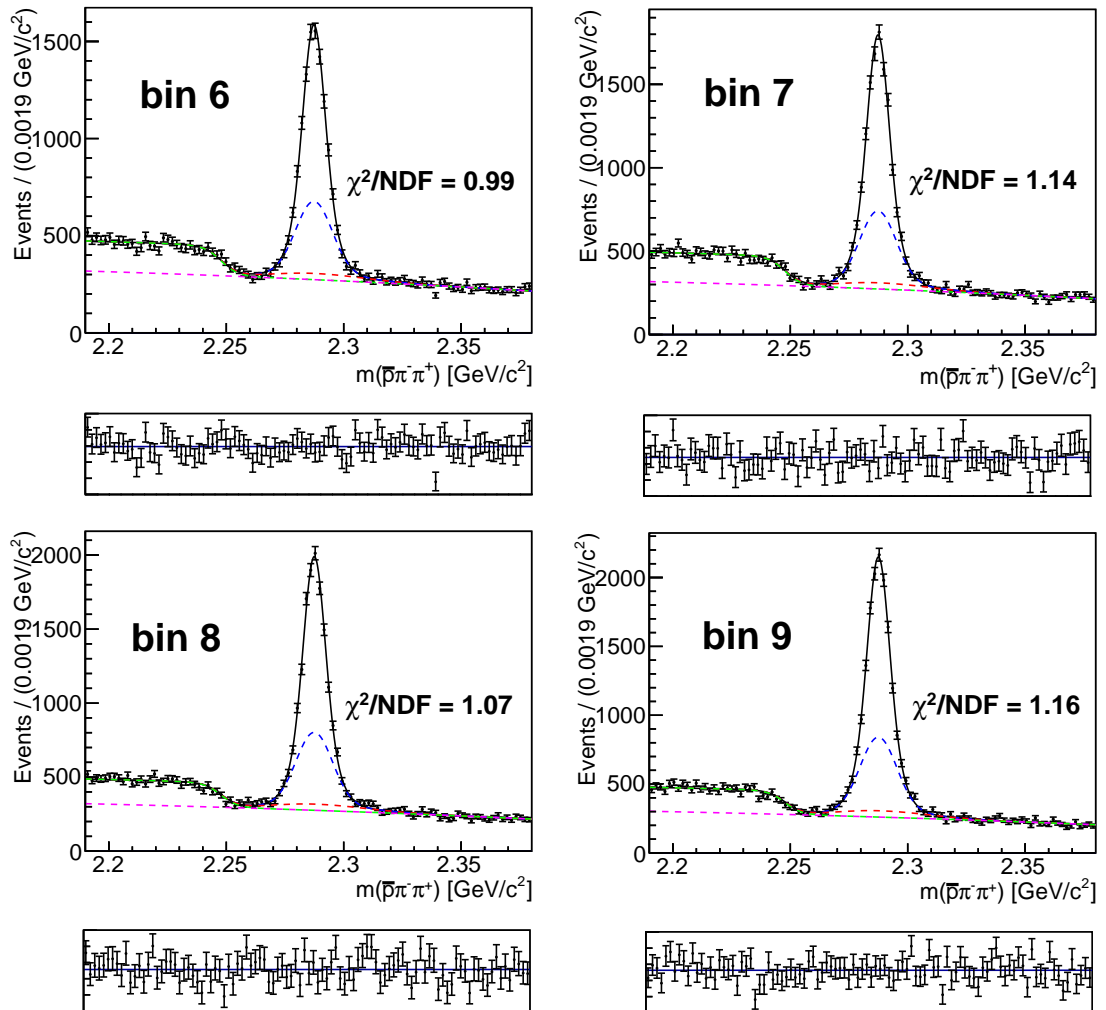


Figure 85: The  $\Lambda_c^-$  fit on real data for bins 0 – 5.

Figure 86: The  $\bar{\Lambda}_c^-$  fit on real data for bins 6 – 9.

bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	0.88	$8562 \pm 327$	$2.28705 \pm 0.00004$	$0.00361 \pm 0.00005$
1	1.19	$10245 \pm 390$	$2.28724 \pm 0.00004$	$0.00363 \pm 0.00005$
2	1.13	$12266 \pm 466$	$2.28727 \pm 0.00003$	$0.00367 \pm 0.00005$
3	0.99	$14041 \pm 532$	$2.28731 \pm 0.00003$	$0.00363 \pm 0.00005$
4	1.05	$16225 \pm 614$	$2.28735 \pm 0.00003$	$0.00364 \pm 0.00005$
5	0.85	$18523 \pm 699$	$2.28740 \pm 0.00003$	$0.00366 \pm 0.00005$
6	1.43	$20302 \pm 766$	$2.28737 \pm 0.00003$	$0.00367 \pm 0.00005$
7	1.42	$22678 \pm 855$	$2.28740 \pm 0.00003$	$0.00362 \pm 0.00005$
8	1.41	$24917 \pm 938$	$2.28736 \pm 0.00002$	$0.00356 \pm 0.00005$
9	1.75	$27206 \pm 1024$	$2.28740 \pm 0.00002$	$0.00345 \pm 0.00004$
$n_{12}$ $0.710 \pm 0.060$	$n_{13}$ $0.156 \pm 0.010$	$s_{12}$ $1.741 \pm 0.018$	$s_{13l}$ $4.008 \pm 0.056$	$s_{13r}$ $4.856 \pm 0.071$

Table 17: Values of parameters from the signal MC fit.

bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	1.18	$8674 \pm 130$	$2.28706 \pm 0.00007$	$0.00369 \pm 0.00006$
1	0.91	$10424 \pm 134$	$2.28719 \pm 0.00006$	$0.00365 \pm 0.00005$
2	0.96	$12155 \pm 139$	$2.28728 \pm 0.00005$	$0.00365 \pm 0.00005$
3	0.96	$14078 \pm 143$	$2.28724 \pm 0.00005$	$0.00363 \pm 0.00004$
4	1.09	$16431 \pm 150$	$2.28730 \pm 0.00004$	$0.00372 \pm 0.00004$
5	0.81	$18747 \pm 156$	$2.28737 \pm 0.00004$	$0.00375 \pm 0.00004$
6	0.94	$20236 \pm 158$	$2.28731 \pm 0.00004$	$0.00367 \pm 0.00003$
7	1.06	$22553 \pm 162$	$2.28734 \pm 0.00003$	$0.00360 \pm 0.00003$
8	1.52	$25088 \pm 166$	$2.28733 \pm 0.00003$	$0.00358 \pm 0.00003$
9	0.89	$27452 \pm 166$	$2.28737 \pm 0.00003$	$0.00351 \pm 0.00002$
bin		$N_{b1,i}$	$N_{b2,i}$	common par.
0		$12587 \pm 543$	$174142 \pm 755$	$m_b = 2.2472 \pm 0.0003$
1		$12149 \pm 544$	$176753 \pm 757$	$w_b = 0.0037 \pm 0.0003$
2		$12767 \pm 548$	$177257 \pm 763$	$c_1 = -0.184 \pm 0.005$
3		$12795 \pm 553$	$179416 \pm 769$	$c_2 = 0.007 \pm 0.002$
4		$13211 \pm 564$	$182959 \pm 784$	
5		$14780 \pm 577$	$184474 \pm 798$	
6		$13609 \pm 575$	$189205 \pm 798$	
7		$12909 \pm 579$	$194089 \pm 804$	
8		$13071 \pm 571$	$190137 \pm 795$	
9		$13404 \pm 544$	$176755 \pm 759$	

Table 18: Values of parameters from the generic MC fit.

bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	1.25	$4360 \pm 93$	$2.28686 \pm 0.00010$	$0.00376 \pm 0.00009$
1	0.80	$5178 \pm 95$	$2.28715 \pm 0.00008$	$0.00358 \pm 0.00008$
2	0.97	$6162 \pm 101$	$2.28729 \pm 0.00008$	$0.00369 \pm 0.00007$
3	1.44	$7075 \pm 102$	$2.28720 \pm 0.00007$	$0.00361 \pm 0.00006$
4	1.19	$8290 \pm 107$	$2.28731 \pm 0.00006$	$0.00370 \pm 0.00006$
5	1.03	$9432 \pm 111$	$2.28737 \pm 0.00006$	$0.00371 \pm 0.00005$
6	0.95	$10218 \pm 113$	$2.28728 \pm 0.00005$	$0.00361 \pm 0.00005$
7	1.01	$11384 \pm 116$	$2.28737 \pm 0.00005$	$0.00361 \pm 0.00004$
8	1.40	$12528 \pm 119$	$2.28735 \pm 0.00004$	$0.00359 \pm 0.00004$
9	0.81	$13903 \pm 119$	$2.28734 \pm 0.00004$	$0.00352 \pm 0.00004$
bin		$N_{b1,i}$	$N_{b2,i}$	common par.
0		$6621 \pm 395$	$89344 \pm 547$	$m_b = 2.2480 \pm 0.0004$
1		$6346 \pm 391$	$90936 \pm 543$	$w_b = 0.0039 \pm 0.0004$
2		$6532 \pm 393$	$90686 \pm 549$	$c_1 = -0.181 \pm 0.006$
3		$6824 \pm 399$	$91870 \pm 554$	$c_2 = 0.003 \pm 0.004$
4		$6690 \pm 408$	$94171 \pm 565$	
5		$7664 \pm 413$	$94785 \pm 571$	
6		$6800 \pm 413$	$97816 \pm 573$	
7		$7003 \pm 417$	$99285 \pm 578$	
8		$6788 \pm 414$	$98136 \pm 575$	
9		$7115 \pm 392$	$91057 \pm 546$	

Table 19: Values of parameters from the  $\Lambda_c^+$  MC fit.

bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	1.23	$4294 \pm 90$	$2.28726 \pm 0.00010$	$0.00361 \pm 0.00009$
1	1.21	$5223 \pm 94$	$2.28723 \pm 0.00009$	$0.00372 \pm 0.00008$
2	0.81	$5974 \pm 96$	$2.28727 \pm 0.00007$	$0.00360 \pm 0.00007$
3	0.77	$6976 \pm 100$	$2.28727 \pm 0.00007$	$0.00364 \pm 0.00006$
4	0.99	$8100 \pm 105$	$2.28731 \pm 0.00006$	$0.00374 \pm 0.00006$
5	0.83	$9275 \pm 109$	$2.28737 \pm 0.00006$	$0.00380 \pm 0.00005$
6	1.04	$9983 \pm 111$	$2.28734 \pm 0.00005$	$0.00372 \pm 0.00005$
7	1.00	$11131 \pm 113$	$2.28731 \pm 0.00005$	$0.00358 \pm 0.00004$
8	1.33	$12521 \pm 116$	$2.28731 \pm 0.00004$	$0.00358 \pm 0.00004$
9	1.19	$13500 \pm 116$	$2.28741 \pm 0.00004$	$0.00350 \pm 0.00003$
bin		$N_{b1,i}$	$N_{b2,i}$	common par.
0		$5878 \pm 351$	$84887 \pm 502$	$m_b = 2.2464 \pm 0.0003$
1		$5708 \pm 355$	$85910 \pm 509$	$w_b = 0.0033 \pm 0.0004$
2		$6121 \pm 359$	$86677 \pm 512$	$c_1 = -0.190 \pm 0.006$
3		$5901 \pm 357$	$87610 \pm 512$	$c_2 = 0.011 \pm 0.003$
4		$6394 \pm 367$	$88919 \pm 524$	
5		$7005 \pm 376$	$89806 \pm 536$	
6		$6694 \pm 374$	$91507 \pm 534$	
7		$5823 \pm 375$	$94872 \pm 537$	
8		$6183 \pm 369$	$92100 \pm 529$	
9		$6196 \pm 354$	$85795 \pm 508$	

Table 20: Values of parameters from the  $\overline{\Lambda}_c^-$  MC fit.



bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	1.25	$4004 \pm 86$	$2.28732 \pm 0.00012$	$0.00448 \pm 0.00011$
1	1.16	$4960 \pm 89$	$2.28745 \pm 0.00010$	$0.00429 \pm 0.00009$
2	0.99	$6162 \pm 95$	$2.28741 \pm 0.00009$	$0.00449 \pm 0.00008$
3	0.91	$7544 \pm 100$	$2.28773 \pm 0.00007$	$0.00452 \pm 0.00007$
4	1.03	$8778 \pm 103$	$2.28766 \pm 0.00007$	$0.00462 \pm 0.00006$
5	1.23	$9927 \pm 107$	$2.28769 \pm 0.00006$	$0.00445 \pm 0.00006$
6	1.09	$11034 \pm 110$	$2.28775 \pm 0.00006$	$0.00442 \pm 0.00005$
7	1.16	$12730 \pm 116$	$2.28774 \pm 0.00005$	$0.00440 \pm 0.00005$
8	1.03	$14261 \pm 119$	$2.28775 \pm 0.00005$	$0.00437 \pm 0.00004$
9	1.44	$15866 \pm 121$	$2.28786 \pm 0.00004$	$0.00426 \pm 0.00004$
bin		$N_{b1,i}$	$N_{b2,i}$	common par.
0		$9905 \pm 328$	$56644 \pm 447$	$m_b = 2.2481 \pm 0.0002$
1		$9796 \pm 333$	$54898 \pm 454$	$w_b = 0.0035 \pm 0.0002$
2		$10133 \pm 326$	$54390 \pm 452$	$c_1 = -0.196 \pm 0.009$
3		$10356 \pm 328$	$53934 \pm 447$	$c_2 = -0.005 \pm 0.005$
4		$10525 \pm 330$	$54182 \pm 453$	
5		$10411 \pm 338$	$55662 \pm 461$	
6		$9602 \pm 346$	$57774 \pm 475$	
7		$10492 \pm 352$	$57970 \pm 492$	
8		$9845 \pm 350$	$58971 \pm 483$	
9		$10572 \pm 337$	$55564 \pm 467$	

Table 21: Values of parameters from the  $\Lambda_c$  real data fit.

bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	1.15	$2065 \pm 62$	$2.28786 \pm 0.00017$	$0.00454 \pm 0.00016$
1	1.07	$2538 \pm 61$	$2.28777 \pm 0.00013$	$0.00416 \pm 0.00011$
2	1.19	$3141 \pm 66$	$2.28768 \pm 0.00012$	$0.00442 \pm 0.00011$
3	0.94	$3827 \pm 70$	$2.28783 \pm 0.00010$	$0.00449 \pm 0.00010$
4	0.89	$4487 \pm 73$	$2.28802 \pm 0.00010$	$0.00461 \pm 0.00009$
5	1.07	$5042 \pm 76$	$2.28802 \pm 0.00009$	$0.00446 \pm 0.00008$
6	1.24	$5624 \pm 78$	$2.28814 \pm 0.00008$	$0.00436 \pm 0.00007$
7	1.11	$6514 \pm 82$	$2.28808 \pm 0.00007$	$0.00437 \pm 0.00006$
8	1.09	$7227 \pm 84$	$2.28800 \pm 0.00007$	$0.00430 \pm 0.00006$
9	1.42	$8091 \pm 85$	$2.28813 \pm 0.00006$	$0.00409 \pm 0.00005$
bin		$N_{b1,i}$	$N_{b2,i}$	common par.
0		$5085 \pm 200$	$29068 \pm 293$	$c_1 = -0.190 \pm 0.009$ $c_2 = -0.003 \pm 0.006$
1		$5056 \pm 196$	$28191 \pm 284$	
2		$5354 \pm 196$	$27976 \pm 288$	
3		$5205 \pm 198$	$28257 \pm 292$	
4		$5512 \pm 197$	$27735 \pm 291$	
5		$5492 \pm 201$	$28693 \pm 296$	
6		$4983 \pm 205$	$30000 \pm 302$	
7		$5252 \pm 207$	$30213 \pm 305$	
8		$4875 \pm 209$	$30975 \pm 309$	
9		$5420 \pm 203$	$29103 \pm 296$	

Table 22: Values of parameters from the  $\Lambda_c^+$  fit on real data.

bin	$\chi^2/\text{NDF}$	$N_{1,i}$	$m_i$	$\sigma_{1,i}$
0	1.03	$1914 \pm 51$	$2.28680 \pm 0.00017$	$0.00438 \pm 0.00012$
1	1.02	$2398 \pm 57$	$2.28707 \pm 0.00014$	$0.00443 \pm 0.00010$
2	0.62	$2979 \pm 68$	$2.28713 \pm 0.00012$	$0.00455 \pm 0.00012$
3	1.29	$3658 \pm 71$	$2.28761 \pm 0.00011$	$0.00454 \pm 0.00010$
4	0.96	$4230 \pm 74$	$2.28730 \pm 0.00010$	$0.00463 \pm 0.00009$
5	1.24	$4832 \pm 78$	$2.28734 \pm 0.00009$	$0.00443 \pm 0.00009$
6	0.99	$5339 \pm 79$	$2.28733 \pm 0.00008$	$0.00445 \pm 0.00007$
7	1.14	$6139 \pm 85$	$2.28736 \pm 0.00007$	$0.00441 \pm 0.00007$
8	1.07	$6940 \pm 87$	$2.28749 \pm 0.00007$	$0.00443 \pm 0.00007$
9	1.16	$7691 \pm 89$	$2.28753 \pm 0.00006$	$0.00445 \pm 0.00006$
bin		$N_{b1,i}$	$N_{b2,i}$	common par.
0		$4932 \pm 343$	$27019 \pm 405$	$m_b = 2.2477 \pm 0.0003$
1		$4832 \pm 351$	$26115 \pm 413$	$w_b = 0.0036 \pm 0.0005$
2		$4839 \pm 348$	$25823 \pm 426$	$c_1 = -0.191 \pm 0.022$
3		$5216 \pm 337$	$25102 \pm 414$	$c_2 = -0.016 \pm 0.010$
4		$5102 \pm 341$	$25806 \pm 421$	
5		$4998 \pm 363$	$26324 \pm 449$	
6		$4708 \pm 370$	$27207 \pm 450$	
7		$5353 \pm 383$	$27165 \pm 474$	
8		$5069 \pm 378$	$27434 \pm 467$	
9		$5280 \pm 370$	$25880 \pm 462$	

Table 23: Values of parameters from the  $\overline{\Lambda}_c$  fit on real data.

