



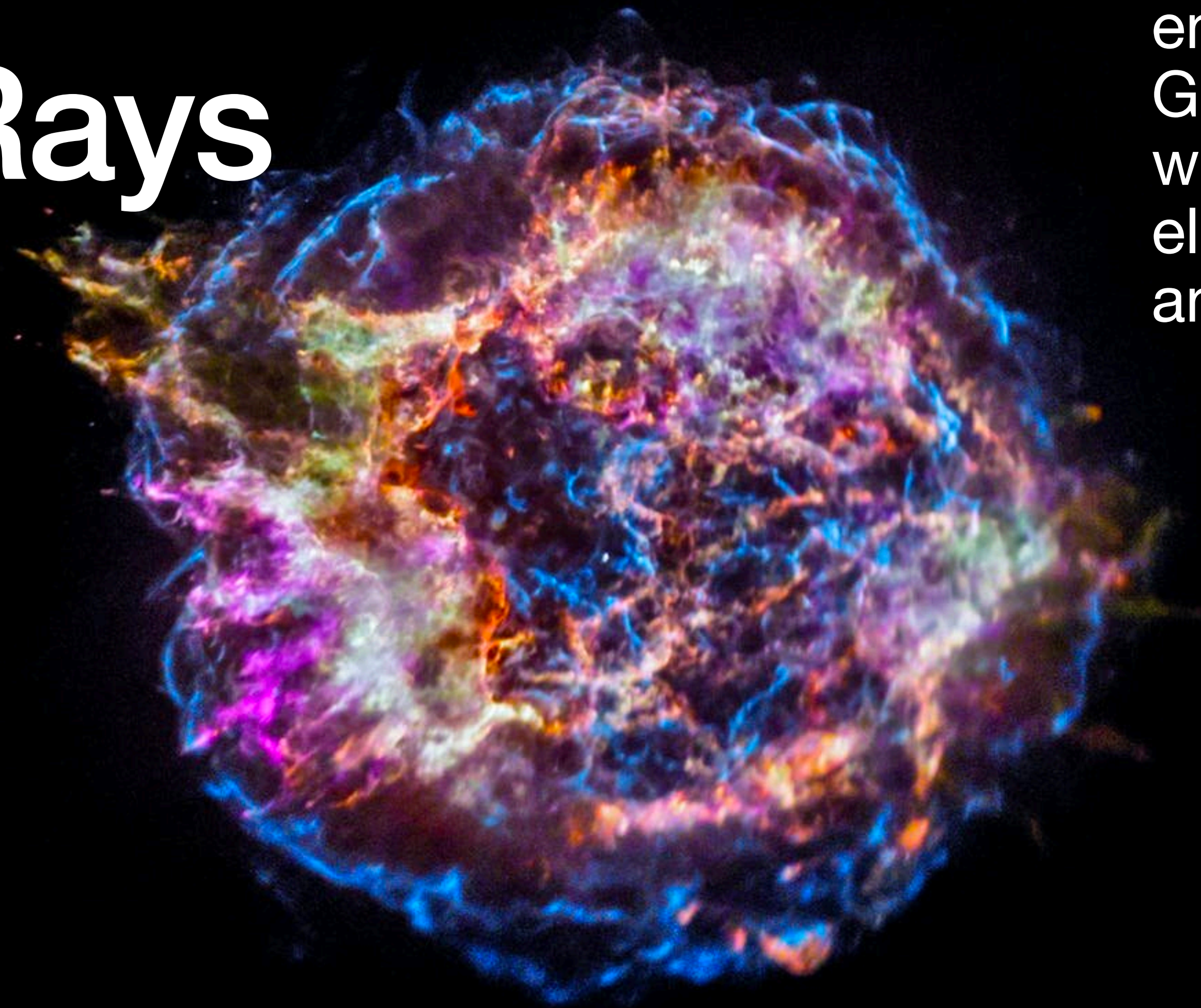
# Catching TeV—PeV particles in Space

**Andrii Tykhonov**

**Ljubljana, March 30, 2022**

# Chapter I: Cosmic Rays

Cosmic Rays — direct messengers of the most energetic events in the Galaxy and beyond, which impact Galactic element composition and evolution



# Historical remark

First hints of already in 18<sup>th</sup> century

- Coulomb observed spontaneous discharge of electroscope

1912 Discovery by Victor Hess in ballon flight

- Conclusive proof of increasing penetrating radiation with altitude

1920 Millikan called them “cosmic rays”

- Believed them to be energetic photons

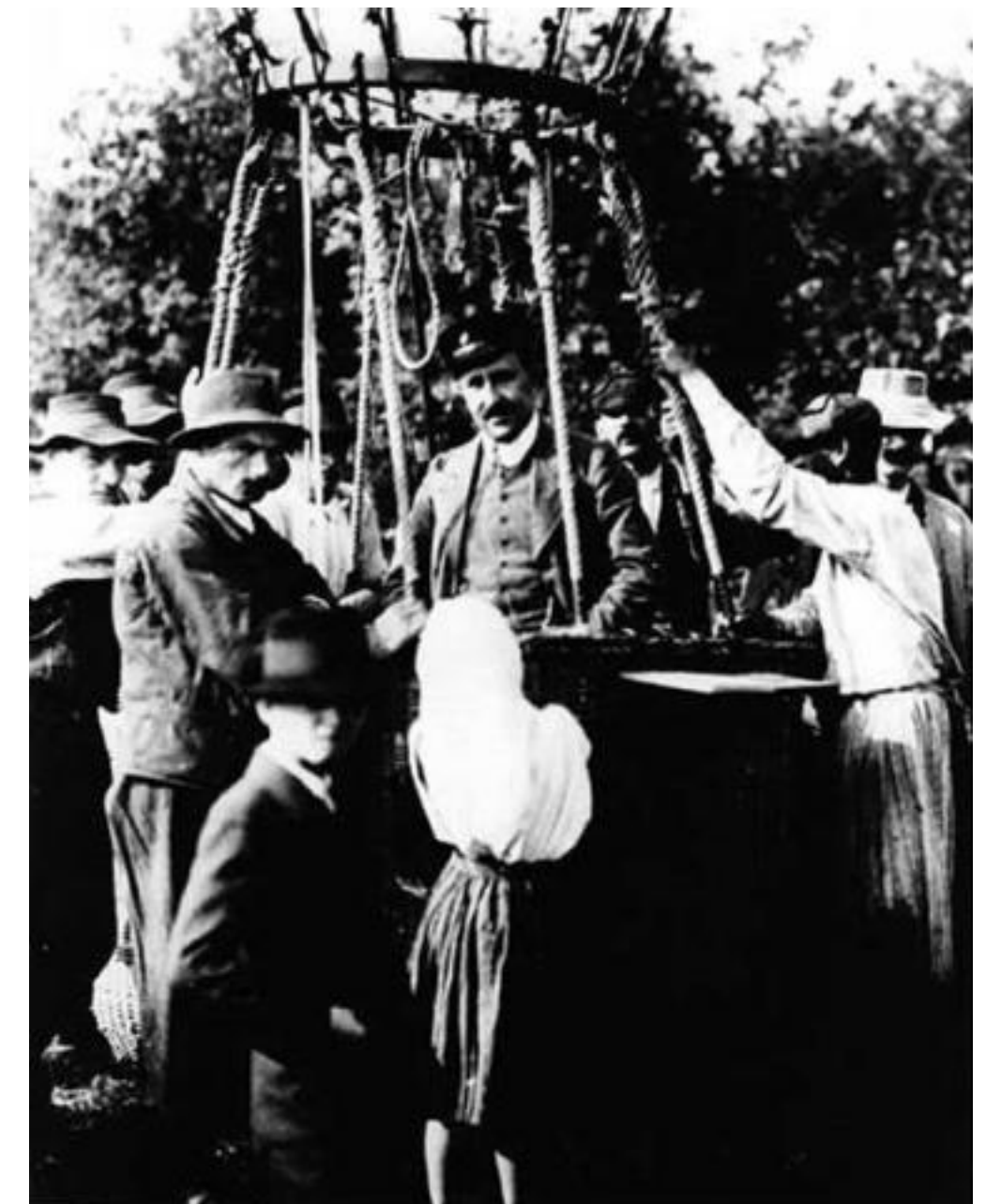
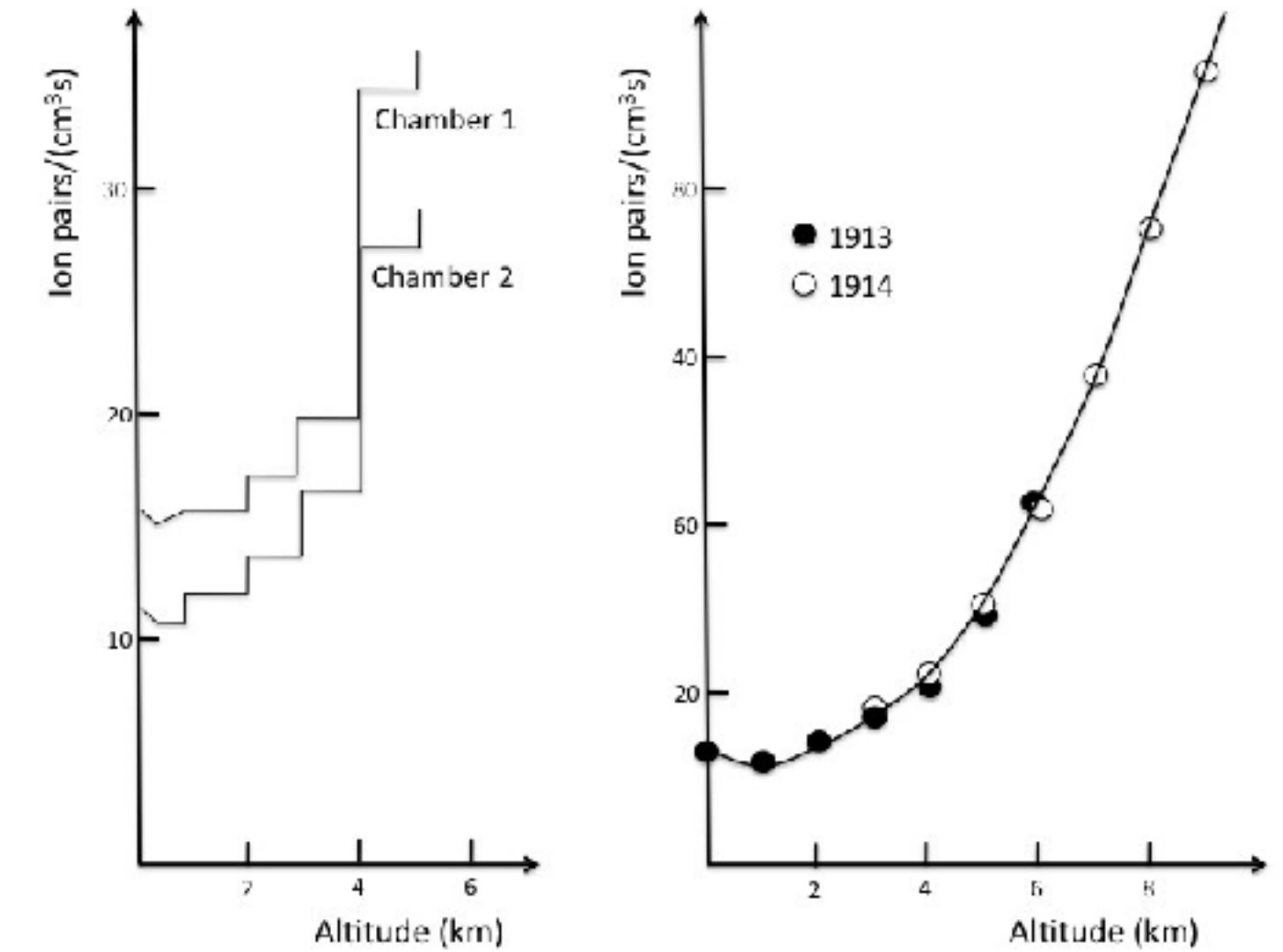
1927 J. Clay discovered the latitude dependence of cosmic ray intensity

- Geomagnetic effect proves that cosmic rays are charge particles

**Particle physics emerges from cosmic rays**

- Discovery of  $\mu$ ,  $\pi$ ,  $e^+$ ,  $K$ ,  $\Lambda$
- Proof of special relativity (atmospheric muons)

**Nowadays Cosmic Rays represent a laboratory for the Universe study ....**

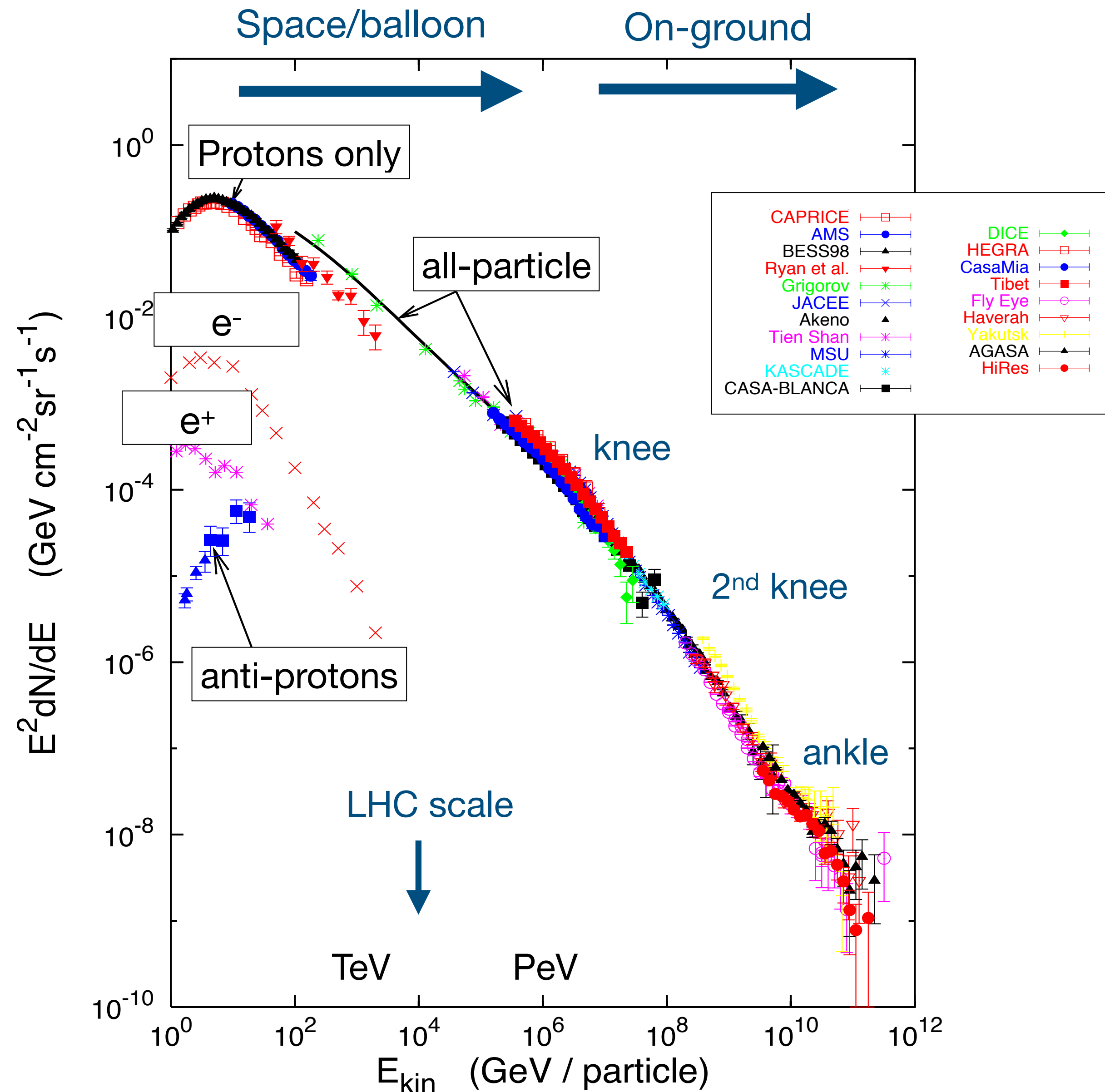


Victor Hess' flight

# Cosmic Rays (CR)

- 85—90% ***p***, 10% ***He***, few % ***ions***, <1% ***e***
- Maximum energy up to  $\sim 10^{20}$  eV (GZK cutoff\*)
- Spectrum consists of different power-laws
  - $dN/dE \propto \sim E^{-2.7}$  up to the “knee”
- **The “knee” (region around few PeV)**
  - Galactic sources “work” up to  $\sim$ PeV scale
  - Likely change of chemical composition
- **Direct measurements (Space/balloon flights)**
  - Precise, relatively small size/energy acceptance
- **On-ground (air-shower/Cherenkov)**
  - Large acceptance, limited identification capacity of CR composition

\* limit due to interaction of cosmic rays with cosmic microwave background



# Power law in CR

- Gain/loss at each acceleration proportional to energy:

$$\Delta E = k * E$$

(“rich get richer”)

- Given  $p$  — escape probability at each acceleration, probability to stay within acceleration region after  $N$  interactions:

$$P = (1-p)^N$$

- Energy after  $N$  interactions:

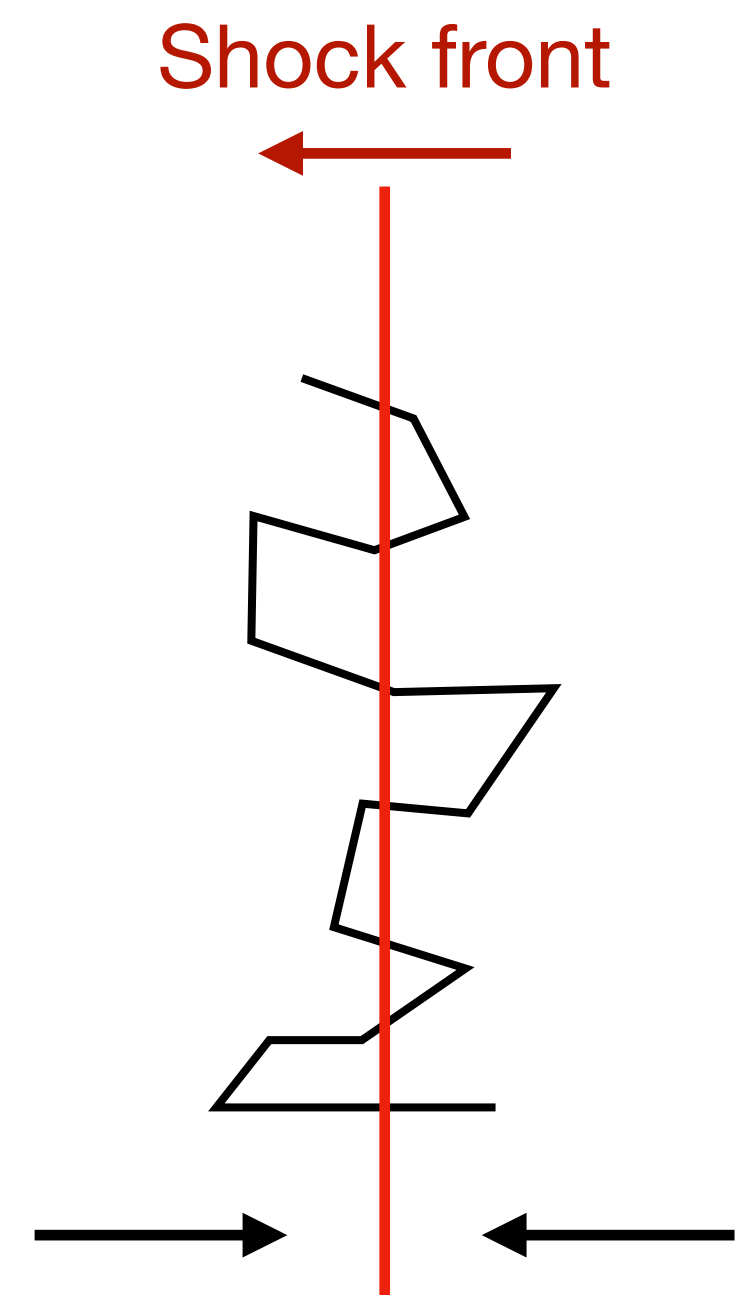
$$E = (1+k)^N * E_0$$

$$\implies \log(E/E_0) / \log(1+k) = \log(P) / \log(1-p) \implies P(E) \propto E^{-\gamma}$$

... where  $\gamma = -\log(1-p) / \log(1+k)$ . In differential form:

$$dP/dE \propto E^{-\gamma-1}$$

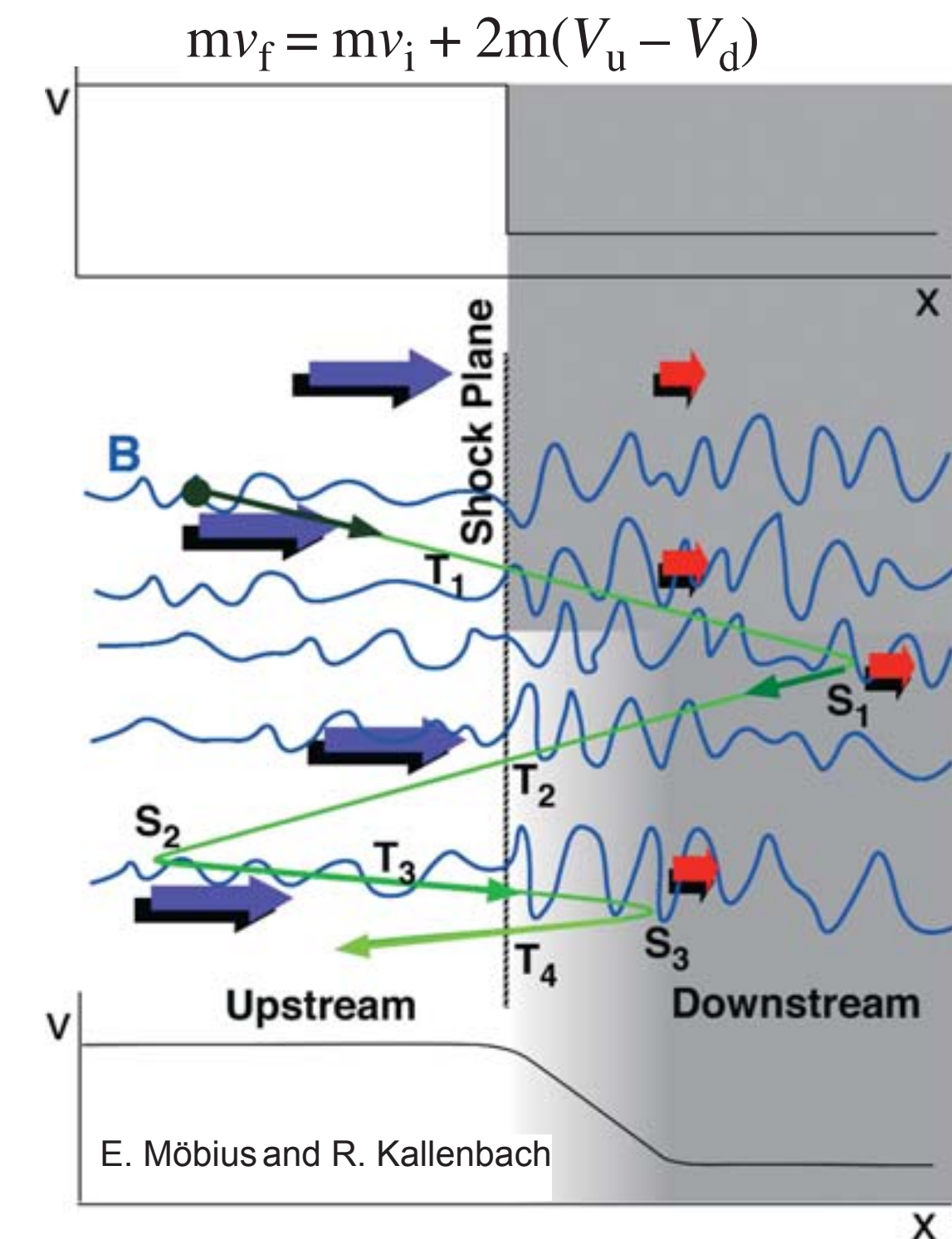
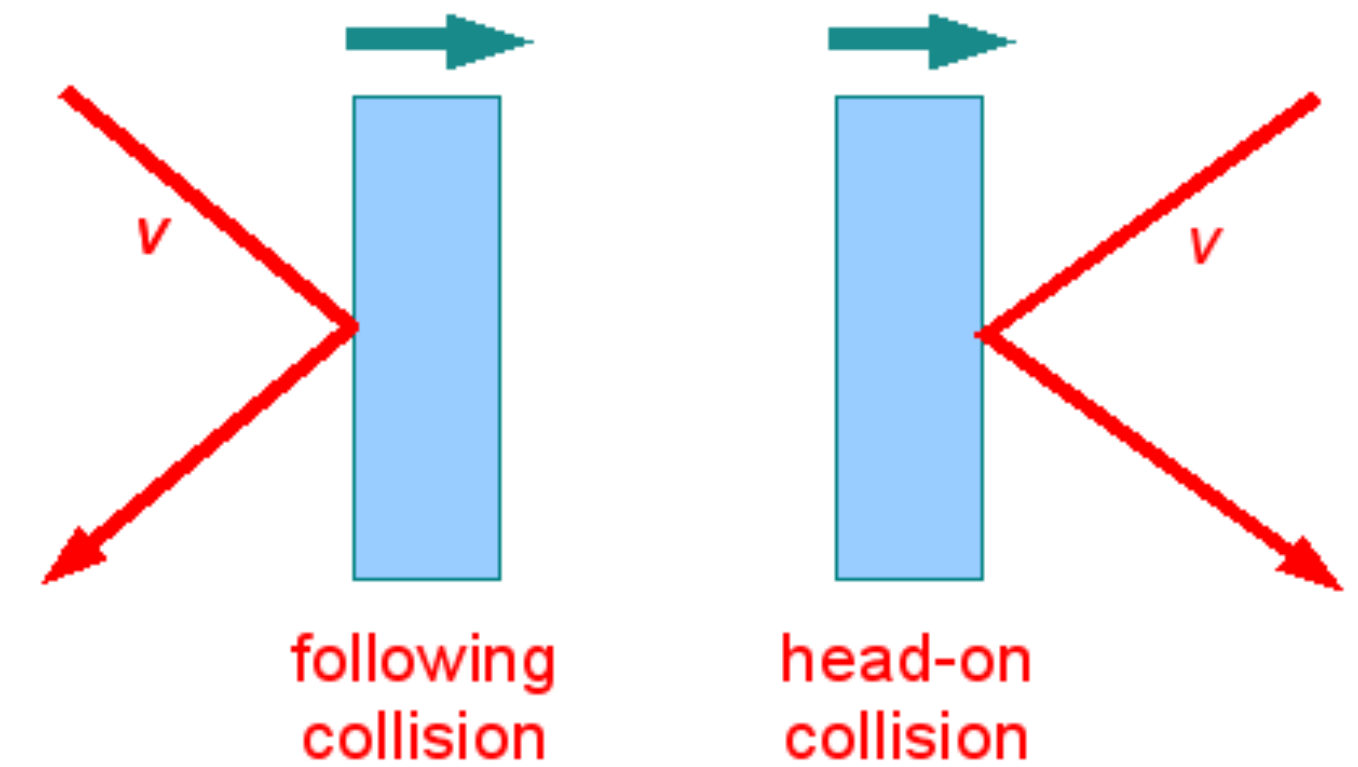
— probability distribution function of gained CR energy



Accelerated particle going back and forth until it escapes the front

# Fermi acceleration mechanism

- **Fermi 2-nd order:**  $dE / E \sim \sqrt{V / C}$ 
  - “Reflection” from magnetic “mirror”
  - Energy loss at following collision
  - Energy gain at heads-on collision
  - Not efficient enough to explain CR spectra
- **Fermi 1-st order:**  $dE / E \sim V / C$ 
  - Acceleration when crossing shock wave front
  - Energy gain both upstream and downstream
  - Yields spectral index  $\sim 2$
  - **Efficient**
    - ... can produce galactic CR in supernovae
    - ... at least least up to  $\sim 0.x$  PeV



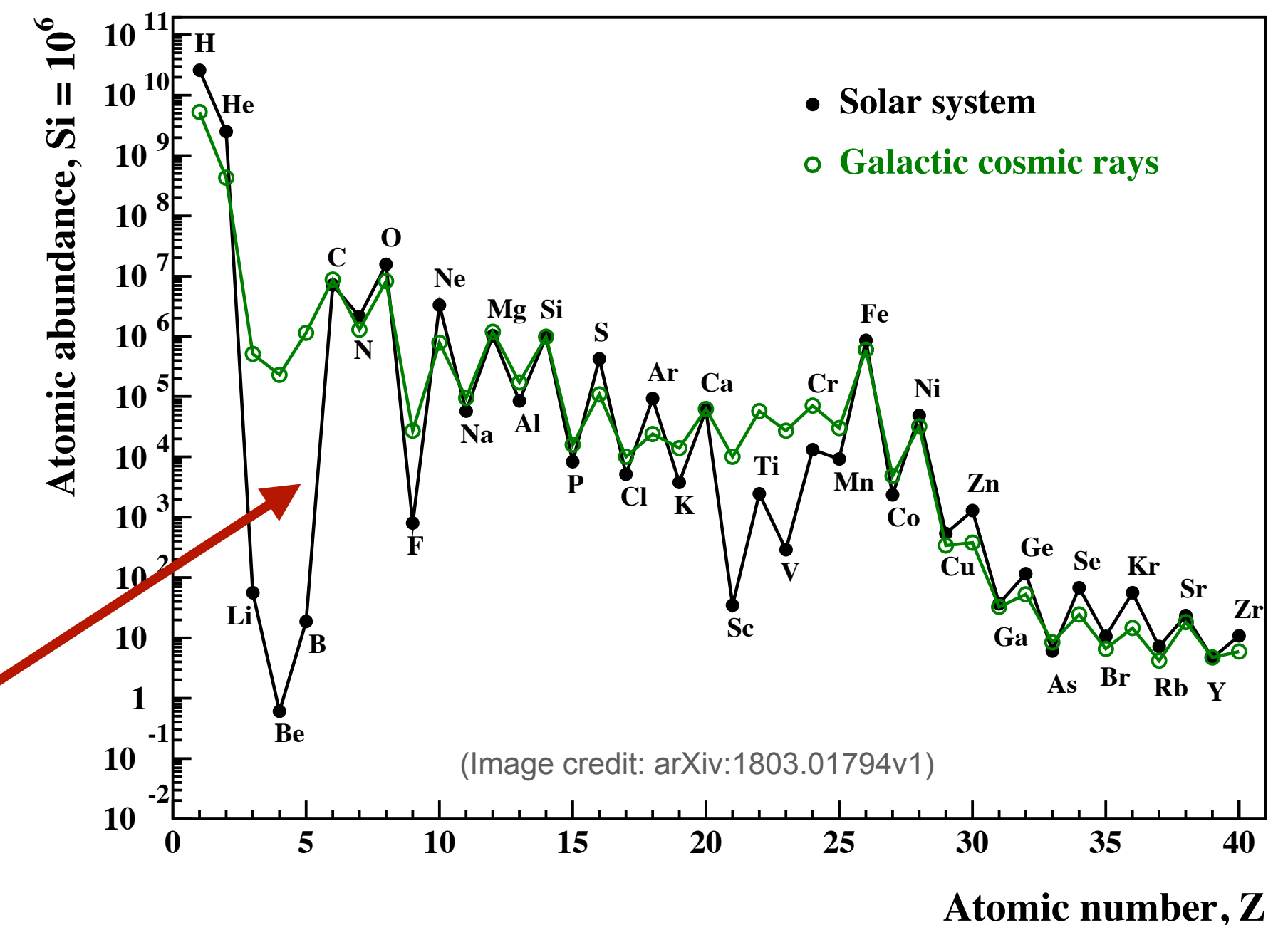
# Cosmic ray propagation

Cosmic rays propagate through Interstellar Medium (ISM) before reaching us

- Diffusive confinement of CRs in the Galaxy
  - leaky box model
- Traverse on average  $\sim 10 \text{ g/cm}^2$
- Crossing multiple turbulent magnetic fields
  - isotropic CR direction
- Power law spectrum modified

$$P(E) \propto E^{-\gamma-\Delta}$$

- Secondary cosmic rays produced
- Ratio of primary/secondary CRs (e.g. B/C) carries crucial information about ISM!



# Supernovae remnants (SNRs)

**SNRs – most likely source of CRs below the “knee”**

**Only known Galactic source with sufficient energy to power CRs**

Even for SNRs, the mechanism has to be highly efficient!

**CR composition → source injecting material from entire galaxy**

Old not freshly synthesised material including low-mass stars

**Non-thermal emission observed in SNRs**

Radiation by ultra relativistic electrons & ions

In-situ footprint of CR production!

**Collisionless shock in SNRs → 1<sup>st</sup> order Fermi acceleration**

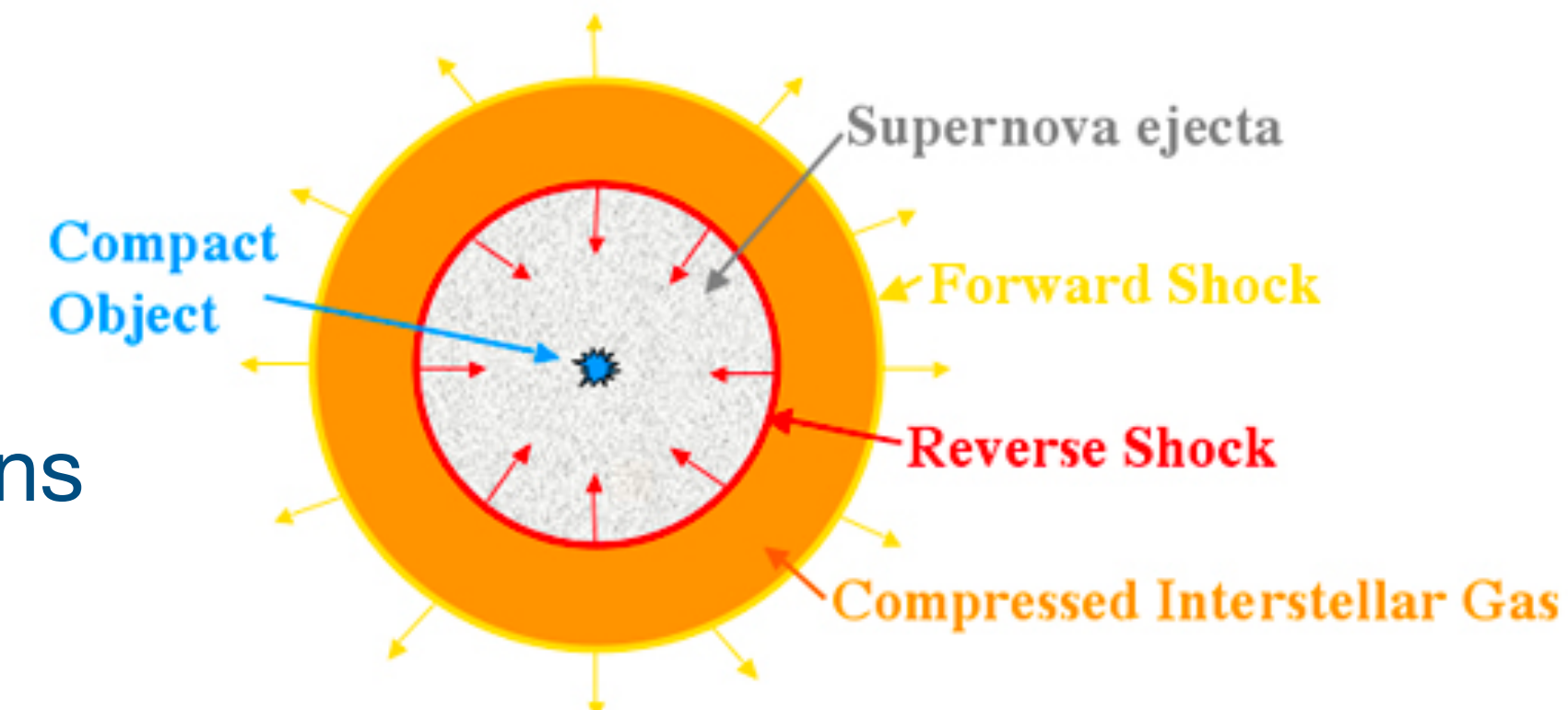
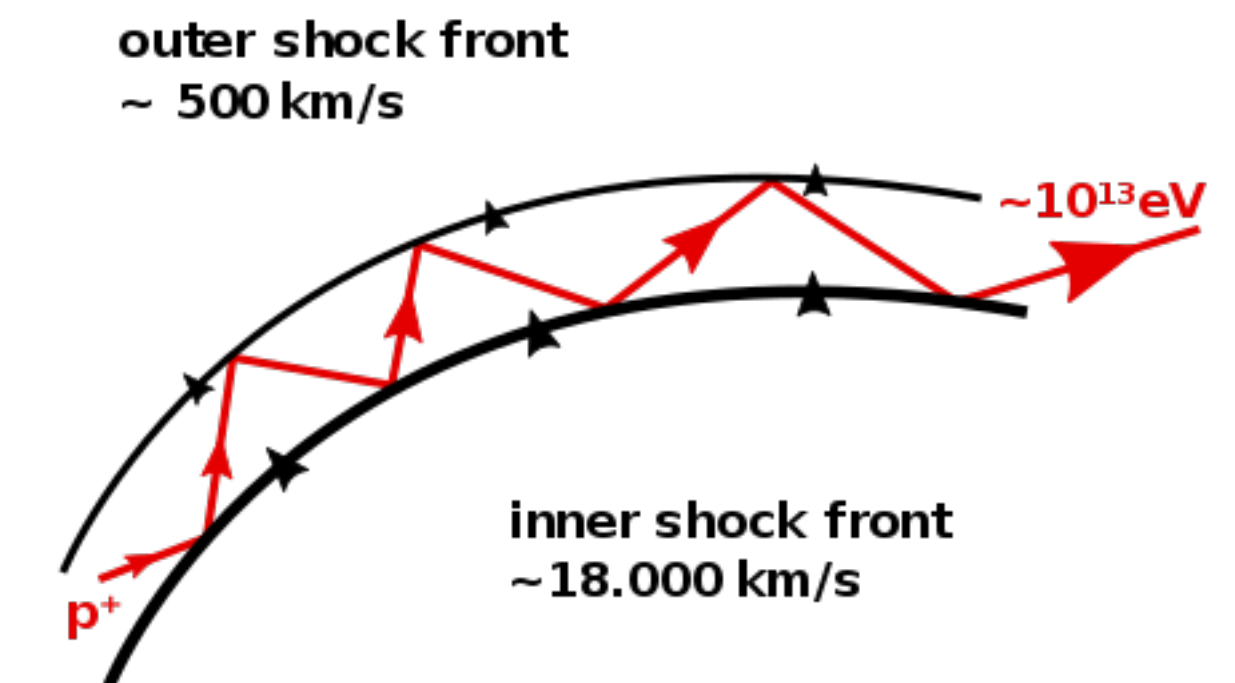
Effective for accelerating of ions & electrons

Most naturally explains similar spectral shapes of leptons and hadrons

(See e.g. Bykov et al. [arxiv.org/abs/1801.08890v1](https://arxiv.org/abs/1801.08890v1) Bell et al. [doi.org/10.1016/j.astropartphys.2012.05.022](https://doi.org/10.1016/j.astropartphys.2012.05.022))



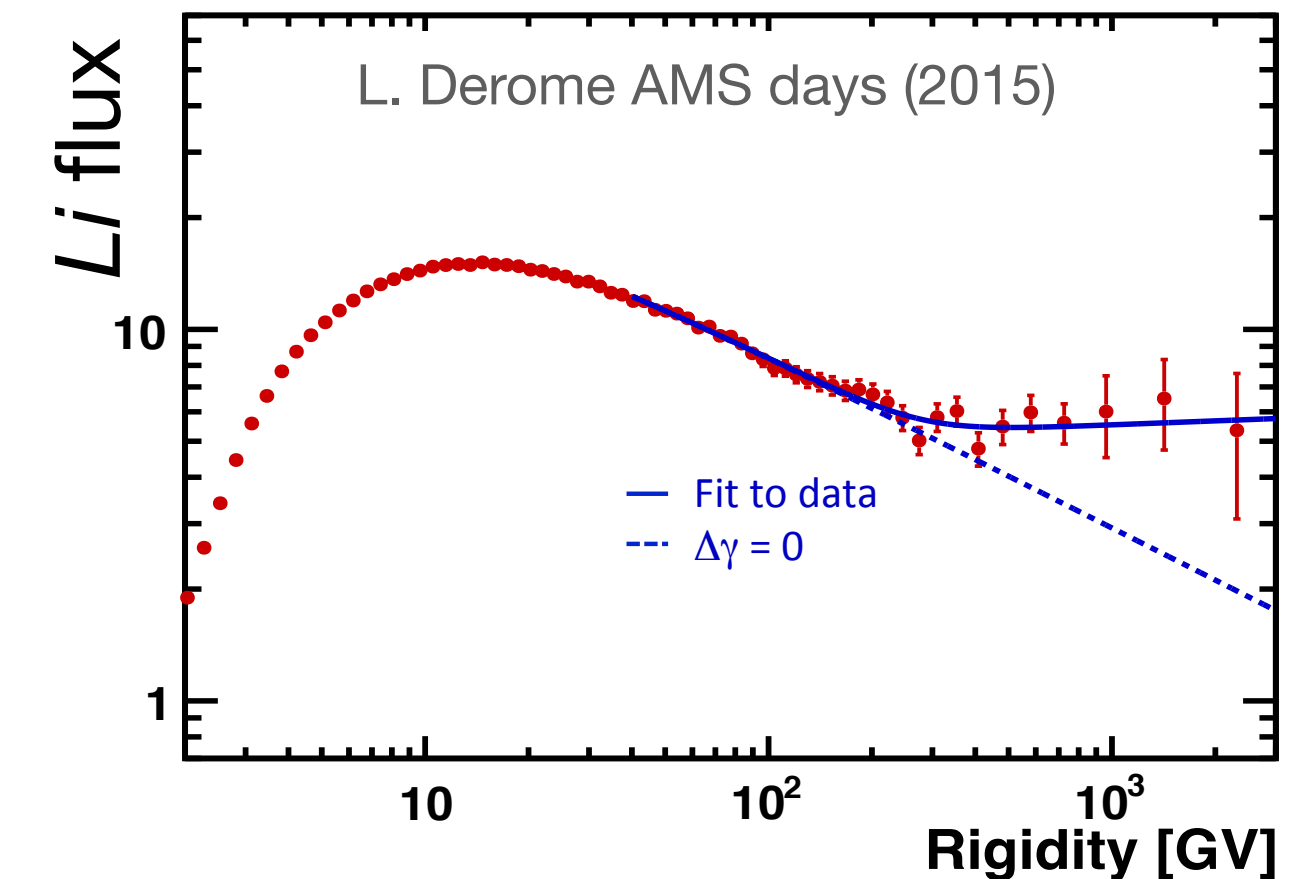
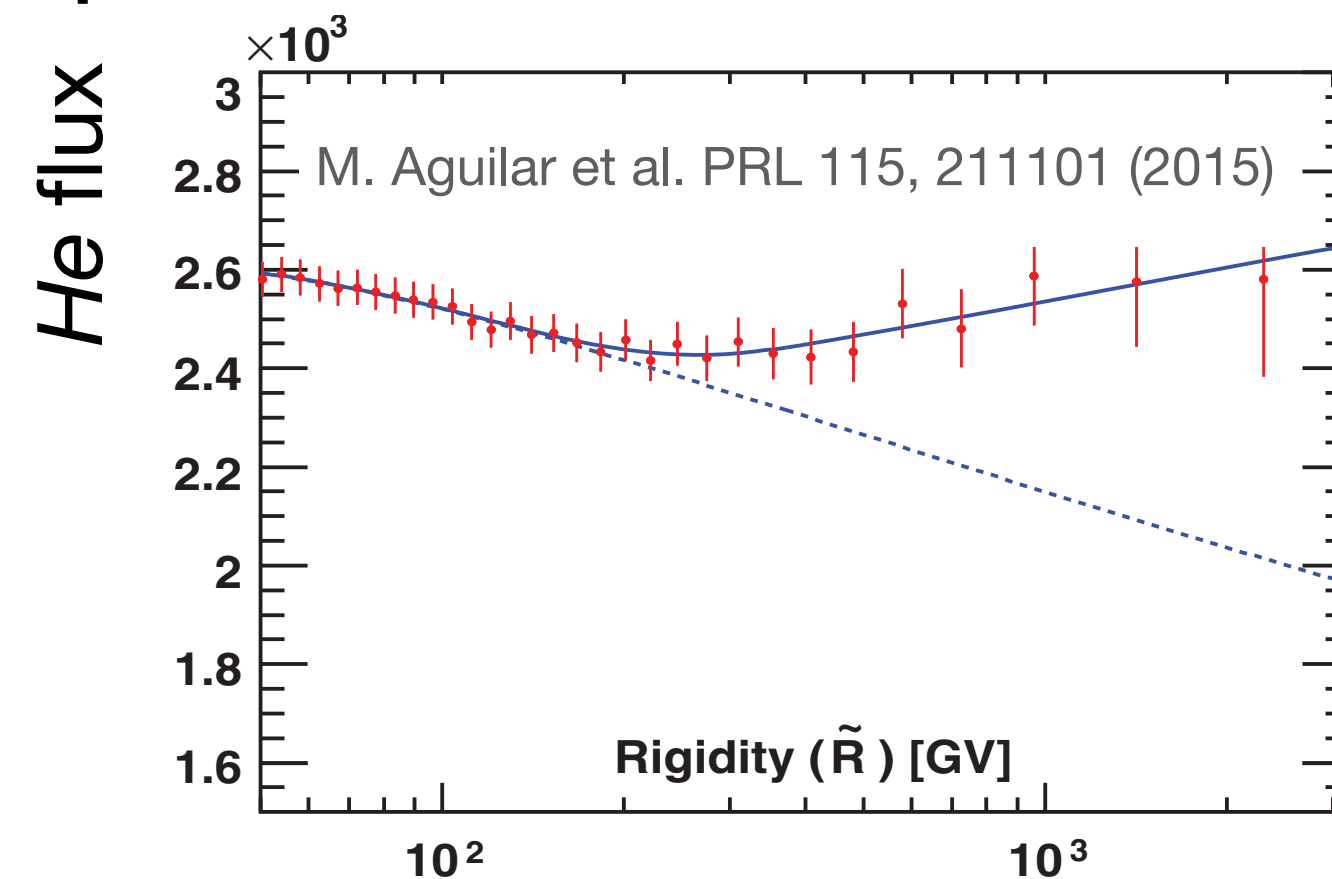
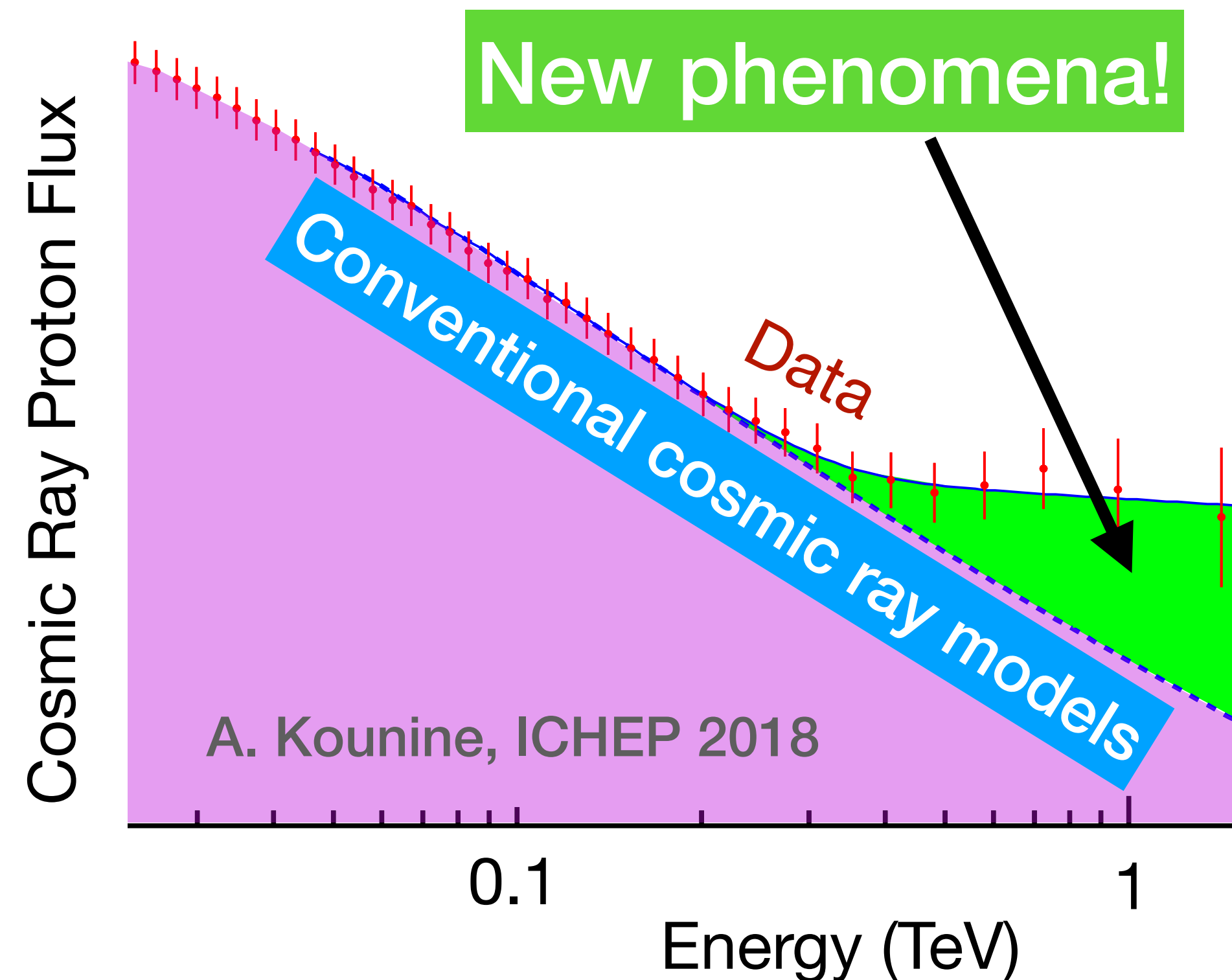
SNR example: Crab nebula



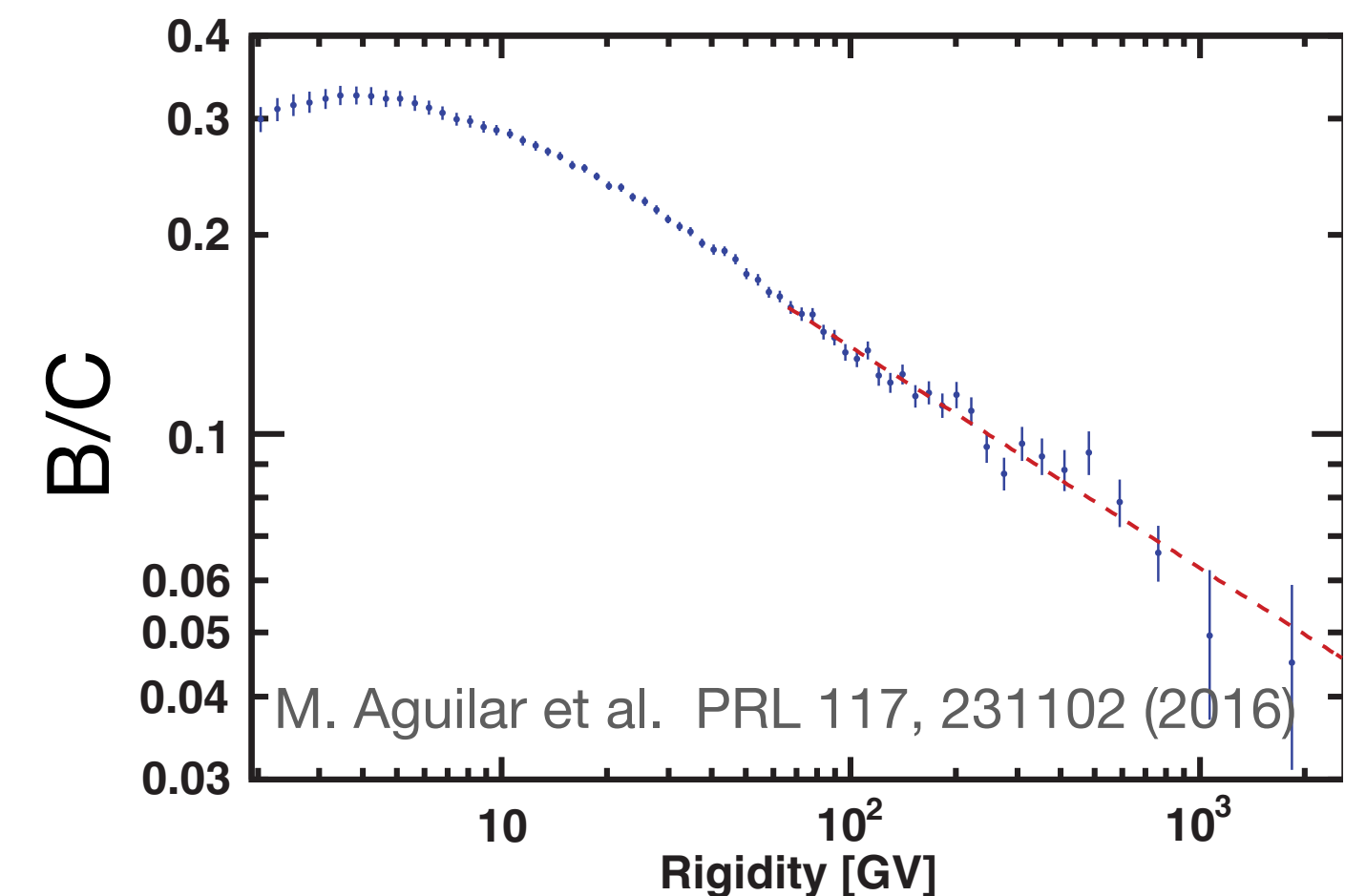
(Image credit: <https://astronomy.swin.edu.au/>)

# Problems with SNRs (< TeV scale)

- Recent Cosmic Ray data question conventional SNR models
- Spectral break confirmed in major CR species



- No structure in B/C ratio !



- New source?
- New propagation mechanism?  
self-generated waves (Blasi et al), superbubbles ...