# B

---

## DERIVATIONS OF COVARIANCE MATRICES AND ERRORS

---

In this appendix, we give the derivations of covariance matrices and errors of the efficiency corrected deconvoluted numbers of events used in the fits which determine the  $\langle\alpha\rangle$  and  $\mathcal{A}_{CP}$ .

### B.1 ERROR PROPAGATION

We have  $n$  quantities  $\vec{\theta} = (\theta_1, \dots, \theta_n)$ , and  $m$  functions  $\vec{\eta}(\vec{\theta}) = (\eta_1(\vec{\theta}), \dots, \eta_m(\vec{\theta}))$ . We know the estimated values  $\hat{\vec{\theta}} = (\hat{\theta}_1, \dots, \hat{\theta}_n)$  and the covariance matrix  $V_{ij} = \text{cov}[\hat{\theta}_i, \hat{\theta}_j]$ .

The goal of error propagation is to determine the covariance matrix for the functions  $\vec{\eta}(\vec{\theta})$ ,  $U_{ij} = \text{cov}[\hat{\eta}_i, \hat{\eta}_j]$ , where  $\hat{\eta}_i = \eta_i(\hat{\vec{\theta}})$ .

We can find  $U_{ij}$  by expanding the functions  $\vec{\eta}(\vec{\theta})$  about the estimates  $\hat{\vec{\theta}}$  to the first order in a Taylor series:

$$U_{ij} \approx \sum_k \sum_l \frac{\partial \eta_i}{\partial \theta_k} \frac{\partial \eta_j}{\partial \theta_l} \Big|_{\hat{\theta}} V_{kl}. \quad (139)$$

Or in matrix notation:

$$U \approx A V A^T, \quad A_{ij} = \frac{\partial \eta_i}{\partial \theta_j} \Big|_{\hat{\theta}}. \quad (140)$$

This approximation is exact if  $\vec{\eta}(\vec{\theta})$  is linear, and can break down if it is significantly nonlinear close to  $\hat{\vec{\theta}}$  in a region of a size comparable to the standard deviation of  $\hat{\vec{\theta}}$ .

### B.2 COVARIANCE MATRIX FOR THE $\langle\alpha\rangle$ FIT

We fit the quantities

$$T_i = \frac{G_i}{\langle\varepsilon\rangle_i} = \frac{\sum_c q_{ic} R_c}{\langle\varepsilon\rangle_i}, \quad (141)$$

so we form the vector  $\vec{\theta}$  out of:

- 10 quantities  $R_i$  with an error of  $\sigma_{R_i}$ , defined in (87) and the correlation matrix  $R_{ij}$ ,

- 10 quantities  $\langle \varepsilon \rangle_i$  with an error of  $\sigma_{\langle \varepsilon \rangle_i}$ , defined in (99) which are not correlated, so the correlation matrix is  $\delta_{ij}$ ,
- 100 quantities  $q_{ij}$  with an error of  $\sigma_{q_{ij}}$ , defined in (97), which are not correlated, so the correlation matrix is  $Q_{ia,jb} = \delta_{ij}\delta_{ab}$ .

We also know that  $R_i$ ,  $\langle \varepsilon \rangle_i$ , and  $q_{ij}$  are uncorrelated.

The vector  $\vec{\theta}$  is therefore:

$$\vec{\theta} = (R_1, \dots, R_{10}, \langle \varepsilon \rangle_1, \dots, \langle \varepsilon \rangle_{10}, q_{00}, \dots, q_{09}, q_{10}, \dots, q_{19}, \dots, q_{90}, \dots, q_{99}), \quad (142)$$

and the covariance matrix for these quantities is

$$V_{ij} = \begin{bmatrix} R_{ij}\sigma_{R_i}\sigma_{R_j} & \emptyset & \emptyset \\ \emptyset & \delta_{ij}\sigma_{\langle \varepsilon \rangle_i}\sigma_{\langle \varepsilon \rangle_j} & \emptyset \\ \emptyset & \emptyset & \delta_{ij}\delta_{ab}\sigma_{q_{ia}}\sigma_{q_{ib}} \end{bmatrix} \quad (143)$$

According to (139), we calculate the covariance matrix for  $T_i$  as follows:

$$\begin{aligned} U_{ij} &= \sum_k \sum_l \left( \frac{\partial T_i}{\partial \theta_k} \right) \left( \frac{\partial T_j}{\partial \theta_l} \right) V_{kl} = \\ &= \sum_k \sum_l \left( \frac{\partial T_i}{\partial R_k} \right) \left( \frac{\partial T_j}{\partial R_l} \right) R_{kl}\sigma_{R_k}\sigma_{R_l} + \\ &\quad + \sum_k \sum_l \left( \frac{\partial T_i}{\partial \langle \varepsilon \rangle_k} \right) \left( \frac{\partial T_j}{\partial \langle \varepsilon \rangle_l} \right) \delta_{kl}\sigma_{\langle \varepsilon \rangle_k}\sigma_{\langle \varepsilon \rangle_l} + \\ &\quad + \sum_k \sum_l \sum_a \sum_b \left( \frac{\partial T_i}{\partial q_{ka}} \right) \left( \frac{\partial T_j}{\partial q_{lb}} \right) \delta_{kl}\delta_{ab}\sigma_{q_{ka}}\sigma_{q_{lb}}. \end{aligned} \quad (144)$$