# Problems with SNRs (PeV scale)

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## Challenging to explain CR acceleration to PeV by classic SNR paradigm

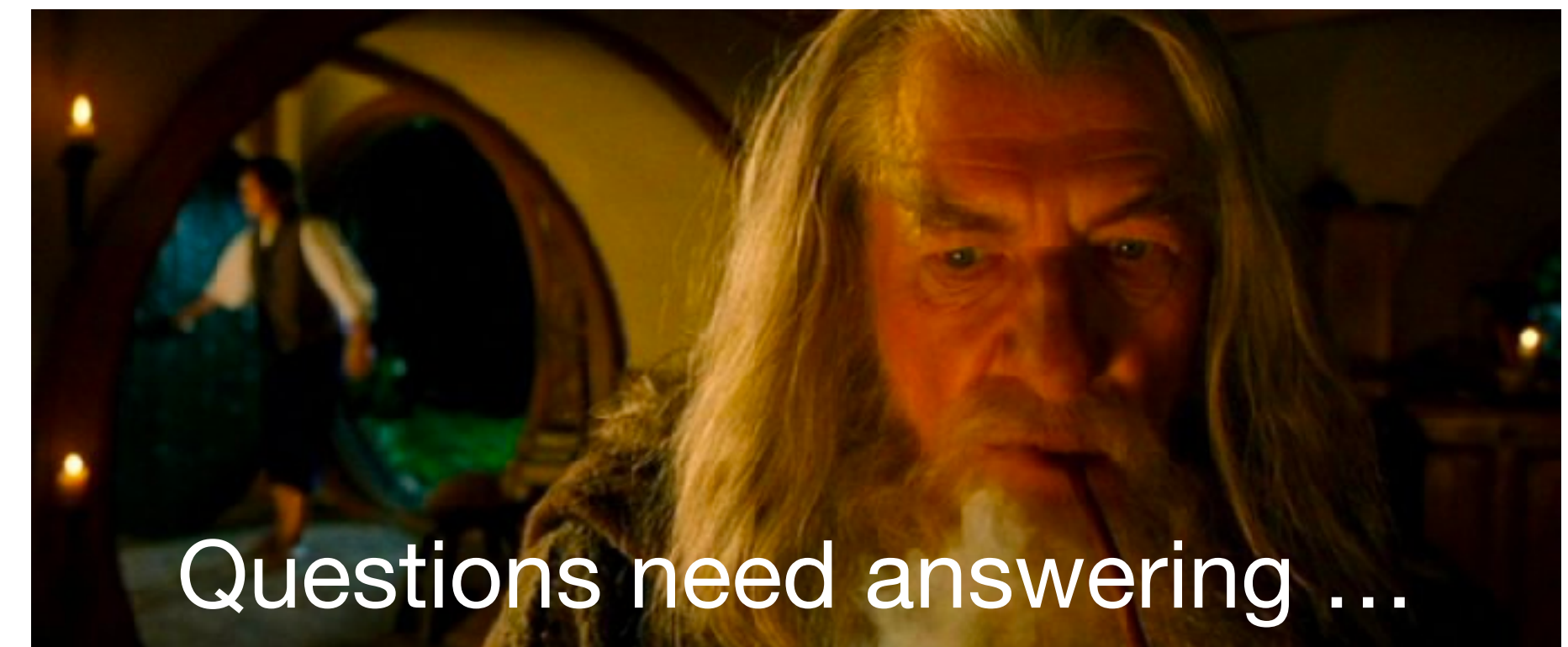
- Maximum CR energy in observed SNRs does reach into the “knee”
- Requires strong magnetic field amplification above typical interstellar values
- How particles escape from the accelerator without experiencing strong adiabatic losses ?

## Are there CRs beyond PeV produced in SNRs?

- Acceleration in early years after supernovae explosion?
- Explosion of Wolf-Rayet stars? (Thoudam et al.)
- Re-acceleration of CRs in Superbubbles?

Origin of cosmic rays close to the knee and above  
remains an enigma!

**Observational data at TeV—PeV is a key to crack it!**



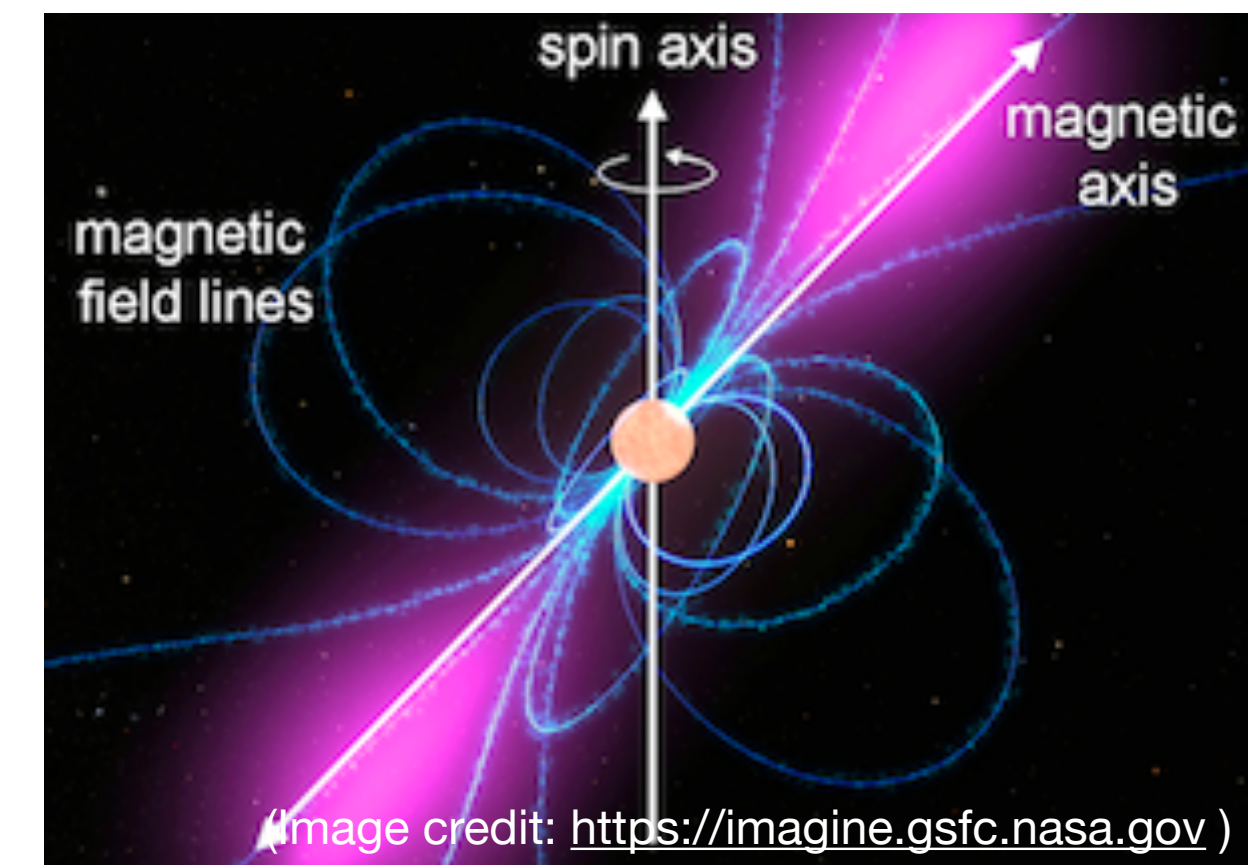
# Cosmic Rays beyond the “knee”

Mostly extragalactic, even more uncertain origin, various hypotheses exist

## ***Objects with strong rotating magnetic fields***

- **Binaries** with neutron star or a pulsar  
Mergers accompanied by Gamma-Ray Burst & Gravitational Waves
- **Pulsars** — fast spinning highly-magnetised neutron star  
Appears as a result of Supernovae explosion

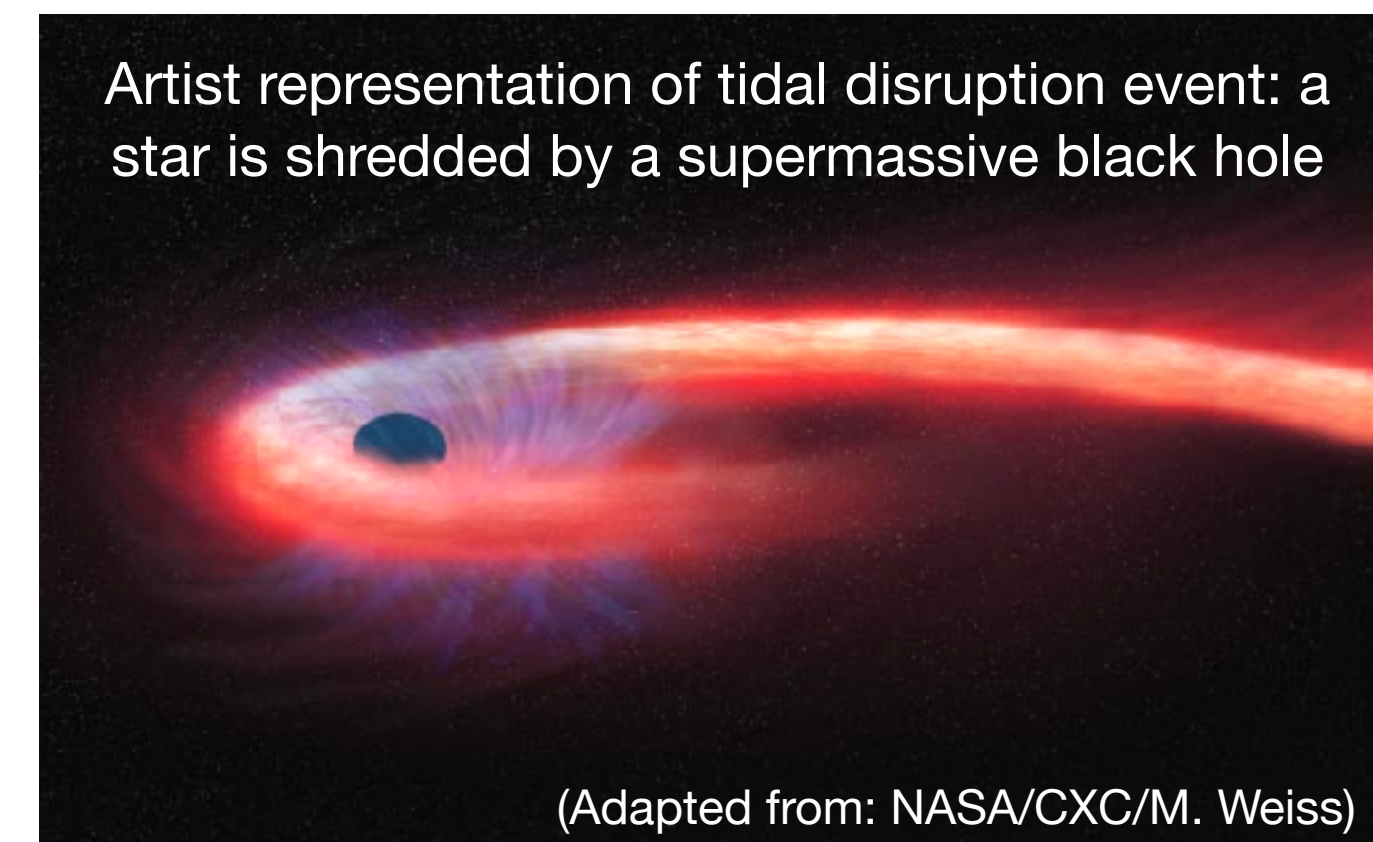
Pulsar magnetic & spin axes do not coincide  
→ appear “flickering” (pulsating)



## ***Accretion to supermassive black hole***

- Tidal Disruption Events
- Active Galactic Nuclei, ...

***Starburst galaxies, etc.***



# Cosmic ray electrons & positrons (CRE)

Rare: 1/10000 cosmic rays at 1 TeV is an  $e^-$  or  $e^+$

- Sensitive to new physics

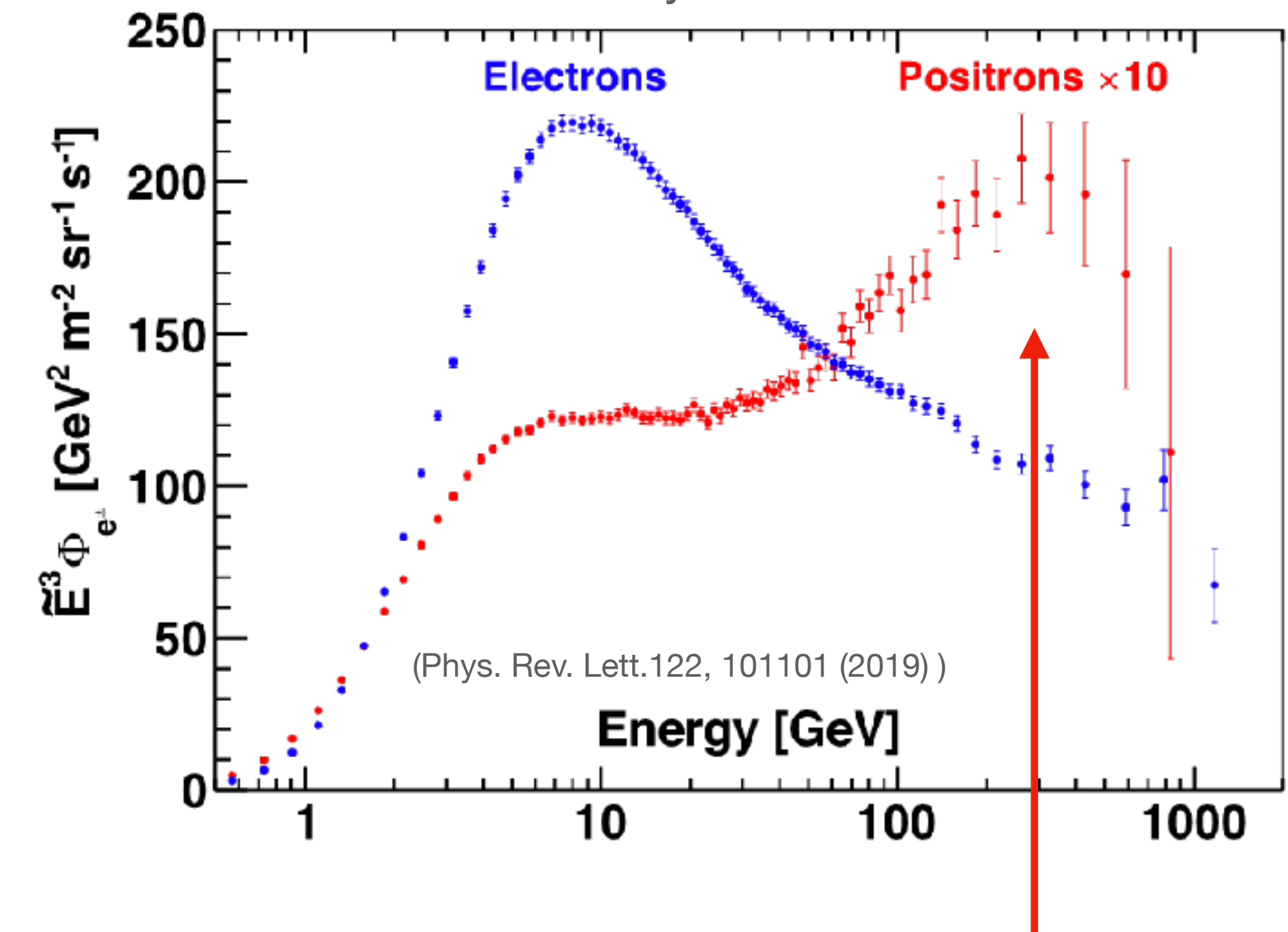
Rapidly loose their energy during propagation

- (synchrotron radiation & inverse Compton)
- Only nearby sources (1 kpc) at TeVs

Can be of primary or secondary nature

- **(Primary)** Pulsars & Supernovae
  - Same acceleration mechanism as CR  $p$ /ions
  - Mostly originate from  $\pi$  decays,
  - photons above  $e^+e^-$  production threshold (pulsars) ?
- **(Secondary)** interaction of CR with interstellar medium

CR electron and positron spectrum up to 1 TeV  
measured by AMS-02 mission

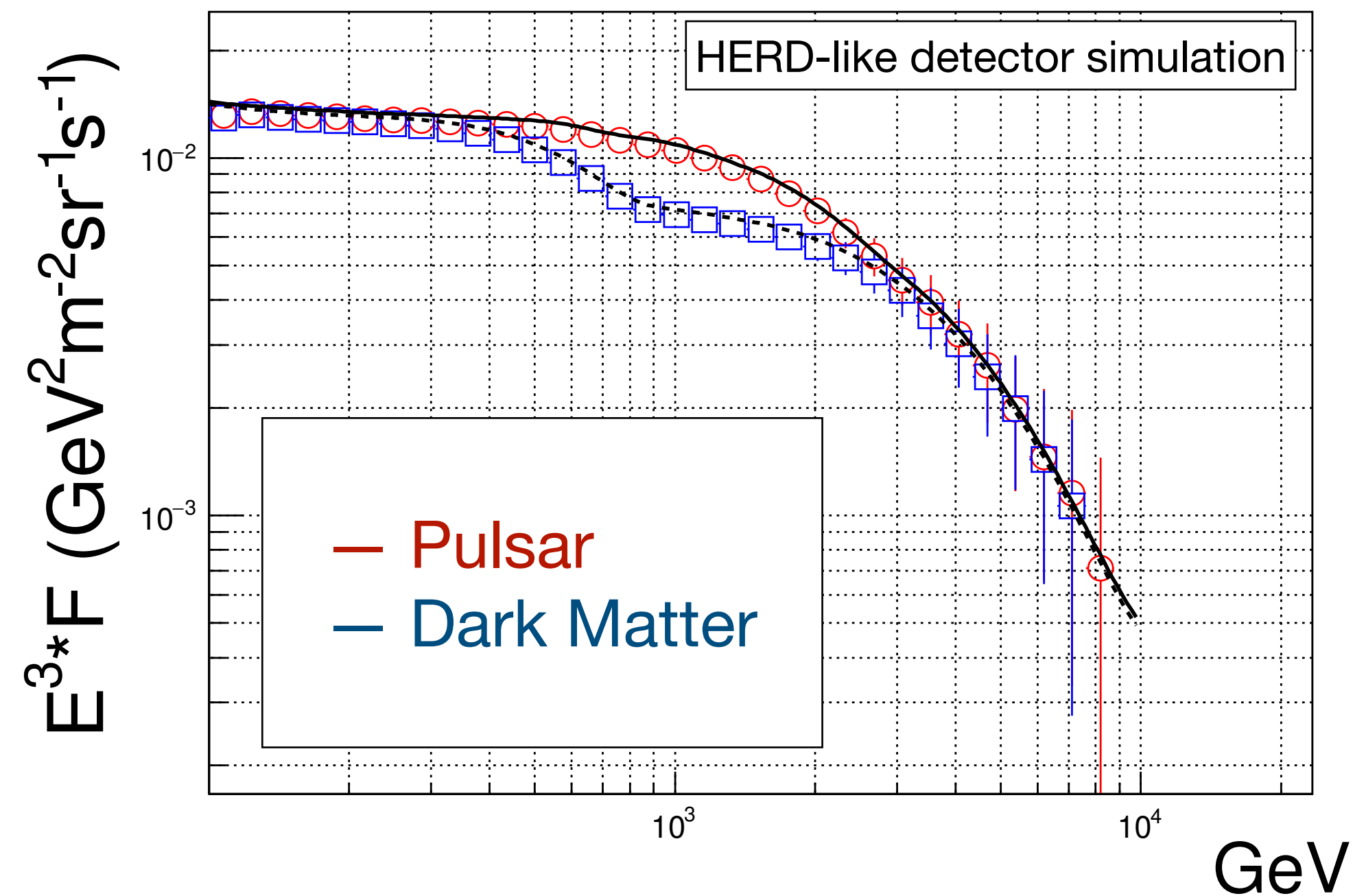


Positron spectrum incompatible with  
purely secondary origin: DM, pulsar ?

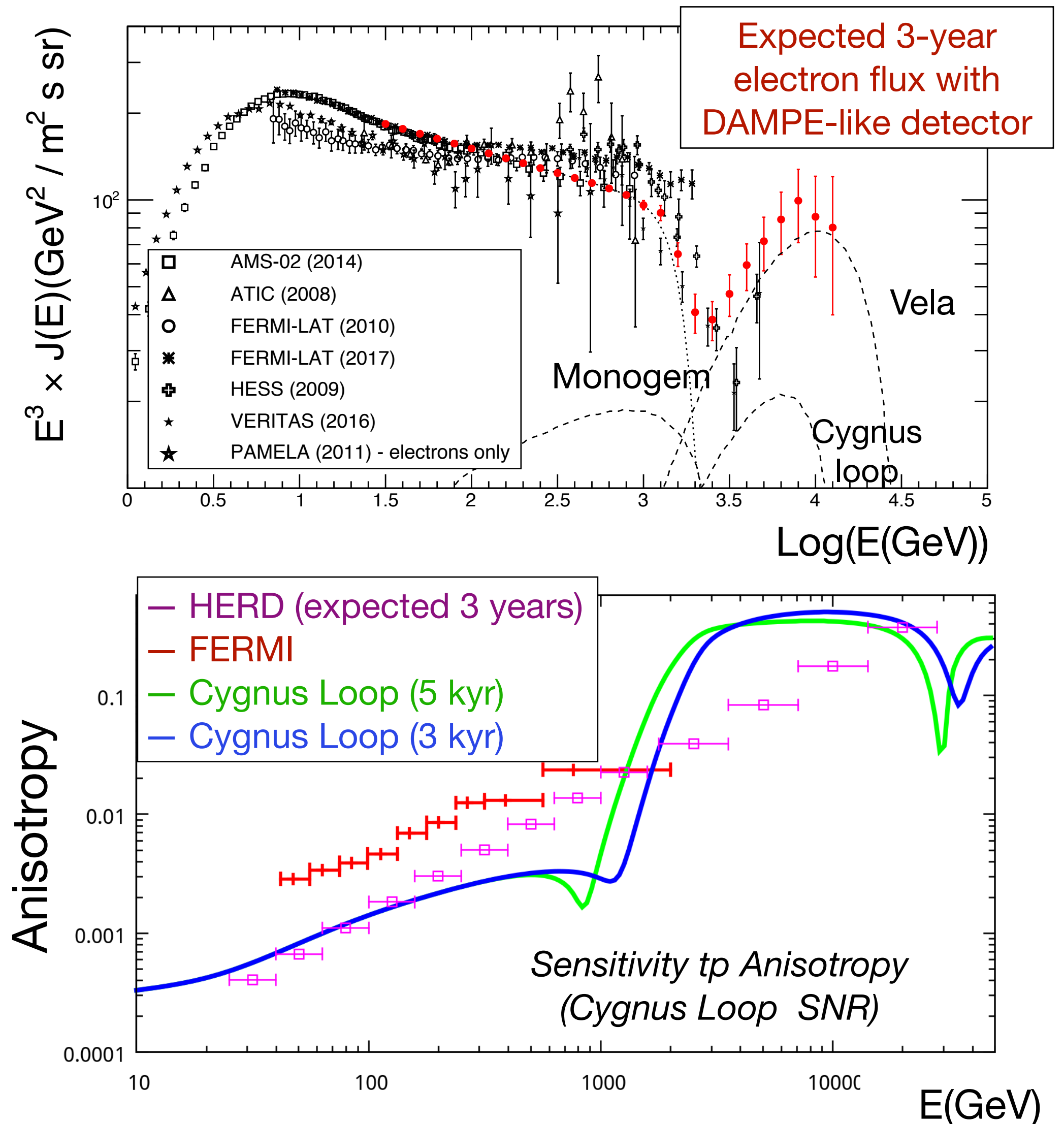
# Cosmic ray electrons & positrons (CRE)

Can be produced in annihilation\decay of Dark Matter (DM)

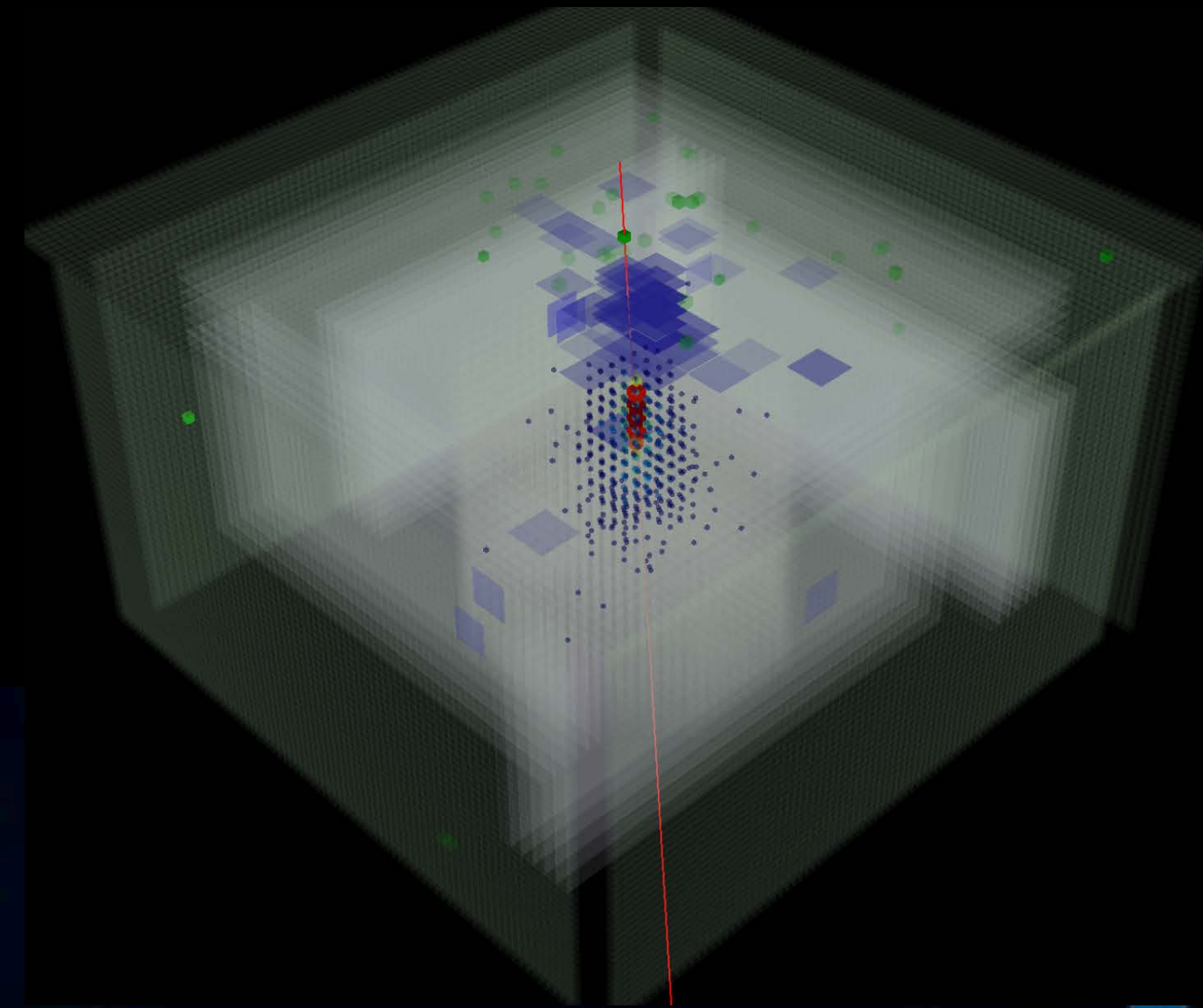
- Distinct spectral features, isotropic



Future precise CRE spectrum & anisotropy measurement at TeVs — key to disentangle Dark Matter signatures from local sources