We calculate the derivatives:

$$\begin{aligned} \frac{\partial T_i}{\partial R_k} &= \frac{\partial}{\partial R_k} \left( \frac{\sum_c q_{ic}R_c}{\langle \varepsilon \rangle_i} \right) = \frac{\delta_{ck}q_{ic}}{\langle \varepsilon \rangle_i}, \\ \frac{\partial T_i}{\partial \langle \varepsilon \rangle_k} &= \frac{\partial}{\partial \langle \varepsilon \rangle_k} \left( \frac{\sum_c q_{ic}R_c}{\langle \varepsilon \rangle_i} \right) = \frac{\delta_{ik}\sum_c q_{ic}R_c}{-\langle \varepsilon \rangle_i^2} = -\frac{\delta_{ik}G_i}{\langle \varepsilon \rangle_i^2}, \\ \frac{\partial T_i}{\partial q_{ka}} &= \frac{\partial}{\partial q_{ka}} \left( \frac{\sum_c q_{ic}R_c}{\langle \varepsilon \rangle_i} \right) = \delta_{ik}\delta_{ac}\frac{R_c}{\langle \varepsilon \rangle_i}. \end{aligned} \quad (145)$$

We put the derivatives into (144):

$$\begin{aligned}
 U_{ij} &= \sum_k \sum_l \frac{\delta_{ck} q_{ic}}{\langle \epsilon \rangle_i} \frac{\delta_{dl} q_{jd}}{\langle \epsilon \rangle_j} R_{kl} \sigma_{R_k} \sigma_{R_l} + \sum_k \sum_l \frac{\delta_{ik} G_i}{-\langle \epsilon \rangle_i^2} \frac{\delta_{jl} G_j}{-\langle \epsilon \rangle_j^2} \delta_{kl} \sigma_{\langle \epsilon \rangle_k} \sigma_{\langle \epsilon \rangle_l} + \\
 &+ \sum_k \sum_l \sum_a \sum_b \frac{\delta_{ik} \delta_{ac} R_c}{\langle \epsilon \rangle_i} \frac{\delta_{jl} \delta_{bd} R_d}{\langle \epsilon \rangle_j} \delta_{kl} \delta_{ab} \sigma_{q_{ka}} \sigma_{q_{lb}} = \\
 &= \sum_k \sum_l \frac{q_{ik} q_{jl}}{\langle \epsilon \rangle_i \langle \epsilon \rangle_j} R_{kl} \sigma_{R_k} \sigma_{R_l} + \frac{G_i G_j}{\langle \epsilon \rangle_i^2 \langle \epsilon \rangle_j^2} \delta_{ij} \sigma_{\langle \epsilon \rangle_i} \sigma_{\langle \epsilon \rangle_j} + \delta_{ij} \sum_a \frac{R_a^2 \sigma_{q_{ia}} \sigma_{q_{ja}}}{\langle \epsilon \rangle_i \langle \epsilon \rangle_j} + \\
 &= T_i T_j \left( \frac{\sum_k \sum_l q_{ik} q_{jl} R_{kl} \sigma_{R_k} \sigma_{R_l}}{G_i G_j} + \delta_{ij} \frac{\sum_k R_k^2 \sigma_{q_{ik}} \sigma_{q_{jk}}}{G_i G_j} + \delta_{ij} \frac{\sigma_{\langle \epsilon \rangle_i} \sigma_{\langle \epsilon \rangle_j}}{\langle \epsilon \rangle_i \langle \epsilon \rangle_j} \right). \tag{146}
 \end{aligned}$$

With this, we have derived the equation (104).

### B.3 ERROR FOR THE $\langle \alpha \rangle$ FIT

Errors are defined as the square of the variance, so we just need the diagonal elements of the covariance matrix (146):

$$\begin{aligned}
 \sigma_{T_i}^2 &= U_{ii} = \\
 &= T_i^2 \left( \frac{\sum_k \sum_l q_{ik} q_{il} R_{kl} \sigma_{R_k} \sigma_{R_l}}{G_i^2} + \frac{\sigma_{\langle \epsilon \rangle_i}^2}{\langle \epsilon \rangle_i^2} + \frac{\sum_k R_k^2 \sigma_{q_{ik}}^2}{G_i^2} \right). \tag{147}
 \end{aligned}$$

To get the error on  $G_i$ , we just use equations (102) and (100), to get:

$$\sigma_{G_i}^2 = \sum_k \sum_l q_{ik} q_{il} R_{kl} \sigma_{R_k} \sigma_{R_l} + \sum_k R_k^2 \sigma_{q_{ik}}^2. \tag{148}$$

which is the equation (96).

### B.4 ERROR ON THE DECONVOLUTION MATRIX ELEMENT

The error on the deconvolution matrix element  $\sigma_{q_{ij}}$  can be expressed with the numbers of events in bins of the resolution map  $M_{ij}$  in the following way. We know that the deconvolution matrix is an inverse of the convolution matrix. If we know the error on the convolution matrix, the error on its inverse can be calculated as [39]:

$$\sigma_{q_{ij}}^2 = \sum_a \sum_b q_{ia}^2 \sigma_{p_{ab}}^2 q_{bj}^2. \tag{149}$$

We know that the the element of the convolution matrix  $P$  is calculated from the resolution map as:

$$p_{ab} = \frac{M_{ab}}{\sum_c N_{cb}}. \tag{150}$$

The  $M_{ij}$  are uncorrelated, so the error on  $p_{ab}$  is calculated as:

$$\sigma_{p_{ab}}^2 = \sum_d \left( \frac{\partial p_{ab}}{\partial M_{db}} \right)^2 \sigma_{M_{db}}^2. \quad (151)$$

For the error on  $M_{db}$  we can just take the square root of  $M_{db}$  as this is a statistical error:

$$\sigma_{M_{db}}^2 = M_{db}. \quad (152)$$

We also need to calculate the derivative:

$$\frac{\partial p_{ab}}{\partial M_{db}} = \begin{cases} -\frac{M_{ab}}{(\sum_c M_{cb})^2} & d \neq a, \\ \frac{\sum_{c \neq a} M_{cb}}{(\sum_c M_{cb})^2} & d = a. \end{cases} \quad (153)$$

We can now put (153) and (152) into (150), and get:

$$\begin{aligned} \sigma_{p_{ab}}^2 &= \sum_{d \neq a} \left( -\frac{M_{ab}}{(\sum_c M_{cb})^2} \right)^2 M_{db} + \left( \frac{\sum_{c \neq a} M_{cb}}{(\sum_c M_{cb})^2} \right)^2 M_{ab} = \\ &= \frac{M_{ab}^2}{(\sum_c M_{cb})^4} \sum_{d \neq a} M_{db} + \frac{(\sum_{c \neq a} M_{cb})^2}{(\sum_c M_{cb})^4} M_{ab} = \\ &= \frac{M_{ab}(\sum_{c \neq a} M_{cb})(M_{ab} + \sum_{c \neq a} M_{cb})}{(\sum_c M_{cb})^4} = \\ &= \frac{M_{ab}(\sum_{c \neq a} M_{cb})(\sum_c M_{cb})}{(\sum_c M_{cb})^4} = \frac{M_{ab}(\sum_{c \neq a} M_{cb})}{(\sum_c M_{cb})^3}. \end{aligned} \quad (154)$$

If we put this result into equation (149), we come to the equation (97).

## B.5 COVARIANCE MATRIX FOR THE $\mathcal{A}_{CP}$ FIT

We fit the quantities:

$$\mathcal{A}_{\text{rec}}^i = \frac{G_i - \bar{G}_i}{G_i + \bar{G}_i} = \frac{\sum_j q_{ij} R_j - \sum_j q_{ij} \bar{R}_j}{\sum_j q_{ij} R_j + \sum_j q_{ij} \bar{R}_j}, \quad (155)$$

so we form the vector  $\vec{\theta}$  out of:

- 10 quantities  $R_i$  with an error of  $\sigma_{R_i}$ , defined in (106) and the correlation matrix  $R_{ij}$ ,
- 10 quantities  $\bar{R}_i$  with an error of  $\sigma_{\bar{R}_i}$ , defined in (106) and the correlation matrix  $\bar{R}_{ij}$ ,
- 100 quantities  $q_{ij}$  with an error of  $\sigma_{q_{ij}}$ , defined in (97), which are not correlated, so the correlation matrix is  $Q_{ia,jb} = \delta_{ij} \delta_{ab}$ .

We also know that  $R_i$ ,  $\bar{R}_i$ , and  $q_{ij}$  are uncorrelated. The vector  $\vec{\theta}$  is therefore:

$$\vec{\theta} = (R_1, \dots, R_{10}, \bar{R}_1, \dots, \bar{R}_{10}, q_{00}, \dots, q_{09}, q_{10}, \dots, q_{19}, \dots, q_{90}, \dots, q_{99}), \quad (156)$$

and the covariance matrix for these quantities is

$$V_{ij} = \begin{bmatrix} R_{ij} \sigma_{R_i} \sigma_{R_j} & \emptyset & \emptyset \\ \emptyset & \bar{R}_{ij} \sigma_{\bar{R}_i} \sigma_{\bar{R}_j} & \emptyset \\ \emptyset & \emptyset & \delta_{ij} \delta_{ab} \sigma_{q_{ia}} \sigma_{q_{ib}} \end{bmatrix} \quad (157)$$

According to (139), we calculate the covariance matrix for  $\mathcal{A}_{rec}^i$  as follows:

$$\begin{aligned} U_{ij} &= \sum_k \sum_l \left( \frac{\partial \mathcal{A}_{rec}^i}{\partial \theta_k} \right) \left( \frac{\partial \mathcal{A}_{rec}^j}{\partial \theta_l} \right) V_{kl} = \\ &= \sum_k \sum_l \left( \frac{\partial \mathcal{A}_{rec}^i}{\partial R_k} \right) \left( \frac{\partial \mathcal{A}_{rec}^j}{\partial R_l} \right) R_{kl} \sigma_{R_k} \sigma_{R_l} + \\ &\quad + \sum_k \sum_l \left( \frac{\partial \mathcal{A}_{rec}^i}{\partial \bar{R}_k} \right) \left( \frac{\partial \mathcal{A}_{rec}^j}{\partial \bar{R}_l} \right) \bar{R}_{kl} \sigma_{\bar{R}_k} \sigma_{\bar{R}_l} + \\ &\quad + \sum_k \sum_l \sum_a \sum_b \left( \frac{\partial \mathcal{A}_{rec}^i}{\partial q_{ka}} \right) \left( \frac{\partial \mathcal{A}_{rec}^j}{\partial q_{lb}} \right) \delta_{kl} \delta_{ab} \sigma_{q_{ka}} \sigma_{q_{lb}}. \end{aligned} \quad (158)$$

We calculate the derivatives:

$$\begin{aligned} \frac{\partial \mathcal{A}_{rec}^i}{\partial R_k} &= \frac{\partial}{\partial R_k} \left( \frac{\sum_c q_{ic} R_c - \sum_c q_{ic} \bar{R}_c}{\sum_c q_{ic} R_c + \sum_c q_{ic} \bar{R}_c} \right) = \\ &= \delta_{dk} q_{id} \frac{2 \sum_c q_{ic} \bar{R}_c}{(\sum_c q_{ic} R_c + \sum_c q_{ic} \bar{R}_c)^2} = 2 \delta_{dk} q_{id} \frac{\bar{G}_i}{(G_i + \bar{G}_i)^2}, \quad (159) \\ \frac{\partial \mathcal{A}_{rec}^i}{\partial \bar{R}_k} &= \frac{\partial}{\partial \bar{R}_k} \left( \frac{\sum_c q_{ic} R_c - \sum_c q_{ic} \bar{R}_c}{\sum_c q_{ic} R_c + \sum_c q_{ic} \bar{R}_c} \right) = \\ &= \delta_{dk} q_{id} \frac{-2 \sum_c q_{ic} R_c}{(\sum_c q_{ic} R_c + \sum_c q_{ic} \bar{R}_c)^2} = -2 \delta_{dk} q_{id} \frac{G_i}{(G_i + \bar{G}_i)^2}, \\ \frac{\partial \mathcal{A}_{rec}^i}{\partial q_{ka}} &= \frac{\partial}{\partial q_{ka}} \left( \frac{\sum_c q_{ic} R_c - \sum_c q_{ic} \bar{R}_c}{\sum_c q_{ic} R_c + \sum_c q_{ic} \bar{R}_c} \right) = \\ &= 2 \delta_{ik} \delta_{ad} \frac{R_d \sum_c q_{ic} \bar{R}_c - \bar{R}_d \sum_c q_{ic} R_c}{(\sum_c q_{ic} R_c + \sum_c q_{ic} \bar{R}_c)^2} = \\ &= 2 \delta_{ik} \delta_{ad} \frac{R_d \bar{G}_i - \bar{R}_d G_i}{(G_i + \bar{G}_i)^2}, \end{aligned}$$