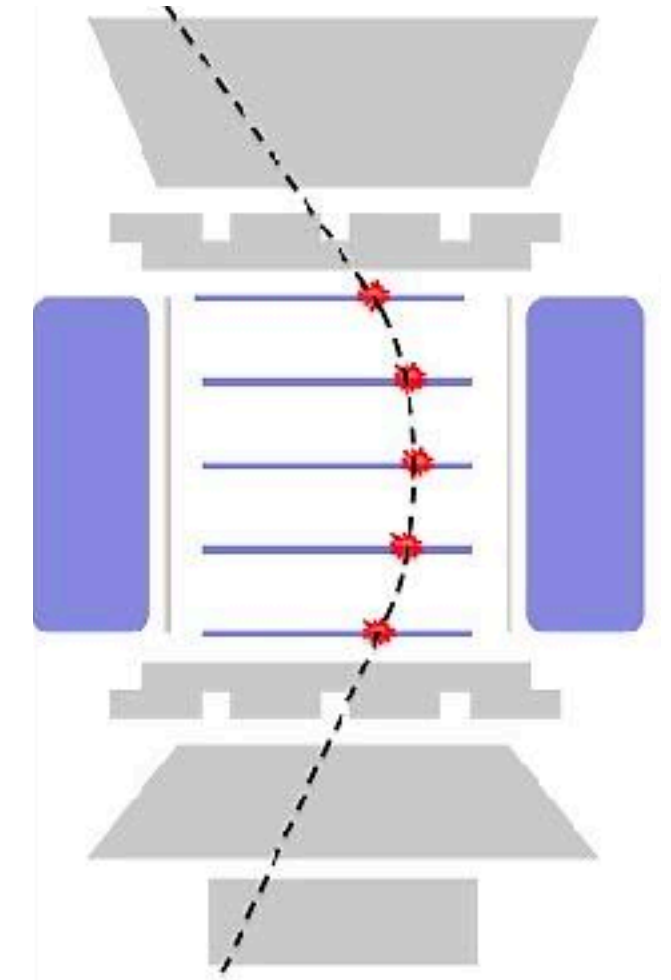
# Chapter II: Instruments



# From spectrometers to calorimeters

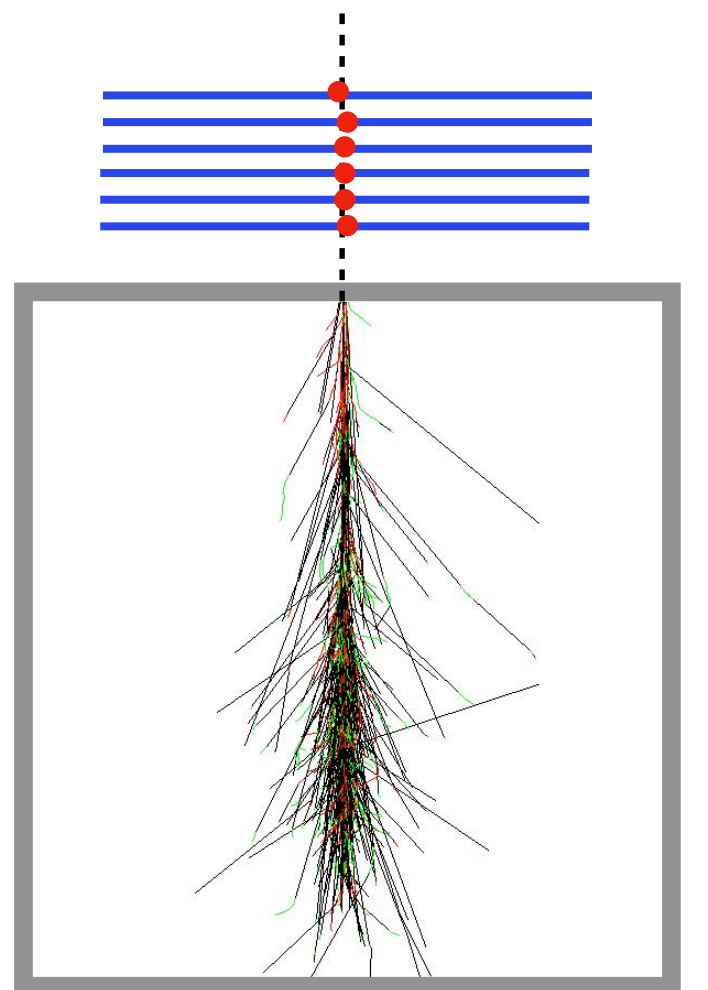
## Alpha Magnetic Spectrometer (AMS-02)

- Launched to ISS in 2011
- Utmost precise CR measurements up to  $\sim$  TeV
- Difficult to go beyond few TeV with spectrometers



## Calorimetric space experiments

- **AGILE, FERMI** (2007, 2008) — relatively thin calorimeters
- **CALET**: Calorimetric Electron Telescope (launch 2015)
- **DAMPE**: DArk Matter Particle Explorer (launch 2015)
- **HERD**: High Energy Radiation Detection mission (next-gen)



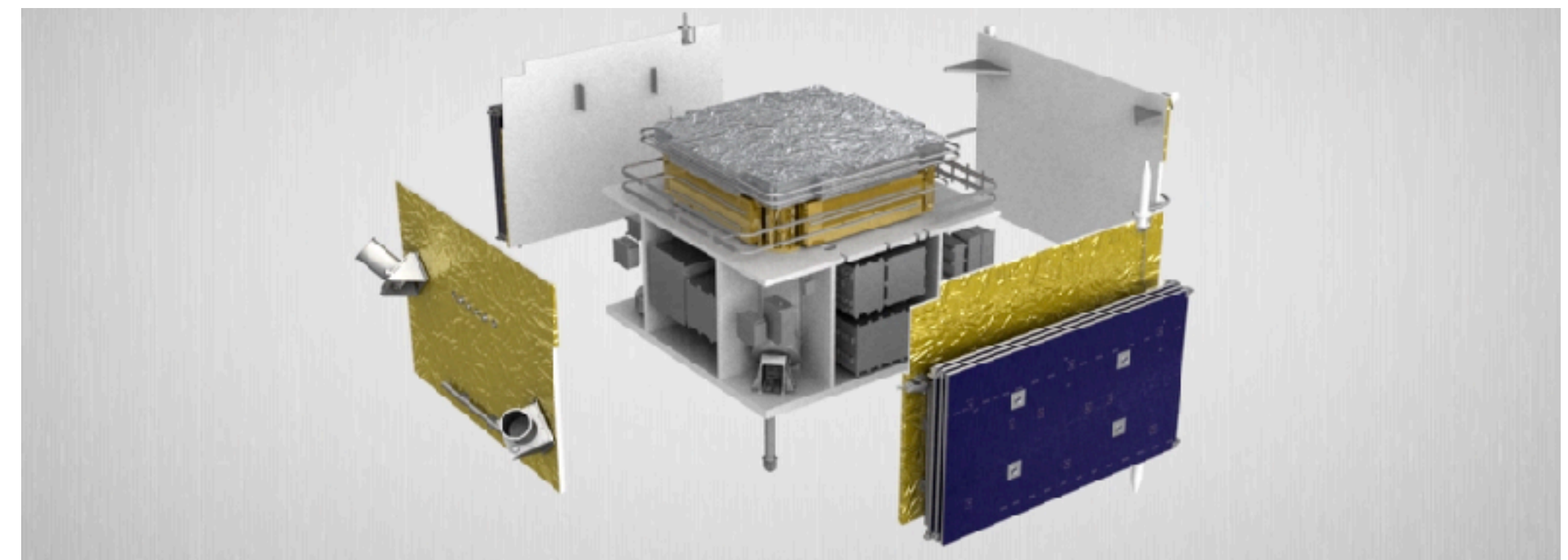
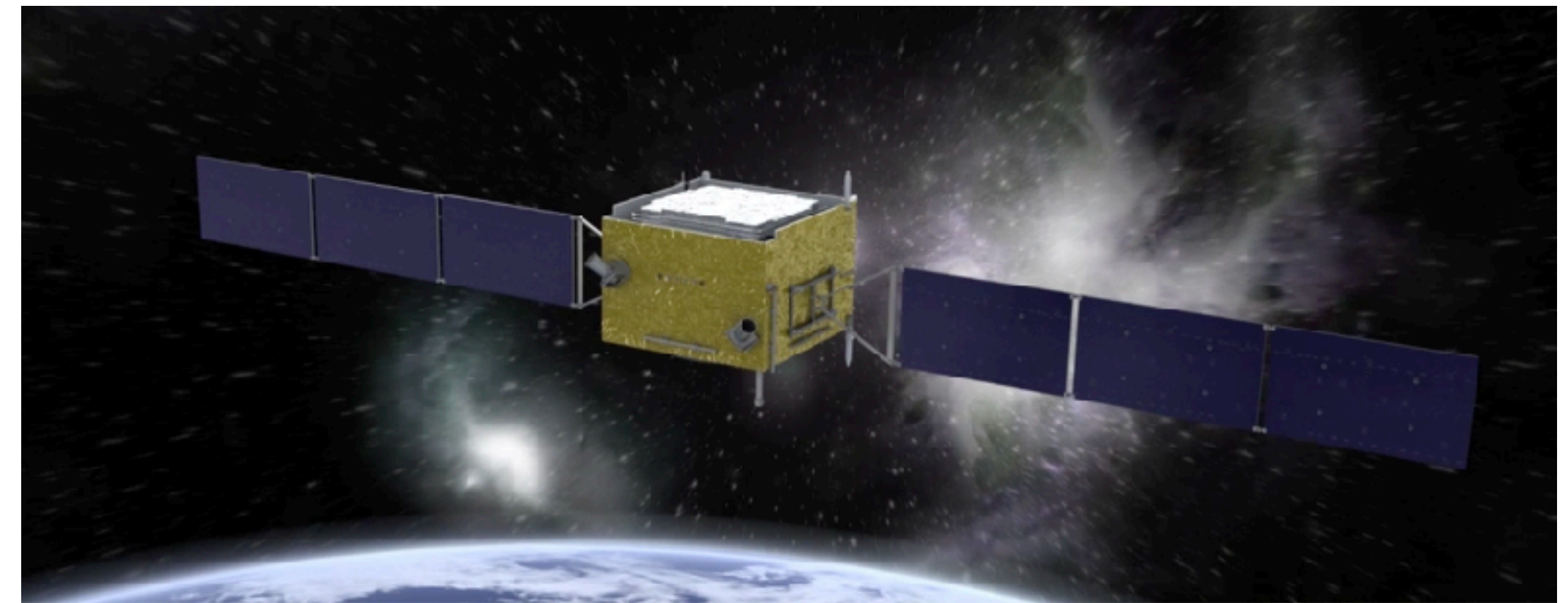
# DArk Matter Particle Explorer (DAMPE)

- Launched in **Dec 2015**
- Orbit: sun-synchronous, **500 km**
- Period: **95 min**
- Payload: **1.4 Tonn**
- Power: **~ 400 W**
- Data: **~ 12 GByte / day**

*Collaboration*



University of Geneva



# DArk Matter Particle Explorer (DAMPE)

## Thick calorimeter $\sim 32 X_0$ (biggest in Space)

- e/ $\gamma$  detection up to **10 TeV**
- CR  $p$ /ions **50 GeV – 500 TeV**
- e/ $\gamma$  energy resolution **1% at TeVs**
- e/p rejection factor  $\sim 10^4 - 10^5$

## Precise Silicon-Tungsten tracker-converter

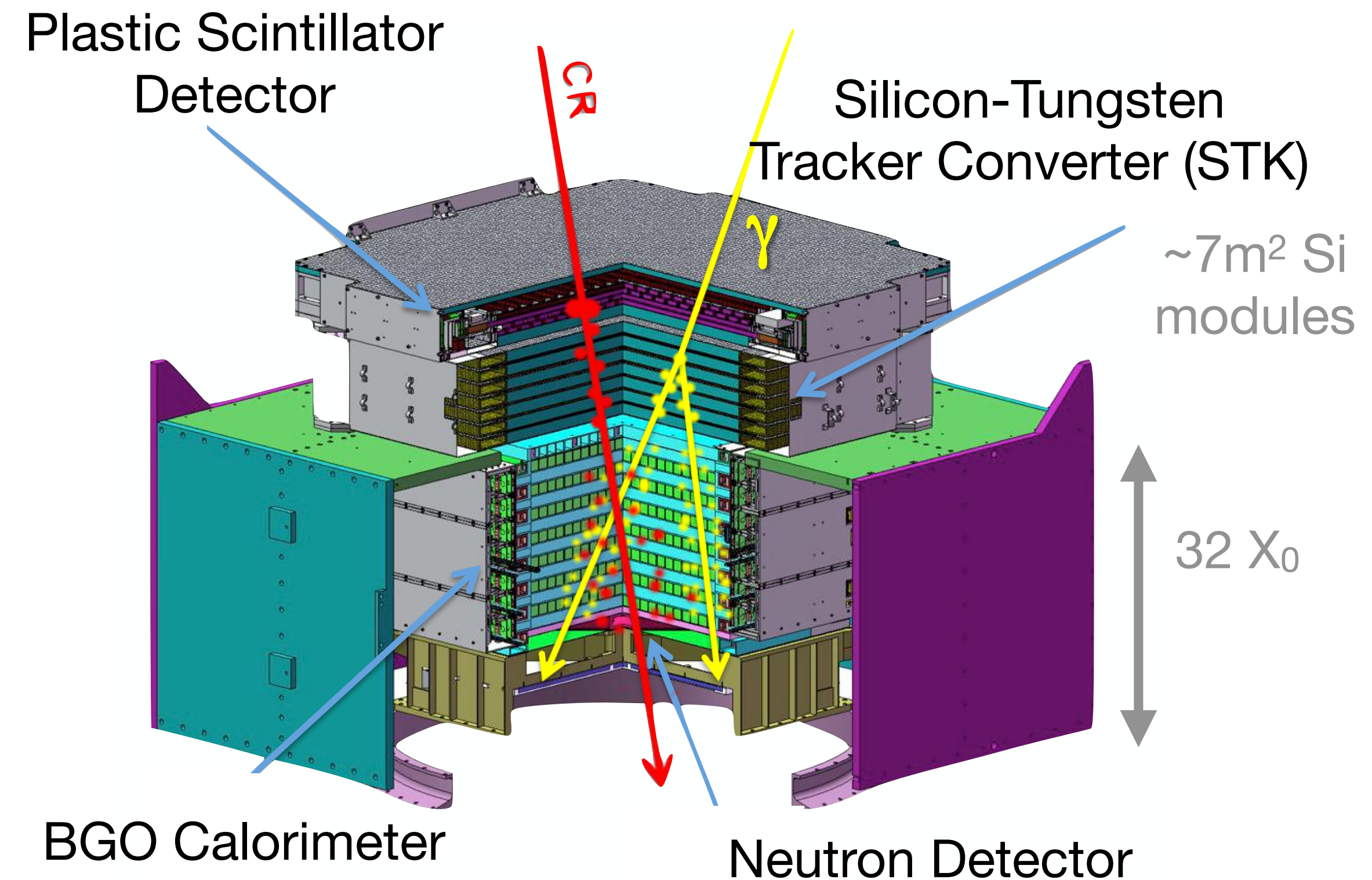
- Position resolution  $\sim 50$  micron
- Charge  $Z$  identification up to  $Fe$
- $\gamma$  pointing  $0.5^\circ - 0.1^\circ$  (GeV – TeV)

## Plastic scintillator

- $Z$  identification
- $\gamma$  anti-coincidence signal

## Neutron detector

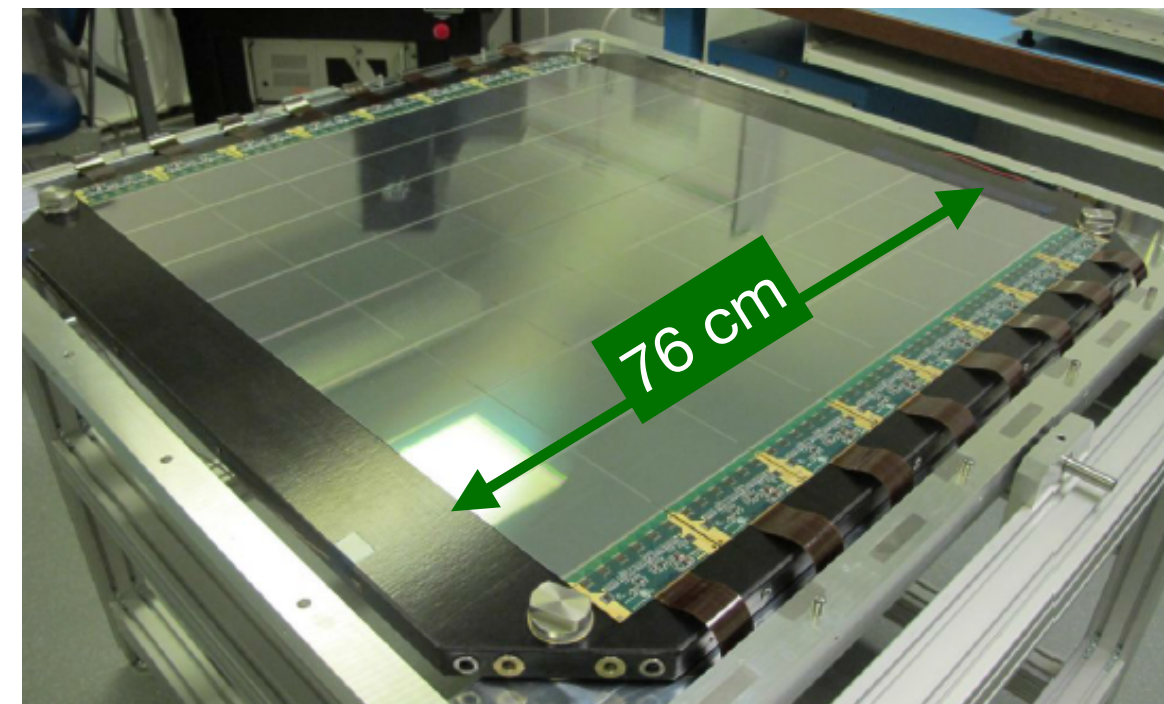
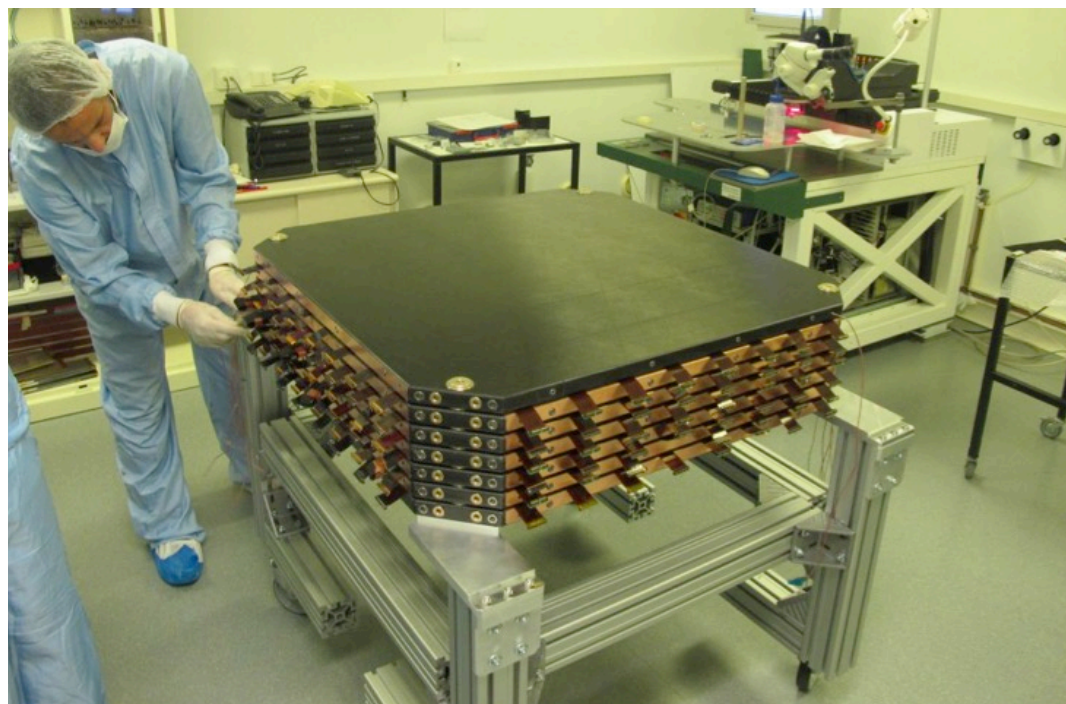
- Additional e/p rejection capability



# DAMPE Tracker detector (STK) & DPNC

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## R&D Construction (2013–2015)



*University of Geneva (DPNC) &  
INFN Perugia groups  
DAMPE Silicon Tracker tests with  
cosmic muons (April 2015)*

## Space qualification (2014–2015)



*Vibration, shock, thermal cycling,...*

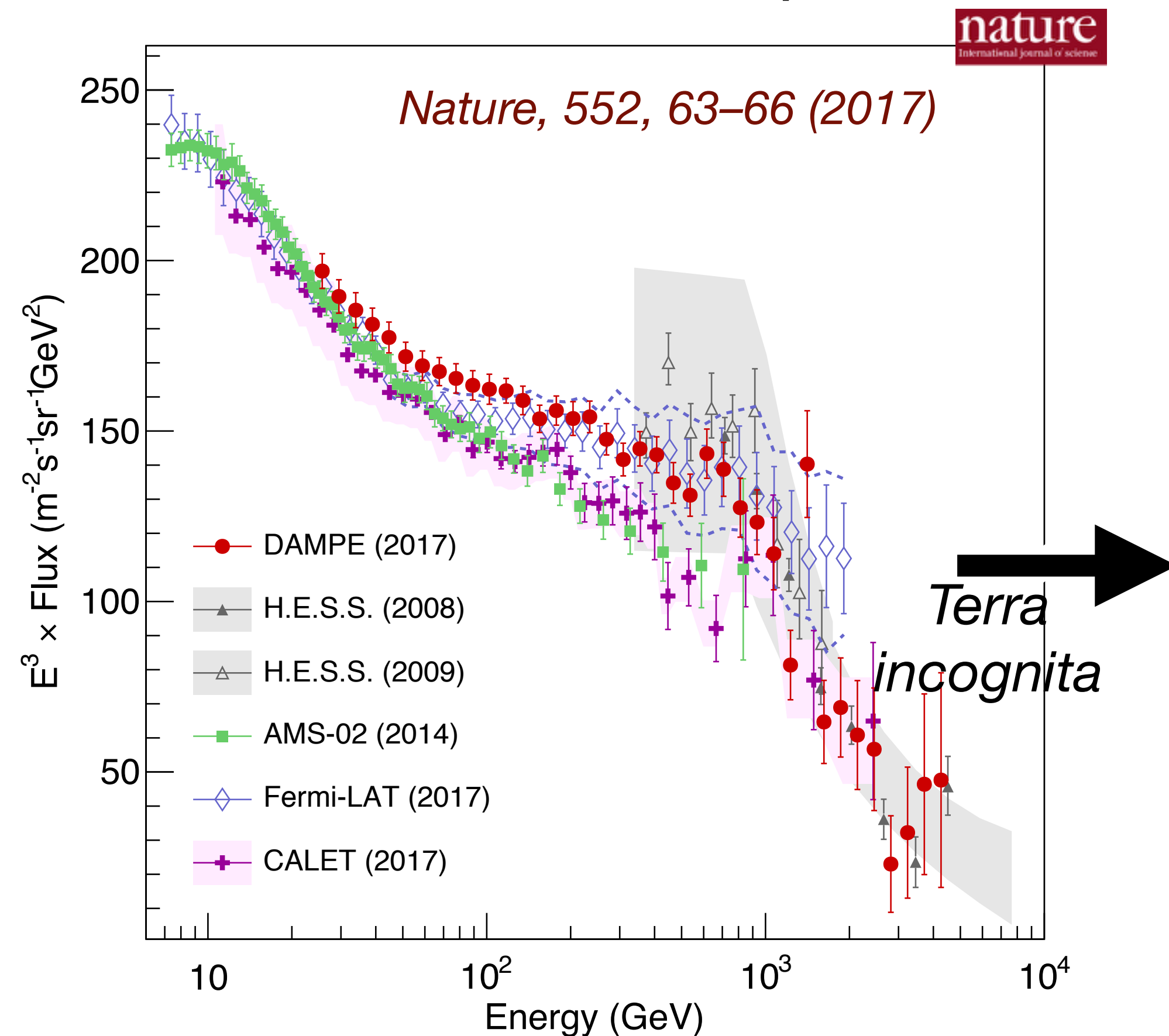
## Beam tests @ CERN (2014–2015)



# DAMPE Cosmic Rays Measurements

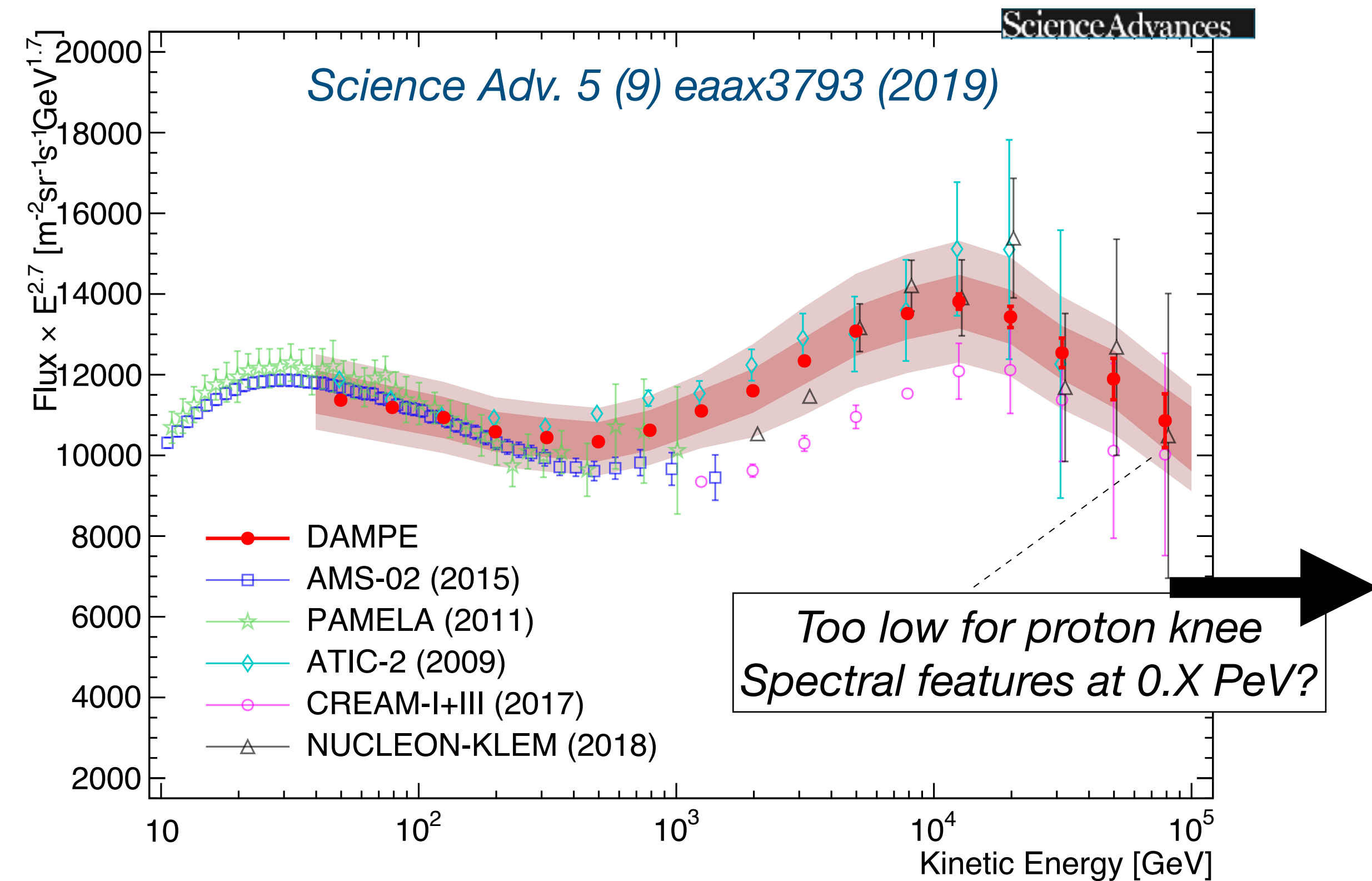
## Electron—positron spectrum

- Energy span 3 orders of magnitude!
- Direct observation of spectral break



## Proton spectrum

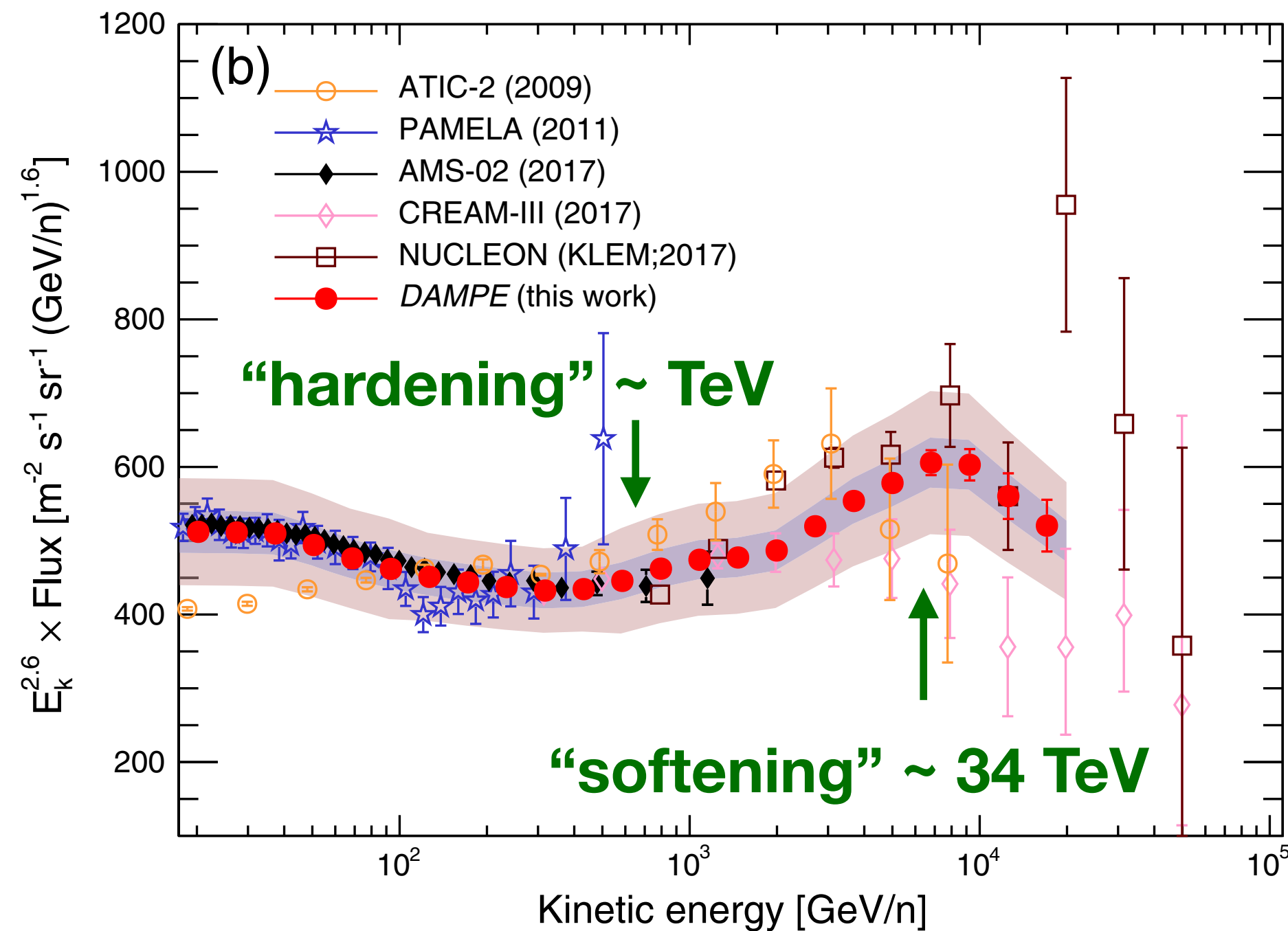
- First direct measurement up to 100 TeV
- Reveals new spectral feature at ~13 TeV