We put the derivatives into (158):

$$\begin{aligned}
U_{ij} &= \sum_k \sum_l \frac{2\delta_{dk}q_{id}\bar{G}_i}{(G_i + \bar{G}_i)^2} \frac{2\delta_{ek}q_{je}\bar{G}_j}{(G_j + \bar{G}_j)^2} R_{kl}\sigma_{R_k}\sigma_{R_l} + \\
&+ \sum_k \sum_l \frac{-2\delta_{dk}q_{id}G_i}{(G_i + \bar{G}_i)^2} \frac{-2\delta_{ek}q_{je}G_j}{(G_j + \bar{G}_j)^2} \bar{R}_{kl}\sigma_{\bar{R}_k}\sigma_{\bar{R}_l} + \\
&+ \sum_k \sum_l \sum_a \sum_b \left( \frac{2\delta_{ik}\delta_{ad}(R_d\bar{G}_i - \bar{R}_dG_i)}{(G_i + \bar{G}_i)^2} \right. \\
&\quad \left. \frac{2\delta_{jl}\delta_{be}(R_e\bar{G}_j - \bar{R}_eG_j)}{(G_j + \bar{G}_j)^2} \delta_{kl}\delta_{ab}\sigma_{q_{ka}}\sigma_{q_{kb}} \right) = \\
&= \frac{4}{(G_i + \bar{G}_i)^2(G_j + \bar{G}_j)^2} \left( \bar{G}_i\bar{G}_j \sum_k \sum_l q_{ik}q_{jl}R_{kl}\sigma_{R_k}\sigma_{R_l} + \right. \\
&\quad + G_iG_j \sum_k \sum_l q_{ik}q_{jl}\bar{R}_{kl}\sigma_{\bar{R}_k}\sigma_{\bar{R}_l} + \\
&\quad \left. + \delta_{ij} \sum_k (R_k\bar{G}_i - \bar{R}_kG_i)(R_k\bar{G}_j - \bar{R}_kG_j)\sigma_{q_{ik}}\sigma_{q_{jk}} \right). \tag{160}
\end{aligned}$$

With this, we have derived the equation (112).

## B.6 ERROR ON THE $\mathcal{A}_{CP}$ FIT

Errors are defined as the square of the variance, so we just need the diagonal elements of the covariance matrix (160):

$$\begin{aligned}
\sigma_{\mathcal{A}_{rec}}^2 &= U_{ii} = \\
&= \frac{4}{(G_i + \bar{G}_i)^4} \left( \bar{G}_i^2 \sum_k \sum_l q_{ik}q_{il}R_{kl}\sigma_{R_k}\sigma_{R_l} + \right. \\
&\quad \left. + G_i^2 \sum_k \sum_l q_{ik}q_{il}\bar{R}_{kl}\sigma_{\bar{R}_k}\sigma_{\bar{R}_l} + \sum_k (R_k\bar{G}_i - \bar{R}_kG_i)^2\sigma_{q_{ik}}^2 \right), \tag{161}
\end{aligned}$$

With this, we have come to the equation 110.

---

## BIBLIOGRAPHY

---

- [1] S.L. Glashow. Partial Symmetries of Weak Interactions. *Nucl.Phys.*, 22:579–588, 1961. (Cited on page 1.)
- [2] A. Salam and J. C. Ward. Electromagnetic and weak interactions. *Phys.Lett.*, 13:168–171, 1964. (Cited on page 1.)
- [3] S. Weinberg. A Model of Leptons. *Phys.Rev.Lett.*, 19:1264–1266, 1967. (Cited on page 1.)
- [4] P. W. Higgs. Broken symmetries, massless particles and gauge fields. *Phys.Lett.*, 12:132–133, 1964. (Cited on page 1.)
- [5] E. Noether. Invariant Variation Problems. *Gott.Nachr.*, 1918:235–257, 1918. (Cited on page 1.)
- [6] E.C. Zeeman. Causality implies the Lorentz group. *J. Math. Phys.*, 5:490–493, 1964. (Cited on page 1.)
- [7] S. Chatrchyan et al. Observation of a new boson at a mass of 125 GeV with the CMS experiment at the LHC. *Phys. Lett. B*, 716(1):30–61, 2012. (Cited on page 3.)
- [8] G. Aad et al. Observation of a new particle in the search for the Standard Model Higgs boson with the ATLAS detector at the LHC. *Phys. Lett. B*, 716(1):1–29, 2012. (Cited on page 3.)
- [9] J.H. Christenson, J.W. Cronin, V.L. Fitch, and R. Turlay. Evidence for the  $2\pi$  Decay of the  $K^0$  Meson. *Phys.Rev.Lett.*, 13:138–140, 1964. (Cited on page 4.)
- [10] Y. Amhis et al. Averages of b-hadron, c-hadron, and tau-lepton properties as of early 2012. 2012. (Cited on page 4.)
- [11] G. Luders. On the Equivalence of Invariance under Time Reversal and under Particle-Antiparticle Conjugation for Relativistic Field Theories. *Kong.Dan.Vid.Sel.Mat.Fys.Med.*, 28N5:1–17, 1954. (Cited on page 4.)
- [12] O.W. Greenberg. CPT violation implies violation of Lorentz invariance. *Phys.Rev.Lett.*, 89:231602, 2002. (Cited on page 4.)
- [13] A.D. Sakharov. Violation of CP Invariance, C Asymmetry, and Baryon Asymmetry of the Universe. *Pisma Zh.Eksp.Teor.Fiz.*, 5:32–35, 1967. (Cited on page 4.)
- [14] S. Dimopoulos and L. Susskind. Baryon number of the universe. *Phys. Rev. D*, 18:4500–4509, 1978. (Cited on page 4.)