# DAMPE Cosmic Rays Measurements

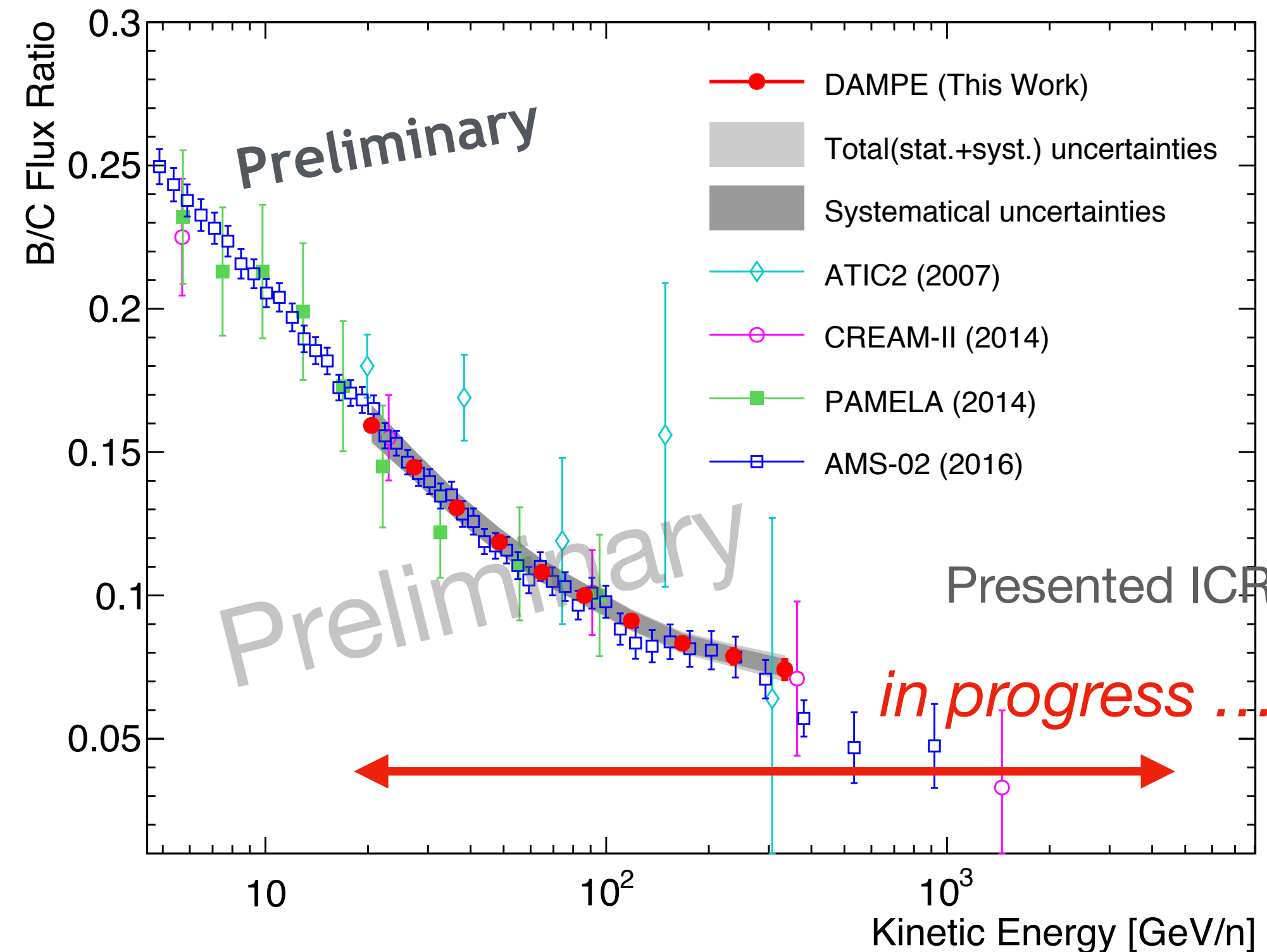
Alemanno et. al. PRL 126, 201102 (2021)

## CR He spectrum



... indicates of Z-dependent source

## B/C ratio



... partial cancellation of systematics

Many more ongoing analyses — not covered in this talk (C, O, Fe, Anisotropy, Gamma Rays .. )

# High Energy Radiation Detection facility (HERD)

## Next-gen Calorimetric detector in Space

- 5-side tracking & charge (Z) detectors
- 3D imaging LYSO calorimeter
- Target size  $\sim 55 X_0$
- Estimated launch timeline  $\sim 2027$

*Collaboration*



University of Geneva  
EPFL Lausanne

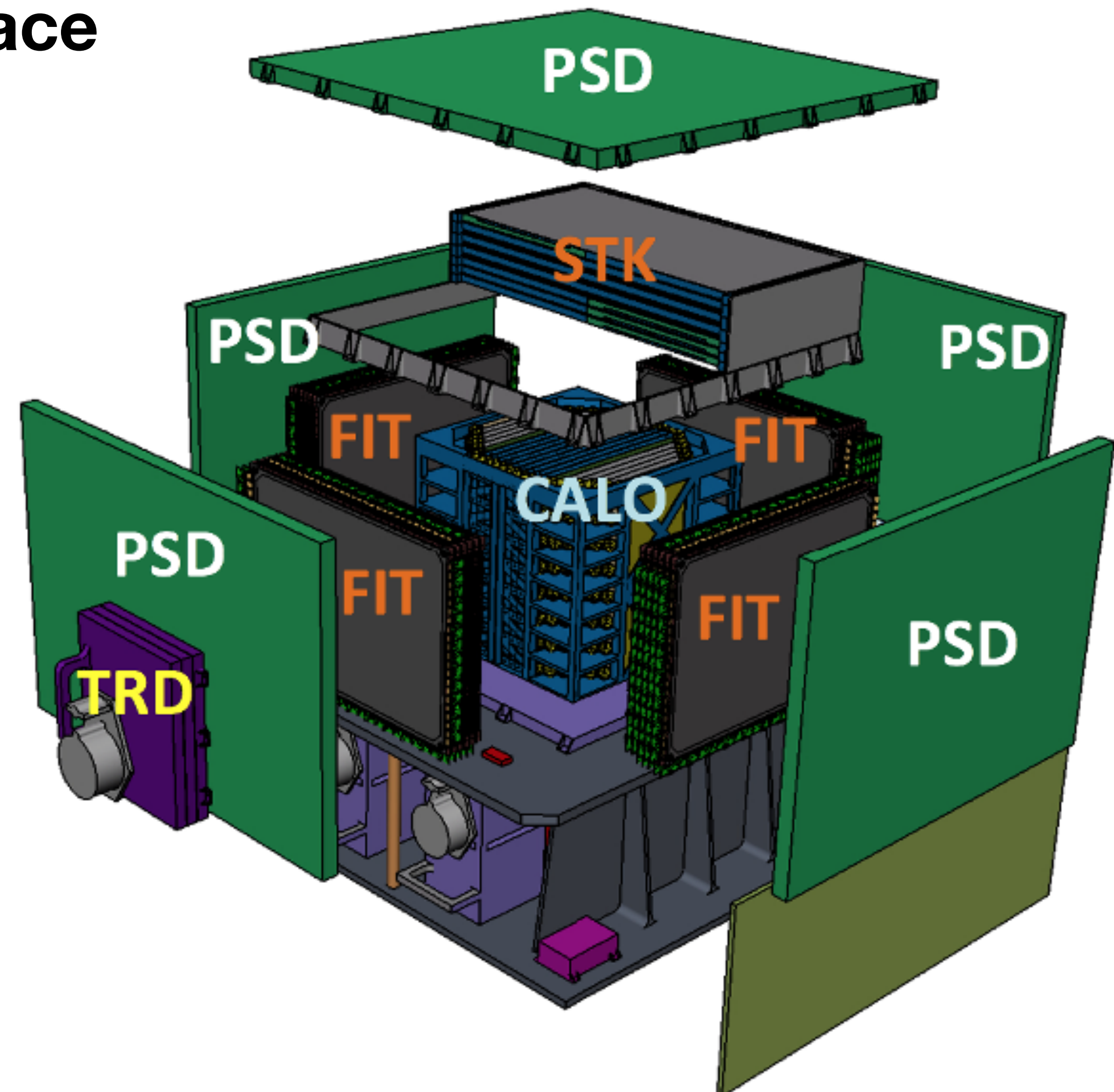


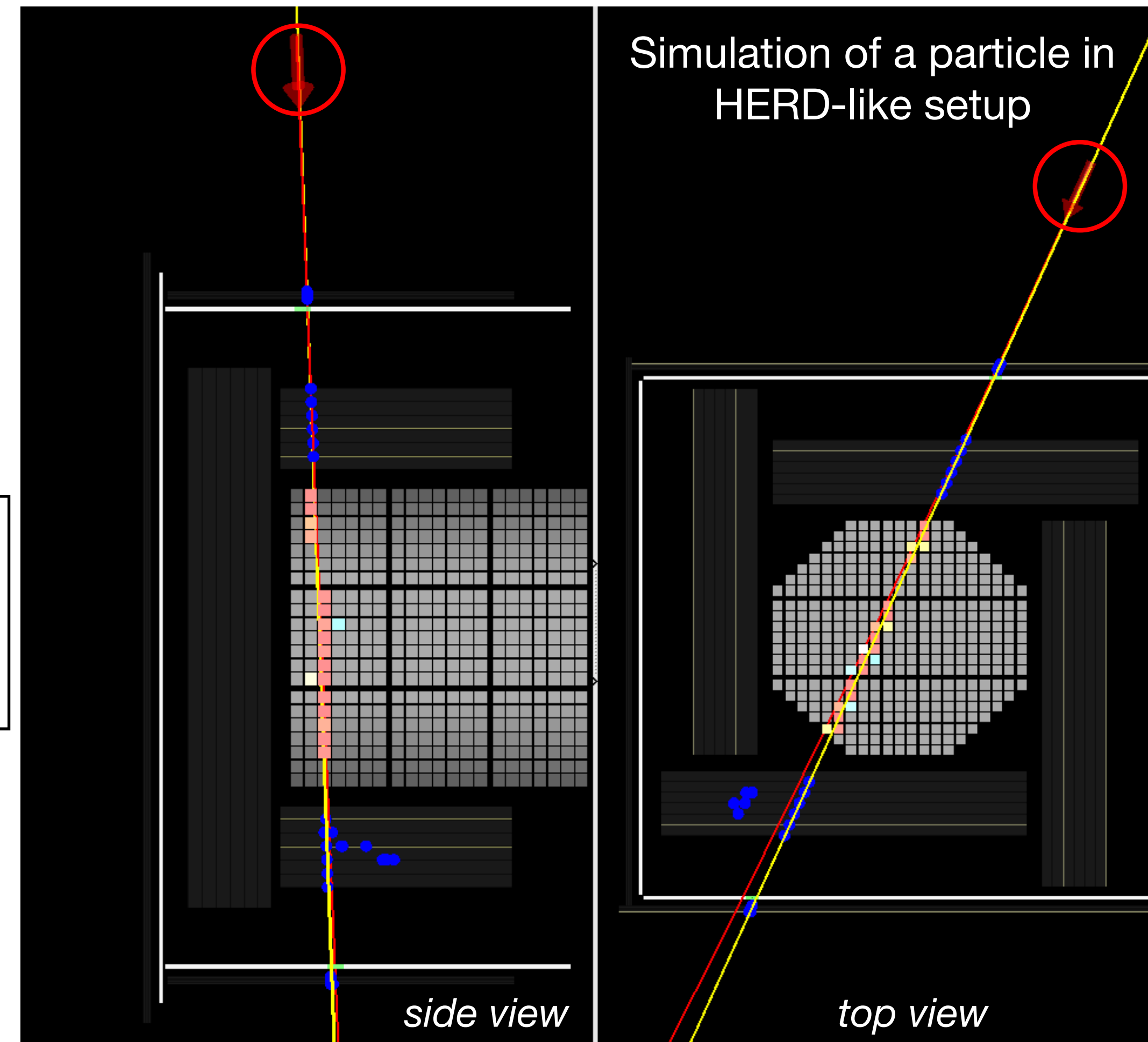
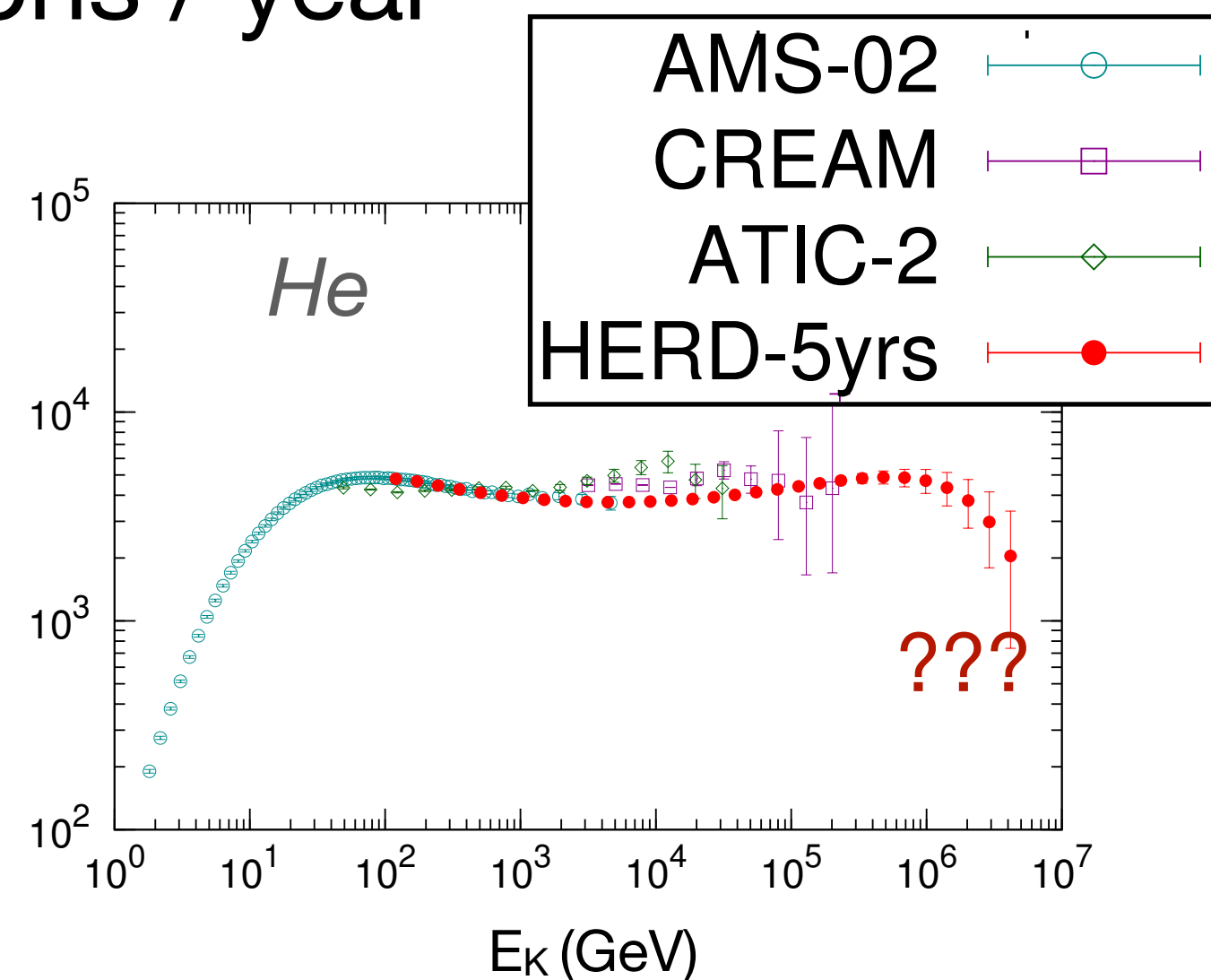
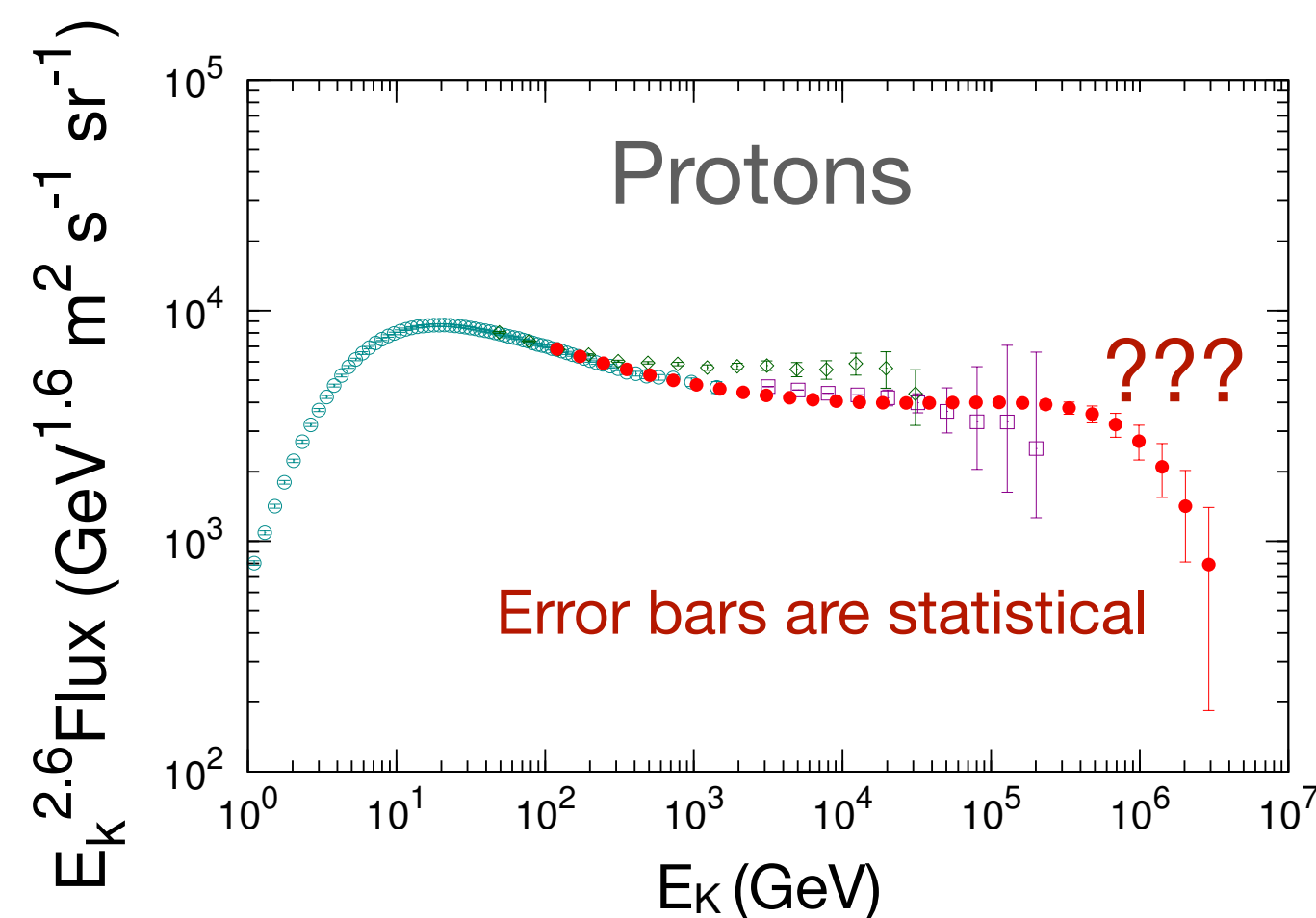
Image credit: C. Perrina, EPJ Web of Conferences, 2019 (RICAP-18 )

# High Energy Radiation Detection facility (HERD)

**BIG 3D calorimeter + 5-side tracker =**

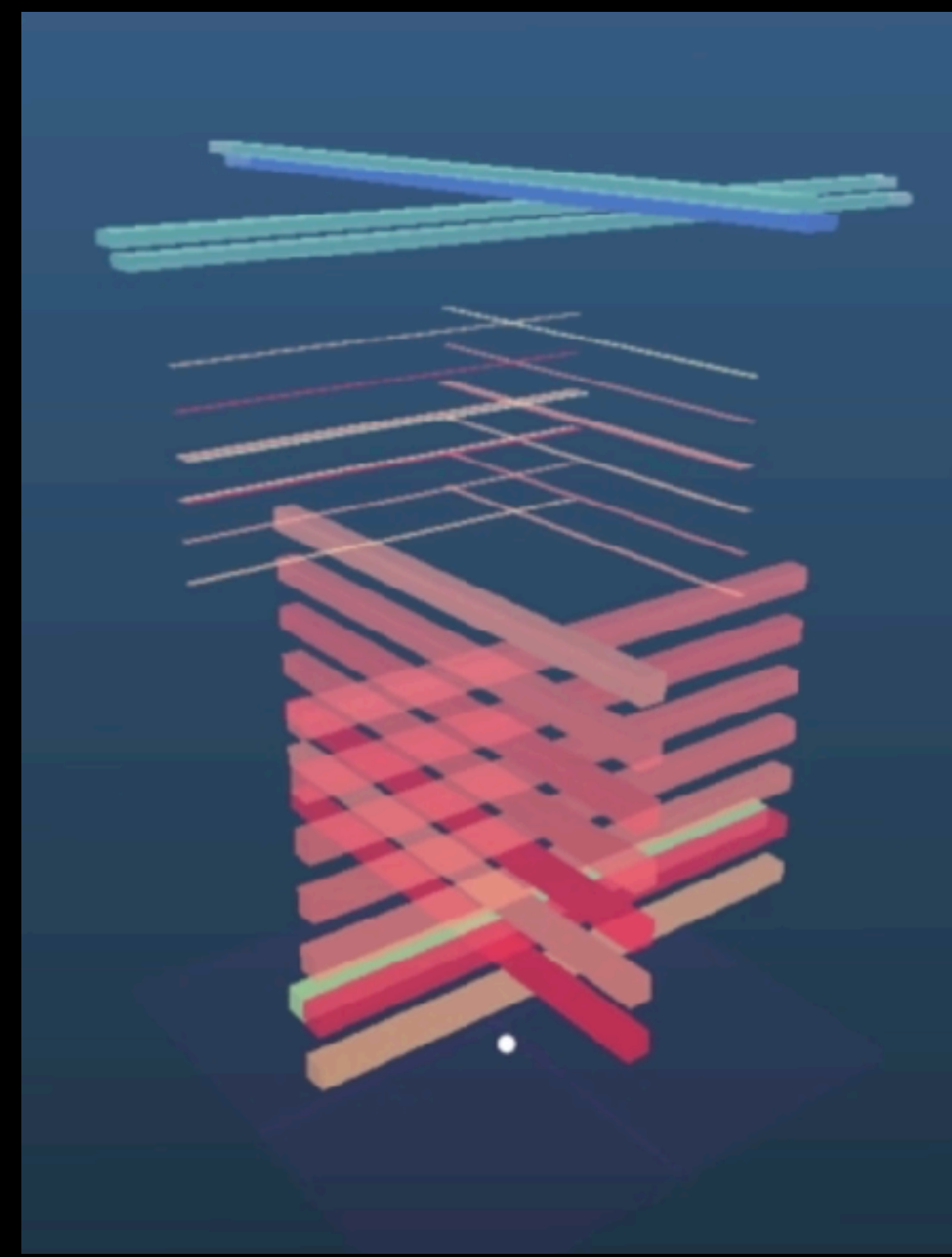
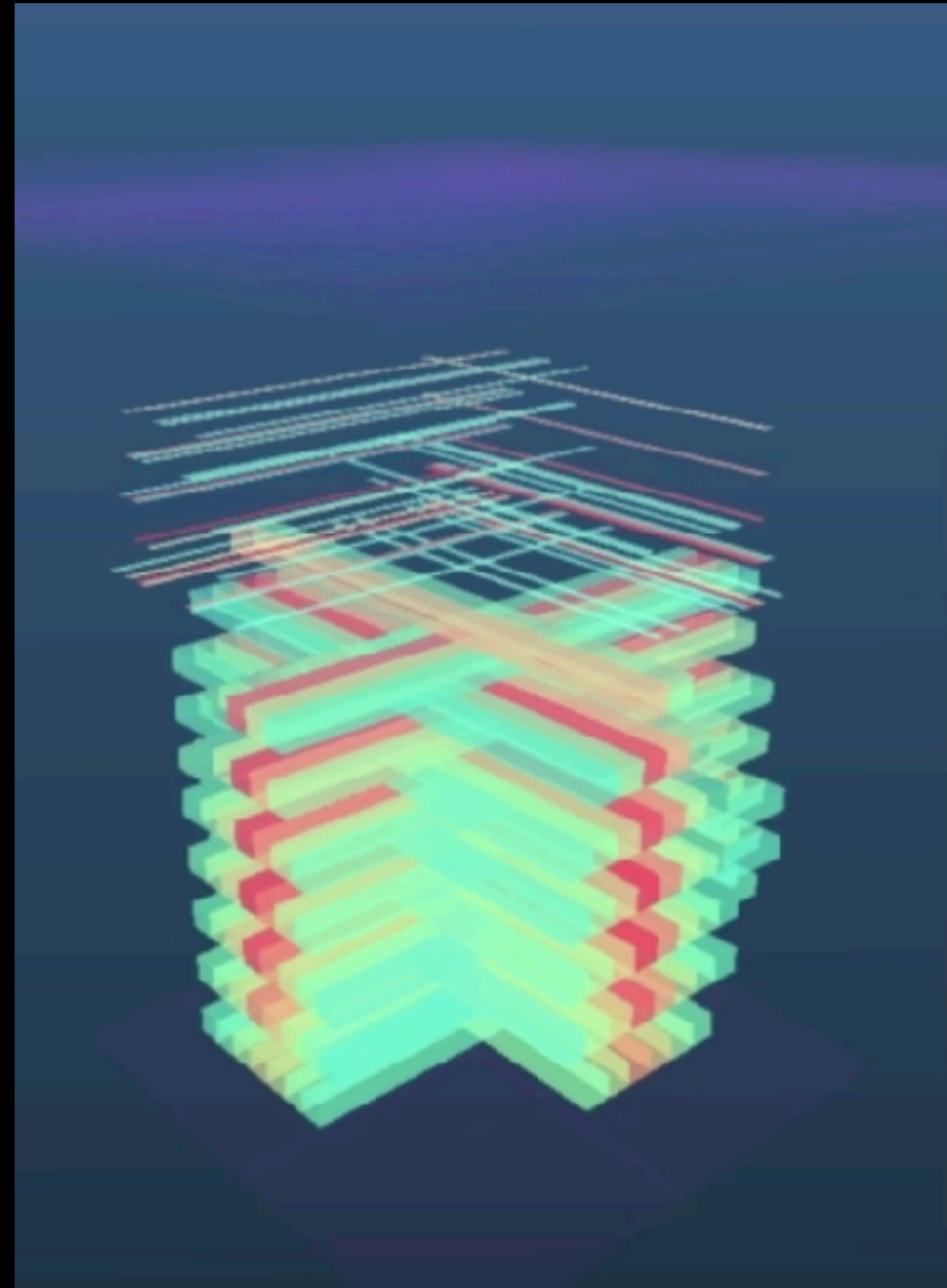
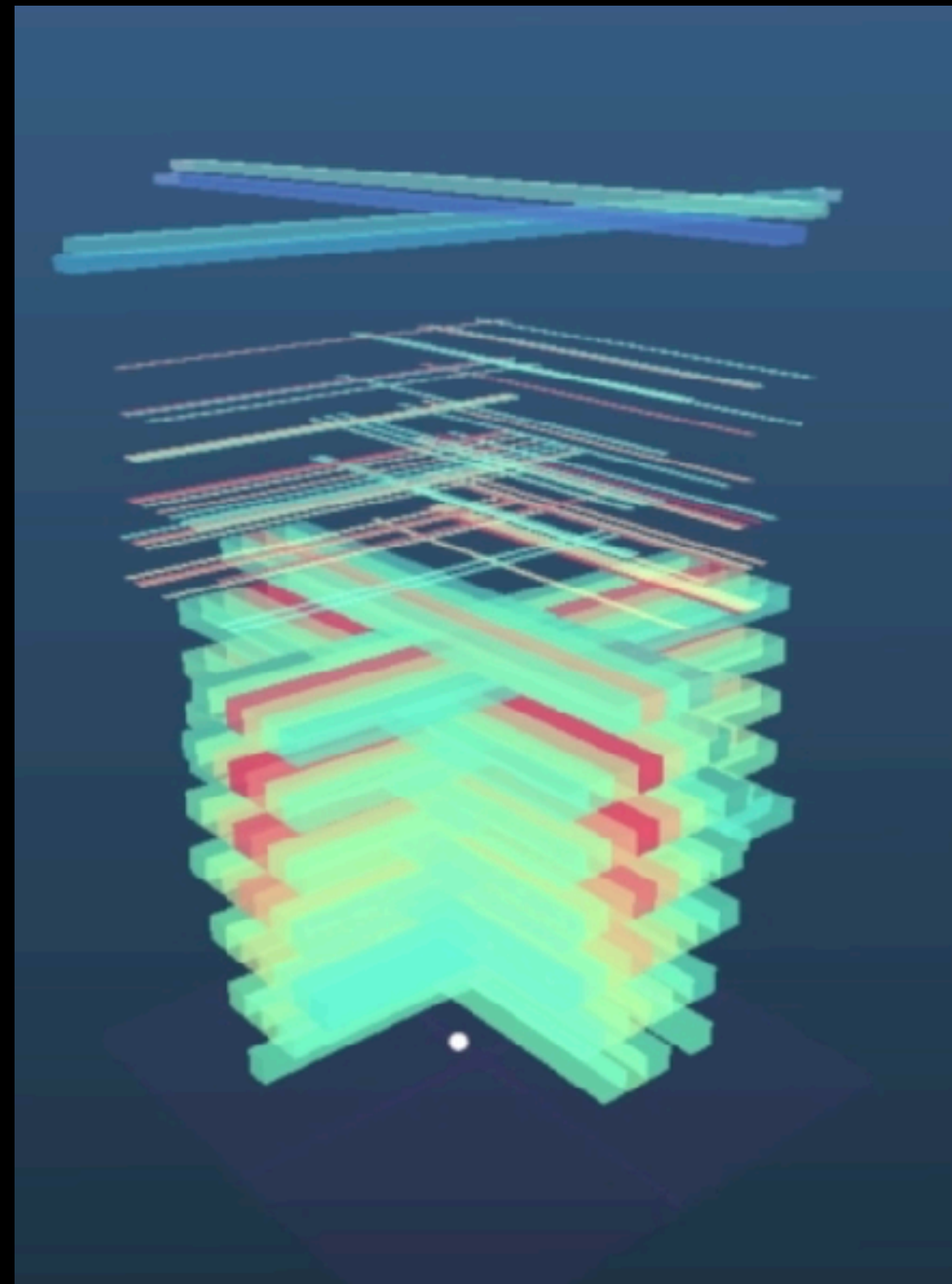
- CR electrons up to 100 TeV
- CR p/ions detection up to PeVs
- > order of magnitude higher acceptance (compared to DAMPE)

→ O(100) PeV protons / year



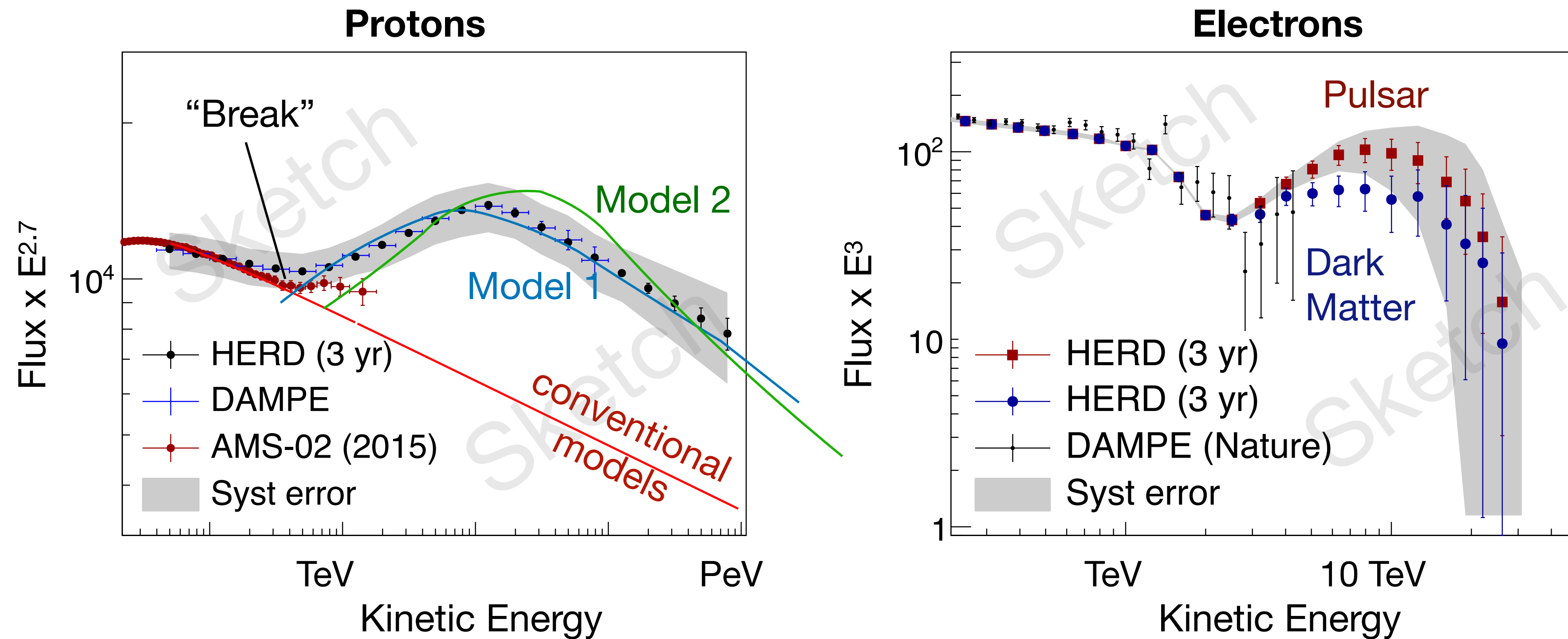
# Chapter III:

## Data Analysis & Challenges



# Problems of TeV–PeV CR detection in Space

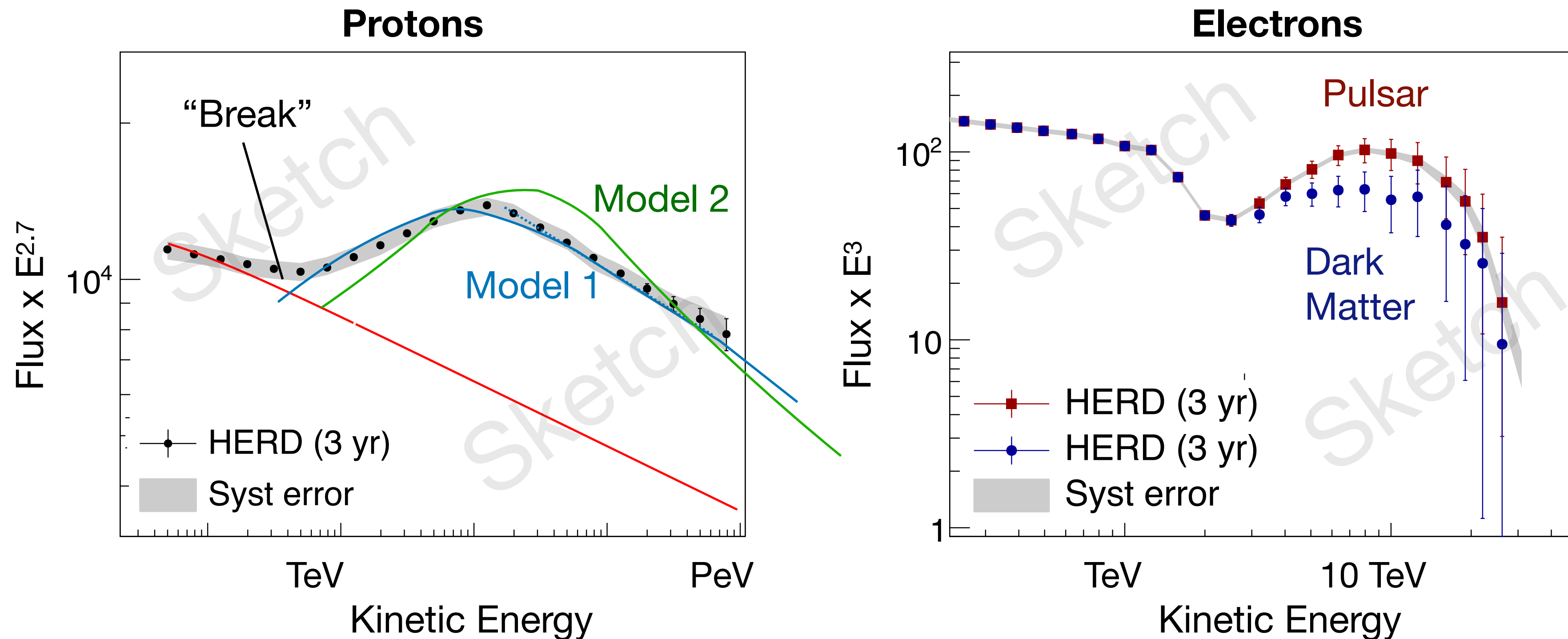
- Instruments “big enough” to collect good data statistics at TeV–PeV, but ....



- **Systematic errors dominate!**  
Particle Identification & tracking, hadronic interaction modelling

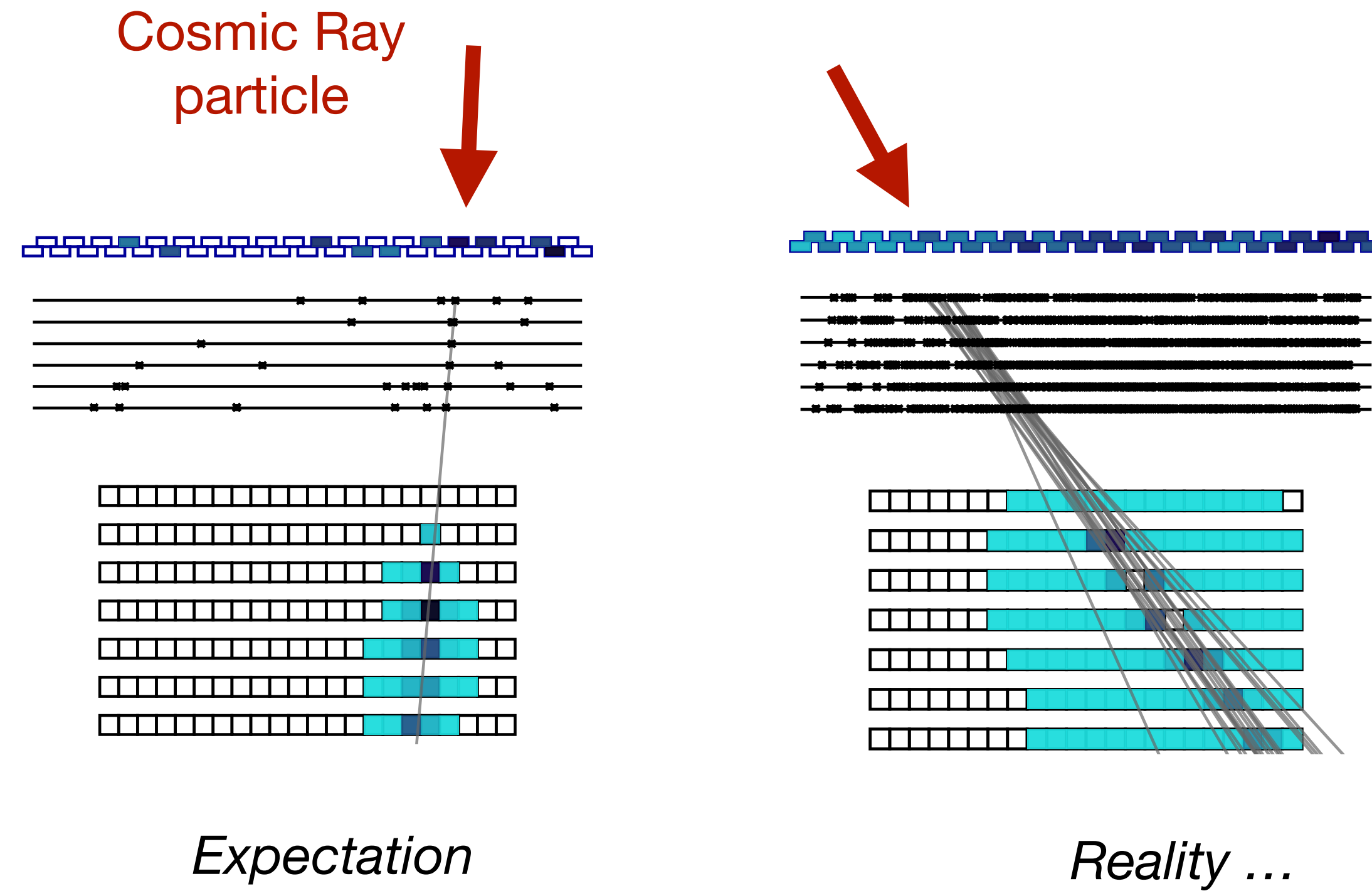
# Problems of TeV—PeV CR detection in Space

Goal — reduce the systematics and fundamentally improve the accuracy of direct Cosmic Ray measurements at TeV—PeV



Use **state-of-the-art Artificial Intelligence** techniques and improved **hadronic simulations** to minimise the key uncertainties

# Particle Tracking



Conventional pattern recognition not capable of disentangling the primary particle track

→ **give a shot to AI & Machine Learning**

Primary CR track drawn in the sea of secondary-particle hits

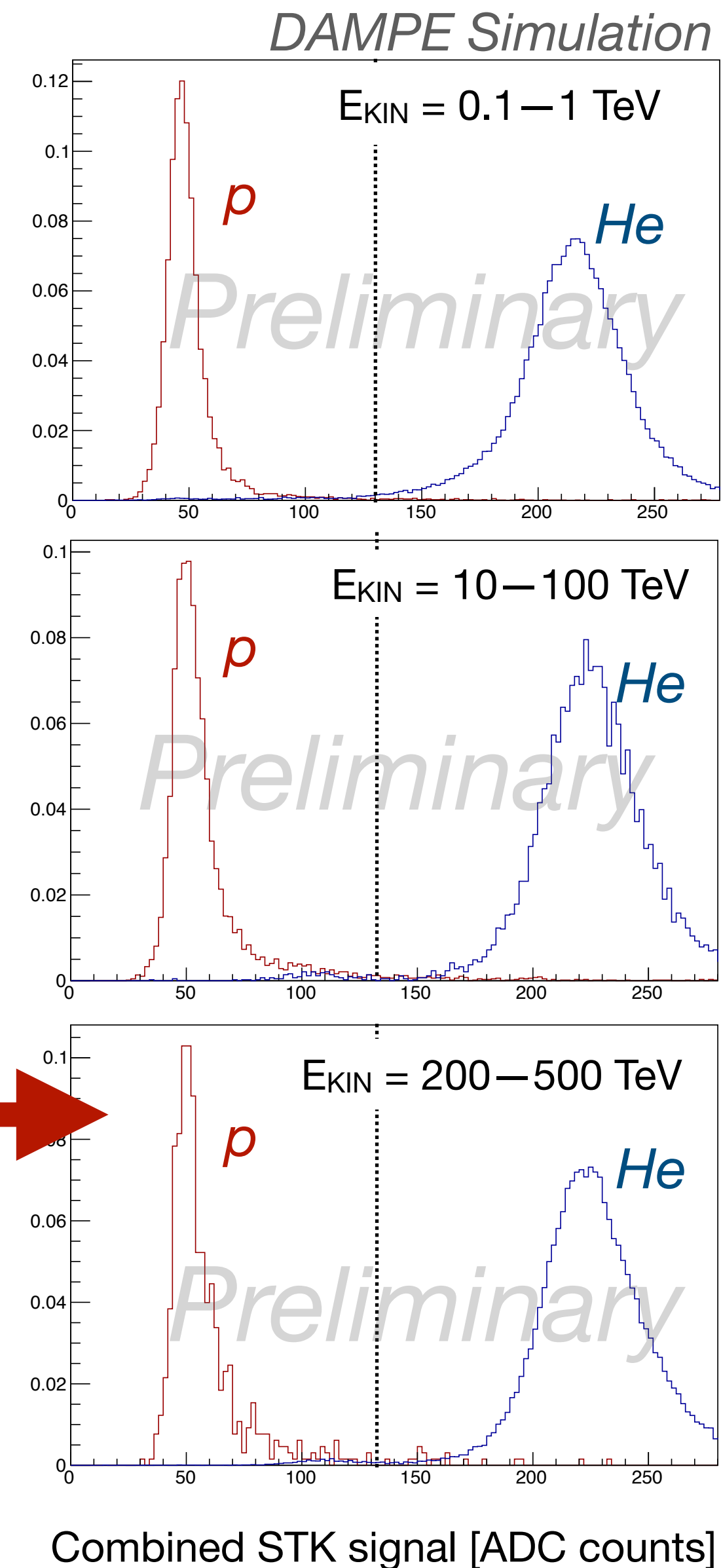
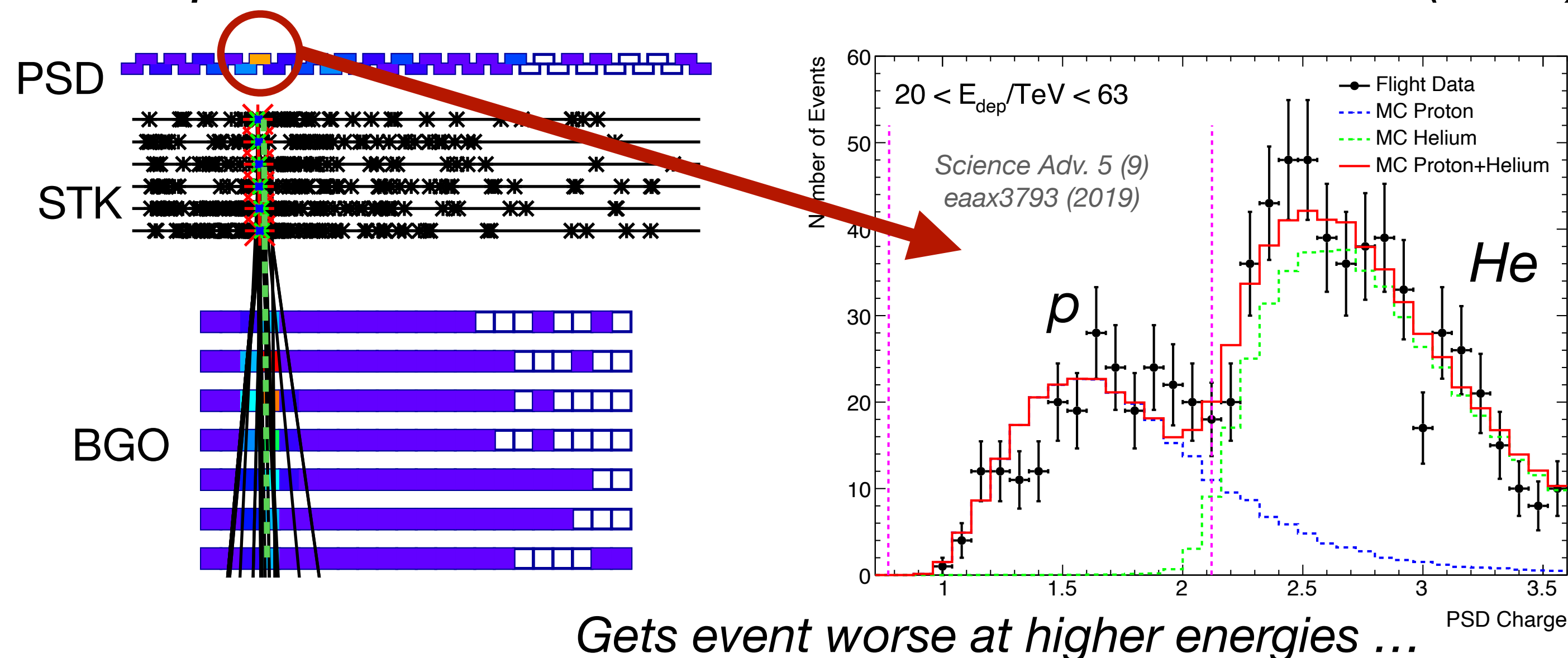
- Pre-showering before the calorimeter
- Back-splash from calorimeter
- Majority of events affected
- Gets worse at higher energies

Similar to LHC particle tracking problem?  
— ... not exactly

- No magnetic field
- Interaction point (axis) unknown
- Way higher energies ...
- More passive material in/around tracker

# Particle Tracking & Z identification

At present,  $Z$  measured in Plastic Scintillator (PSD):



Here we assume the primary track is reconstructed & identified

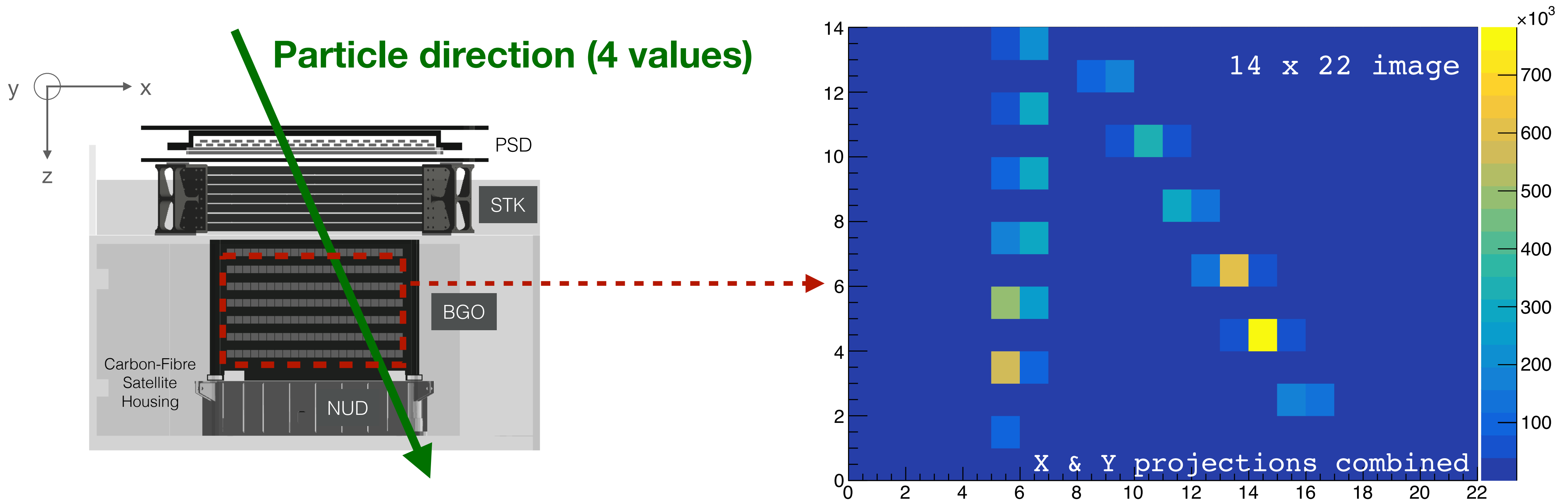
## Why precise tracking is important for CR?