- [15] E. Komatsu et al. Seven-Year Wilkinson Microwave Anisotropy Probe (WMAP) Observations: Cosmological Interpretation. *Astrophys.J.Suppl.*, 192:18, 2011. (Cited on page 4.)
- [16] Y. Nir. Flavour physics and CP violation. 2010. (Cited on page 5.)
- [17] Z. Ligeti. Introduction to heavy meson decays and CP asymmetries. *eConf*, Co20805:Lo2, 2002. (Cited on page 5.)
- [18] M. Dine. TASI lectures on the strong CP problem. pages 349–369, 2000. (Cited on page 6.)
- [19] L. Wolfenstein. Parametrization of the Kobayashi-Maskawa Matrix. *Phys.Rev.Lett.*, 51:1945, 1983. (Cited on page 7.)
- [20] B.T. Cleveland, T. Daily, Jr. Davis, R., J.R. Distel, K. Lande, et al. Measurement of the solar electron neutrino flux with the Homestake chlorine detector. *Astrophys.J.*, 496:505–526, 1998. (Cited on page 8.)
- [21] W. Koch. Determination of the  $\Xi$  decay parameters. In (Ed.) Lock, W.O., (Ed.) Nikolic, M., and (Ed.) Van Hove, L., editors, *1964 Easter school for physicists using the CERN proton synchrotron, Herceg-Novi, Yugoslavia, 18-31 May 1964: Proceedings. 2.*, pages 75–112. CERN, 1964. (Cited on page 10.)
- [22] K.K. Sharma and R.C. Verma. A Study of weak mesonic decays of  $\Lambda_c$  and  $\Xi_c$  baryons on the basis of HQET results. *Eur.Phys.J.*, C7:217–224, 1999. (Cited on page 11.)
- [23] S. Kurokawa and E. Kikutani. Overview of the KEKB accelerators. *Nucl.Instrum.Meth.*, A499:1–7, 2003. (Cited on pages 15 and 16.)
- [24] A. Abashian, K. Gotow, N. Morgan, L. Piilonen, S. Schrenk, et al. The Belle Detector. *Nucl.Instrum.Meth.*, A479:117–232, 2002. (Cited on pages 15, 16, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 34, 37, 38, and 48.)
- [25] Z. Natkaniec, H. Aihara, Y. Asano, T. Aso, A. Bakich, et al. Status of the Belle silicon vertex detector. *Nucl.Instrum.Meth.*, A560:1–4, 2006. (Cited on pages 15 and 20.)
- [26] J. Beringer et al. Review of Particle Physics (RPP). *Phys.Rev.*, D86:010001, 2012. (Cited on pages 15, 17, 39, 43, 45, 46, 66, 82, 90, and 95.)
- [27] URL [http://belle.kek.jp/bdocs/lumi\\_belle.png](http://belle.kek.jp/bdocs/lumi_belle.png). (Cited on page 18.)
- [28] K. Hanagaki, H. Kakuno, H. Ikeda, T. Iijima, and T. Tsukamoto. Electron identification in Belle. *Nucl.Instrum.Meth.*, A485:490–503, 2002. (Cited on page 37.)
- [29] A. Abashian, K. Abe, K. Abe, P.K. Behera, F. Handa, et al. Muon identification in the Belle experiment at KEKB. *Nucl.Instrum.Meth.*, A491:69–82, 2002. (Cited on page 38.)

- [30] D.J. Lange. The EvtGen particle decay simulation package. *Nucl.Instrum.Meth.*, A462:152–155, 2001. (Cited on page 39.)
- [31] T. Sjostrand. High-energy physics event generation with PYTHIA 5.7 and JETSET 7.4. *Comput.Phys.Commun.*, 82:74–90, 1994. (Cited on page 39.)
- [32] B. Andersson, G. Gustafson, G. Ingelman, and T. Sjostrand. Parton Fragmentation and String Dynamics. *Phys.Rept.*, 97:31–145, 1983. (Cited on page 39.)
- [33] R. Brun, F. Bruyant, M. Maire, A.C. McPherson, and P. Zancarini. GEANT3. 1987. (Cited on page 39.)
- [34] J.M. Link et al. Study of the decay asymmetry parameter and CP violation parameter in the  $\Lambda_c^+ \rightarrow \Lambda\pi^+$  decay. *Phys.Lett.*, B634:165–172, 2006. (Cited on pages 41, 52, and 95.)
- [35] B. Aubert et al. Search for CP violation in the decays  $D^0 \rightarrow K^-K^+$  and  $D^0 \rightarrow \pi^-\pi^+$ . *Phys. Rev. Lett.*, 100:061803, 2008. (Cited on page 45.)
- [36] O. E. Overseth and R. F. Roth. Time reversal invariance in  $\Lambda^0$  decay. *Phys. Rev. Lett.*, 19:391–393, 1967. (Cited on page 46.)
- [37] P. D. Barnes et al. Observables in high-statistics measurements of the reaction  $\bar{p}p \rightarrow \bar{\Lambda}\Lambda$ . *Phys. Rev. C*, 54:1877–1886, 1996. (Cited on page 46.)
- [38] B. Bhuyan. High  $p_T$  tracking efficiency using partially reconstructed  $D^*$  decays. *Internal Belle note*, 1165. (Cited on page 85.)
- [39] Lefebvre M., Keeler R.K., Sobie R., and White J. Propagation of errors for matrix inversion. *Nucl.Instrum.Meth.*, A451(2):520–528, 2000. (Cited on page 121.)