- Enables STK-based  $Z$  identification!
- Not affected (almost) by secondary particles (unlike PSD)
- Provide up to 12 independent  $Z$  measurements
- High accuracy at all energies

# Tracking & Machine Learning — ConvNet

Many ideas for ML application ... Let us start with the **seed**:

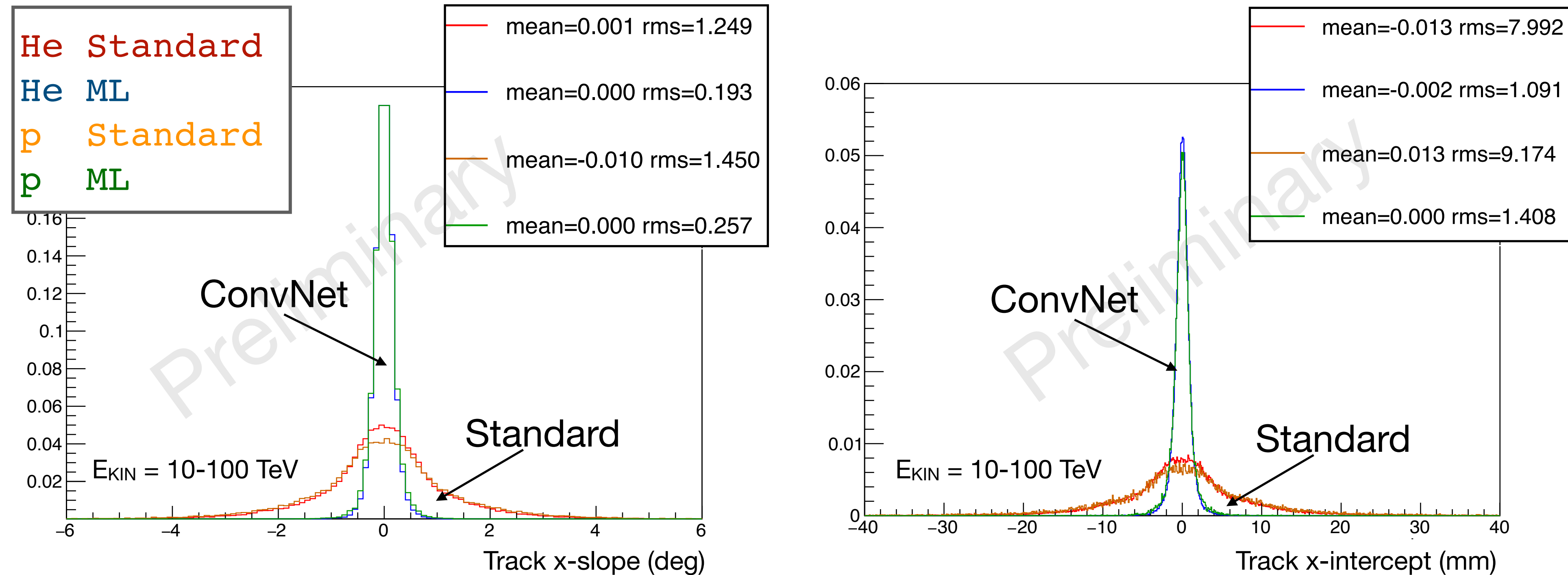
- **Initial / rough guess** of a particle direction, provided by either
  - A. Combinatorial guess (e.g. 3-point combinations from the tracker)
  - B. External detector (calorimeter) → *Used in DAMPE*



*Try regression with **Convolutional Neural Net** based on BGO “image” to predict the seed*

# Tracking & Machine Learning — ConvNet

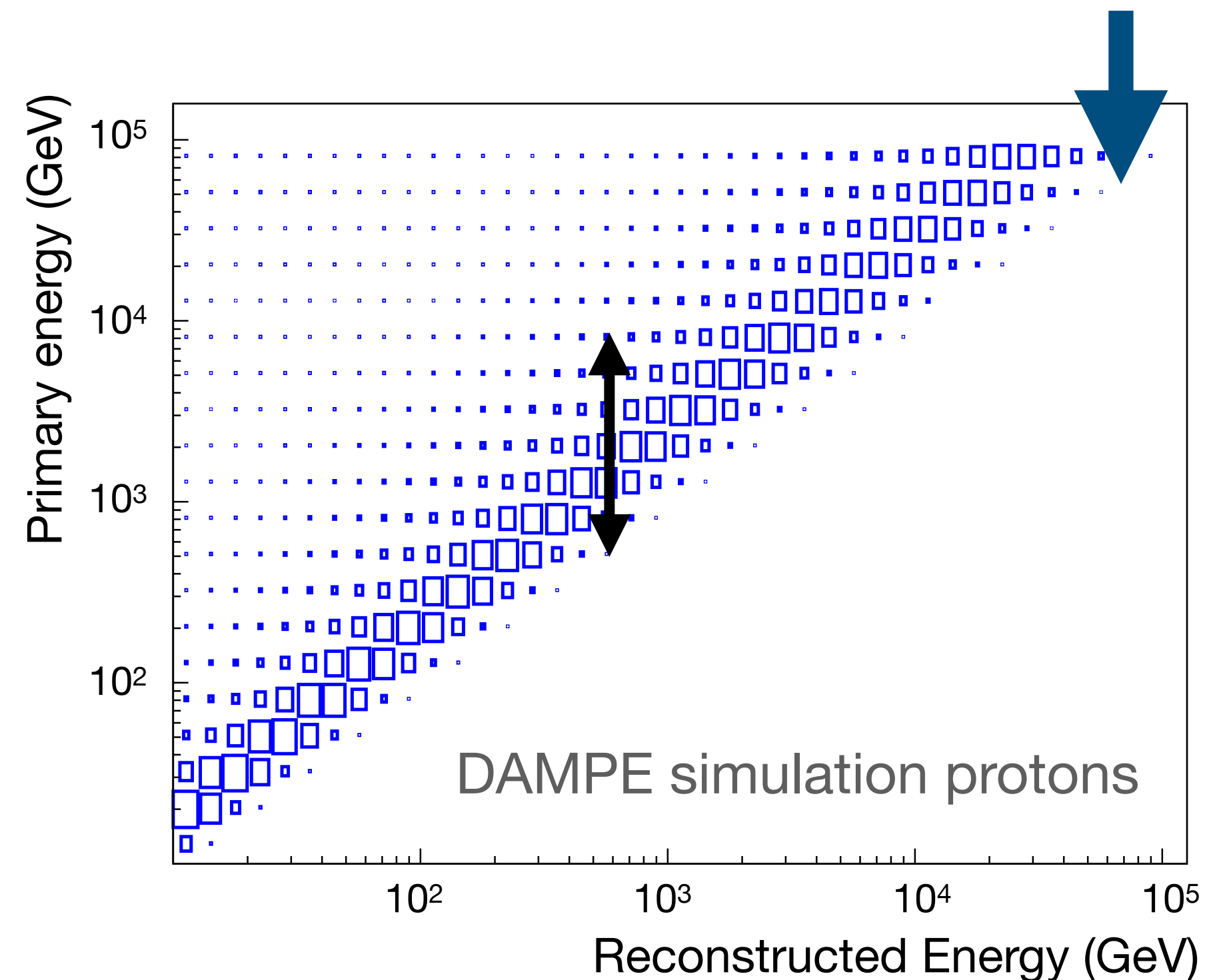
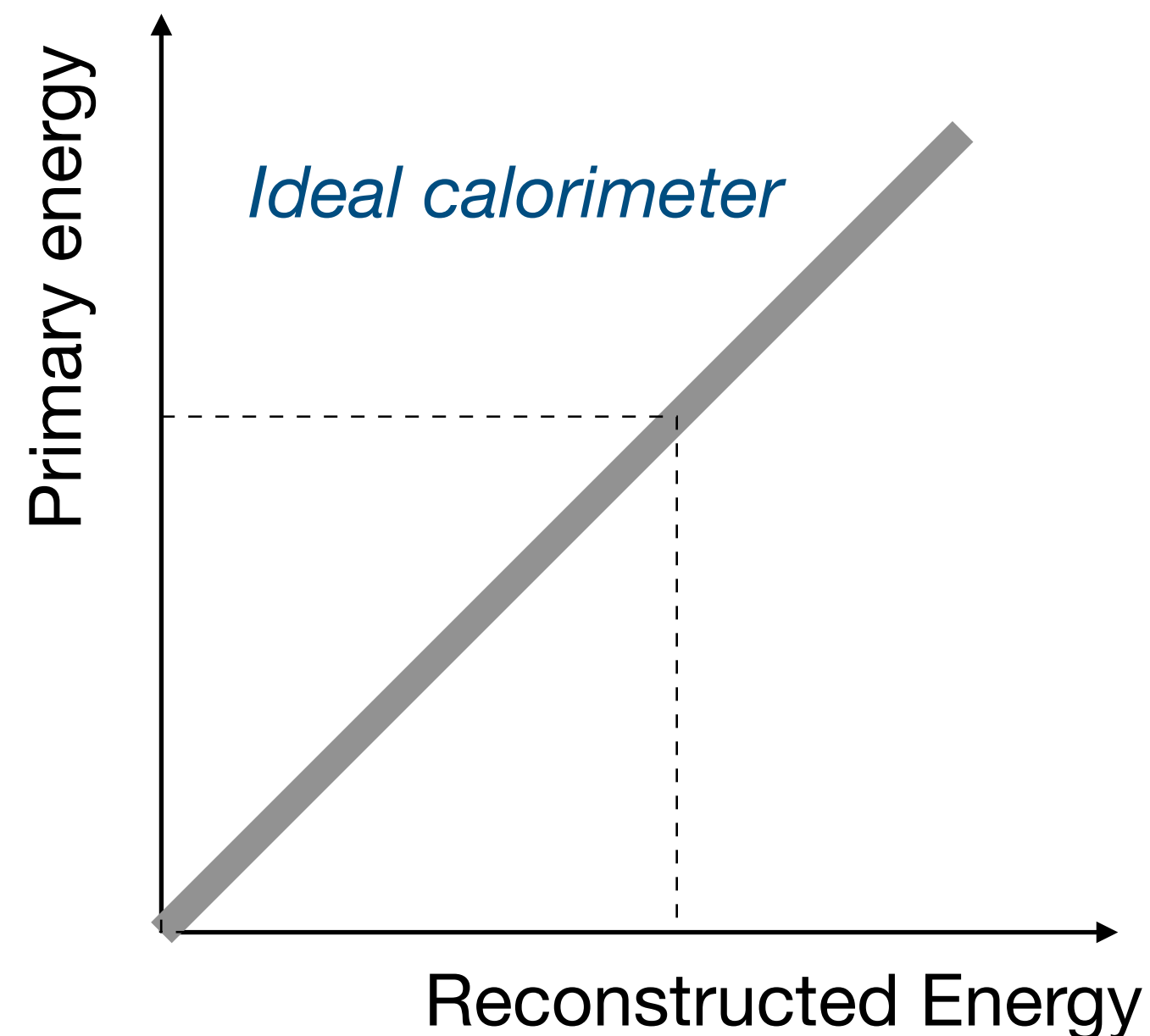
Preliminary ConvNet at multi-TeV significantly beats the standard algorithm:



- Position resolution of  $\sim 1\text{mm}$  — not bad! (given that STK silicon strip readout pitch is  $\sim 0.2\text{mm}$ )
- Allows pre-selecting small sub-set of candidate hits for tracking
- Next steps (tracker ConvNet + Hugh approach, etc), etc.

# Hadronic Interaction Modelling

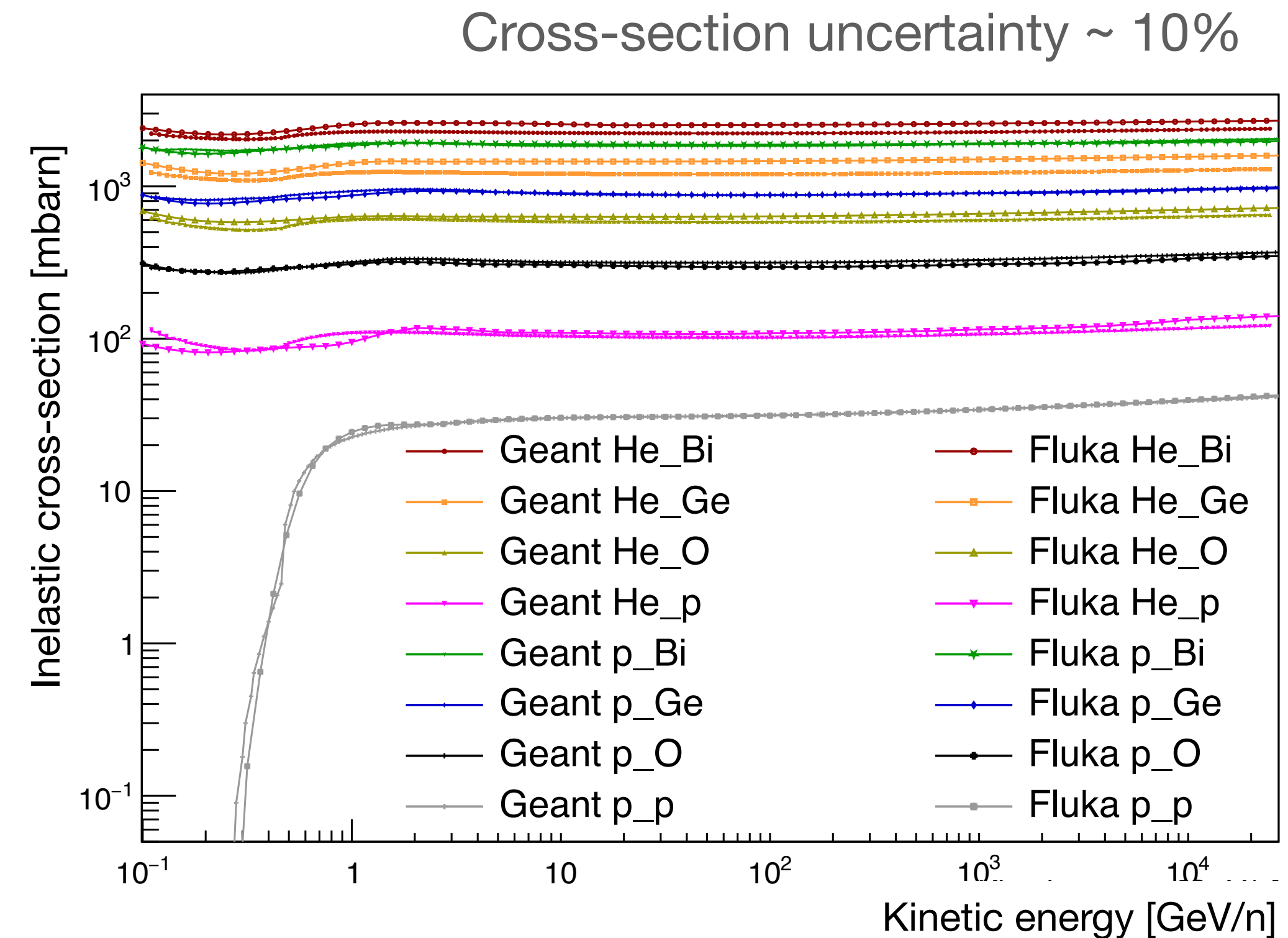
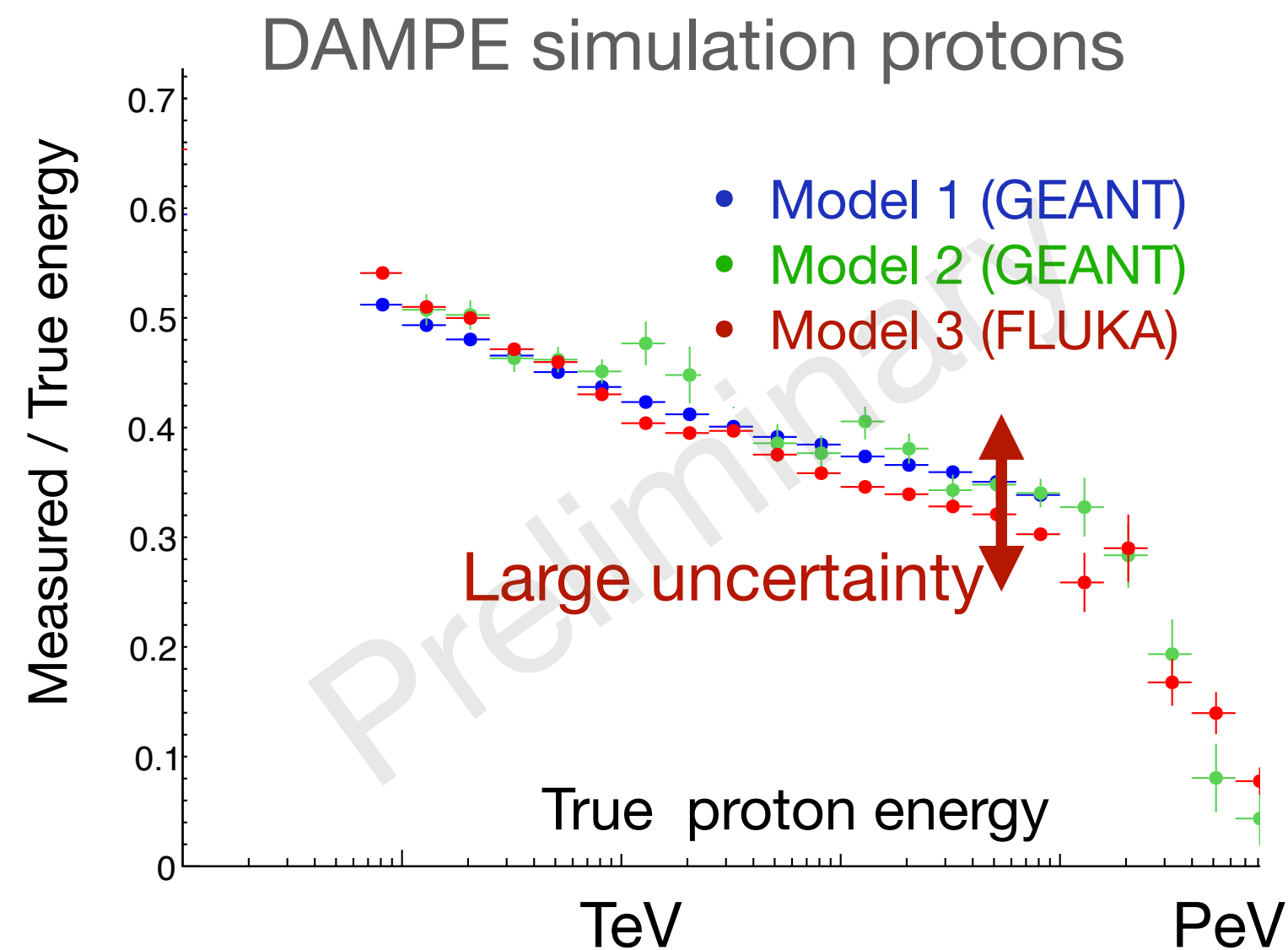
- DAMPE — thickest calorimeter in space, HERD will be even bigger
  - Excellent  $e/\gamma$  energy reconstruction,  $E_{\text{primary}} = \sim E_{\text{reco}}$ , uncertainty 1% (at TeVs)
  - $p/\text{ions}$  leave only  $\sim 1/3$  of energy in calorimeter, response matrix is not diagonal



- Energy of incident  $p/\text{ion}$  can be identified only with limited accuracy
- Primary spectrum obtained from “visible” spectrum through unfolding (e.g. D’Augustini)

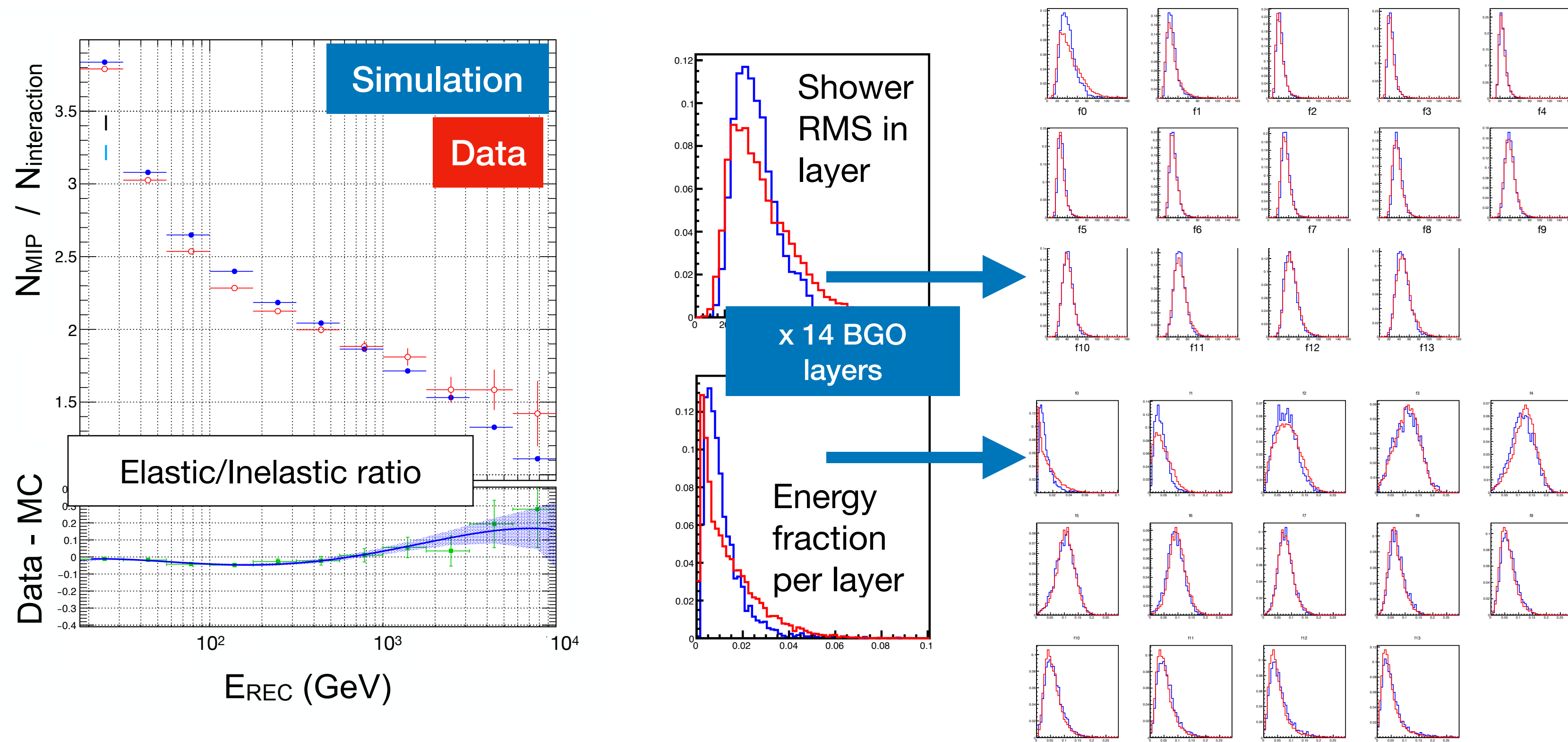
# Hadronic Interaction Modelling

- CR p/ion energy spectrum measurement rely significantly on hadrons simulations
- Limited accuracy of inelastic cross-sections & hadronic models (differential cross-sections)
  - not constrained above LHC energies
  - **source of large systematics!**



# Hadronic Interaction & Simulation Tuning

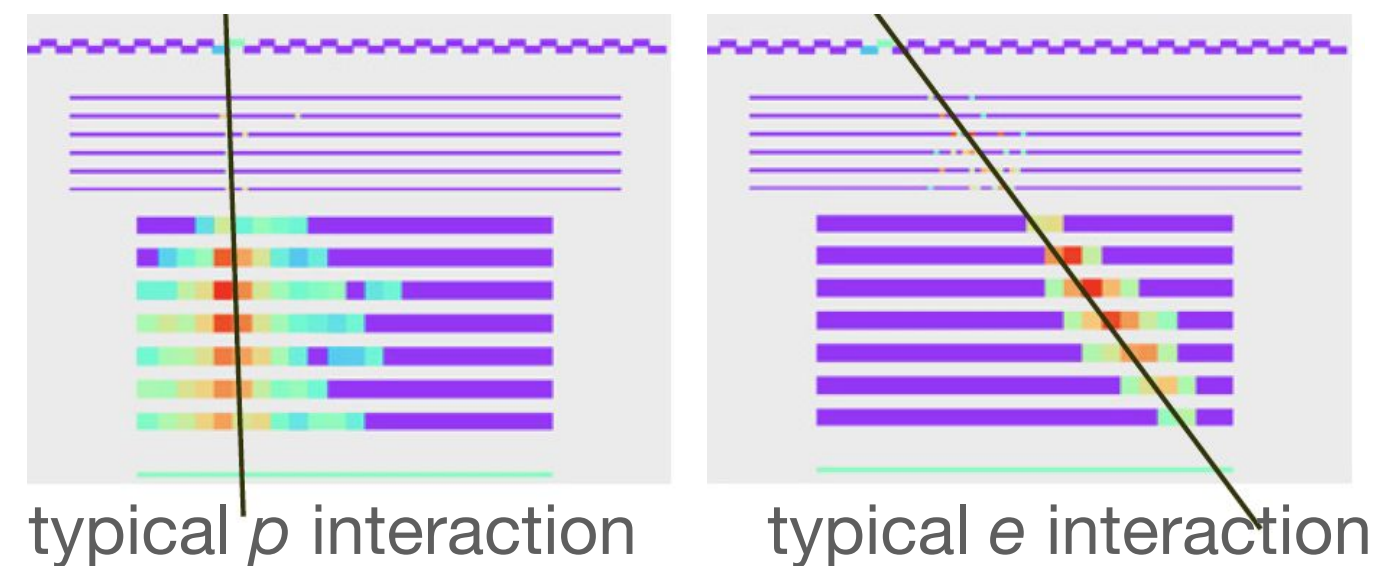
- DAMPE (HERD) feature **highly granular calorimeter** and **unique data at multi-TeV**
- Use these data to constrain/tune cross-sections & hadronic models
  - elastic/inelastic ratio, shower shape characteristics (lateral, longitudinal, etc.)



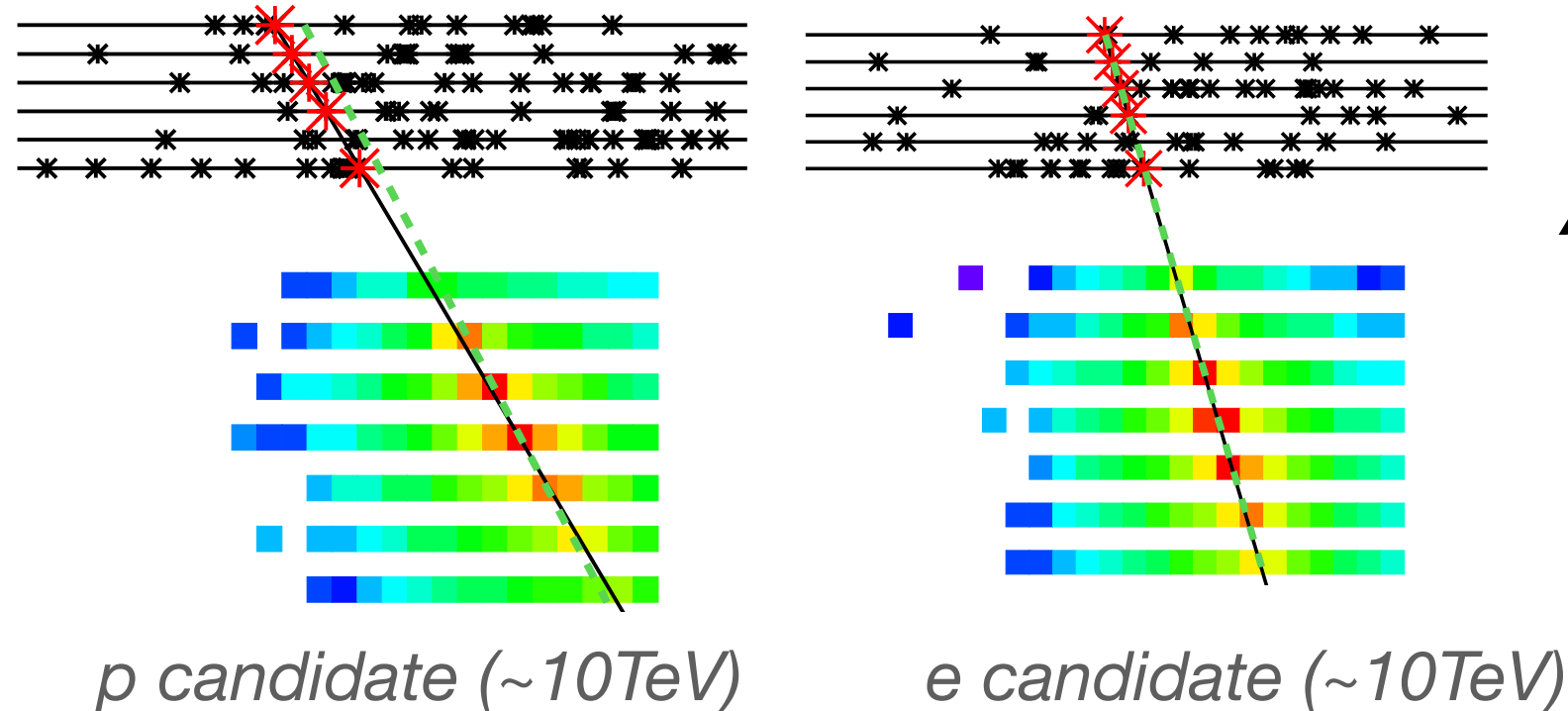
- Needs reliable **Z identification** and **vertex reconstruction** → connection to ML tracking

# e/p discrimination

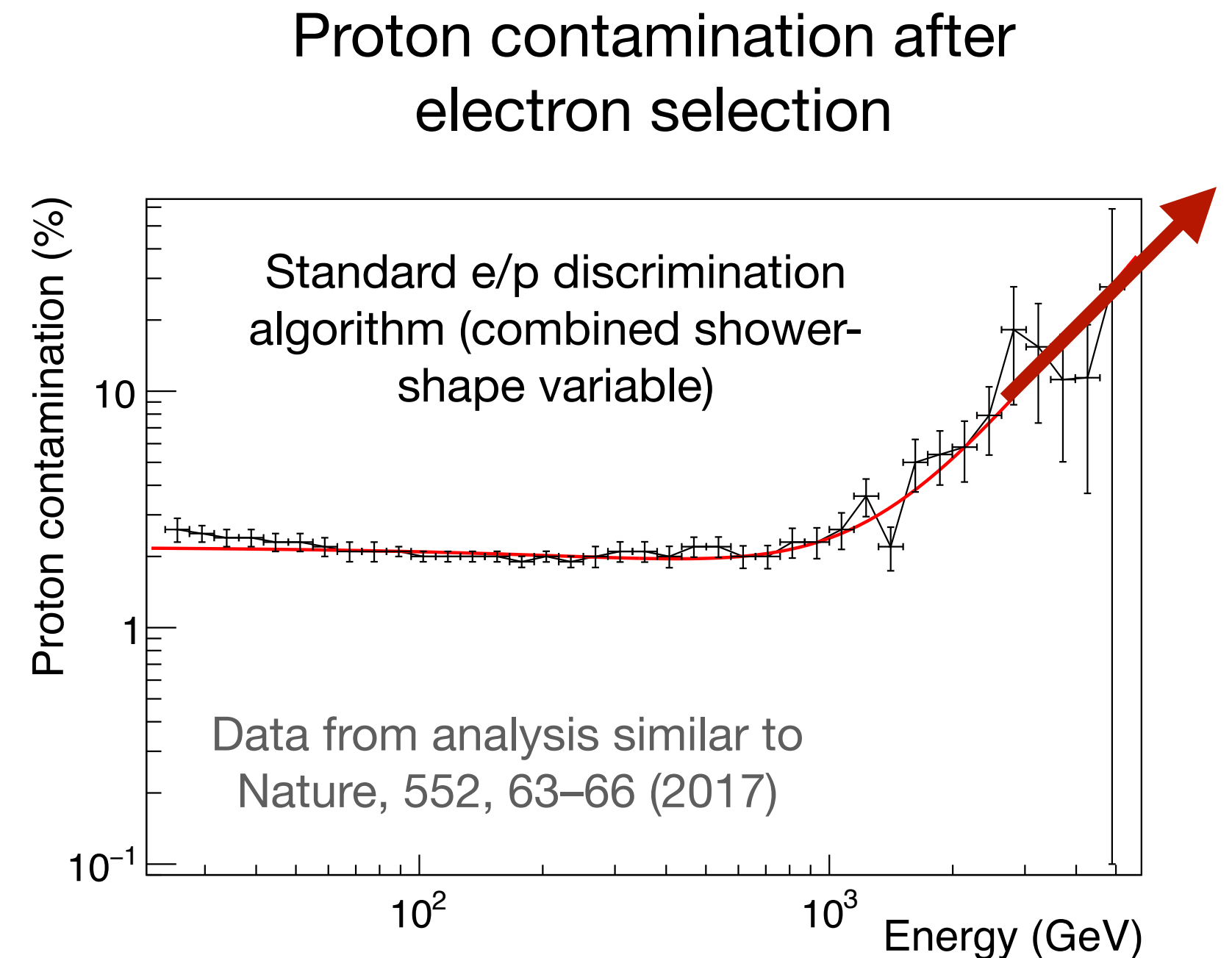
- Tiny fraction  $e^-+e^+$  in CR  $\rightarrow$  gets even smaller with energy  
 $\rightarrow$  electron signal buried under proton background



*Normally proton showers are thick & long, while electron showers are narrow and well contained*



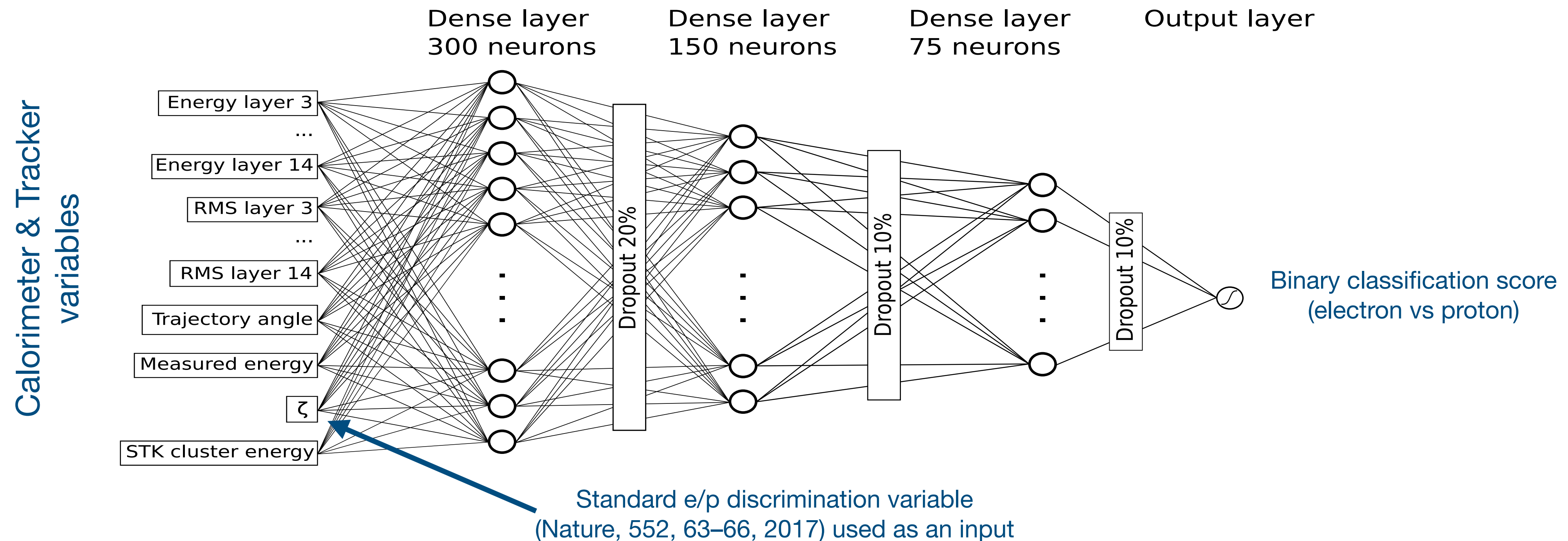
*At multi-TeV, a chances to get a proton which looks like an electron become very high*



- Standard e/p discrimination method not efficient at  $>$  few TeV; background-related systematics “explodes”!
- Let’s try something new ...

# e/p discrimination: MLP

- Neural Net — Multi Layer Perceptron (MLP)

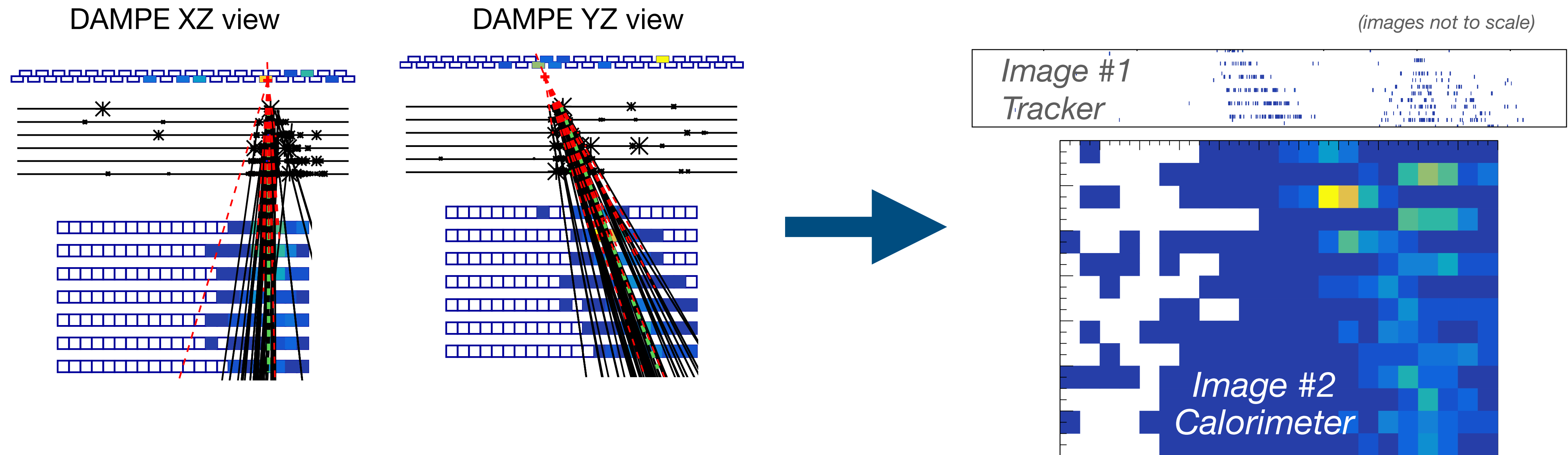


- Multiple models tested (grid search) to optimise a set of hyper-parameters (number of layers, neurons, dropout, etc.)

D. Droz, A. Tykhonov et al. JINST, 16(07): P07036, 2021

# e/p discrimination: ConvNet

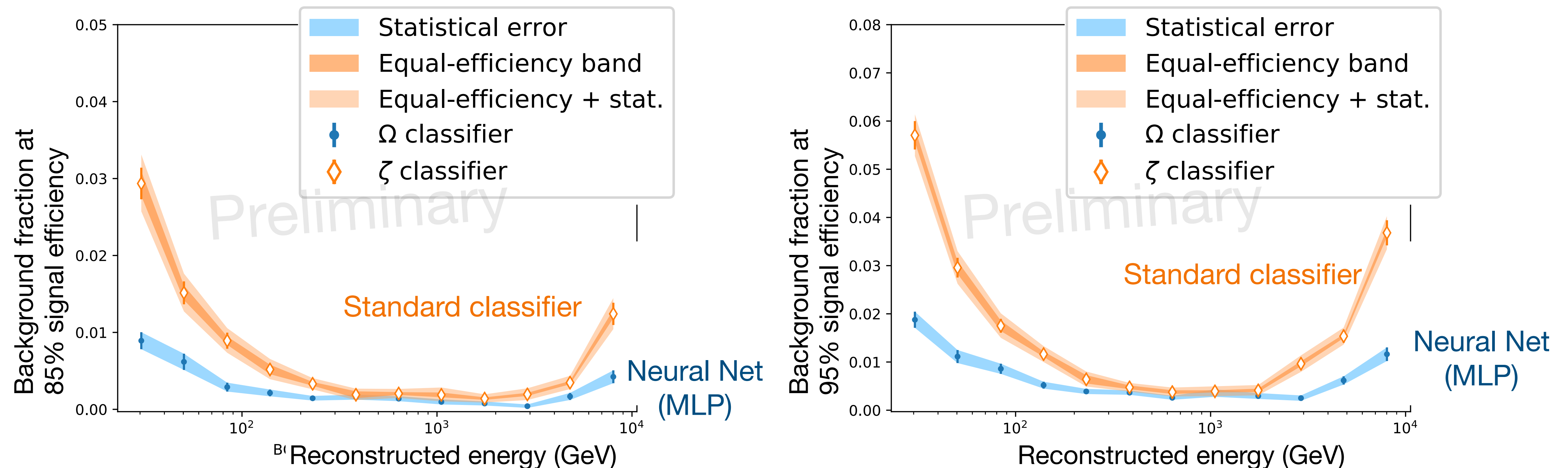
- Alternative option — ConvNet
- Consider both tracker and calorimeter as images



- Outputs of two ConvNets concatenated, followed by a standard MLP network
- Extensive optimisation campaign — network architecture, impact of data selection, etc.  
(lots of technical details beyond the scope of this talk) ...

# e/p discrimination: ML performance

- Neural Net classifier (MLP): 3—4 times better  $p$  rejection at highest energies (10 TeV)

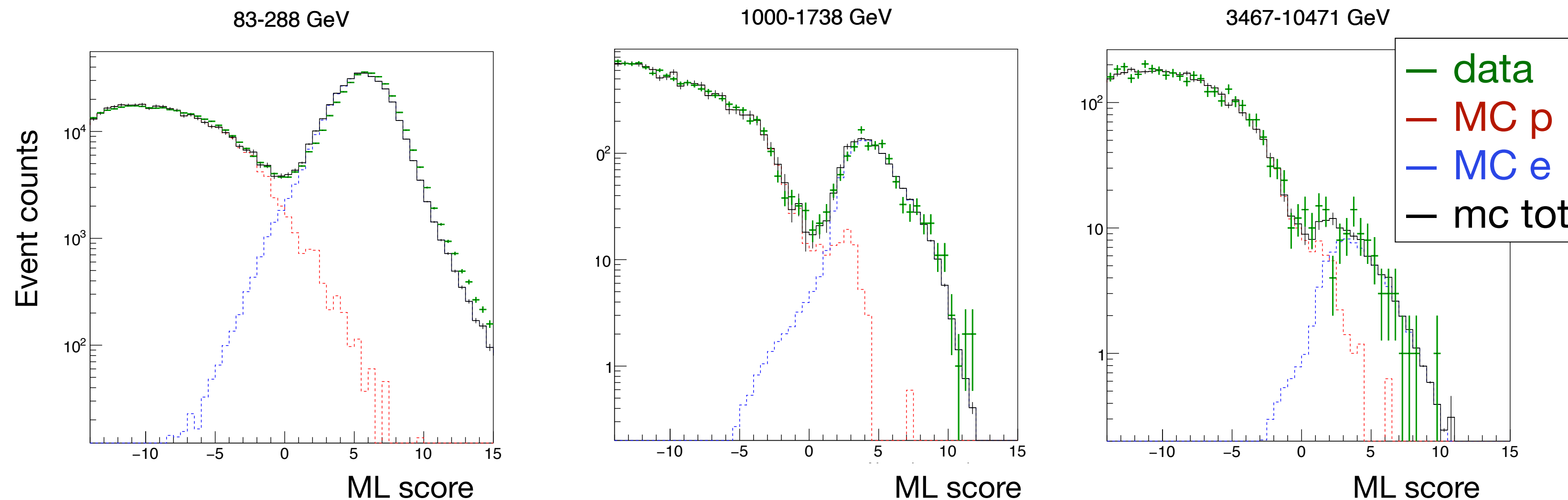


- MLP classifier with even better performance was developed → requires further data/simu optimisation
- ConvNet performance usually marginally better than MLPs, but requires more optimisation with the data

# Machine Learning — data vs simulation

- Optimisation and training of Machine Learning usually done with **simulated data**
- Performance of ML algorithm is important — yes, however
- **Equally important is a good correspondence between simulation and real data!**  
Quite specific to particle physics!

electron / proton discrimination with MLP classifier, data vs simulation:

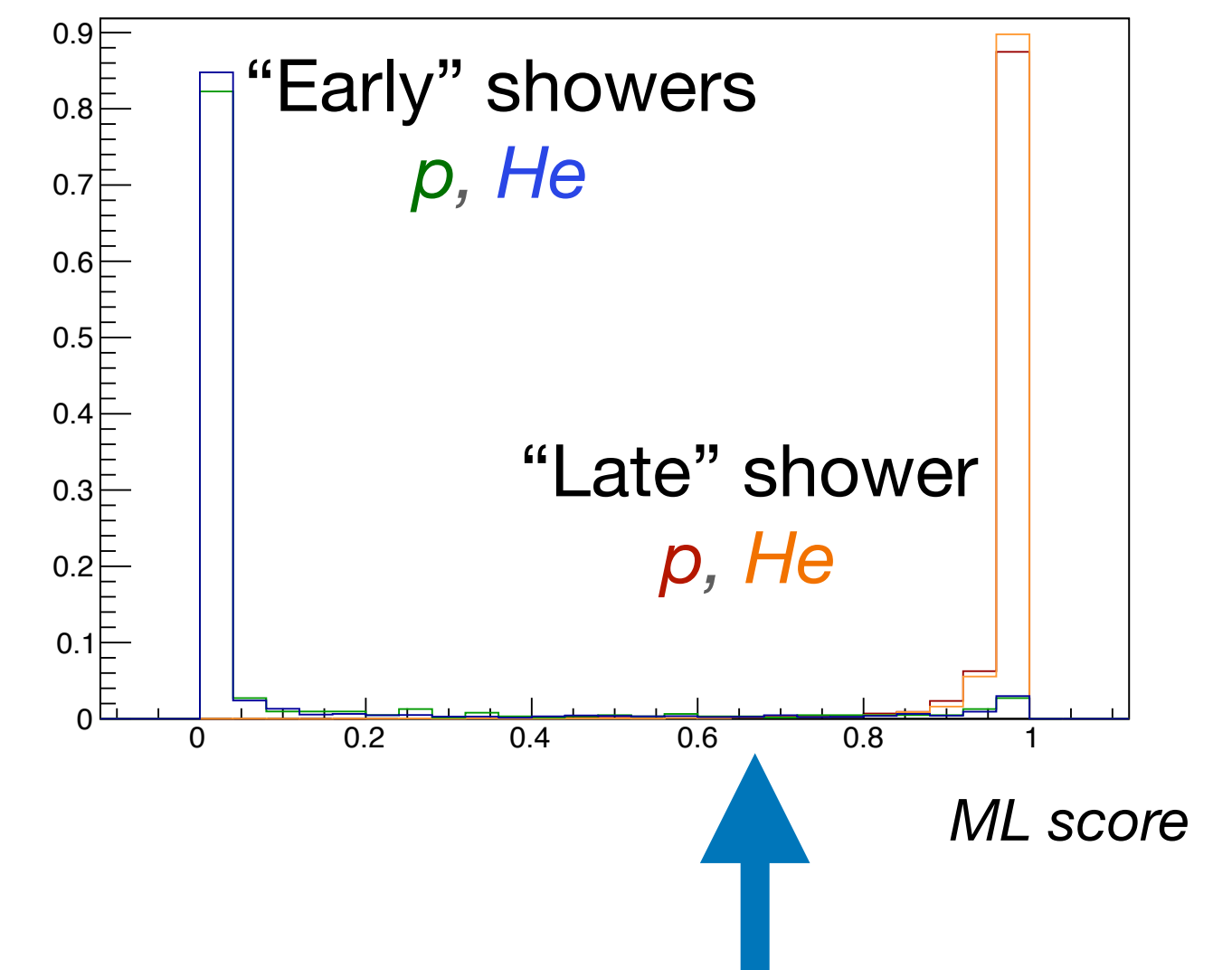
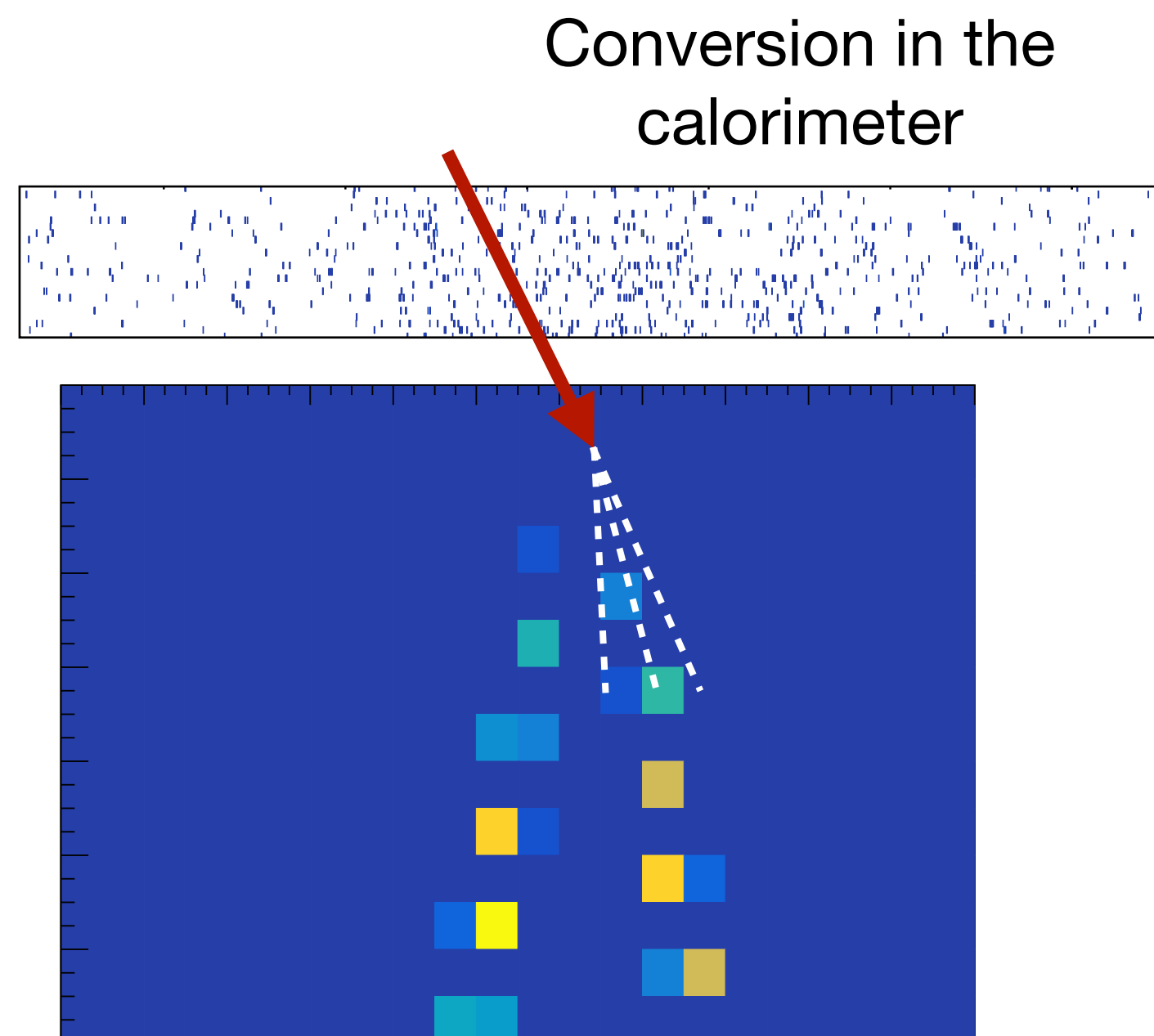
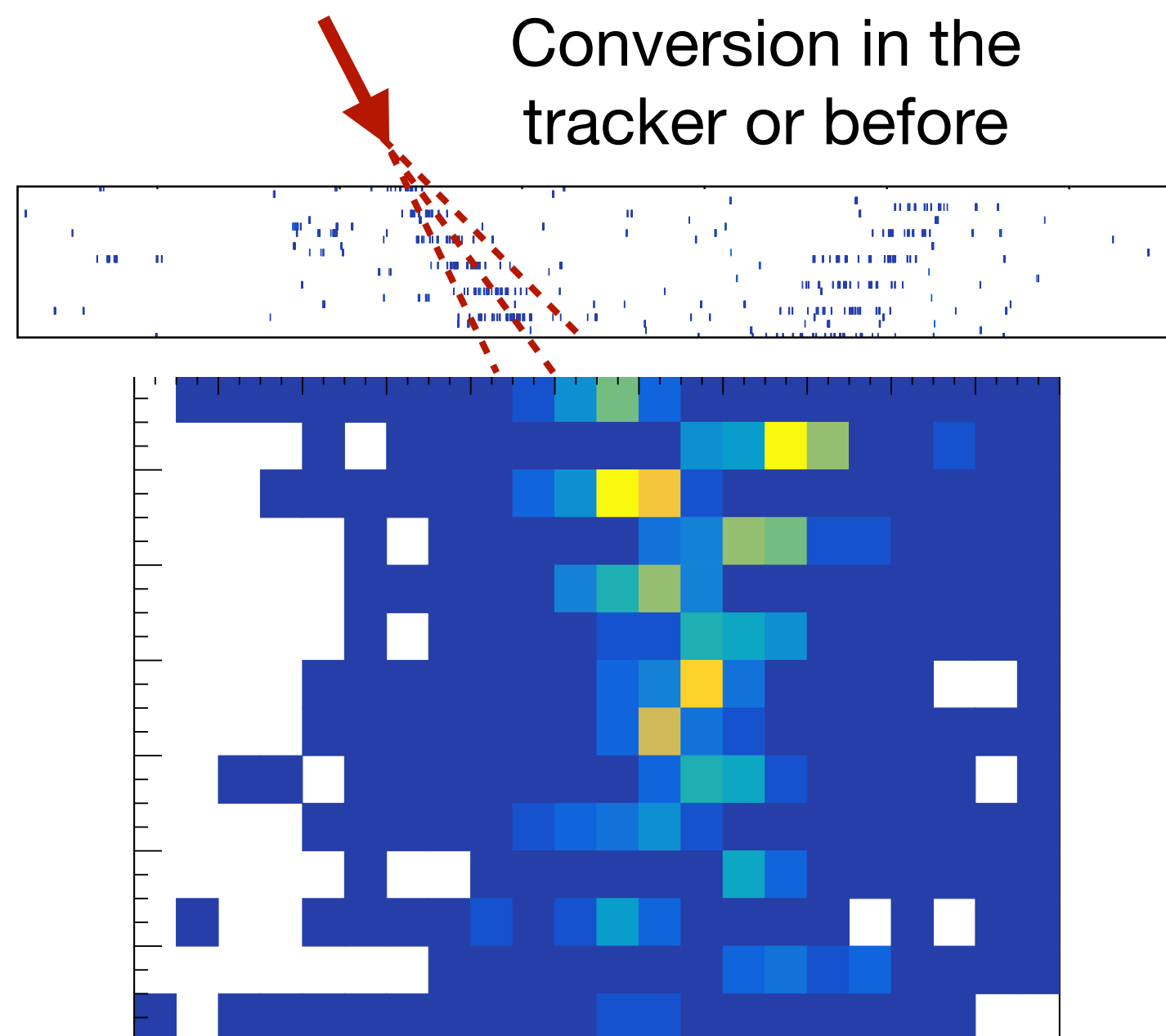


Good data / MC agreement achieved — reliable classifier!

# Machine Learning — vertex finding

Inelastic interaction Vertex reconstruction (CR measurements, hadronic physics, etc.)

- Regression problem (predict vertex position) — yes, seems “easy” in the Tracker  
→ not good precision in reality → majority of events convert in Calorimeter



- First, a classification problem to solve: does conversion happen before calorimeter?  
→ **Developed ConvNet classifier — very good (per cent level) accuracy!**

# Wrap-up

- **Cosmic Rays (CR) — the laboratory for the Universe study**
  - **TeV—PeV** is at borderline of our present CR understanding
  - after there are many theories / models
  - direct CR measurements are crucial to clarify the picture
- **Calorimetric experiments in space (DAMPE, HERD)**
  - Unique capability to directly measure CR at TeV—PeV
  - Data analysis bottleneck (hadronic models, particle identification)
  - Solution provided with modern AI techniques — first results very promising — *stay tuned!*